


From: sandfoss.kristina@epa.gov 
Subject: Interim Release, Request EPA-HQ-2018-002171
Date: August 28, 2018 at 12:26 PM
To: foia@americanoversight.org

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EPA-HQ-2018-002171 has been approved for an interim release. Records were released to the public as a result of this request. You may retrieve these records immediately using the following link: [View Records](#). Over the next 2 hours, these records are also being added to FOIAonline's search pages, further enabling you to retrieve these documents associated with your FOIA request at any time.

The records being provided at this time are Signed Recusal Statements (108 pages), EPA 13770 Waivers (39 pages), Impartiality Determinations (56 pages) and Recusal Attachments (3) to address Part 4, items (b) Any conflicts or ethic waivers or authorizations for those individuals identified in Parts 1 and 3 and (c) Records reflecting any recusal determinations made or issued for those individuals identified in Parts 1 and 3. This is not the Agency's final response to this request.

Thank you for your continued interest in the EPA and have a great day!

Kristina

Kristina Sandfoss

OARM FOIA Coordinator

| Region | Site Name | EPA ID | NPL | Party Name/ Affiliate | State |
|--------|--|--------------|-----|-----------------------|-------|
| 01 | SEAWAY BOAT | MED982547960 | N | DOW | ME |
| 02 | BARCELONETA LANDFILL | PRD980509129 | D | DOW/DuPont | PR |
| 02 | BAYONNE DRUM & BARREL CO | NJD009871401 | N | DuPont | NJ |
| 02 | CLOTHIER DISPOSAL | NYD000511576 | D | DuPont | NY |
| 02 | DUPONT /NECCO PARK | NYD980532162 | N | DuPont | NY |
| 02 | ENRX. INC. | NYD175762616 | N | DOW/DuPont | NY |
| 02 | ENVIROTEK | NYD021744537 | N | DOW | NY |
| 02 | HOPKINS FARM | NJD980532840 | D | DOW | NJ |
| 02 | MORGAN ORDINANCE DEPOT(AKATA/GILLESPIE | NJD986652519 | N | DuPont | NJ |
| 02 | NEWBURGH LANDFILL | NYD980534846 | N | DuPont | NY |
| 02 | NIAGARA COUNTY REFUSE | NYD000514257 | D | DuPont | NY |
| 02 | PFOHL BROTHERS LANDFILL | NYD980507495 | D | DuPont | NY |
| 02 | PIONEER SMELTING CO INC | NJD014786982 | N | DuPont | NJ |
| 02 | PORT REFINERY | NYD986954048 | N | DuPont | NY |
| 02 | PULVERIZING SERVICES | NJD980582142 | N | DOW/DuPont | NJ |
| 02 | RENORA, INC. | NJD070415005 | D | DuPont | NJ |
| 02 | SAYREVILLE LANDFILL | NJD980505754 | D | DOW | NJ |
| 02 | TABERNACLE DRUM DUMP | NJD980761357 | D | DOW/DuPont | NJ |
| 02 | WARWICK LANDFILL | NYD980506679 | D | DOW | NY |
| 02 | WIDE BEACH DEVELOPMENT | NYD980652259 | D | DOW/DuPont | NY |
| 03 | AMCHEM PROD INC | PAD002348324 | N | DOW | PA |
| 03 | BAHN WAREHOUSE | PAD987277977 | N | DuPont | PA |
| 03 | DIXIE CAVERNS COUNTY LANDFILL | VAD980552095 | D | DOW | VA |
| 03 | DUPONT EXPLOSIVES | PAD981939325 | N | DuPont | PA |
| 03 | EAST COAST TRAILER SALES | PA0000634659 | N | DuPont | PA |
| 03 | KENT COUNTY LANDFILL (HOUSTON) | DED980705727 | W | DuPont | DE |
| 03 | METCOA | PAD080719446 | N | DOW/DuPont | PA |
| 03 | MOYERS LANDFILL | PAD980508766 | D | DOW | PA |
| 03 | NEW CASTLE ABANDONED CONTAINER SITE | DED981736440 | N | DuPont | DE |
| 03 | ROHM AND HAAS CO. LANDFILL | PAD091637975 | R | DOW | PA |
| 03 | SEAFORD-ARBUTUS WELL FIELD | DED984075523 | N | DuPont | DE |
| 03 | SPELTER ZINC PLANT | WV0000634584 | N | DuPont | WV |
| 03 | WADE (ABM) | PAD980539407 | D | DOW/DuPont | PA |
| 03 | WELCOME JOY | WVD980693477 | N | DOW | WV |
| 03 | WILDCAT LANDFILL | DED980704951 | D | DuPont | DE |
| 04 | A.L. TAYLOR (VALLEY OF DRUMS) | KYD980500961 | D | DOW | KY |
| 04 | BAY DRUM | FLD088783865 | A | DuPont | FL |
| 04 | BCX FACILITY | FLD982109761 | N | DuPont | FL |
| 04 | BIOLOGICAL PROCESSORS OF ALABAMA | ALR000037929 | N | DOW | AL |
| 04 | CALDWELL SYSTEMS INC | NCD086871282 | N | DuPont | NC |
| 04 | CAROLINA STEEL DRUM CORP | SCD980559926 | N | DuPont | SC |
| 04 | CHEM SPRAY | FLD981020514 | N | DuPont | FL |
| 04 | DIXIE BARREL & DRUM COMPANY | TND034692632 | N | DOW | TN |
| 04 | DOCKERY PROPERTY | NCD980840342 | A | DOW/DuPont | NC |
| 04 | ELLIS ROAD/AMERICAN ELECTRIC CORP. | FLD981931827 | N | DuPont | FL |
| 04 | HOWE VALLEY LANDFILL | KYD980501191 | D | DOW | KY |
| 04 | LEE'S LANE LANDFILL | KYD980557052 | D | DOW/DuPont | KY |
| 04 | LWD INC | KYD088438817 | N | DuPont | KY |
| 04 | MCCLUSKEY'S FARM | GAD980729339 | N | DuPont | GA |
| 04 | MURPHY AVE DRUM SITE | GA0001913185 | N | DOW | GA |
| 04 | SEVEN OUT LLC TANK | GAN000407811 | N | DuPont | GA |
| 04 | US STEEL AGRICHEM/OLD BLUE CHEMICAL | SCD987591948 | N | DOW | SC |
| 04 | YELLOW WATER ROAD | FLD980844179 | D | DuPont | FL |
| 05 | AUTOMATIC CONTAINERS INCORPORATED | OHD980611321 | N | DuPont | OH |
| 05 | B & E LANDFILL | OHD980794648 | N | DuPont | OH |
| 05 | BERLIN & FARRO | MID000605717 | D | DOW/DuPont | MI |
| 05 | BOERKE | WID981189632 | N | DuPont | WI |
| 05 | BOHATY DRUMS | OHD987033743 | N | DOW | OH |

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|----|--|--------------|---|------------|----|
| 05 | BOWERS LANDFILL | OHD980509616 | D | DuPont | OH |
| 05 | CADIE AUTO SALVAGE | ILN000510522 | N | DOW/DuPont | IL |
| 05 | CALUMET CONTAINER | IND980500193 | N | DOW/DuPont | IN |
| 05 | CHEMICAL RECOVERY | OHD057001810 | N | DOW/DuPont | OH |
| 05 | CLAYTON CHEM CO | ILD066918327 | N | DOW | IL |
| 05 | CLIFF/DOW DUMP | MID980608970 | D | DOW | MI |
| 05 | COMMERCIAL OIL SERVICE INCORPORATION | OHD000816843 | N | DOW | OH |
| 05 | CONSERVATION CHEMICAL COMPANY | IND040888992 | N | DOW/DuPont | IN |
| 05 | DOW CHEM CO MICHIGAN DIV MIDLAND LOC | MID000724724 | N | DOW | MI |
| 05 | GRANVILLE SOLVENTS INC | OHD004495412 | N | DuPont | OH |
| 05 | GREAT LAKES PAVING/ASPHALT | IND980990816 | N | DOW | IN |
| 05 | GREINER'S LAGOONS | OHD980794622 | N | DuPont | OH |
| 05 | KINGS MILLS LEAD SITE | OHN000508990 | N | DuPont | OH |
| 05 | LASKIN/POPLAR OIL CO. | OHD061722211 | D | DOW | OH |
| 05 | MASTER METALS INCORPORATION | OHD097613871 | N | DuPont | OH |
| 05 | MOBILE TANK CAR SERVICE | OHD000720227 | N | DOW/DuPont | OH |
| 05 | NORTHWESTERN BARREL | WID981095995 | N | DuPont | WI |
| 05 | SPRINGVILLE MARSH | OHN000510507 | N | DOW | OH |
| 05 | STERLING MORTON HIGH SCHOOL | ILN000509091 | N | DuPont | IL |
| 05 | STICKNEY AVENUE LANDFILL AKA TOLEDO CITY LAN | OHD000605956 | N | DuPont | OH |
| 05 | THIRD SITE | IND984876177 | A | DOW | IN |
| 05 | THOMAS SOLVENT COMPANY | MID039993902 | N | DuPont | MI |
| 05 | TITABAWASSEE RIVER, SAGINAW RIVER & BAY | MID980994354 | N | DOW | MI |
| 05 | UNION CARBIDE CORPORATION SITE B LANDFILL | OHD980612147 | N | DOW | OH |
| 05 | US SCRAP | ILD980679484 | N | DuPont | IL |
| 06 | ARCHEM COMPANY, INC. | TXD042544270 | N | DuPont | TX |
| 06 | ARKANSAS WASTE-TO-ENERGY | ARD982286957 | N | DuPont | AR |
| 06 | BAILEY WASTE DISPOSAL | TXD980864649 | D | DOW/DuPont | TX |
| 06 | BAYOU SORREL | LAD980745541 | D | DOW | LA |
| 06 | BIO-ECOLOGY SYSTEMS, INC. | TXD980340889 | D | DOW/DuPont | TX |
| 06 | BRIO REFINING, INC. | TXD980625453 | D | DOW | TX |
| 06 | COMPASS INDUSTRIES (AVERY DRIVE) | OKD980620983 | D | DOW/DuPont | OK |
| 06 | D.L. MUD, INC. | LAD981058019 | D | DOW | LA |
| 06 | DIXIE OIL PROCESSORS, INC. | TXD089793046 | D | DOW | TX |
| 06 | GULF COAST VACUUM SERVICES | LAD980750137 | D | DOW | LA |
| 06 | GULF NUCLEAR | TX0000605258 | N | DOW/DuPont | TX |
| 06 | GULF NUCLEAR - TAVENOR SITE | TXN000605455 | N | DOW/DuPont | TX |
| 06 | HARRIS (FARLEY STREET) | TXD980745582 | D | DOW | TX |
| 06 | HILLSDALE DRUMS | LAD985214766 | N | DuPont | LA |
| 06 | MARCO OF IOTA | LAD980624514 | N | DuPont | LA |
| 06 | MOSLEY ROAD SANITARY LANDFILL | OKD980620868 | D | DOW | OK |
| 06 | ODESSA DRUM COMPANY INC | TXD008012254 | N | DOW/DuPont | TX |
| 06 | PAB OIL & CHEMICAL SERVICE, INC. | LAD980749139 | D | DOW | LA |
| 06 | PALMER BARGE LINE | TXD068104561 | D | DuPont | TX |
| 06 | PESSER CHEMICAL CO. | TXD980699656 | D | DuPont | TX |
| 06 | PORT NECHES PIPELINE FIRE | TXN000606841 | N | DOW | TX |
| 06 | ROGERS ROAD MUNICIPAL LANDFILL | ARD981055809 | D | DOW | AR |
| 06 | SAND SPRINGS PETROCHEMICAL COMPLEX | OKD980748446 | D | DuPont | OK |
| 06 | SOUTH 8TH STREET LANDFILL | ARD980496723 | D | DuPont | AR |
| 06 | STATE MARINE OF PORT ARTHUR | TXD099801102 | D | DuPont | TX |
| 06 | WEBSTER - GULF NUCLEAR | TX0000605420 | N | DOW/DuPont | TX |
| 07 | CONTAINER RECYCLING, INC - KANSAS CITY | KSD065764995 | N | DuPont | KS |
| 07 | DU PONT, E I DE NEMOURS & CO -FT MADISON | IAD005272398 | A | DuPont | IA |
| 07 | E.I. DU PONT DE NEMOURS & CO., INC. (COUNTY RO | IAD980685804 | D | DuPont | IA |
| 07 | FINDETT CORP. | MOD006333975 | R | DOW/DuPont | MO |
| 07 | GREAT LAKES CONTAINER CORP - ST LOUIS | MOD086827359 | N | DuPont | MO |
| 07 | OSAGE METAL COMPANY | KSD031295660 | N | DuPont | KS |
| 07 | PCB INC - KANSAS | KSD980963565 | N | DuPont | KS |
| 07 | PCB INC - MISSOURI | MOD063670350 | N | DuPont | MO |
| 07 | RED OAK CITY LANDFILL | IAD980632509 | D | DOW | IA |

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|----|---|--------------|---|------------|----|
| 08 | COLORADO SCHOOL OF MINES RI/CREEKSIDE | COD000823401 | N | DOW | CO |
| 08 | HANSEN CONTAINERS | COD081469801 | N | DOW | CO |
| 08 | MOLINE STREET PCBS | COD030446637 | N | DOW | CO |
| 08 | PETROCHEM RECYCLING CORP./EKOTEK PLANT | UTD093119196 | D | DuPont | UT |
| 08 | RAMP INDUST INC | COD980718985 | N | DOW | CO |
| 08 | ROCKY FLATS INDUSTRIAL PARK (THORO - AERRCO | COD980807374 | S | DOW | CO |
| 08 | TREASURE MOUNTAIN MIDDLE SCHOOL | UTN000802495 | N | DuPont | UT |
| 08 | TWINS INN | CO0001101476 | N | DOW | CO |
| 08 | WOODBURY CHEMICAL CO. | COD980667075 | D | DOW | CO |
| 09 | GENERAL DSPL CO | CAD980735856 | N | DOW/DuPont | CA |
| 09 | RIO TINTO COPPER MINE | NV3141190030 | N | DuPont | NV |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

AUG 16 2017

SUBJECT: Request for a Limited Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli *KSE*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Erik Baptist, Senior Deputy General Counsel in the Office of General Counsel at the United States Environmental Protection Agency (EPA). Prior to his appointment as a non-career SES employee, Mr. Baptist was Senior Counsel at the American Petroleum Institute (API). In that capacity, he provided legal services and, until March 31, 2016, was also listed as a federally registered lobbyist for the API,¹ focusing on the Renewable Fuel Standards program (RFS).² Mr. Baptist began service at EPA on June 18, 2017 and received initial ethics training on June 23, 2017.

EPA requests this limited waiver to allow him to advise the Administrator and other senior officials on the annual renewable fuel standards and, in general, the RFS program. His expertise and comprehensive understanding of the challenges and nuances of the RFS program are needed to counsel and advise the Administrator and senior leadership in this critical area.

¹ As an attorney within the API's Office of General Counsel, Mr. Baptist was not an employee of the API's Federal Relations department, where the API's in-house lobbyists reside.

² The RFS program is a national policy that requires a certain volume of renewable fuel to replace or reduce the quantity of petroleum-based transporting fuel, heating oil or jet fuel. It was created under the Energy Policy Act of 2005 (Pub. L. 109-58), which amended the Clean Air Act (CAA), 42 U.S.C. § 7401 *et seq.* The Energy Independence and Security Act of 2007 (Pub. L. 110-140) further amended the CAA by expanding the RFS program.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, “Ethics Commitments by Executive Branch Appointees.” All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

Mr. Baptist signed the ethics pledge on June 28, 2017. Because he had been a federally registered lobbyist for the API within the preceding two years, he requires a waiver to work on the Renewable Fuels Standards program in his current EPA position. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge.

MR. BAPTIST’S UNIQUE EXPERTISE

A graduate of Vanderbilt University and the George Washington University Law School, Mr. Baptist has worked for the international law firm McDermott Will & Emery LLP and served as an attorney for the Division of Investigations in the Office of Enforcement for the Federal Energy Regulatory Commission (FERC). While at FERC, he led a market-wide investigation of energy companies relating to the reliability of the electric grid and also investigated an energy company for multiple violations of federal energy laws and regulations. He personally worked on the investigation of the Amaranth hedge fund and trial of its head trader, who was found to have manipulated the price of natural gas through futures trading.

While at the API, Mr. Baptist provided direct litigation support and legal advocacy on critical issues facing the oil and gas industry, including matters related to fuel specifications and market development of natural gas. In his role as Senior Counsel, he helped to develop consensus with affected constituencies to build broad coalitions in support of legislation or regulatory reform. As an expert in his field, Mr. Baptist has taught continuing legal education courses on the topics of fuel regulations before the American Law Institute Continuing Legal Education (ALI CLE) and the RFS program before the District of Columbia Bar Association.

The EPA Administrator needs to be able to utilize Mr. Baptist’s subject-matter expertise in the Renewable Fuel Standards program, and the perspective that he gained working with the API and its member organizations. Because the EPA does not yet have a confirmed General Counsel, Mr. Baptist is currently the most senior political appointee in the Office of General Counsel, thus serving a critical role as a primary legal advisor to the Administrator. In his position, he must be able to provide legal counsel to the EPA’s top policy-makers and provide

his unique expertise and vital input into Agency rules and regulations. His invaluable knowledge and experience will assist the Administrator and the Agency with respect to an important program in the Office of Air and Radiation, which also currently lacks a political leader.

REQUEST FOR A LIMITED WAIVER

In deciding to request this limited waiver, the EPA has carefully considered a number of factors:

- At the time Mr. Baptist joined the EPA, he was not a federally registered lobbyist. The API formally removed him as a lobbyist on its lobbying disclosure form filed in the first quarter of 2016;
- Mr. Baptist triggered the relatively low threshold to register as a federal lobbyist because as an attorney within the API's Office of General Counsel he provided legal oversight of the API's numerous advocacy activities on the Renewable Fuel Standards program. Mr. Baptist was not an employee of the API's lobbying department;
- The Clean Air Act requires the EPA to issue new renewable fuel percentage standards each year, and Mr. Baptist worked on lobbying activities related to these renewable fuel percentage annual standards. Despite the fact that this regulatory standard is changed annually, the EPA is advised by the Office of Government Ethics that it nevertheless technically falls within the scope of a "specific issue area" as set forth at Section 1, paragraph 7 and requires a waiver;
- Unless and until this limited waiver is granted, Mr. Baptist has recused himself from working on the renewable fuel standards and the RFS program. Granting this limited waiver to allow him to utilize his extensive knowledge and experience in the RFS program will not alter his existing recusal obligations under Section 1, paragraph 6 regarding the API as his former employer; and
- Although Mr. Baptist is listed on the API lobbying disclosure forms for a variety of issues, the EPA seeks this limited waiver only for the Renewable Fuel Standards program.

CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Erik Baptist to effectively carry out his duties as Senior Deputy General Counsel and advise the EPA Administrator on the Renewable Fuel Standards program. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations. In particular, as noted above, Mr.

Baptist will remain restricted by the Executive Order, Section 1, paragraph 6, from participating in any particular matter involving specific parties that is directly and substantially related to his former employer, the API.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

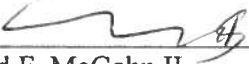
DATE: August 25, 2017

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Erik Baptist
Senior Deputy General Counsel
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Erik Baptist to allow him to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to the Renewable Fuels Standards program and regulations. I have determined that it is in the public interest to grant this limited waiver because of Mr. Baptist's expertise in this program and its policy and implementation. The facts that Mr. Baptist had not been a registered lobbyist for fourteen months prior to his appointment and signing the Ethics Pledge and that the activities that triggered his obligation to register as a lobbyist were limited, coupled with his deep understanding of the RFS program and the regulated industry, make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its renewable fuel programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Baptist so that he can ably advise the EPA Administrator. Accordingly, I authorize Erik Baptist to participate personally and substantially in matters regarding the Renewable Fuel Standards program. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.


Donald F. McGahn II
Counsel to the President

Dated: 25 August 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

SEP 29 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Limited Waiver Issued under Executive Order 13770 for Erik Baptist

FROM: Kevin S. Minoli *KSM*
Acting General Counsel
Designated Agency Ethics Official

TO: E. Scott Pruitt
Administrator

As you know, Executive Order 13770 (January 28, 2017) requires that every full-time political appointee sign an Ethics Pledge that sets forth additional ethics requirements. Waivers to the Pledge may be issued by the President or his designee. Any waivers issued pursuant to this Executive Order 13770 must be provided to the head of the Agency in which the person serves or has been appointed to serve. See the Office of Government Ethics Program Advisory entitled "Posting of Waivers Issued under Executive Order 13770," PA-17-05 (September 21, 2017).

Attached for your reference is a copy of EPA's request for a limited waiver of Section 1, Paragraph 7 of Executive Order 13770 for Erik Baptist, Senior Deputy General Counsel, and a copy of the limited waiver signed by the Counsel to the President on August 25, 2017. This limited waiver allows Erik Baptist to participate personally and substantially in matters regarding the Renewable Fuels Standard program.

Please feel free to contact me at (202) 564-8040 if you have any questions.

Attachments

cc: Ryan Jackson, Chief of Staff
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
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- At the time Mr. Baptist joined the EPA, he was not a federally registered lobbyist. The API formally removed him as a lobbyist on its lobbying disclosure form filed in the first quarter of 2016;
- Mr. Baptist triggered the relatively low threshold to register as a federal lobbyist because as an attorney within the API's Office of General Counsel he provided legal oversight of the API's numerous advocacy activities on the Renewable Fuel Standards program. Mr. Baptist was not an employee of the API's lobbying department;
- The Clean Air Act requires the EPA to issue new renewable fuel percentage standards each year, and Mr. Baptist worked on lobbying activities related to these renewable fuel percentage annual standards. Despite the fact that this regulatory standard is changed annually, the EPA is advised by the Office of Government Ethics that it nevertheless technically falls within the scope of a "specific issue area" as set forth at Section 1, paragraph 7 and requires a waiver;
- Unless and until this limited waiver is granted, Mr. Baptist has recused himself from working on the renewable fuel standards and the RFS program. Granting this limited waiver to allow him to utilize his extensive knowledge and experience in the RFS program will not alter his existing recusal obligations under Section 1, paragraph 6 regarding the API as his former employer; and
- Although Mr. Baptist is listed on the API lobbying disclosure forms for a variety of issues, the EPA seeks this limited waiver only for the Renewable Fuel Standards program.

CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Erik Baptist to effectively carry out his duties as Senior Deputy General Counsel and advise the EPA Administrator on the Renewable Fuel Standards program. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations. In particular, as noted above, Mr.

Baptist will remain restricted by the Executive Order, Section 1, paragraph 6, from participating in any particular matter involving specific parties that is directly and substantially related to his former employer, the API.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

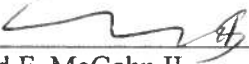
DATE: August 25, 2017

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Erik Baptist
Senior Deputy General Counsel
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Erik Baptist to allow him to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to the Renewable Fuels Standards program and regulations. I have determined that it is in the public interest to grant this limited waiver because of Mr. Baptist's expertise in this program and its policy and implementation. The facts that Mr. Baptist had not been a registered lobbyist for fourteen months prior to his appointment and signing the Ethics Pledge and that the activities that triggered his obligation to register as a lobbyist were limited, coupled with his deep understanding of the RFS program and the regulated industry, make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its renewable fuel programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Baptist so that he can ably advise the EPA Administrator. Accordingly, I authorize Erik Baptist to participate personally and substantially in matters regarding the Renewable Fuel Standards program. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.



Donald F. McGahn II
Counsel to the President

Dated: 25 August 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin S. Minoli *K-SM*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Dennis "Lee" Forsgren, Deputy Assistant Administrator (DAA), Office of Water (OW), at the United States Environmental Protection Agency (EPA), to allow him to participate in EPA's efforts to respond to the impacts of Hurricane Irma. Prior to his appointment at EPA, Mr. Forsgren represented the Miccosukee Tribe of Indians on three different periods of time since 2000, including from 2016 until his appointment. The Miccosukee Tribe is a federally-recognized Indian Tribe whose reservation lands are in Broward and Miami-Dade Counties in Florida. He was listed as a federally registered lobbyist for his representation of the Tribe during the period of time that ended with his appointment at EPA, triggering certain recusal obligations for matters involving the Tribe under Section 1, Paragraph 7 of Executive Order 13770.

EPA requests this limited waiver to allow Mr. Forsgren participate fully and without limitation in EPA's response to the range of environmental and human health impacts related to Hurricane Irma. Specifically, when done as part of his participation in EPA's Hurricane Irma response effort, the waiver would enable Mr. Forsgren to engage with the Miccosukee Tribe and its representatives, advise the Administrator and other senior officials on matters related to the Tribe and its Reservation, and participate in decision-making that is related to the Tribe and its Reservation. A significant focus of the agency's response effort is providing services and support to state, local, and tribal governments, and the Miccosukee Tribe is and will be a government the EPA needs to be able to engage with freely and without restriction for this purpose. Because of Mr. Forsgren's understanding of and relationships with the Tribal Government and his position

as the senior appointee in the agency's Office of Water, Mr. Forsgren is uniquely positioned to help EPA achieve its mission in this emergency situation.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, "Ethics Commitments by Executive Branch Appointees." All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

Mr. Forsgren signed the ethics pledge and has been acting consistent with its requirements since his appointment. Because he had been a federally registered lobbyist for Miccosukee Tribe within the preceding two years, he requires a waiver to work on the part of EPA's response to Hurricane Irma that will inevitably be specific to the Tribe. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge.

MR. FORSGREN'S UNIQUE EXPERTISE AS IT RELATES TO EPA'S RESPONSE TO HURRICANE IRMA

Mr. Forsgren represented the Miccosukee Tribe from 2000-2003, 2005-2012, and most recently 2016 until his appointment to EPA in June 2017. In that role, he represented the Tribe on Everglades restoration, Army Corps projects that impact the Tribe in the Everglades, and Water quality issues impacting the Everglades. Through that representation he developed an understanding of the Tribe and its Reservation that is unmatched at EPA. He also developed a personal relationship with many Tribal leaders that would make him uniquely effective at communicating with them during an emergency situation, if necessary. Finally, he also gained a thorough understanding of how the Tribe and its Reservation are impacted by the water levels in its lands and surrounding areas such as the Everglades.

Because of its location within the southern reaches of Florida, the Miccosukee Reservation will almost certainly be impacted in a significant and adverse way by the wind, rain, and subsequent flooding attributable to Hurricane Irma. With wide-spread life-threatening impacts predicated across nearly all of the State of Florida, Administrator Pruitt must be able to utilize all of his senior officials in EPA's response to this emergency. That is especially true when one of those senior officials has a level of expertise to bring to the response that addresses an agency need and is not possessed by others. Mr. Forsgren possesses that unique expertise with regard to the Miccosukee Tribe, and his ability to engage with them regarding the response effort

will advance the agency's efforts to provide support and assistance to impacted governments in the most effective and timely manner possible.

REQUEST FOR A LIMITED WAIVER

The EPA is requesting a waiver from Mr. Forsgren's recusal obligations under Section 1, paragraph 7 of the Executive Order that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. The waiver would not extend to any other issue that Mr. Forsgren is currently recused from participating in under the Executive Order. In addition, while we are requesting a waiver without an end date in recognition of the amount of time the agency anticipates it will be engaged in response efforts, the waiver's limited substantive scope ultimately will also serve as a limitation on the duration of the waiver as well.

CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Lee Forsgren to effectively carry out his duties as Deputy Assistant Administrator and advise the EPA Administrator and other senior officials on matters related to the agency's response to Hurricane Irma. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY


FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Dennis "Lee" Forsgren
Deputy Assistant Administrator
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 for Mr. Dennis "Lee" Forsgren that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. I have determined that it is in the public interest to grant this limited waiver because of serious threat to life and the environment posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, and Mr. Forsgren's expertise and experience working with the Miccosukee Tribe. He is an ideal person to engage with the Tribe and assist the Administrator and his senior leadership team to make EPA's response to Hurricane Irma more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Forsgren so that he can ably advise the EPA Administrator. Accordingly, I authorize Dennis "Lee" Forsgren to participate personally and substantially in matters that arise as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 October 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Limited Waiver Issued under Executive Order 13770 for Dennis "Lee" Forsgren

FROM: Kevin S. Minoli *KSE*
Acting General Counsel
Designated Agency Ethics Official

TO: E. Scott Pruitt
Administrator

As you know, Executive Order 13770 (January 28, 2017) requires that every full-time political appointee sign an Ethics Pledge that sets forth additional ethics requirements. Waivers to the Pledge may be issued by the President or his designee. Any waivers issued pursuant to this Executive Order 13770 must be provided to the head of the Agency in which the person serves or has been appointed to serve. *See* the Office of Government Ethics Program Advisory entitled "Posting of Waivers Issued under Executive Order 13770," PA-17-05 (September 21, 2017).

Attached for your reference is a copy of EPA's emergency request for a limited waiver of Section 1, Paragraph 7 of Executive Order 13770 for Dennis "Lee" Forsgren, Deputy Assistant Administrator, Office of Water, and a copy of the limited waiver signed by the Counsel to the President on October 2, 2017. This limited waiver allowed Lee Forsgren to participate personally and substantially in matters that arose as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. Mr. Forsgren previously served as a federally registered lobbyist on behalf of the Tribe. However, this limited waiver was never utilized and EPA now considers the terms of the waiver null and void.

Please feel free to contact me at (202) 564-8040 if you have any questions.

Attachments

cc: Ryan Jackson, Chief of Staff
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli *K-S Minoli*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Dennis "Lee" Forsgren, Deputy Assistant Administrator (DAA), Office of Water (OW), at the United States Environmental Protection Agency (EPA), to allow him to participate in EPA's efforts to respond to the impacts of Hurricane Irma. Prior to his appointment at EPA, Mr. Forsgren represented the Miccosukee Tribe of Indians on three different periods of time since 2000, including from 2016 until his appointment. The Miccosukee Tribe is a federally-recognized Indian Tribe whose reservation lands are in Broward and Miami-Dade Counties in Florida. He was listed as a federally registered lobbyist for his representation of the Tribe during the period of time that ended with his appointment at EPA, triggering certain recusal obligations for matters involving the Tribe under Section 1, Paragraph 7 of Executive Order 13770.

EPA requests this limited waiver to allow Mr. Forsgren participate fully and without limitation in EPA's response to the range of environmental and human health impacts related to Hurricane Irma. Specifically, when done as part of his participation in EPA's Hurricane Irma response effort, the waiver would enable Mr. Forsgren to engage with the Miccosukee Tribe and its representatives, advise the Administrator and other senior officials on matters related to the Tribe and its Reservation, and participate in decision-making that is related to the Tribe and its Reservation. A significant focus of the agency's response effort is providing services and support to state, local, and tribal governments, and the Miccosukee Tribe is and will be a government the EPA needs to be able to engage with freely and without restriction for this purpose. Because of Mr. Forsgren's understanding of and relationships with the Tribal Government and his position

as the senior appointee in the agency's Office of Water, Mr. Forsgren is uniquely positioned to help EPA achieve its mission in this emergency situation.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, "Ethics Commitments by Executive Branch Appointees." All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

Mr. Forsgren signed the ethics pledge and has been acting consistent with its requirements since his appointment. Because he had been a federally registered lobbyist for Miccosukee Tribe within the preceding two years, he requires a waiver to work on the part of EPA's response to Hurricane Irma that will inevitably be specific to the Tribe. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge.

MR. FORSGREN'S UNIQUE EXPERTISE AS IT RELATES TO EPA'S RESPONSE TO HURRICANE IRMA

Mr. Forsgren represented the Miccosukee Tribe from 2000-2003, 2005-2012, and most recently 2016 until his appointment to EPA in June 2017. In that role, he represented the Tribe on Everglades restoration, Army Corps projects that impact the Tribe in the Everglades, and Water quality issues impacting the Everglades. Through that representation he developed an understanding of the Tribe and its Reservation that is unmatched at EPA. He also developed a personal relationship with many Tribal leaders that would make him uniquely effective at communicating with them during an emergency situation, if necessary. Finally, he also gained a thorough understanding of how the Tribe and its Reservation are impacted by the water levels in its lands and surrounding areas such as the Everglades.

Because of its location within the southern reaches of Florida, the Miccosukee Reservation will almost certainly be impacted in a significant and adverse way by the wind, rain, and subsequent flooding attributable to Hurricane Irma. With wide-spread life-threatening impacts predicated across nearly all of the State of Florida, Administrator Pruitt must be able to utilize all of his senior officials in EPA's response to this emergency. That is especially true when one of those senior officials has a level of expertise to bring to the response that addresses an agency need and is not possessed by others. Mr. Forsgren possesses that unique expertise with regard to the Miccosukee Tribe, and his ability to engage with them regarding the response effort

will advance the agency's efforts to provide support and assistance to impacted governments in the most effective and timely manner possible.

REQUEST FOR A LIMITED WAIVER

The EPA is requesting a waiver from Mr. Forsgren's recusal obligations under Section 1, paragraph 7 of the Executive Order that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. The waiver would not extend to any other issue that Mr. Forsgren is currently recused from participating in under the Executive Order. In addition, while we are requesting a waiver without an end date in recognition of the amount of time the agency anticipates it will be engaged in response efforts, the waiver's limited substantive scope ultimately will also serve as a limitation on the duration of the waiver as well.

CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Lee Forsgren to effectively carry out his duties as Deputy Assistant Administrator and advise the EPA Administrator and other senior officials on matters related to the agency's response to Hurricane Irma. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY


FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Dennis "Lee" Forsgren
Deputy Assistant Administrator
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 for Mr. Dennis "Lee" Forsgren that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. I have determined that it is in the public interest to grant this limited waiver because of serious threat to life and the environment posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, and Mr. Forsgren's expertise and experience working with the Miccosukee Tribe. He is an ideal person to engage with the Tribe and assist the Administrator and his senior leadership team to make EPA's response to Hurricane Irma more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Forsgren so that he can ably advise the EPA Administrator. Accordingly, I authorize Dennis "Lee" Forsgren to participate personally and substantially in matters that arise as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 October 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

NOV 15 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Status of Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin Minoli *KMinoli*
Designated Agency Ethics Official and
Acting General Counsel

TO: Ethics File for Dennis "Lee" Forsgren

On June 18, 2017, Mr. Dennis "Lee" Forsgren was appointed to a non-career SES position at EPA. As such, he is subject to Executive Order 13770, including Section 1, Paragraph 7 that applies to former registered lobbyists. During the past two years, Mr. Forsgren served as a federally registered lobbyist on behalf of the Miccosukee Tribe of Indians of Florida. Therefore, he is prohibited from participating in any particular matter on which he lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless he obtains a waiver pursuant to Section 3 of the Executive Order.

In September 2017, Hurricane Irma was bearing down on the state of Florida and was anticipated to have a devastating effect upon the Miccosukee Reservation and surrounding areas. In an abundance of caution given the imminent hurricane and the anticipated potential devastation, the EPA sought an emergency and limited duration waiver from Paragraph 7 to allow Mr. Forsgren to interact with the Miccosukee Tribe, if necessary. However, this waiver -- that EPA sought on September 9, 2017 and was signed on October 2, 2017 -- was ultimately not necessary because the hurricane veered away from the Miccosukee Reservation. Consequently, the Tribe was not as severely impacted as initially anticipated and did not reach out to Mr. Forsgren at all. Therefore, this limited waiver was never utilized.

Because no matters arose as part of EPA's response to Hurricane Irma that involved Mr. Forsgren's participation with the Miccosukee Tribe or Reservation, EPA now considers the terms of the limited waiver null and void. Mr. Forsgren remains recused from interacting with the Miccosukee Tribe as memorialized in his attached Recusal Statement dated October 20, 2017. The signed waiver is also attached as a reference.

Attachments



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

NOV 15 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Status of Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin Minoli 
Designated Agency Ethics Official and
Acting General Counsel

TO: Ethics File for Dennis "Lee" Forsgren

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin S. Minoli *K-SM*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Dennis "Lee" Forsgren, Deputy Assistant Administrator (DAA), Office of Water (OW), at the United States Environmental Protection Agency (EPA), to allow him to participate in EPA's efforts to respond to the impacts of Hurricane Irma. Prior to his appointment at EPA, Mr. Forsgren represented the Miccosukee Tribe of Indians on three different periods of time since 2000, including from 2016 until his appointment. The Miccosukee Tribe is a federally-recognized Indian Tribe whose reservation lands are in Broward and Miami-Dade Counties in Florida. He was listed as a federally registered lobbyist for his representation of the Tribe during the period of time that ended with his appointment at EPA, triggering certain recusal obligations for matters involving the Tribe under Section 1, Paragraph 7 of Executive Order 13770.

EPA requests this limited waiver to allow Mr. Forsgren participate fully and without limitation in EPA's response to the range of environmental and human health impacts related to Hurricane Irma. Specifically, when done as part of his participation in EPA's Hurricane Irma response effort, the waiver would enable Mr. Forsgren to engage with the Miccosukee Tribe and its representatives, advise the Administrator and other senior officials on matters related to the Tribe and its Reservation, and participate in decision-making that is related to the Tribe and its Reservation. A significant focus of the agency's response effort is providing services and support to state, local, and tribal governments, and the Miccosukee Tribe is and will be a government the EPA needs to be able to engage with freely and without restriction for this purpose. Because of Mr. Forsgren's understanding of and relationships with the Tribal Government and his position

as the senior appointee in the agency's Office of Water, Mr. Forsgren is uniquely positioned to help EPA achieve its mission in this emergency situation.

BACKGROUND

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MR. FORSGREN'S UNIQUE EXPERTISE AS IT RELATES TO EPA'S RESPONSE TO HURRICANE IRMA

Mr. Forsgren represented the Miccosukee Tribe from 2000-2003, 2005-2012, and most recently 2016 until his appointment to EPA in June 2017. In that role, he represented the Tribe on Everglades restoration, Army Corps projects that impact the Tribe in the Everglades, and Water quality issues impacting the Everglades. Through that representation he developed an understanding of the Tribe and its Reservation that is unmatched at EPA. He also developed a personal relationship with many Tribal leaders that would make him uniquely effective at communicating with them during an emergency situation, if necessary. Finally, he also gained a thorough understanding of how the Tribe and its Reservation are impacted by the water levels in its lands and surrounding areas such as the Everglades.

Because of its location within the southern reaches of Florida, the Miccosukee Reservation will almost certainly be impacted in a significant and adverse way by the wind, rain, and subsequent flooding attributable to Hurricane Irma. With wide-spread life-threatening impacts predicated across nearly all of the State of Florida, Administrator Pruitt must be able to utilize all of his senior officials in EPA's response to this emergency. That is especially true when one of those senior officials has a level of expertise to bring to the response that addresses an agency need and is not possessed by others. Mr. Forsgren possesses that unique expertise with regard to the Miccosukee Tribe, and his ability to engage with them regarding the response effort

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REQUEST FOR A LIMITED WAIVER

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CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Lee Forsgren to effectively carry out his duties as Deputy Assistant Administrator and advise the EPA Administrator and other senior officials on matters related to the agency's response to Hurricane Irma. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

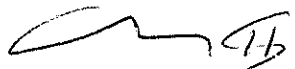
FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Dennis "Lee" Forsgren
Deputy Assistant Administrator
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 for Mr. Dennis "Lee" Forsgren that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. I have determined that it is in the public interest to grant this limited waiver because of serious threat to life and the environment posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, and Mr. Forsgren's expertise and experience working with the Miccosukee Tribe. He is an ideal person to engage with the Tribe and assist the Administrator and his senior leadership team to make EPA's response to Hurricane Irma more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Forsgren so that he can ably advise the EPA Administrator. Accordingly, I authorize Dennis "Lee" Forsgren to participate personally and substantially in matters that arise as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 October 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OCT 20 2017

OFFICE OF WATER

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Dennis Lee Forsgren, Jr.
Deputy Assistant Administrator

TO: Michael H. Shapiro
Acting Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. Although OGC/Ethics advises that conflicts are unlikely to arise, I understand that I am recused from participating personally and substantially in any particular matter that affects **Anthem Health** as a specific party because of my current level of financial ownership.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **HBW Resources**, or any former client to whom I provided legal or lobbying services during the past two years is a party or represents a party. I understand that my recusal lasts until June 18, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist during the past two years, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless I obtain a waiver pursuant to Section 3 of the Executive Order. I understand that this recusal lasts for two years from the date that I joined federal service.

Within the previous two years, I personally lobbied on behalf of the Miccosukee Tribe of Indians of Florida on water issues. In an abundance of caution to ensure that I meet my obligations under the Trump Ethics Pledge, I had decided to recuse myself from all **water quality issues related to South Florida** until June 18, 2019. However, due to the potential threat posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, on September 9, 2017, the EPA requested a limited waiver of the provisions of Paragraph 7. On October 2, 2017, the Counsel to the President granted this limited waiver which authorized me to participate personally and substantially in matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation. But since the Hurricane did not impact the Miccosukee Reservation and surrounding areas, this limited waiver was ultimately not necessary and I understand that I’m still recused from all water quality issues related to South Florida.

| RECUSAL LIST In effect until June 18, 2019 | |
|---|--|
| FORMER EMPLOYER: | HBW Resources |
| FORMER LOBBYING: | Water Quality Issues Related to South Florida, except to the extent authorized under a limited waiver for matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation |

| | |
|-----------------|---|
| FORMER CLIENTS: | BWX Technologies, Inc. (BWXT) Plaintiff's Group – Deepwater Horizon spill litigation Virginia Hospital and Healthcare Association Transas USA Inc. |
|-----------------|---|

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude any Native American tribe,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”² But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client that is a Native American tribe. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which the Miccosukee Tribe of Indians of Florida is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities or lobbying issue area listed above, I will instruct Louise Kitamura, OW/OAA Assistant Deputy Ethics Official, to assist in screening EPA matters directed to my attention that involve my former employer or my former clients as a specific party or the particular matter/specific issue area on which I previously lobbied. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities or lobbying issue

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include any... Native American tribe.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

area on my recusal list should be directed to Louise Kitamura without my knowledge or involvement until after my recusal period ends.

If Louis Kitamura determines that a particular matter will directly involve any of the entities listed on my “specific party” recusal list or water quality issues in South Florida that are not already addressed by the limited waiver, then s/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that s/he is unsure whether an issue is a particular matter from which I am recused, then s/he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Request for a Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Jeffrey M. Sands, who will be the Senior Agricultural Advisor to the Administrator of the United States Environmental Protection Agency (EPA). In this position, he will be expected to advocate for a broad range of agricultural interests within EPA so it is vital that the incumbent is unfettered in his ability to ensure that agricultural interests are fully considered as the Administrator formulates his environmental policies. At present, Mr. Sands is serving as Manager of Syngenta's Federal Government and Industry Relations group and as Director of SyngentaPAC, a political action committee. As a federally registered lobbyist for Syngenta since 2015, Mr. Sands has been focusing on a wide range of agricultural issues including pesticides, food labeling, genetically modified organisms, biofuels and biotechnology and renewable fuels. EPA seeks to appoint Mr. Sands into a non-career SES position and, as such, will ask that he sign the Trump Ethics Pledge.

EPA requests this waiver to allow Mr. Sands to utilize the full range of his extensive knowledge and expertise in agriculture and related issues so that he can effectively and thoroughly advise the Administrator and other senior officials. His comprehensive understanding of the challenges and nuances of wide-ranging agricultural issues are critically needed to counsel and advise the Administrator and senior leadership in this vital area that affects all Americans. EPA seeks this waiver to permit Mr. Sands to work personally and substantially on all agriculture issues, including those on which he previously lobbied.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, “Ethics Commitments by Executive Branch Appointees.” All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

If appointed, Mr. Sands will sign this pledge. As a federally registered lobbyist for Syngenta within the preceding two years, he requires a waiver to work on agricultural issues for which he may previously have lobbied. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge. Please note, however, that EPA is not requesting a waiver of Section 1, paragraph 6.

MR. SANDS’ UNIQUE EXPERTISE

Mr. Sands began his higher education at Abraham Baldwin Agricultural College where he obtained an associate of science degree in environmental horticulture. He is a graduate of Valdosta State University in Valdosta, Georgia, where he obtained both a bachelor’s degree in organizational communication and a masters in public administration. He served as Agricultural Assistant to Congressman Tom Marino and, in this capacity, assisted in developing agricultural related legislation, including the Farm Bill in 2011 and 2012. Following his congressional service, Mr. Sands was named the Director of Public Policy for the Agricultural Retailers Association, which represented more than 10,000 individuals throughout the agriculture retail industry. In this position, he worked assiduously to build and develop personal relationships among Members of Congress, their staff and agency officials to raise awareness of agricultural interests.

Since 2015, Mr. Sands has served as the Manager of Federal Government and Industry Relations at Syngenta, a multi-billion dollar agribusiness company. This position has allowed Mr. Sands to complement his congressional and trade association experience with the unique private sector perspective. Taken together, he has a breadth of knowledge and experience in all facets of agricultural concerns. His demonstrated expertise in an impressive range of agricultural issues through his years of experience will greatly benefit EPA and the Administrator as Mr. Sands works to develop consensus with affected constituencies to build broad coalitions in support of legislation or regulatory reform.

The EPA Administrator needs to be able to utilize Mr. Sands' subject-matter expertise in all aspects of agricultural issues as he formulates policies at the EPA. Because the EPA does not yet have a Deputy Administrator, General Counsel, or any other Presidentially Appointed Senate confirmed political appointees, the Administrator needs to be able to rely confidently on his agricultural advisor. For his part, Mr. Sands must be free to provide his advice to the EPA's top policy-makers and share the unique perspective and expertise he has obtained through the diverse range of positions he has held with distinction throughout his career. His invaluable knowledge and experience will assist the Administrator and the Agency with respect to agricultural issues, which affect all of EPA's environmental programs.

REQUEST FOR A WAIVER

EPA does not seek this waiver lightly. The Administrator still lacks the senior members of his political team and must be able to rely upon his policy advisors. He has identified Mr. Sands as a valuable addition to his team, but needs for him to be able to work on the full range of agricultural issues to the maximum extent possible. For the reasons set forth above, EPA respectfully requests a waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Jeff Sands to effectively carry out duties as the Senior Advisor for Agriculture and advise the EPA Administrator accordingly. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations. In particular, Mr. Sands will remain restricted by the Executive Order, Section 1, paragraph 6, from participating in any particular matter involving specific parties that is directly and substantially related to his former employer, Syngenta.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

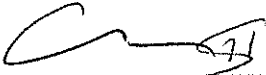
FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Jeffrey M. Sands to be appointed as Senior Advisor for Agriculture to the EPA Administrator

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Jeff Sands to allow him, upon his appointment, to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to agricultural issues. I have determined that it is in the public interest to grant this waiver because of Mr. Sands' extensive expertise in this area and in various entities, programs and policies. His deep understanding of agricultural issues forged through his previous service with Congress, a trade association and a company make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its agriculture programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Sands in the event of his appointment so that he can ably advise the EPA Administrator. Accordingly, I authorize Jeff Sands to be able to participate personally and substantially in matters regarding agricultural issues, including those on which he previously lobbied. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 OCTOBER 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Waiver Issued under Executive Order 13770 for Jeffrey M. Sands

FROM: Kevin S. Minoli *K S M*
Acting General Counsel
Designated Agency Ethics Official

TO: E. Scott Pruitt
Administrator

As you know, Executive Order 13770 (January 28, 2017) requires that every full-time political appointee sign an Ethics Pledge that sets forth additional ethics requirements. Waivers to the Pledge may be issued by the President or his designee. Any waivers issued pursuant to this Executive Order 13770 must be provided to the head of the Agency in which the person serves or has been appointed to serve. *See* the Office of Government Ethics Program Advisory entitled "Posting of Waivers Issued under Executive Order 13770," PA-17-05 (September 21, 2017).

Attached for your reference is a copy of EPA's request for a waiver of Section 1, Paragraph 7 of Executive Order 13770 for Jeffrey M. Sands, Senior Agricultural Advisor to the Administrator, and a copy of the waiver signed by the Counsel to the President on October 2, 2017. This waiver allows Jeff Sands to participate personally and substantially in matters regarding agricultural issues, including those on which he previously lobbied.

Please feel free to contact me at (202) 564-8040 if you have any questions.

Attachments

cc: Ryan Jackson, Chief of Staff
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Request for a Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

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EPA requests this waiver to allow Mr. Sands to utilize the full range of his extensive knowledge and expertise in agriculture and related issues so that he can effectively and thoroughly advise the Administrator and other senior officials. His comprehensive understanding of the challenges and nuances of wide-ranging agricultural issues are critically needed to counsel and advise the Administrator and senior leadership in this vital area that affects all Americans. EPA seeks this waiver to permit Mr. Sands to work personally and substantially on all agriculture issues, including those on which he previously lobbied.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, “Ethics Commitments by Executive Branch Appointees.” All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

If appointed, Mr. Sands will sign this pledge. As a federally registered lobbyist for Syngenta within the preceding two years, he requires a waiver to work on agricultural issues for which he may previously have lobbied. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge. Please note, however, that EPA is not requesting a waiver of Section 1, paragraph 6.

MR. SANDS’ UNIQUE EXPERTISE

Mr. Sands began his higher education at Abraham Baldwin Agricultural College where he obtained an associate of science degree in environmental horticulture. He is a graduate of Valdosta State University in Valdosta, Georgia, where he obtained both a bachelor’s degree in organizational communication and a masters in public administration. He served as Agricultural Assistant to Congressman Tom Marino and, in this capacity, assisted in developing agricultural related legislation, including the Farm Bill in 2011 and 2012. Following his congressional service, Mr. Sands was named the Director of Public Policy for the Agricultural Retailers Association, which represented more than 10,000 individuals throughout the agriculture retail industry. In this position, he worked assiduously to build and develop personal relationships among Members of Congress, their staff and agency officials to raise awareness of agricultural interests.

Since 2015, Mr. Sands has served as the Manager of Federal Government and Industry Relations at Syngenta, a multi-billion dollar agribusiness company. This position has allowed Mr. Sands to complement his congressional and trade association experience with the unique private sector perspective. Taken together, he has a breadth of knowledge and experience in all facets of agricultural concerns. His demonstrated expertise in an impressive range of agricultural issues through his years of experience will greatly benefit EPA and the Administrator as Mr. Sands works to develop consensus with affected constituencies to build broad coalitions in support of legislation or regulatory reform.

The EPA Administrator needs to be able to utilize Mr. Sands' subject-matter expertise in all aspects of agricultural issues as he formulates policies at the EPA. Because the EPA does not yet have a Deputy Administrator, General Counsel, or any other Presidentially Appointed Senate confirmed political appointees, the Administrator needs to be able to rely confidently on his agricultural advisor. For his part, Mr. Sands must be free to provide his advice to the EPA's top policy-makers and share the unique perspective and expertise he has obtained through the diverse range of positions he has held with distinction throughout his career. His invaluable knowledge and experience will assist the Administrator and the Agency with respect to agricultural issues, which affect all of EPA's environmental programs.

REQUEST FOR A WAIVER

EPA does not seek this waiver lightly. The Administrator still lacks the senior members of his political team and must be able to rely upon his policy advisors. He has identified Mr. Sands as a valuable addition to his team, but needs for him to be able to work on the full range of agricultural issues to the maximum extent possible. For the reasons set forth above, EPA respectfully requests a waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Jeff Sands to effectively carry out duties as the Senior Advisor for Agriculture and advise the EPA Administrator accordingly. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations. In particular, Mr. Sands will remain restricted by the Executive Order, Section 1, paragraph 6, from participating in any particular matter involving specific parties that is directly and substantially related to his former employer, Syngenta.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

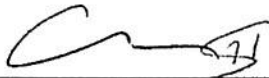
FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Jeffrey M. Sands to be appointed as Senior Advisor for Agriculture to the EPA Administrator

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Jeff Sands to allow him, upon his appointment, to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to agricultural issues. I have determined that it is in the public interest to grant this waiver because of Mr. Sands' extensive expertise in this area and in various entities, programs and policies. His deep understanding of agricultural issues forged through his previous service with Congress, a trade association and a company make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its agriculture programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Sands in the event of his appointment so that he can ably advise the EPA Administrator. Accordingly, I authorize Jeff Sands to be able to participate personally and substantially in matters regarding agricultural issues, including those on which he previously lobbied. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 OCTOBER 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 28 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Texas

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Anne Idsal
Regional Administrator
Region 6

As the Regional Administrator for the United States Environmental Protection Agency (EPA) Region 6, you seek permission to participate in specific party matters involving the State of Texas. Within the last year, prior to being selected for this position, you served as Chief Clerk and Deputy Land Commissioner for the Texas General Land Office (TX GLO).

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Texas employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Texas.

I understand that you are not vested in the defined benefit plan with the State of Texas. As such, you do not have a financial conflict of interest pursuant to 18 U.S.C. § 208. However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of Texas pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the TX GLO, absent

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

an impartiality determination from me, you cannot participate in any specific party matter in which the State of Texas is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. Your area of responsibility includes Texas, as well as Arkansas, Louisiana, New Mexico, Oklahoma, and 66 federally-recognized tribes. In your role as Regional Administrator, you are expected to communicate freely with the states in your region, including Texas, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of Texas with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the TX GLO. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since March 2015, you have served as the Chief Clerk for the TX GLO, which is a Texas state agency responsible for managing state lands and the Texas coast, among other things. As Chief Clerk you oversaw all budget and expenditure matters and initiated the TX GLO's reorganization efforts to optimize business functions and provide cost savings for taxpayers. You served in this role for over two years, but I note that you previously served as General Counsel for TX GLO and also worked for the state environmental agency, the Texas Commission of Environmental Quality (TCEQ). Sensitivities regarding your impartiality will necessarily revolve around those issues in which you participated personally and substantially for the State of Texas in all of your previous roles. But, for purposes of the federal

impartiality standards, we are focused only on your “covered relationship” with your previous employer from the last year, the TX GLO. I also note that states share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Nature and importance of the employee’s role – Texas constitutes a significant portion of your portfolio as the leader of your region since your area of responsibility covers Arkansas, Louisiana, New Mexico, Oklahoma, Texas, and 66 federally-recognized tribes. In your role as Regional Administrator, you are expected to communicate freely with states, including Texas.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the TX GLO that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency’s interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Texas, but not on the very same specific party matters on which you worked on personally and substantially while employed by the TX GLO. This “cooling off” period with the State of Texas will last for one year from the date you left the TX GLO. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency’s interest in your participation outweighs any impartiality concern and authorizes you to participate.

Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters if necessary. To that end, I understand that you will not participate in any particular matter involving specific parties that are still pending with EPA that you worked on personally and substantially while at TCEQ. You are cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides for and you first obtain informed consent and notify OGC/Ethics.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Sam Coleman, Deputy Regional Administrator, Region 6
Jim Payne, Regional Counsel, Region 6
Ben Harrison, Deputy Regional Counsel, Region 6
Jan Gerro, Regional Ethics Counsel, Region 6
Terry Sykes, Regional Ethics Counsel, Region 6
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JAN - 3 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Cathy Stepp
Regional Administrator
Region 5

As the Regional Administrator for the United States Environmental Protection Agency (EPA) Region 5, you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as Secretary of the Wisconsin Department of Natural Resources.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Wisconsin employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Wisconsin.

I understand that you have a defined benefit plan with the State of Wisconsin. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Regional Administrator will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Natural Resources, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. Your area of responsibility includes Wisconsin, as well as Indiana, Illinois, Michigan, Minnesota and Ohio. In your role as Regional Administrator, you are expected to communicate freely with the states in your region, including Wisconsin, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Natural Resources. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2011, you have served as the Secretary for the Wisconsin Department of Natural Resources, which is the third largest agency in Wisconsin. In

this significant leadership role, you led the state's environmental agency responsible for state enforcement and protection of wildlife, fisheries, state parks, trail, forests and environmental permitting. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Department of Natural Resources. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Regional Administrator will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – Wisconsin constitutes a significant portion of your portfolio as the leader of your region since your area of responsibility covers Indiana, Illinois, Michigan, Minnesota, Ohio and Wisconsin. In your role as Regional Administrator, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Natural Resources that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Natural Resources. This "cooling off" period with the State of Wisconsin will last for one year from the date you left the Department of Natural Resources for those specific party matters that you did not participate in personally and substantially. You have voluntarily agreed not participate in any specific party matter involving Wisconsin in which you had previously participated. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from those matters in which you had

previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Wisconsin with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Wisconsin. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 19 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Specific Party Matters Involving the State of Alaska

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Chris Hladick
Regional Administrator
Region 10

As the Regional Administrator for the United States Environmental Protection Agency (EPA) Region 10, you seek permission to participate in specific party matters involving the State of Alaska. Within the last year, prior to being selected for this position, you served as Commissioner of the Department of Commerce, Community and Economic Development for the State of Alaska.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Alaska employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Alaska.

I understand that you have a defined benefit plan with the State of Alaska. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Regional Administrator will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

duties.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of Alaska pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the time you resign from the State of Alaska, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Alaska is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of Region 10 and part of the Agency's political team. Your area of responsibility includes Alaska, as well as Washington, Oregon, Idaho, Alaska, and 271 federally-recognized tribes. In your role as Regional Administrator, you are expected to communicate freely with the states in your region, including Alaska. You will therefore be expected to participate in discussions and meetings related to particular matters that affect Alaska. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of Alaska. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Your prior employment was with the Department of Commerce, Community and Economic Development, which is separate from the state environmental agency, the Department of Environmental Conservation. I note that, in your

official capacity, you also served in fiduciary roles on two state boards.² States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with states on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Regional Administrator will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As the leader of Region 10, Alaska is a substantial area of responsibility for you since the Region covers Washington, Oregon, Idaho, Alaska, and 271 federally-recognized tribes in the Pacific Northwest and Alaska. In your role as Regional Administrator, you are expected to communicate freely with states, including Alaska.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you may be asked to participate, including ones that merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation in nationally significant issues will be of importance to the Administrator.

While we have issued you this determination to interact with the State of Alaska, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Alaska. Nothing in this impartiality determination should preclude you from choosing to recuse yourself, although you are advised to confer with your Regional Counsel should such a circumstance arise.

This authorization will remain in effect for the remainder of your cooling off period. After one year from the date of your resignation from the State of Alaska, you will no longer have a covered relationship with Alaska under the impartiality standards and will no longer require this determination.

² Alaska Marine Pilots Board and the Alaska Mariculture Task Force. Any other board service was either non-fiduciary or delegated to a subordinate. EPA believes it unlikely that you participated personally and substantially in those matters and that there is little likelihood of any nexus with your EPA duties.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Michelle Pirzadeh, Deputy Regional Administrator, Region 10
Allyn Stern, Regional Counsel, Region 10
Socorro Rodriguez, Regional Ethics Counsel, Region 10
Garth Wright, Regional Ethics Counsel, Region 10
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

NOV 15 2017

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving Bucks County, Pennsylvania

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Acting General Counsel

TO: Cosmo Servidio
Regional Administrator
Region III

You were recently appointed as the Regional Administrator for the United States Environmental Protection Agency (EPA) Region III and seek permission to participate in specific party matters involving Bucks County, Pennsylvania. Within the last year, prior to being selected for this position, you served as director of environmental affairs for the Bucks County Water & Sewer Authority (BCWSA), created by Bucks County under the Pennsylvania Municipality Authorities Act, 53 Pa. C. S. § 5601 *et. seq.*

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, local government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your Bucks County employment. But since federal ethics rules do not contain a similar exclusion for local governments, those rules do apply to your employment with BCWSA, created by Bucks County.

Thus, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with BCWSA and, by extension, Bucks County, Pennsylvania pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the time you resign from the BCWSA, absent an impartiality determination from me, you

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

cannot participate in any specific party matter in which BCWSA or Bucks County is a party or represents a party if that matter is likely to have a direct and predictable effect upon the BCWSA or County or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You may be asked to participate in discussions and meetings related to particular matters that affect BCWSA or Bucks County, Pennsylvania. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve BCWSA or Bucks County with the following limitation: You must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the BCWSA. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – For the past year, you have served as the director of environmental affairs for the BCWSA, which is one of the largest water and sewer authorities in the Commonwealth of Pennsylvania. In this significant leadership role, you oversaw operations and facility planning to help identify needed improvements to maintain essential water and wastewater services. You also provided oversight to ensure utilities comply with all state and federal requirements. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the BCWSA, especially those related to BCWSA's compliance with state and federal requirements. Additionally, your employment during the previous year was with a local municipal authority, rather than with the state environmental agency. But States and local governments share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its

regions, works closely and directly with states and local governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is no possibility that any of EPA’s actions will affect your own financial interests or those interests imputed to you under the financial and impartiality rules. *See* 18 U.S.C. § 208 and 5 C.F.R. § 2635.502.

Nature and importance of the employee’s role – As the leader of Region III, you oversee environmental protection efforts in Pennsylvania, Delaware, Maryland, Virginia, West Virginia, and the District of Columbia. In your role as Regional Administrator, you are expected to communicate freely with these states and their local governmental entities.

Sensitivity of the matter – There may be specific party matters in which you did not participate personally and substantially for BCWSA or Bucks County that will rise to your level of attention. These matters may merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency’s interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve BCWSA or Bucks County, but not on the very same specific party matters on which you worked while employed by the BCWSA. This “cooling off” period with BCWSA and Bucks County will last for one year from the date you left BCWSA. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you or your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from these matters unless OGC/Ethics determines that the Agency’s interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with BCWSA or Bucks County on new or future specific party matters, except for any of the same specific party matters on which you participated in personally and substantially while with the BCWSA, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves BCWSA or Bucks County. Nothing in this impartiality determination should preclude you from choosing to recuse yourself altogether. For example, due to your previous employment with the Pennsylvania Department of Environmental Protection (PADEP) and your PADEP-related work on an EPA specific party matter involving the BCWSA, you have voluntarily agreed not to work on this specific party matter during your tenure at EPA.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Cecil A. Rodrigues, Deputy Regional Administrator, Region III
Mary Coe, Regional Counsel, Region III
Deane Bartlett, Regional Ethics Counsel, Region III
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

JAN 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli *KSO*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: David Ross
Assistant Administrator
Office of Water

As the Assistant Administrator for the United States Environmental Protection Agency's (EPA) Office of Water, you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as Wisconsin Assistant Attorney General and Director of the Environmental Protection Unit for the Wisconsin Department of Justice.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Wisconsin employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Wisconsin.

I understand that you have both defined benefit and defined compensation plans with the State of Wisconsin. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Assistant Administrator for Water will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, “Impartiality in Performing Official Duty.” Upon assuming the position of Assistant Administrator, you will have a “covered relationship” with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Justice, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee’s participation outweighs concern over the questioning of the “integrity of the agency’s programs and operations.” 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee’s role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee’s duties that would reduce or eliminate the likelihood that a reasonable person would question the employee’s impartiality.

In reviewing these factors, I have concluded that the interest of the United States Government in your participation outweighs any concerns about your impartiality and I am authorizing you to participate as Assistant Administrator for Water in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Justice. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since May 2016, you have served as the Wisconsin Assistant Attorney General and Director of the Environmental Protection Unit for the Wisconsin Department of Justice. In this role, you were responsible for managing the environmental litigation unit which represents the Wisconsin Department of Natural Resources and the State of Wisconsin in federal and state court, defending agency decisions, prosecuting environmental enforcement cases, and providing legal and policy advice on environmental and natural resource

issues. You served in this role for over one year, but I note that you previously worked in the Wyoming Attorney General's Office as a Senior Assistant Attorney General and a member of the Water and Natural Resources Division. In Wyoming, you were responsible for representing the Water Quality Division of the Wyoming Department of Environmental Quality in all environmental and natural resources legal matters. Sensitivities regarding your impartiality will necessarily revolve around those issues in which you participated personally and substantially in all of your previous roles. But, for purposes of the federal impartiality standards, we are focused only on your "covered relationship" with your previous employer from the last year, the Wisconsin Department of Justice. I also note that states share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Assistant Administrator will not affect any pay-outs you may receive from your retirement plans.

Nature and importance of the employee's role – As the Assistant Administrator for Water, you are responsible for advising the Administrator in matters pertaining to the implementation of various water-related statutes to ensure safe drinking water, the restoration and maintenance of oceans, watersheds and their aquatic ecosystems to protect human health, support economic and recreational activities, and provide healthy habitat for fish, plants and wildlife. OW frequently works with state and local governments to provide guidance, specify scientific methods and data collection requirements, perform oversight and facilitate communications. In the role of Assistant Administrator, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Justice that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Assistant Administrator for Water in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Justice. This "cooling off" period with the State of Wisconsin will last for one year from the date you left the Wisconsin Department of Justice. If the Agency determines that

we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters if necessary. To that end, I understand that you will not participate in any particular matter involving specific parties that are still pending with EPA that you worked on personally and substantially while at the Wyoming Attorney General's Office. You are cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides for and you first obtain informed consent and notify OGC/Ethics.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Dennis Lee Forsgren, Jr., Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving Holland & Hart LLP

FROM: Kevin S. Minoli *LSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Doug Benevento
Regional Administrator
Region 8

This memorandum addresses your ethics obligations with respect to Holland & Hart LLP (Holland & Hart), a law firm that practices environmental law and where your spouse is employed as an attorney. Because your spouse is not an equity sharing principal and does not receive any bonus based on the profitability of the firm, you do not have a financial conflict of interest with her employer, Holland & Hart. What remains is whether you may participate in particular matters in which your spouse's employer is a party or represents a party. As explained in more detail below, I am granting you a limited impartiality determination.

Pursuant to 5 C.F.R. § 2635.502(b)(1)(iii), you have a "covered relationship" with Holland & Hart given your spouse's employment. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Absent an impartiality determination, you cannot participate in any specific party matter in which Holland & Hart is a party or represents a party if that matter is likely to have a direct and predictable effect upon the firm or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve Holland & Hart with the following limitation, however unlikely: you must recuse yourself from participation in EPA specific party matters if your spouse participates in the same specific party matters. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Your spouse is employed part time as of counsel at Holland & Hart. Her areas of practice do not include environmental matters or involve the EPA. Thus, the intersection between your spouse's work and that of the Agency is extremely remote.

Effect of the matter upon your financial interest – Your spouse has no equity interest in the firm's revenues since she is not an equity sharing partner at the firm. Also, she does not receive any bonus from the firm. Due to this compensation arrangement with the firm, I conclude that there is no possibility that any of EPA's actions involving Holland & Hart will affect your own financial interests or those imputed to you under the financial and impartiality rules. *See* 18 U.S.C. § 208 and 5 C.F.R. § 2635.502.

Nature and importance of the employee's role – As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You may be asked to participate in discussions and meetings related to particular matters that involve Holland & Hart.

Sensitivity of the matter – I understand that in Region 8 there are already a number of specific party matters where Holland & Hart provides legal representation. These matters will likely rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation and input as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in specific party matters that involve Holland & Hart, but not the same specific party matters which your spouse participates in while employed at the firm. If the Agency determines that we have a compelling reason for your participation as an EPA official on any specific party matter that your spouse participates in personally and substantially, then you or your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from these matters unless EPA ethics officials determine that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with Holland & Hart on specific party matters, except for any specific party matter in which your spouse participates, please note that you may elect to voluntarily make adjustments to your duties and not participate in a particular matter that involves Holland & Hart. Nothing in this impartiality determination should preclude you from choosing to recuse yourself altogether from specific party matters in which Holland & Hart represents a party, but doing so is not necessary under the federal ethics rules.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Deb Thomas, Deputy Regional Administrator, Region 8
Kenneth Schefski, Regional Counsel, Region 8
Paul Logan, Deputy Regional Counsel, Region 8
Elyana Sutin-McCeney, Deputy Regional Counsel, Region 8
Michael Gleason, Regional Ethics Counsel, Region 8
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JAN 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Pennsylvania

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Jenifer Fields
Chief of Staff
Region 3

As the Chief of Staff for the United States Environmental Protection Agency (EPA) Region 3, you seek permission to participate in specific party matters involving the State of Pennsylvania. Within the last year, prior to being selected for this position, you served as the Regional Manager of the Clean Water Program for the Pennsylvania Department of Environmental Protection (DEP).

I understand that you have a defined benefit plan with the State of Pennsylvania. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Chief of Staff will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge,"

impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Chief of Staff you will have a "covered relationship" with the State of Pennsylvania pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from DEP, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Pennsylvania is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As the Chief of Staff, you serve as a key advisor to the Regional Administrator in all aspects under his purview. As part of the Region's leadership team, your area of responsibility will include Pennsylvania, as well as Delaware, Maryland, Virginia, West Virginia, and the District of Columbia. In your role as Chief of Staff, you are expected to communicate freely with the states in your region, including Pennsylvania, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Chief of Staff in particular matters that involve the State of Pennsylvania with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with DEP. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2006, you have served as Regional Manager for the Clean Water Program at DEP. In this leadership role, you were responsible for a staff of 40

people, handling all aspects of municipal storm water, sewage and industrial wastewater planning, permitting, compliance and enforcement. You assisted in administering the Southeast Region of Pennsylvania's NPDES program through developing, reviewing and defending NPDES and construction permits for DEP. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for DEP. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as Chief of Staff will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As Chief of Staff for Region 3, Pennsylvania constitutes a significant portion of your portfolio since your area of responsibility covers Delaware, Maryland, Pennsylvania, Virginia, West Virginia, and the District of Columbia. In your role as Chief of Staff, you are expected to communicate freely with states, including Pennsylvania.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for DEP that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Chief of Staff in such matters will be of importance to the Regional Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Pennsylvania, but not on the very same specific party matters on which you worked personally and substantially while employed by DEP. With respect to those particular matters involving Pennsylvania as a specific party and in which you previously participated personally and substantially, you have voluntarily agreed, pursuant to our advice, not to participate at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Pennsylvania with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Pennsylvania. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Cosmo Servidio, Regional Administrator, Region 3
Cecil A. Rodrigues, Deputy Regional Administrator, Region 3
Mary Coe, Regional Counsel, Region 3
Deane Bartlett, Regional Ethics Counsel, Region 3
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

JAN 10 2018

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Florida

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Matthew Leopold
General Counsel

As the General Counsel for the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Florida. Within the last year, prior to being selected for this position, you provided legal services to the Florida Department of Environmental Protection (FL DEP). You ceased providing legal services to the FL DEP on June 30, 2017.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, Executive Order 13770 defines "former employer" to exclude state or local government entities,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of "former client."² Therefore, the Ethics Pledge does not apply to your former client. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your former client.

I understand that you have a defined contribution plan with the State of Florida. As such,

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, "[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE's prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders," and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that "based on discussions with the White House Counsel's office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer."

you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as General Counsel will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of General Counsel, you will have a "covered relationship" with the State of Florida pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you last provided legal services to the FL DEP, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Florida is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a). Absent this impartiality determination, your "cooling off" period with the State of Florida will last until June 30, 2018, which is one year from the date you last provided services to them.

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As General Counsel, you are the chief legal advisor to the Agency and Administrator, and part of the Agency's political team. In your role as General Counsel, you are expected to communicate freely with states and you will be asked to participate in discussions and meetings related to particular matters that affect the State of Florida. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as General Counsel in particular matters that

involve the State of Florida with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while providing legal services to the FL DEP or while employed by Florida. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Within the last year, you have provided legal services to the FL DEP, which is the Florida state agency responsible for environmental management and stewardship. I note that you previously served as General Counsel for the FL DEP until you left the agency in March 2015. As a private sector attorney, you provided services to the FL DEP in a case in which the United States is not a party, and those services ended on June 30, 2017. Sensitivities regarding your impartiality will necessarily revolve around those issues in which you participated personally and substantially for the FL DEP in all of your previous roles. But, for purposes of the federal impartiality standards, we are focused only on your “covered relationship” with your former client from the last year. I also note that states share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Nature and importance of the employee’s role – In your role as General Counsel, you are the chief legal advisor to the Agency. Among other things, OGC lawyers provide legal counsel to EPA policy-makers, shape national legislation affecting the environment, and provide legal support for the issuance of permits, the approval of state environmental programs, and the initiation and litigation of enforcement actions. As General Counsel, you are expected to communicate freely with states, including Florida.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the FL DEP that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as General Counsel in such matters will be of importance to the Administrator, and therefore, in the Agency’s interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Florida, but not on the very same specific party matters on which you worked on personally and substantially while providing legal services to the FL DEP or while employed with the State of Florida. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue

to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters if necessary. To that end, I understand that you will not participate in any particular matter involving specific parties that are still pending with EPA that you worked on personally and substantially while providing legal services to the FL DEP or employed by Florida. You are cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides for and you first obtain informed consent and notify OGC/Ethics.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786

cc: Ryan Jackson, Chief of Staff
Erik Baptist, Senior Deputy General Counsel
David Fotouhi, Deputy General Counsel
Justin Schwab, Deputy General Counsel
Marcella Burke, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

JAN 11 2018

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Litigation Related to the TSCA Risk Evaluation Rule, TSCA Prioritization Rule, and TSCA Inventory Notification (Active-Inactive) Rule

FROM: Kevin S. Minoli *KSO*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Nancy Beck, Ph.D., DABT
Deputy Assistant Administrator
Office of Chemical Safety and Pollution Prevention

Effective April 30, 2017, you joined the United States Environmental Protection Agency (EPA) in an Administratively Determined (AD) position as the Deputy Assistant Administrator for the Office of Chemical Safety and Pollution Prevention (OCSPP). Prior to your selection, you served as the Senior Director of Regulatory Science Policy at the American Chemistry Council (ACC).

Upon joining EPA, you appropriately consulted with the Office of General Counsel's ethics office (OGC/Ethics) regarding your ethics obligations and have adhered to our advice. As an AD appointee, you understand that you are subject to the federal conflict of interest statutes and the Standards of Ethics Conduct for Employees of the Executive Branch, but you are not required to sign President Trump's ethics pledge set forth at Executive Order 13770.¹ You were advised by OGC/Ethics that you have a "covered relationship" with your former employer pursuant to the federal impartiality standards, and you cannot participate in any specific party matter involving ACC absent approval from OGC/Ethics. This recusal period is in effect until April 21, 2018.

¹ This type of appointment falls outside the definition of "appointment" set forth at Executive Order 13770 at Section 2(b). See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (March 20, 2017) and "Executive Order 13770," LA-17-02 (February 6, 2017), which apply to the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (March 16, 2009); and "Signing the Ethics Pledge," DO-09-005 (February 10, 2009).

OGC/Ethics has advised you consistently that you may participate in particular matters of general applicability, including rulemakings, even if the interests of your former employer may be affected by the rule. On June 8, 2017, I issued an impartiality determination authorizing you to attend meetings at which ACC is present or represented, provided that the subject matter of the meeting is a matter of general applicability, other interested non-federal parties are present, and other EPA officials are also in attendance. Consistent with this advice, as Deputy Assistant Administrator for OCSPP you have worked on a wide range of matters as authorized by the federal ethics rules and OGC/Ethics, including the development and promulgation of final agency actions and regulations under TSCA.

Some of the final agency actions and regulations you helped EPA issue were challenged in court. Initially, consistent with the ethics requirements and advice from OGC/Ethics, you assisted in the Agency's defense and litigation efforts in these cases. When the agency was notified that your former employer, ACC had intervened in these lawsuits, you ceased your involvement and sought further ethics advice. We indicated that unless you received an impartiality determination from me, the federal impartiality standards would prohibit you from continuing your work on these specific party matters.

You now request a determination as to whether you may, as part of your official duties, participate personally and substantially in the following litigation where you participated in the promulgation of the final rule while at EPA the ACC has either intervened or filed a motion to intervene:

| Case Name | Citation | TSCA Rule |
|---|---|---|
| Alliance of Nurses for Healthy Environments, <i>et al.</i> v. EPA; Environmental Defense Fund v. EPA | No. 17-1926 (4th Cir.) No. 17-2464 | Risk Evaluation Rule |
| Alliance of Nurses for Healthy Environments, <i>et al.</i> v. EPA | No. 17-1927 (4th Cir.) | Prioritization Rule |
| Safer Chemicals Healthy Families, <i>et al.</i> v. EPA | No. 17-72259 (9th Cir.) | Risk Evaluation Rule |
| Safer Chemicals Healthy Families, <i>et al.</i> v. EPA; Environmental Defense Fund v. EPA | No. 17-72260 (9th Cir.) No. 17-72501 | Prioritization Rule |
| Environmental Defense Fund v. EPA | No. 17-1201 (D.C. Cir.) | Inventory Notification (Active-Inactive) Rule |

You do not have any financial conflict of interest with your former employer, so the applicable ethics rules are set forth in the Standards of Ethical Conduct for Executive Branch employees, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Pursuant to 5 C.F.R. § 2635.502(b)(1)(iv), you have a "covered relationship" with ACC

as your former employer. As explained above, for one year from the time you resigned from ACC, absent an impartiality determination from me, you cannot participate in any specific party matter in which ACC is a party or represents a party if that matter is likely to have a direct and predictable effect upon ACC or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

In reviewing these factors, I have decided to allow you to participate fully in these specific party matters. In making this determination, I have taken the following factors into consideration:

- The nature of your relationship with ACC as your former employer but with whom you do not have any financial conflict of interest, as described more fully above, and that the resolution of the litigation is not expected to have an effect on the financial interest of ACC.
- As the Deputy Assistant Administrator for OCSPP, you are responsible for advising the Administrator in matters pertaining to chemical safety, pollution prevention, pesticides and toxic substances, including the development and implementation of rulemakings under federal statutes. Although your type of appointment is not a political one, you currently serve in the only non-career position in OCSPP. As such, you must be able to effectively carry out your role in advising senior agency officials, including the Administrator.
- In your capacity as the Deputy Assistant Administrator for OCSPP, you worked on the TSCA Risk Evaluation Rule, TSCA Prioritization Rule, and TSCA Inventory Notification (Active-Inactive) Rule. As part of your official EPA duties, you were authorized to participate in developing these rules. Your expertise, skill, and experience (including your experience working on these regulations while at the EPA) are needed to

enhance the Agency's litigation efforts and to ensure that you are effectively advising the Administrator, the General Counsel, and career staff.

- All of these specific party matters originated after you left ACC.
- While you still participate in an ACC defined contribution plan, neither you nor your former employer continues to make contributions. Pursuant to federal ethics regulations, this type of employee benefit plan does not present any financial conflict of interest. *See* 5 C.F.R. § 2640.201(c).

After considering the relevant facts of the situation consistent with the factors identified in the federal ethics regulations, I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality and I am authorizing you to participate as Deputy Assistant Administrator in the litigation identified above. This determination will remain in effect for the remainder of your cooling off period, which expires later this year. After April 21, 2018, you will no longer have a covered relationship with ACC under the impartiality standards and will no longer require this determination.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Louise P. Wise, Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JUN - 8 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Participation in Specific Party Matters Involving Your Former Employer, the American Chemistry Council

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Nancy Beck, Ph.D., DABT
Deputy Assistant Administrator
Office of Chemical Safety and Pollution Prevention

Effective April 30, 2017, you joined the United States Environmental Protection Agency (EPA) in an Administratively Determined (AD) position as the Deputy Assistant Administrator for the Office of Chemical Safety and Pollution Prevention (OCSPP). In this position, you are responsible for advising the Acting Assistant Administrator in matters pertaining to chemical safety, pollution prevention, pesticides and toxic substances, including implementation of rulemaking under applicable federal statutes. Previous to your selection, you served as the Senior Director of Regulatory Science Policy at the American Chemistry Council (ACC), which represents companies that are directly regulated by EPA. You seek permission to participate in specific party matters involving your former employer.

In providing my advice, I have taken into consideration the fact that, as an AD appointment, you are not required to sign the Trump ethics pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13,770 at Section 2(b).¹ You do not have any financial conflict of interest with your former employer, so the ethics rules to be applied to you are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Pursuant to 5 C.F.R. § 2635.502(b)(1)(iv), you have a "covered relationship" with ACC as your former employer. For one year from the time you resigned from ACC, absent an impartiality determination from me, you cannot participate in any specific party matter in which ACC is a party or represents a party if that matter is likely to have a direct and predictable financial effect upon the ACC or if the circumstances would cause a reasonable

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/27) and Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/09); and "Signing the Ethics Pledge," DO-09-005 (2/10/09).

person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

It is important to note that the ethical restriction applies only to particular matters involving specific parties, not to particular matters of general applicability. Generally speaking, a “specific party” matter is a “proceeding affecting the legal rights of parties, or an isolatable transaction or related set of transactions between identified parties.” *See* 5 C.F.R. § 2640.102(l). Rulemaking is not usually a “specific party” matter but rather a matter of general applicability, which involves “deliberation, decision, or action that is focused upon the interests of specific persons, or a discrete and identifiable class of persons.” *See* 5 C.F.R. § 2640.103(a)(1). Therefore, under the ethics regulations, you may participate in rulemaking, even if that rulemaking may affect the members of your former employer. While you can ethically work on rulemaking in general, you have been advised -- and understand -- that you cannot participate in any meetings, discussions or decisions that relate to any individual ACC comment nor attend any meeting at which ACC is present.

As provided by the ethics regulations, however, federal ethics officials can nonetheless permit employees to participate in matters that might raise impartiality concerns when the interest of the federal government in that employee’s participation outweighs concern over the questioning of the “integrity of the agency’s programs and operations.” *See* 5 C.F.R. § 2635.502(d). The factors that we can take into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee’s role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee’s duties that would reduce or eliminate the likelihood that a reasonable person would question the employee’s impartiality.

In reviewing these factors, I have decided to allow you to participate fully in matters of general applicability, including rulemaking, including consideration of any comments that were made by ACC. In making this determination, I have taken the following factors into consideration:

- While at ACC, you served as the Senior Director of Regulatory Science Policy and worked extensively on risk assessment, science policy and rulemaking issues;
- As ACC’s leading expert for ensuring sound implementation of risk assessment practices in the Frank R. Lautenberg Chemical Safety for the 21st Century Act, you have valuable expertise to share as the Agency considers how to implement this new statute;
- You have extensive prior expertise with the regulated industry’s perspective and are already familiar with (and may well have authored) ACC comments now under consideration. Because your prior knowledge is inherently part of your expertise, it is impractical to excise that knowledge from how you carry out your Agency duties;

- While you still participate in an ACC defined contribution plan, neither you nor your former employer continues to make contributions. Pursuant to federal ethics regulations, this type of employee benefit plan does not present any financial conflict of interest. *See* 5 C.F.R. § 2640.201(c);
- Your unique expertise, knowledge and prior experience will ensure that the Agency is able to consider all perspectives, including that of the regulated industry's major trade association;
- Although your type of appointment at EPA is not a political one, you currently serve in the only non-career position in the Office of Chemical Safety and Pollution Prevention. As such, you have a unique role in advising political staff, including the Administrator, and need to be able to be able to consider as many perspectives as you can; and
- Participation in rulemaking matters is integral to your position, so the Agency has a strong and compelling interest in ensuring that you are able to advise the Administrator, the Acting Assistant Administrator and career staff to the maximum extent possible.

Under the federal ethics regulations, you are permitted to participate in matters of general applicability (such as rulemaking) even if individual members of your former employer will be affected by that particular matter. Until now, you have recused yourself from participating personally and substantially in those comments to rulemaking that were offered by ACC. This impartiality determination confirms that you are permitted to participate in any discussions or consideration of comments submitted by ACC to rulemaking or other matters of general applicability. You may also attend meetings at which ACC is present or represented, but only if the following conditions are met: (a) the subject matter of the discussion is a particular matter of general applicability, (b) other interested non-federal entities are present besides only ACC, and (c) you are not the only Agency official at the meeting. This authorization will remain in effect for the remainder of your cooling off period. After April 21, 2018, you will no longer have a covered relationship with ACC under the impartiality standards and will no longer require this determination. I am attaching a recusal statement for you to sign and issue to your staff.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

Attachment

cc: Wendy Cleland-Hamnett, Acting Assistant Administrator
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OCT 24 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Specific Party Matters Involving the State of New York, Including the New York State Assembly

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Acting General Counsel

TO: Peter D. Lopez
Regional Administrator
Region II

On October 10, 2017, I issued an impartiality determination authorizing you to participate in particular matters that affect the State of New York. This determination allowed you to interact with the State of New York, except for the New York State Assembly as an entity. This authorization is attached and hereby incorporated by reference.

You recently asked the Office of General Counsel/Ethics (OGC/Ethics) whether this determination limits you from interacting with members of the New York State Assembly as the elected representatives of their districts or from interacting with chairpersons of New York State Assembly Committees. Based on your October 10, 2017 conversation with OGC/Ethics and upon further consideration of your need to freely interact with the Assembly's elected representatives and legislative committees, I am rescinding the limitation related to the New York State Assembly. Therefore, you are now authorized to interact with the State of New York, including the New York State Assembly, its elected members, and Committee chairpersons.

All other portions of the October 10, 2017 impartiality determination are still in effect for the remainder of your cooling off period. If you have any questions regarding this determination, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Catherine McCabe, Deputy Regional Administrator, Region II
Eric Schaaf, Regional Counsel, Region II
Mitchell Cohen, Regional Ethics Counsel, Region II
Eduardo J. Gonzalez, Regional Ethics Counsel, Region II
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OCT 10 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Specific Party Matters
Involving the State of New York

FROM: Kevin S. Minoli
Designated Agency Ethics Official and
Acting General Counsel

K SQ.

TO: Peter D. Lopez
Regional Administrator - Designee
Region II

In anticipation of being appointed as the Regional Administrator for the United States Environmental Protection Agency (EPA) Region II, you sought permission to participate in specific party matters involving the State of New York. Within the last year, prior to being appointed to this position, you served as an elected member of the New York State Assembly.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of New York employment.

Federal ethics rules do not contain a similar exclusion for state governments, and those rules do apply to your employment with the State of New York. In particular, I understand that you have a defined benefit plan with the State of New York. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Regional Administrator will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties. Should you encounter a situation that could raise such a concern at any point in your EPA tenure.

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

please contact our office for additional guidance.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of New York pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the time you resigned from the New York State Assembly, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of New York is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You will be asked to participate in discussions and meetings related to particular matters that affect New York. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of New York, but not for any interactions involving the New York State Assembly as an entity. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2007, you served as an elected member of the New York State Assembly (the lower house of the New York State Legislature) representing a seven county region. Although you were a member on the New York Assembly Committee on Environmental Conservation and subsequently involved with state environmental issues, your prior employment was as an elected official, rather than with the state environmental agency. States share responsibility with EPA in protecting human health and the environment. With

respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with states on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Regional Administrator will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As the leader of Region II, New York is a substantial area of responsibility for you since the Region covers two states, one commonwealth and one territory. In your role as Regional Administrator, you are expected to communicate freely with states, including New York.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you may be asked to participate, including ones that merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation in nationally significant issues and critical issues facing Region II will be of importance to the Administrator.

While we have issued you this determination to interact with the State of New York, except for the New York State Assembly, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves New York. Nothing in this impartiality determination should preclude you from choosing to recuse yourself, although you are advised to confer with your Regional Counsel should such a circumstance arise. You should also consult with ethics officials if you have any questions about potential matters involving the New York State Assembly as an entity.

This authorization will remain in effect for the remainder of your cooling off period. After one year from the date of your resignation from the New York State Assembly, you will no longer have a covered relationship with the State of New York under the impartiality standards and will no longer require this determination.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Catherine McCabe, Deputy Regional Administrator, Region II
Eric Schaaf, Regional Counsel, Region II
Mitchell Cohen, Regional Ethics Counsel, Region II
Eduardo J. Gonzalez, Regional Ethics Counsel, Region II
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JUN - 7 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Anna J. Wildeman
Deputy Assistant Administrator
Office of Water

As the Deputy Assistant Administrator for Water of the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as Director of the Environmental Protection Unit of the Wisconsin Department of Justice.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Deputy Assistant Administrator, you will have a "covered relationship" with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Justice, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge," DO-090-005 (2/10/09).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As the Deputy Assistant Administrator, you serve as a key advisor to the Assistant Administrator in certain key aspects under his purview. As part of the Office of Water's senior leadership team, your area of responsibility includes major rulemakings, research strategies and program initiatives. You will be expected to communicate freely with the states, including Wisconsin, and may be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Deputy Assistant Administrator in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Justice. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2016, you have served as an Assistant Attorney General and the Director of the Environment Protection Unit of the Wisconsin Department of Justice. In this role, you prosecuted violations of Wisconsin's environmental and natural resources laws, defended administrative decisions and rules issued by the Wisconsin Department of Natural Resources, and managed the Environment Protection Unit. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Wisconsin Department of Justice. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I understand that you have a defined contribution plan with the State of Wisconsin but are not vested in its defined benefit plan. You

do not, therefore, have a financial conflict of interest pursuant to 18 U.S.C. § 208.

Nature and importance of the employee's role – As Deputy Assistant Administrator, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there may be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Justice that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Deputy Assistant Administrator in such matters will be of importance to the Assistant Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Justice. You do not anticipate that any particular matter involving Wisconsin as a specific party in which you previously participated personally and substantially will arise at EPA, but if it should, then we have advised and you have agreed not to participate in it at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you or your Assistant Administrator may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis and consistent with your applicable bar rules. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated.

While I have issued you this determination to interact with the State of Wisconsin with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Wisconsin. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with OGC/Ethics should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Dennis Lee Forsgren, Jr., Deputy Assistant Administrator
Benita Best-Wong, Acting Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

MAY 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Texas

FROM: Kevin S. Minoli *KSQ*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Emily Lindley
Chief of Staff
Region 6

As the Chief of Staff for Region 6 of the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Texas. Within the last year, prior to being selected for this position, you served as Special Assistant to the Deputy Executive Director of Texas Commission on Environmental Quality.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Chief of Staff, you will have a "covered relationship" with the State of Texas pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Texas Commission on Environmental Quality, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Texas is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge," DO-090-005 (2/10/09).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As the Chief of Staff, you serve as a key advisor to the Regional Administrator in all aspects under her purview. As part of the Region's leadership team, your area of responsibility includes Texas as well as Arkansas, Louisiana, New Mexico, and Oklahoma. In your role as Chief of Staff, you are expected to communicate freely with the states in your region, including Texas, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Chief of Staff in particular matters that involve the State of Texas with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Texas Commission on Environmental Quality. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2008, you have served in various roles at the Texas Commission on Environmental Quality. Since June 2014, you have served as special assistant to the Deputy Executive Secretary. In this role, you helped oversee and develop their legislative appropriations request and annual operating budget. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Texas Commission on Environmental Quality. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I understand that you have a defined contribution plan with the State of Texas but not a defined benefit plan. You do not, therefore, have a financial conflict of interest pursuant to 18 U.S.C. § 208.

Nature and importance of the employee's role – As Chief of Staff for Region 6, Texas constitutes a significant portion of your portfolio since your area of responsibility covers Arkansas, Louisiana, New Mexico, Oklahoma and Texas. In your role as Chief of Staff, you are expected to communicate freely with states, including Texas.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Texas Commission on Environmental Quality that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Chief of Staff in such matters will be of importance to the Regional Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Texas, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Texas Commission on Environmental Quality. With respect to those particular matters involving Texas as a specific party and in which you previously participated personally and substantially, you have voluntarily agreed, pursuant to our advice, not to participate at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Texas with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Texas. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Anne Idsal, Regional Administrator, Region 6
David Gray, Acting Deputy Regional Administrator, Region 6
James Payne, Regional Counsel, Region 6
Ben Harrison, Deputy Regional Counsel, Region 6
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

MAY 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Texas

FROM: Kevin S. Minoli *KSQ*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Erin E. Chancellor
~~Counsel to the Administrator~~ *Senior Counsel
to the Assistant Administrator
for Land and Emergency Management*

As Senior Counsel to the Assistant Administrator for Land and Emergency Management in the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Texas. Within the last year, prior to being selected for this position, you served as Special Counsel to the Chairman for the Texas Commission on Environmental Quality (TCEQ).

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Texas employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Texas.

What remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." In your EPA position, you have a "covered relationship" with the State of Texas pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from TCEQ, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Texas is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

In reviewing these factors, I have concluded that the interest of the United States Government in your participation outweighs any concerns about your impartiality and I am authorizing you to participate as Senior Counsel to the Assistant Administrator in particular matters that involve the State of Texas with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with TCEQ. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – You started working at TCEQ in July 2014 as a Staff Attorney and became Special Counsel to the Chairman in December 2015. In this role as Special Counsel, you were the primary legal and policy advisor to the Chairman of TCEQ. You provided counsel on state and federal litigation involving TCEQ, aided in the development of agency policy, and advised on agency agenda items. You also served as a liaison between the Chairman, EPA Region 6, and the Environmental Council of the States, as well as the Governor's office, various state and federal elected officials, industry, TCEQ staff, environmental NGOs, and the International Boundary and Water Commission. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for TCEQ. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I understand that you are not vested in the defined benefit plan with the State of Texas. As such, you do not have a financial conflict of interest pursuant to 18 U.S.C. § 208.

Nature and importance of the employee's role – As Senior Counsel to the Assistant Administrator, you serve as a key advisor to that person in all aspects under his purview. In this role, you are also expected to communicate freely with states, including Texas.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for TCEQ that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Senior Counsel to the Assistant Administrator in such matters will be of importance to him and therefore to the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Texas, but not on the very same specific party matters on which you worked on personally and substantially while employed by TCEQ. With respect to those particular matters involving Texas as a specific party and in which you previously participated personally and substantially, you have agreed not to participate at all for the duration of your EPA tenure. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you may ask OGC/Ethics to reconsider the factors on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself while OGC/Ethics considers whether the Agency's interest in your participation outweighs any impartiality concern. You are also cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides informed consent and you notify OGC/Ethics.

While I have issued you this determination to interact with the State of Texas with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Texas. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Barry Breen, Acting Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

MAR - 1 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli *KSE*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Kurt Thiede
Chief of Staff
Region 5

As the Chief of Staff for Region 5 of the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as the Deputy Secretary of the Wisconsin Department of Natural Resources.

I understand that you have a defined benefit plan with the State of Wisconsin. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Chief of Staff for Region 5 will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge," DO-090-005 (2/10/09).

for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, “Impartiality in Performing Official Duty.” Upon assuming the position of Chief of Staff, you will have a “covered relationship” with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Natural Resources, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee’s participation outweighs concern over the questioning of the “integrity of the agency’s programs and operations.” 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee’s role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee’s duties that would reduce or eliminate the likelihood that a reasonable person would question the employee’s impartiality.

As the Chief of Staff, you serve as a key advisor to the Regional Administrator in all aspects under her purview. As part of the Region’s leadership team, your area of responsibility includes Wisconsin, as well as Indiana, Illinois, Michigan, Minnesota and Ohio. In your role as Chief of Staff, you are expected to communicate freely with the states in your region, including Wisconsin, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Chief of Staff in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Natural Resources. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – For the past nineteen years, you have worked at the Wisconsin Department of Natural Resources in various positions. Since March 2015, you have served as the agency’s Deputy Secretary. In this leadership role, you helped oversee the state’s environmental agency responsible for state enforcement and protection of wildlife, fisheries,

state parks, trail, forests and environmental permitting. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Department of Natural Resources. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Chief of Staff will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As Chief of Staff for Region 5, Wisconsin constitutes a significant portion of your portfolio since your area of responsibility covers Indiana, Illinois, Michigan, Minnesota, Ohio and Wisconsin. In your role as Chief of Staff, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Natural Resources that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Chief of Staff in such matters will be of importance to the Regional Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Natural Resources. With respect to those particular matters involving Wisconsin as a specific party and in which you previously participated personally and substantially, you have voluntarily agreed, pursuant to our advice, not to participate at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Wisconsin with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Wisconsin. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Cathy Stepp, Regional Administrator, Region 5
Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Erik Baptist *Erik Baptist (10/12/17)*
Senior Deputy General Counsel

TO: Kevin S. Minoli
Acting General Counsel
Designated Agency Ethics Official

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I confirm that I am recused from participating personally and substantially in any particular matter that that would have a direct and predictable effect on the **George Washington University Law School Alumni Association** (given my fiduciary position) or with **Alphabet Inc. (Google)**, and **US Bancorp**. Although OGC/Ethics advises that conflicts are unlikely to arise, I understand that I am prohibited from participating personally and substantially in any particular matter that affects these entities as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on the **internet**

technology or the banking sectors.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, the **American Petroleum Institute (API)**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal from particular matters involving API as a specific party lasts until June 18, 2019, which is two years from the date that I joined federal service. Attached is a list of cases involving the API that I will not participate in during this two-year period.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist during the past two years, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless I obtain a waiver pursuant to Section 3 of the Executive Order. I understand that this recusal lasts for two years from the date that I joined federal service. Within the previous two years, I lobbied Congress on the Renewable Fuel Standards program, including the renewable fuel percentage standards issued pursuant to Section 211(o) of the Clean Air Act, 42 U.S.C. § 7545(o). I was advised that I must recuse from this particular matter of general applicability and specific issue area, and I did so until the Counsel to the President granted me a limited waiver of the provisions of Paragraph 7 on August 25, 2017. I understand that I am now authorized to participate personally and substantially in matters regarding the Renewable Fuel Standards program.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Attached is a list of cases I am recused from given my participation while at the API.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above or matters identified in the Attachments, I will instruct David Fotouhi, Deputy General Counsel, and Rich Albores, Associate Deputy General Counsel, to assist in screening EPA

matters directed to my attention that involve those entities or sectors. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the matters on my recusal lists should be directed to Messrs. Fotouhi and Albores without my knowledge or involvement until after my recusal period ends.

If Mr. Fotouhi or Mr. Albores determines that a particular matter will directly involve the API, the George Washington University Law School Alumni Association, Alphabet Inc. (Google), or US Bancorp, then he will refer it for action or assignment to another, without my knowledge or involvement. If he identifies a particular matter of general applicability that is focused on the interests of any of the individual sectors on my recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

Attachments

cc: Elise Packard, Acting Principal Deputy General Counsel
David Fotouhi, Deputy General Counsel
Justin Schwab, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
OGC Associate General Counsels and Directors
Justina Fugh, Senior Counsel for Ethics
Regional Counsels

Erik Baptist
 RECUSAL LIST – EXECUTIVE ORDER 13,770
 In effect until June 18, 2019

FORMER EMPLOYER: American Petroleum Institute

| CASE NAME: | CITATION: |
|---|-------------------------|
| Coffeyville Resources Refining & Marketing, LLC, <i>et al.</i> v. EPA | No. 17-1044 (D.C. Cir.) |
| State of New Jersey v. EPA | No. 08-1065 (D.C. Cir.) |
| American Petroleum Institute, <i>et al.</i> v. EPA | No. 11-1309 (D.C. Cir.) |
| Sierra Club, <i>et al.</i> v. EPA | No. 13-1262 (D.C. Cir.) |
| Powder River Basin Resource Council, <i>et al.</i> v. EPA ¹ | No. 14-9530 (10th Cir.) |
| American Petroleum Institute v. EPA | No. 15-1197 (D.C. Cir.) |
| Murray Energy Corporation v. EPA | No. 15-1385 (D.C. Cir.) |
| Walter Coke, Inc., <i>et al.</i> v. EPA | No. 15-1166 (D.C. Cir.) |
| National Resource Defense Council, <i>et al.</i> v. EPA | No. 16-1413 (D.C. Cir.) |
| National Environmental Development Association's Clean Air Project v. EPA | No. 16-1344 (D.C. Cir.) |
| American Chemistry Council, <i>et al.</i> v. EPA | No. 17-1085 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 09-1038 (D.C. Cir.) |
| American Chemistry Council, <i>et al.</i> v. EPA | No. 17-1064 (D.C. Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-3751 (6th Cir.) |
| Sierra Club, <i>et al.</i> v. EPA | No. 03-1435 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 08-1124 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 08-1277 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 12-1405 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 13-1108 (D.C. Cir.) |
| American Fuel & Petrochemical, <i>et al.</i> v. EPA | No. 16-1033 (D.C. Cir.) |
| Air Alliance Houston, <i>et al.</i> v. EPA | No. 17-1155 (D.C. Cir.) |

¹ API filed an amicus brief on behalf of EPA's decision to approve Wyoming's State Implementation Plan. Although API was not a party to the litigation itself, I will not participate in this case.

| Erik Baptist RECUSAL LIST – ATTORNEY BAR OBLIGATIONS | |
|---|-------------------------|
| CASE NAME: | CITATION: |
| Coffeyville Resources Refining & Marketing, LLC, <i>et al.</i> v. EPA | No. 17-1044 (D.C. Cir.) |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

June 9, 2017

OFFICE OF CHEMICAL SAFETY
AND POLLUTION PREVENTION

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Nancy B. Beck, Ph.D., DABT
Deputy Assistant Administrator

A handwritten signature in blue ink, reading "Nancy Beck", is positioned to the right of the "FROM:" line.

TO: Wendy Cleland-Hamnett
Acting Assistant Administrator

Because I am in an Administratively Determined position, I have been advised by the Office of General Counsel/Ethics (OGC/Ethics) that I am not subject to Executive Order 13770 and therefore not required to sign the Trump ethics pledge. But as an executive branch employee, I have always understood that I am subject to the conflict of interest statutes codified at Title 18 of the United States Code and the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635. Pursuant to the federal impartiality standards, I have understood that I have a "covered relationship" with my former employer, the American Chemistry Council (ACC), and have recused myself from participating personally and substantially in any particular matter involving specific parties in which ACC is a party or represents a party. I was advised by OGC/Ethics that my recusal period commenced the day that I left ACC and would remain in effect for one year unless I was authorized by the Office of General Counsel/Ethics (OGC/Ethics) to participate pursuant to 5 C.F.R. 2635.502(d).

I have sought and obtained confirmation from OGC/Ethics that I can participate in particular matters of general applicability, such as rulemaking, even if my former employer has an interest, and that I can participate personally and substantially in any discussions or consideration of comments that ACC submitted with regard to rulemaking or other matters of general applicability. *See* attached. I am also now authorized to attend meetings at which ACC is present or represented, provided that the subject matter of the meeting is a matter of general applicability, if other interested non-federal parties are present, and other EPA personnel attend. For the remainder of my cooling off period, until April 21, 2018, however, I understand that I cannot otherwise participate in any specific party matter involving ACC unless I first seek approval from OGC/Ethics.

I am issuing this recusal statement to ensure that our staff assist me by directing any ACC specific party matter to you instead of me, without my knowledge or involvement, until after April 21, 2018. In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests or in my personal or business relationships.

cc: OCSPP senior staff

Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 8

1595 Wynkoop Street
Denver, CO 80202-1129
Phone 800-227-8917
www.epa.gov/region8

DEC 15 2017

Ref: 8RA

MEMORANDUM

SUBJECT: My Ethics Obligations - Recusal and Screening Arrangement

FROM: Douglas H. Benevento
Regional Administrator

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and Region 8's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in particular matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse or any minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order and the Trump Ethics Pledge, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **Xcel Energy**, is a party or represents a party, or that is directed at Xcel as a specifically identifiable party (e.g. sulfur dioxide National Ambient Air Quality Standard designations directed at Xcel owned power plants). I understand that my recusal from particular matters involving Xcel Energy as a specific party lasts until October 15, 2019, which is two years from the date that I

joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication with **Xcel Energy** relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term "open to all interested parties" means five or more parties.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics impartiality rules at 5 C.F.R. § 2635.502, I understand that I have a “covered relationship” with my wife’s employer, Holland & Hart LLP. However, on December 11, 2017, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in particular matters in which Holland & Hart is a party or represents a party provided that my spouse is not herself involved. I understand that I must recuse myself from participation in EPA specific party matters if my wife works on those matters for Holland & Hart. (See attached Impartiality Determination).

SCREENING ARRANGEMENT

In order to help ensure that I do not participate in matters subject to my recusal obligations, I have taken or will take the following steps:

1. I will provide the Deputy Regional Administrator with a copy of this memorandum so that she may fully understand the purpose and scope of my recusal obligations and this screening arrangement. In order to help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Deputy Regional Administrator to seek the assistance of the Region 8 ethics team and/or OGC/Ethics if she is ever uncertain whether or not I may participate in a matter.
2. I will provide a copy of this memorandum to my principal subordinates, including the Region 8 Senior Leadership Team. I will also instruct my principal subordinates that all inquiries and comments involving matters that may be covered by my recusal obligations should be directed to the Deputy Regional Administrator for her review without my knowledge or involvement.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region 8 ethics team, I will revise and update my recusal memorandum whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, the Chief of Staff, OGC/Ethics, ORC, the Deputy Regional Administrator, and to my principal subordinates.

Attachment

cc: Ryan Jackson, Chief of Staff
Debra H. Thomas, Deputy Regional Administrator, Region 8
Kevin Minoli, Acting General Counsel
Suzanne J. Bohan, Assistant Regional Administrator, ECEJ, Region 8
Richard D. Buhl, Assistant Regional Administrator, TMS, Region 8
Carl Daly, Deputy Assistant Regional Administrator, OPRA, Region 8
Bert Garcia, Deputy Assistant Regional Administrator, OWP, Region 8
Martin Hestmark, Assistant Regional Administrator, OPRA, Region 8
Patrice Kortuem, Deputy Assistant Regional Administrator, TMS, Region 8
Paul Logan, Deputy Regional Counsel, Region 8
Andrew Mutter, Director, OCPI, Region 8
Darcy O'Connor, Assistant Regional Administrator, OWP, Region 8
Kim Opekar, Deputy Assistant Regional Administration, ECEJ, Region 8
Kenneth C. Schefski, Regional Counsel, Region 8
Betsy Smidinger, Assistant Regional Administrator, EPR, Region 8
Sandy Stavnes, Deputy Assistant Regional Administrator, EPR, Region 8
Elyana Sutin, Deputy Regional Counsel, Region 8
Patrick Davis, Senior Advisor for Public Engagement, Region 8
Laura Flynn Jenkins, Chief of Staff, Region 8
Justina Fugh, Senior Counsel for Ethics
Michael Gleason, Regional Ethics Counsel, Region 8
Diane Moon, Staff Assistant, Region 8



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving Holland & Hart LLP

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Doug Benevento
Regional Administrator
Region 8

This memorandum addresses your ethics obligations with respect to Holland & Hart LLP (Holland & Hart), a law firm that practices environmental law and where your spouse is employed as an attorney. Because your spouse is not an equity sharing principal and does not receive any bonus based on the profitability of the firm, you do not have a financial conflict of interest with her employer, Holland & Hart. What remains is whether you may participate in particular matters in which your spouse's employer is a party or represents a party. As explained in more detail below, I am granting you a limited impartiality determination.

Pursuant to 5 C.F.R. § 2635.502(b)(1)(iii), you have a "covered relationship" with Holland & Hart given your spouse's employment. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Absent an impartiality determination, you cannot participate in any specific party matter in which Holland & Hart is a party or represents a party if that matter is likely to have a direct and predictable effect upon the firm or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve Holland & Hart with the following limitation, however unlikely: you must recuse yourself from participation in EPA specific party matters if your spouse participates in the same specific party matters. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Your spouse is employed part time as of counsel at Holland & Hart. Her areas of practice do not include environmental matters or involve the EPA. Thus, the intersection between your spouse's work and that of the Agency is extremely remote.

Effect of the matter upon your financial interest – Your spouse has no equity interest in the firm's revenues since she is not an equity sharing partner at the firm. Also, she does not receive any bonus from the firm. Due to this compensation arrangement with the firm, I conclude that there is no possibility that any of EPA's actions involving Holland & Hart will affect your own financial interests or those imputed to you under the financial and impartiality rules. See 18 U.S.C. § 208 and 5 C.F.R. § 2635.502.

Nature and importance of the employee's role – As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You may be asked to participate in discussions and meetings related to particular matters that involve Holland & Hart.

Sensitivity of the matter – I understand that in Region 8 there are already a number of specific party matters where Holland & Hart provides legal representation. These matters will likely rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation and input as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in specific party matters that involve Holland & Hart, but not the same specific party matters which your spouse participates in while employed at the firm. If the Agency determines that we have a compelling reason for your participation as an EPA official on any specific party matter that your spouse participates in personally and substantially, then you or your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from these matters unless EPA ethics officials determine that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with Holland & Hart on specific party matters, except for any specific party matter in which your spouse participates, please note that you may elect to voluntarily make adjustments to your duties and not participate in a particular matter that involves Holland & Hart. Nothing in this impartiality determination should preclude you from choosing to recuse yourself altogether from specific party matters in which Holland & Hart represents a party, but doing so is not necessary under the federal ethics rules.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Deb Thomas, Deputy Regional Administrator, Region 8
Kenneth Schefski, Regional Counsel, Region 8
Paul Logan, Deputy Regional Counsel, Region 8
Elyana Sutin-McCeney, Deputy Regional Counsel, Region 8
Michael Gleason, Regional Ethics Counsel, Region 8
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

NOV 01 2017

OFFICE OF
PUBLIC ENGAGEMENT
AND ENVIRONMENTAL EDUCATION

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Tate Bennett *Tate Bennett*
Associate Administrator

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and have been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am

prohibited from participating in any particular matter involving specific parties in which my former employer, **National Rural Electric Cooperative Association (NRECA)**, is a party or represents a party. I understand that my recusal lasts until March 19, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist within the two years prior to joining federal service, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls. I am advised by OGC/Ethics that the Executive Order defines “participate” to mean “participate personally and substantially”¹ and that the term “specific issue area” means a “particular matter of general applicability.”²

I have been informed by OGC/Ethics that my obligations under Paragraph 6 arise from the specific issues areas in which I lobbied. My primary responsibilities within my lobbying portfolio were with respect to appropriations funding for the following USDA programs: The Rural Energy Savings Program, The Rural Utility Service Electric Loan Program, the Guaranteed Underwriter Program, the Rural Economic Development Loan & Grant Program and title 6205 of H.R. 2642: The Agricultural Act of 2014.

In an abundance of caution, I have consulted the Designated Agency Ethics Official about the fact that while my primary responsibilities in the appropriations issues were for USDA funding, on occasion, policy riders related to EPA were involved. I was advised that my current role in the Office of Public Engagement and Environmental Education (OPEEE) does not require personal and substantial participation in appropriations or policy issues generally, so I may continue to carry out my assigned duties.

Additionally, within the previous two years, my Lobbying Disclosure Act reports indicate that I also engaged in lobbying activities on a variety of other authorizing issues that may appear to arise at EPA. Actually, I personally lobbied on these specific EPA-related authorizing issues only in my final months with my employer when I assumed additional responsibilities outside of appropriations issues (specifically for the Clean Power Plan, Ozone National Ambient Air Quality Standards and coal combustion residual issues). Upon joining EPA, I consulted with

¹ See Exec. Order 13770, Section 2(t), which states that “participate” means to participate personally and substantially.

² See Office of Government Ethics Legal Advisory LA-17-03 (March 20, 2017).

OGC/Ethics and was advised that my former duties in the Office of Congressional and Intergovernmental Relations (OCIR) did not involve direct participation in the strategy, development or implementation in any issue areas. OGC/Ethics therefore concluded that I would not be participating “personally and substantially”³ in the same specific issue areas that I had previously lobbied. Given my new duties in OPEEE, OGC/Ethics has confirmed that I am still unlikely to be participating “personally and substantially” in the same specific issue areas that I had previously lobbied.

In both my previous and current positions at EPA, I have not and will not participate personally or substantially in the merits of any Clean Power Plan, Ozone National Ambient Air Quality Standards or coal combustion residuals discussions. I have been advised by OGC/Ethics that I can be involved in logistical matters such as setting up meetings at which parties discuss any issues, including those in which I had previously lobbied. I can also distribute information including content originating from other EPA offices including the Office of Public Affairs. Should an unexpected situation arise in which I am asked to participate in a more substantive role, I will first consult with OGC/Ethics for advice before taking any action.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to NRECA, I will instruct Stephen Gordon, Deputy Director for Public Engagement, to assist in screening EPA matters directed to my attention that involve my former employer as a specific party. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the NRECA should be directed to Mr. Gordon without my knowledge or involvement until after my recusal period ends.

If Mr. Gordon determines that a particular matter will directly involve NRECA, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my

³ To participate “personally” means to participate directly, either individually or in combination with other persons, or through the direct and active supervision of any person an employee supervises. 5 C.F.R. § 2641.201(i)(2). To participate “substantially” means that the employee’s involvement is of significance to the matter, and includes decision-making, review or recommendation as to an action being taken, signing or approving a final document, and/or participating in a final decision briefing. “Participation in peripheral aspects of a matter or in aspects not directly involving the substantive merits of a matter (such as reviewing budgetary procedures or scheduling meetings) is not substantial.” 5 C.F.R. § 2641.201(i)(3).

personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Nancy Grantham, Acting Associate Administrator for Public Affairs
George Hull, Acting Deputy Associate Administrator for Public Affairs
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Byron Brown *BBB 9/26/17*
Deputy Chief of Staff for Policy

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. Thus far, I have followed the advice provided by OGC/Ethics and have not participated personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. This memorandum formally notifies you of my continuing obligations to recuse myself from these matters.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any other person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse, minor children, or any general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I confirm that I am recused from participating personally and substantially in any particular matter that would have a direct and predictable effect on my spouse's current employer, **Hess Corporation**. I will not participate personally and substantially in any particular matter that affects Hess Corporation as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on **oil and gas exploration and production** ("upstream issues") or **oil and gas processing and transportation** ("midstream issues"). I have been advised by OGC/Ethics that my recusal does not extend to "matters" that are not yet focused on these oil and gas sectors as a discrete and identifiable class of persons. Therefore, I understand that I am not recused from participating in broad policy deliberations and actions pertaining to diverse interests, such as the risk management plan rulemaking. I understand that my recusal lasts until my spouse is no longer employed with Hess Corporation.

Because I am in an Administratively Determined position, I have been advised by OGC/Ethics that I am not considered an appointee for the purposes of Executive Order 13770 and therefore not required to sign the Trump Ethics Pledge. But as an executive branch employee, I understand that I am subject to the federal impartiality standards and have a "covered relationship" with my spouse's employer, pursuant to 5 C.F.R. § 502(b)(1)(iii).

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. I will provide a copy of this memorandum to Justina Fugh, Senior Counsel for Ethics.

cc: Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

SEP 15 2017

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Henry Darwin 
Assistant Deputy Administrator

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I am precluded from participating personally and substantially in any determination, request or recommendation that specifically relates to or affects the salary or benefits of my spouse, Veronica Darwin. Therefore, pursuant to 18 U.S.C. § 208 and 5 C.F.R. § 2635.502, I am recusing myself from participating in any award, promotion or any other personnel or administrative matter that

involves my spouse as a specific party.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer, the State of Arizona. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer do not apply to me. The definition of "former employer" in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer. For one year after my resignation from the State of Arizona, I will not participate personally and substantially in any particular matter involving specific parties in which the State of Arizona is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that my recusal lasts until July 4, 2018 and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. During my recusal period, any questions about my ability to participate in specific party matters involving the State of Arizona should be directed to OGC/Ethics without my participation or knowledge.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Justina Fugh, Senior Counsel for Ethics

¹ See Exec. Order 13,770, Section 2(j), which provides that "'former employer' does not include ... State government."



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
SOLID WASTE AND
EMERGENCY RESPONSE

NOW THE
OFFICE OF LAND AND
EMERGENCY MANAGEMENT

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Veronica Darwin
Senior Advisor *Veronica Darwin*
9/13/17

TO: Barry N. Breen
Acting Assistant Administrator
Office of Land and Emergency Management

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer, the State of Arizona. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions

contained in the Executive Order regarding former employer do not apply to me. The definition of "former employer" in the Executive Order excludes state government¹. Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer. For one year after my resignation from the State of Arizona, I will not participate personally and substantially in any particular matter involving specific parties in which the State of Arizona is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that my recusal lasts until June 30, 2018, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. During my recusal period, any questions about my ability to participate in specific party matters involving the State of Arizona should be directed to OGC/Ethics without my participation or knowledge.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Patrick Davis, Deputy Assistant Administrator for OLEM
Justina Fugh, Senior Counsel for Ethics

¹ See Exec. Order 13,770, Section 2(j), which provides that "'former employer' does not include ... State government."



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Nicholas Falvo
Special Assistant
Office of the Administrator

TO: Albert Kelly
Senior Advisor to the Administrator

OFFICE OF THE
ADMINISTRATOR

Handwritten signature and date:
2-7-18

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, **Akerman LLP** and **Career Education Colleges and Universities**, or any former client to whom I provided legal services during the past two years is a party or represents a party. My former clients include **the American Association of Cosmetology Schools, the Chabot Space and Science Center, the Consortium of Regional Climate Centers, and the Peralta Community College District**. I understand that my recusal lasts until May 7, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist within the two years prior to joining federal service, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls. I am advised by OGC/Ethics that the Executive Order defines “participate” to mean “participate personally and substantially”¹ and that the term “specific issue area” means a “particular matter of general applicability.”²

Within the previous two years, I lobbied on nuclear energy related to the Yucca Mountain project and higher education. While I may be listed as having lobbied on other issues, these are the only two issues on which I personally lobbied. I consulted with OGC/Ethics and advised them that my current duties in the Office of the Administrator do not involve my participation in the strategy, development or implementation in either of these specific issue areas. OGC/Ethics therefore concludes that, given my current duties, it is unlikely that I will be participating at a level deemed to be “personally and substantially”³ in these specific issue areas. However, if my EPA duties or involvement with these matters change, I will recuse myself to ensure that I meet the obligations of the Trump Ethics Pledge.

¹ See Exec. Order 13770, Section 2(t), which states that “participate” means to participate personally and substantially.

² See Office of Government Ethics Legal Advisory LA-17-03 (March 20, 2017).

³ To participate “personally” means to participate directly, either individually or in combination with other persons, or through the direct and active supervision of any person an employee supervises. 5 C.F.R. § 2641.201(i)(2). To participate “substantially” means that the employee’s involvement is of significance to the matter, and includes decision-making, review or recommendation as to an action being taken, signing or approving a final document, and/or participating in a final decision briefing. “Participation in peripheral aspects of a matter or in aspects not directly involving the substantive merits of a matter (such as reviewing budgetary procedures or scheduling meetings) is not substantial.” 5 C.F.R. § 2641.201(i)(3).

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OCT 20 2017

OFFICE OF WATER

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Dennis Lee Forsgren, Jr.
Deputy Assistant Administrator

TO: Michael H. Shapiro
Acting Assistant Administrator

D. Lee Forsgren

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. Although OGC/Ethics advises that conflicts are unlikely to arise, I understand that I am recused from participating personally and substantially in any particular matter that affects **Anthem Health** as a specific party because of my current level of financial ownership.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **HBW Resources**, or any former client to whom I provided legal or lobbying services during the past two years is a party or represents a party. I understand that my recusal lasts until June 18, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist during the past two years, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless I obtain a waiver pursuant to Section 3 of the Executive Order. I understand that this recusal lasts for two years from the date that I joined federal service.

Within the previous two years, I personally lobbied on behalf of the Miccosukee Tribe of Indians of Florida on water issues. In an abundance of caution to ensure that I meet my obligations under the Trump Ethics Pledge, I had decided to recuse myself from all **water quality issues related to South Florida** until June 18, 2019. However, due to the potential threat posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, on September 9, 2017, the EPA requested a limited waiver of the provisions of Paragraph 7. On October 2, 2017, the Counsel to the President granted this limited waiver which authorized me to participate personally and substantially in matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation. But since the Hurricane did not impact the Miccosukee Reservation and surrounding areas, this limited waiver was ultimately not necessary and I understand that I’m still recused from all water quality issues related to South Florida.

| RECUSAL LIST In effect until June 18, 2019 | |
|---|--|
| FORMER EMPLOYER: | HBW Resources |
| FORMER LOBBYING: | Water Quality Issues Related to South Florida, except to the extent authorized under a limited waiver for matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation |

| | |
|-----------------|---|
| FORMER CLIENTS: | BWX Technologies, Inc. (BWXT) Plaintiff's Group – Deepwater Horizon spill litigation Virginia Hospital and Healthcare Association Transas USA Inc. |
|-----------------|---|

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude any Native American tribe,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”² But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client that is a Native American tribe. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which the Miccosukee Tribe of Indians of Florida is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities or lobbying issue area listed above, I will instruct Louise Kitamura, OW/OAA Assistant Deputy Ethics Official, to assist in screening EPA matters directed to my attention that involve my former employer or my former clients as a specific party or the particular matter/specific issue area on which I previously lobbied. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities or lobbying issue

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include any... Native American tribe.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

area on my recusal list should be directed to Louise Kitamura without my knowledge or involvement until after my recusal period ends.

If Louis Kitamura determines that a particular matter will directly involve any of the entities listed on my “specific party” recusal list or water quality issues in South Florida that are not already addressed by the limited waiver, then s/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that s/he is unsure whether an issue is a particular matter from which I am recused, then s/he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David Fotouhi
Deputy General Counsel

DD FKH 07/31/17

TO: Kevin S. Minoli
Acting General Counsel
Designated Agency Ethics Official

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, Gibson, Dunn & Crutcher LLP, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

| RECUSAL LIST | |
|--|--|
| In effect until March 26, 2019 | |
| FORMER EMPLOYER: Gibson, Dunn & Crutcher LLP | |
| FORMER CLIENTS: | |
| Association of American Railroads Carnival Corporation (Princess Cruises) CEMEX CSX Corporation Daimler AG Electric Boat Corporation General Electric Company International Paper Company | Lockheed Martin Corporation Ligado Networks (formerly LightSquared) Matson Navigation Maxus Energy Corporation Taylor Fresh Foods, Inc. Tesoro Corporation Trout Unlimited WestRock Company |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I have asked Justin Schwab, Deputy General Counsel, and Richard Albores, Associate Deputy General Counsel, to assist in screening EPA matters directed to my attention that involve

my former employer or my former clients as a specific party. All inquiries and comments involving the entities on my recusal list should be directed to Justin and Rich without my knowledge or involvement until after my recusal period ends. If Justin or Rich determine that a particular matter will directly involve any of the companies on my “specific party” recusal list, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with OGC/Ethics for a determination. I will distribute a copy of this memorandum within OGC with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to OGC.

cc: Elise Packard, Acting Principal Deputy General Counsel
Erik Baptist, Senior Deputy General Counsel
Justin Schwab, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
OGC Associate General Counsels and Directors
Justina Fugh, Senior Counsel for Ethics
Regional Counsels




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 4
ATLANTA FEDERAL CENTER
61 FORSYTH STREET
ATLANTA, GEORGIA 30303-8960

January 10, 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Onis "Trey" Glenn, III 
Regional Administrator
Region 4

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any significant financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, **Blue Ridge Consulting, Inc.** and **STRADA Professional Services, LLC**, or any former client to whom I provided services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

| RECUSAL LIST | |
|--|---|
| In effect until August 27, 2019 | |
| FORMER EMPLOYERS: Blue Ridge Consulting, Inc. STRADA Professional Services, LLC | |
| FORMER CLIENTS: Balch & Bingham, LLP Big Sky Environmental Black Mesa Energy Blue Ridge Partners, LLC Business Council of Alabama Conservatives with Courage Drummond Company | MAP Development, LLC Matrix, LLC Maynard, Cooper & Gale, PC Regional Environmental Solutions STRADA-AECOM Joint Venture Stream Restoration Services Windom-Galliher |

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state or local government entities,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”² But as an executive branch

¹ See Exec. Order 13770, Section 2(j), which provides that “‘former employer’ does not include ... State or local government.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client who is a state or local government. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which **the Birmingham Jefferson County Transit Authority (BJCTA)** or **the City of Birmingham, Alabama** is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to the city of Birmingham and BJCTA on March 8, 2018 and August 29, 2018 respectively. I will consult with OGC/Ethics should a situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving the Birmingham Jefferson County Transit Authority or the City of Birmingham.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Blake Ashbee, Region 4 Chief of Staff, to assist in screening EPA matters directed to my attention that involve these entities. All inquiries and comments involving the entities on my recusal list should be directed to Blake Ashbee without my knowledge or involvement until after my recusal period ends.

If Blake Ashbee determines that a particular matter will directly involve any of the entities listed on my “specific party” recusal list, then he/she will refer it for action or assignment to another, without my knowledge or involvement. In the event that he/she is unsure whether an issue is a particular matter from which I am recused, then he/she will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC, including OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
V. Anne Heard, Deputy Regional Administrator, Region 4
Blake Ashbee, Chief of Staff, Region 4
Suzanne Rubini, Acting Regional Counsel, Region 4
Leif Palmer, Acting Deputy Regional Counsel, Region 4
John Sheesly, Regional Ethics Counsel, Region 4
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Sarah Greenwalt *Sarah Greenwalt* 11/8/17
Senior Advisor for Water and Cross-Cutting Initiatives

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer and my former client, the State of Oklahoma. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer and former client do not apply to me. The definition of “former employer” in the Executive Order excludes state government,¹ and the Office of Government Ethics has determined that this same exclusion applies to the definition of “former client.”² Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers or former clients.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer and former client. For one year after my resignation from the Office of the Oklahoma Attorney General, I will not participate personally and substantially in any particular matter involving specific parties in which the State of Oklahoma is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that my recusal lasts until March 2, 2018, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

RECUSAL LIST

While at the EPA, I will not participate in any active cases in which Oklahoma is a party, petitioner or intervenor, which includes the following:

| Case Name | Citation |
|--|-------------------------|
| American Petroleum Institute, <i>et al.</i> v. EPA | No. 13-1108 (D.C. Cir.) |
| Clean Air Council <i>et al.</i> v. E. Pruitt | No. 17-1145 (D.C. Cir.) |

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include ... State government.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

| | |
|--|---|
| Florida <i>et al.</i> v. EPA | No. 15-1267 (D.C. Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-3751 (6 th Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-1385 (D.C. Cir.) (consolidated with 15-1392, 15-1490, 15-1491 & 15-1494) |
| Murray Energy Corp. v. EPA | No. 16-1127 (D.C. Cir.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA | No. 15-cv-00381 (10 th Cir.) |
| National Association of Manufacturers, <i>petitioner</i> , v. U.S. Department of Defense, <i>respondent</i> | No. 16-299 (S. Ct.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA appeal pending <i>sub nom.</i> State of Oklahoma <i>ex rel.</i> Hunter, No. 16-5039 (10 th Cir.) | No. 4:15-cv-381 (N.D. Okla.) |
| Public Service Company of Oklahoma, d.b.a. AEP v. EPA | No. 12-1023 (D.C. Cir.) |
| <i>In Re</i> Volkswagen “Clean Diesel” Marketing, Sales, Practices, And Products Liability Litigation (extends to criminal case too) | No. 2672 MDL CRB (JSC) (N.D. Cal.) Criminal case: E.D. Michigan |
| State of North Dakota v. EPA | No. 15-1381 (D.C. Cir.) (joined with No. 15-1399, then consolidated with No. 15-1381) |
| State of West Virginia, <i>et al.</i> v. EPA | No. 15-1363 (D.C. Cir.) |
| Walter Coke Inc. v. EPA | No. 15-1166 (D.C. Cir.) |
| Wildearth Guardians v. EPA | No. 13-cv-02748 (D.C. Colo.) |

I understand that this commitment is longer than is required by federal impartiality standards, but I am taking this action to avoid the appearance of any impropriety under federal ethics or professional responsibility obligations.

With respect to cases involving EPA in which Oklahoma joined other states in filing an amicus brief, I understand that Oklahoma was not a party to the litigation itself. The Designated Agency Ethics Official (DAEO) was informed that Oklahoma itself neither authored the amici briefs nor otherwise participated in the litigation in any way. Most of those cases are resolved, except for *Building Industry Association of the Bay Area, et al. v. Department of Commerce, et al.* (the U.S. Supreme Court denied *certiorari*); *Sierra Club et al., plaintiffs-appellees v. Regina McCarthy in her capacity as Administrator of the United States Environmental Protection Agency, defendants-appellees*; *State of Arizona et al., intervenor-plaintiff-appellants*, No. 15-15894 (9th Cir.), on appeal from N.D. Cal., No. 13-cv-03953-SI (this case is fully argued and briefed is awaiting decision only); and *Wyoming v. EPA*, Nos. 14-9512 and 14-9514 (10th Cir.) (the standard of review argument advanced in the amicus brief that Oklahoma joined was uncontested on review).

Thus far, I have not participated in any of the cases listed in this recusal statement and will continue to recuse for now. In the event that I wish to participate, I will seek an ethics determination from the DAEO, who will apply the federal impartiality standard set forth at 5 C.F.R. § 2635.502. I understand that my professional responsibility obligations may impose consent requirements in order to participate. I will provide notification of such consent, if sought and obtained, to EPA’s ethics officials.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties.

cc: Kevin S. Minoli, Designated Agency Ethics Official
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 7
11201 RENNER BOULEVARD
LENEXA, KS 66219

FEB 05 2018

OFFICE OF
THE REGIONAL ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: James B. Gulliford

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that, apart from my spouse's employment, I do not currently have any financial conflicts of interest. I am recused from participating personally and substantially in any particular matter that would have a direct and predictable effect on my spouse's current employer, Liberty Public Schools. I will not participate personally and substantially in any particular matter that affects Liberty Public Schools as a specific party or as a member of an affected class.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, the **Soil and Water Conservation Society** and **Agriculture and Conservation Services, LLC**, is a party or

represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Karen Flournoy, Acting Deputy Regional Administrator, Region 7
David Cozad, Regional Counsel, Region 7
Karina Borromeo, Regional Ethics Counsel, Region 7
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
AIR AND RADIATION

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David S. Harlow
Senior Counsel

TO: William L. Wehrum
Assistant Administrator

DATE: December 28, 2017

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am

prohibited from participating in any particular matter involving specific parties in which my former employer, **Hunton & Williams LLP**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties. Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA.¹

| RECUSAL LIST | |
|---|---|
| In effect until October 1, 2019 | |
| FORMER EMPLOYER: Hunton & Williams LLP | |
| FORMER CLIENTS: ² Agrium Inc.; Nu-West Industries, Inc. Chevron Corporation DTE Energy Company LG&E and KU Energy, LLC | National Stone, Sand and Gravel Association Sunflower Electric Power Corporation, Inc. Utility Air Regulatory Group |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Attached is a list of cases I am recused from given my participation at Hunton & Williams LLP.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Josh Lewis, Chief of Staff, and Mandy Gunasekara, Principal Deputy

¹ For my former clients who are not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

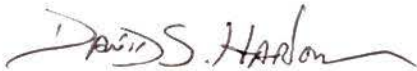
² One confidential client is not listed. This client has a written confidentiality agreement expressly prohibiting disclosure.

Assistant Administrator, to assist in screening EPA matters directed to my attention that involve these entities. All inquiries and comments involving the entities on my recusal list should be directed to Josh and Mandy without my knowledge or involvement until after my recusal period ends.

If Josh or Mandy determine that a particular matter will directly involve any of the entities listed on my "specific party" recusal list, then he/she will refer it for action or assignment to another, without my knowledge or involvement. In the event that he/she is unsure whether an issue is a particular matter from which I am recused, then he/she will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.



David S. Harlow
Senior Counsel

cc: Elizabeth Shaw, Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics

David S. Harlow
RECUSAL LIST – ATTORNEY BAR OBLIGATIONS

| CASE NAME: | CITATION: |
|-------------------------------------|---|
| Utility Air Regulatory Group v. EPA | No. 12-1166 (D.C. Cir.) (consolidated with No. 12-1100) |
| American Petroleum Institute v. EPA | No. 13-1063 (D.C. Cir.) (consolidated with No. 11-1309) |
| Utility Air Regulatory Group v. EPA | No. 15-1370 (D.C. Cir.) (consolidated with No. 15-1363) |
| LG&E and KU Energy v. EPA | No. 15-1418 (D.C. Cir.) |
| Utility Air Regulatory Group v. EPA | No. 17-1018 (D.C. Cir.) (consolidated with No. 17-1015) |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

FEB - 1 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Matthew Z. Leopold
General Counsel

A handwritten signature in blue ink, appearing to read "M3f", is written over the name "Matthew Z. Leopold".

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **Carlton Fields Jorden Burt, P.A.**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term "particular matters involving specific parties" is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term "open to all interested parties" means five or more parties. Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA.¹

| RECUSAL LIST | |
|--|---|
| In effect until January 7, 2020 | |
| FORMER EMPLOYER: Carlton Fields Jorden Burt, P.A. | |
| FORMER CLIENTS: | |
| 25 Countryside West, LLC AEW Capital Management, LP AIG Environment Amherst Consulting Company, LLC Anderson Columbia Co., Inc. Arcadis US, Inc AT&T BASF Corporation Blackwater Resources, LLC Bluefield Ranch Mitigation Bank, LLC Burnett Oil Co., Inc. Collier Resources Company LLP Comanco Environmental Corporation East Bay Farms, LLC Edgar Minerals, Inc. Evergreen Communities, Inc. Ford Motor Company Foundation for Better Environmental Stewardship FPL Group, Inc. | The Geo Group, Inc. Girl Scouts of West Central Florida, Inc. Hendry Energy Services, LLC Howard Group Development Company Laurus Corp. Leisure Resorts, LLC Mosaic Fertilizer, LLC Neology, Inc. Norstar Development USA, L.P. PRH Investments, LLC The Republican National Committee Resource Environmental Solutions, LLC Sharfi, Benjamin K. Siemens Corporation Tucker, Leslie Hollis The Villages of Lake-Sumter, Inc. WCI Communities, LLC WRS, Inc |

After consulting with OGC/Ethics, I am advised that I do not need to recuse myself from matters involving NextEra Energy, the parent company of one of my former clients, because I

¹ For my former clients who are not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

did not personally provide legal services to this entity. However, in an abundance of caution, I am voluntarily recusing myself from matters involving **NextEra Energy** at this time.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state or local government entities,² and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”³ But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client who is a state or local government.

Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which **Okaloosa County, Florida** is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to Okaloosa County, Florida on December 31, 2018. I will consult with OGC/Ethics should a situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving Okaloosa County.

Pursuant to the federal impartiality standards, I also understand that I have a “covered relationship” with another former client, the Florida Department of Environmental Protection (FL DEP). However, on January 10, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Florida, but not on the very same specific party matters on which I worked on personally and substantially while providing legal services to the FL DEP or employed by the State of Florida.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Thus, I will not participate in the following two cases:

² See Exec. Order 13770, Section 2(j), which provides that “‘former employer’ does not include ... State or local government.”

³ See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

| CASE NAME: | CITATION: |
|--|---|
| United States, <i>et al.</i> v. South Florida Water District Management, <i>et al.</i> | No. 1.88-cv-1886 (S.D. Fla) |
| BASF Corporation v. State of Florida, Department of Environmental Protection | Case No. 17-003684RP; Rule No. 62-304.305, F.A.C. |

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Kevin Minoli, Principal Deputy General Counsel, to assist in screening EPA matters directed to my attention that involve those entities. All inquiries and comments involving the entities on my recusal list should be directed to Mr. Minoli without my knowledge or involvement until after my recusal period ends.

If Mr. Minoli determines that a particular matter will directly involve any of the entities or matters listed on my "specific party" recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
Kevin Minoli, Principal Deputy General Counsel
Erik Baptist, Senior Deputy General Counsel
David Fotouhi, Deputy General Counsel
Justin Schwab, Deputy General Counsel
Marcella Burke, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
Justina Fugh, Senior Counsel for Ethics
OGC Associate General Counsels and Directors
Regional Counsels



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
CONGRESSIONAL AND
INTERGOVERNMENTAL
RELATIONS

DEC 12 2017

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Troy Lyons
Associate Administrator

A handwritten signature in black ink, appearing to read "Troy Lyons", is written over the printed name and title of the sender.

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, **Hess Corporation** and **BP America**, is a party or represents a party. I understand that my recusal lasts until April 2, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist within the two years prior to joining federal service, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls. I am advised by OGC/Ethics that the Executive Order defines “participate” to mean “participate personally and substantially”¹ and that the term “specific issue area” means a “particular matter of general applicability.”²

Within the previous two years, I lobbied Congress on the Renewable Fuel Standards program, crude oil exports, offshore air emissions, and methane emissions. While my Lobbying Disclosure Act reports indicate that I also engaged in other lobbying activities, these are the only issues on which I personally lobbied that may arise at EPA. Upon joining EPA, I consulted with OGC/Ethics and was advised them that my current duties in the Office of Congressional and Intergovernmental Relations do not involve my participation in the strategy, development or implementation in any of these specific issue areas. OGC/Ethics therefore concludes that, given my duties, it is unlikely that I will be participating at a level deemed to be “personally and substantially”³ in these specific issue areas. However, if my EPA duties or involvement with these matters change to a more substantive role, I will consult with OGC/Ethics before taking any action.

SCREENING ARRANGEMENT

¹ See Exec. Order 13770, Section 2(t), which states that “participate” means to participate personally and substantially.

² See Office of Government Ethics Legal Advisory LA-17-03 (March 20, 2017).

³ To participate “personally” means to participate directly, either individually or in combination with other persons, or through the direct and active supervision of any person an employee supervises. 5 C.F.R. § 2641.201(i)(2). To participate “substantially” means that the employee’s involvement is of significance to the matter, and includes decision-making, review or recommendation as to an action being taken, signing or approving a final document, and/or participating in a final decision briefing. “Participation in peripheral aspects of a matter or in aspects not directly involving the substantive merits of a matter (such as reviewing budgetary procedures or scheduling meetings) is not substantial.” 5 C.F.R. § 2641.201(i)(3).

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Robin Richardson, Principle Deputy Associate Administrator for the Office of Congressional and Intergovernmental Relations, to assist in screening EPA matters directed to my attention that involve the Hess Corporation or BP America. All inquiries and comments involving the Hess Corporation or BP America should be directed to Robin Richardson without my knowledge or involvement until after my recusal period ends.

If Robin Richardson determines that a particular matter will directly involve the Hess Corporation or BP America, then s/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that s/he is unsure whether an issue is a particular matter from which I am recused, then s/he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Robin H. Richardson, Principal Deputy Associate Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

MAY - 4 2017

THE ADMINISTRATOR

MEMORANDUM

SUBJECT: My Ethics Obligations

FROM: E. Scott Pruitt
Administrator

TO: Acting Assistant Administrators
Acting General Counsel
Inspector General
Acting Regional Administrators

This memorandum provides you with written notification regarding my ethics obligations. I have conferred with the Office of General Counsel's Ethics Office (OGC/Ethics) and understand that I must recuse myself from matters in which I have a financial interest, or a personal or business relationship. I also understand that I have certain obligations to my state bar and also under the President's Ethics Pledge that I have signed. This recusal statement addresses all of my ethics obligations.

Obligations Under the President's Ethics Pledge

I understand that I have ethics obligations with respect to my former employer and my former client, the State of Oklahoma. The President's Ethics Pledge provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the pledge that regard former employer and former client do not apply to me. The Executive Order defines "former employer" to exclude state government,¹ and the Office of Government Ethics has determined that this same exclusion applies to the definition of "former client."² Therefore, OGC/Ethics has confirmed that I am not subject to the additional pledge restrictions regarding former employers or former clients.

Ethics Obligations Under the Impartiality Provisions

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer and former client. I also understand that I have a "covered relationship" with certain

¹ See Exec. Order 13,770, Section 2(j), which provides that "former employer" does not include ... State government."

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, "[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE's prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders," and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that "based on discussions with the White House Counsel's office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer."

organizations in which I was active during the past year. For one year after my resignation as Attorney General, and one year from my resignation from the entities specified below, I will not participate personally and substantially in any particular matter involving specific parties in which any of the following entities is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). This federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

| Name of Entity | Date when recusal from specific party matters ends under Federal Ethics Obligations |
|---------------------------------------|---|
| State of Oklahoma | February 18, 2018 |
| Southern Baptist Theological Seminary | February 18, 2018 |
| Windows Ministry Incorporated | February 18, 2018 |
| Rule of Law Defense Fund | December 9, 2017 |

Commitment to My Ethical Responsibilities

To demonstrate my profound commitment to carrying out my ethical responsibilities, while I am the Administrator of the United States Environmental Protection Agency, I will not participate in any active cases in which Oklahoma is a party, petitioner or intervenor, including the following:

| Case Name | Citation |
|--|---|
| American Petroleum Institute, et al. v. EPA | No. 13-1108 (D.C. Cir.) |
| Florida <i>et al.</i> v. EPA | No. 15-1267 (D.C. Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-3751 (6 th Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-1385 (D.C. Cir.) (consolidated with 15-1392, 15-1490, 15-1491 & 15-1494) |
| Murray Energy Corp. v. EPA | No. 16-1127 (D.C. Cir.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA | No. 15-cv-00381 (10 th Cir.) |
| National Association of Manufacturers, <i>petitioner</i> , v. U.S. Department of Defense, <i>respondent</i> ³ | No. 16-299 (S. Ct.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA appeal pending <i>sub nom.</i> State of Oklahoma <i>ex rel.</i> Hunter, No. 16-5039 (10 th Cir.) | No. 4:15-cv-381 (N.D. Okla.) |
| <i>In Re</i> Volkswagen “Clean Diesel” Marketing, Sales, Practices, And Products Liability Litigation (extends to criminal case too) | No. 2672 MDL CRB (JSC) (N.D. Cal.) Criminal case: E.D. Michigan |
| State of North Dakota v. EPA | No. 15-1381 (D.C. Cir.) (joined with No. 15-1399, then consolidated with No. 15-1381) |
| State of West Virginia, <i>et al.</i> v. EPA | No. 15-1363 (D.C. Cir.) |
| Walter Coke Inc. v. EPA | No. 15-1166 (D.C. Cir.) |
| Wildearth Guardians v. EPA | No. 13-cv-02748 (D.C. Colo.) |

³ EPA Ethics updated this chart on 5-17-17 to include this case, which was inadvertently omitted. It had erroneously included with the amicus filings on page 3. EPA Ethics notes that the Administrator has not and will not participate in this case.

I understand that this commitment is longer than is required by the federal impartiality standards, but I am taking this action to avoid even the appearance of any impropriety under federal ethics or professional responsibility obligations.

With respect to cases involving EPA in which Oklahoma joined other states in filing an amicus brief, I understand that Oklahoma was not a party to the litigation itself. I have informed the Designated Agency Ethics Official (DAEO) that Oklahoma itself neither authored the amici briefs nor otherwise participated in the litigation in any way. Most of those cases are resolved, except for *Building Industry Association of the Bay Area, et al. v. Department of Commerce, et al.* (the U.S. Supreme Court denied certiorari); *Sierra Club et al., plaintiffs-appellees v. Regina McCarthy in her capacity as Administrator of the United States Environmental Protection Agency, defendants-appellees; State of Arizona et al., intervenor-plaintiff-appellants*, No. 15-15894 (9th Cir.), on appeal from N.D. Cal., No. 13-cv-03953-SI (this case is fully argued and briefed is awaiting decision only); and *Wyoming v. EPA*, Nos. 14-9512 and 14-9514 (10th Cir.) (the standard of review argument advanced in the amicus brief that Oklahoma joined was uncontested on review).

Thus far, I have not participated in any of the cases listed in this recusal statement officially at all and will continue to recuse for now. In the event that I wish to participate, I will seek an ethics determination from the DAEO, who will apply the federal impartiality standard set forth at 5 C.F.R. § 2635.502. I understand that my professional responsibility obligations may impose consent requirements in order to participate. I will provide notification of such consent, if sought and obtained, to EPA's ethics officials.

Screening Arrangement

In order to help ensure that I do not participate in matters relating to any of the entities listed above, I have taken or will take the following steps:

1. I am instructing Ryan Jackson, Chief of Staff to screen all EPA matters, including existing litigation, directed to my attention that involve outside entities or that require my participation, to determine if they involve any of the entities or organizations listed above.
2. Until such time as a Presidentially Appointed Senate confirmed appointee is confirmed and sworn into a position such as the Deputy Administrator, General Counsel or Assistant Administrator, I am designating the Chief of Staff to take appropriate action or refer it with the Agency for appropriate action or assignment, without my knowledge or involvement.
3. I will provide the Chief of Staff and Sarah Greenwalt, Senior Advisor to the Administrator, with a copy of this memorandum so that they may fully understand the purpose and scope of my

recusal obligations and this screening arrangement. In order to help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Chief of Staff and/or Ms. Greenwalt to seek the assistance of OGC/Ethics if they are ever uncertain whether or not I may participate in a matter.

4. I will provide a copy of this memorandum to my principal subordinates. I will also instruct my principal subordinates that all inquiries and comments involving any of the entities listed above should be directed to the Chief of Staff without my knowledge or involvement.
5. In consultation with OGC/Ethics, I will revise and update my ethics agreement and/or this memorandum whenever is warranted by changed circumstances, including changes in my financial interests, my personal or business relationships, or the nature of my official duties.
6. In the event of any changes to this screening arrangement, I will provide a copy of the revised screening arrangement memorandum to the Chief of Staff, OGC/Ethics, and any principal subordinates.

cc: Ryan Jackson, Chief of Staff
Sarah Greenwalt, Senior Advisor to the Administrator
Kevin S. Minoli, Designated Agency Ethics Official
Justina Fugh, Alternate Designated Agency Ethics Official



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

FEB 8 2018

OFFICE OF WATER

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David P. Ross
Assistant Administrator

A handwritten signature in blue ink, appearing to read "D. Ross", is placed next to the name David P. Ross.

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I am disqualified from participating personally and substantially in any particular matter that would have a direct and predictable effect on certain financial interests imputed to me under 18 U.S.C. § 208. These recusals are in place for as long as my imputed interest owns stock in the following:

| Particular Matters of General Applicability AND Particular Matters Involving Specific Parties |
|--|
| ADP |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employers, the Wisconsin Department of Justice and the Wyoming Attorney General's Office. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer do not apply to me. The definition of "former employer" in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to the federal impartiality standards, I understand that I have a "covered relationship" with any former employer I've served within the last year who is a state government. However, on January 11, 2018, the EPA's Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which I worked on personally and substantially while employed with the Wisconsin Department of Justice.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Thus, I will not participate in the following matters:

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

| CASE NAME and/or SUBJECT MATTER: | CITATION and/or DESCRIPTION: |
|--|---|
| All litigation challenging the June 2015 Clean Water Rule, including: ² <ul style="list-style-type: none"> - National Association of Manufacturers, <i>petitioner</i>, v. U.S. Department of Defense, <i>et al. respondents</i> - Murray Energy Corporation v. EPA, <i>et al.</i> - State of Georgia, <i>et al.</i> v. E. Scott Pruitt, <i>et al.</i> - North Dakota, <i>et al.</i> v. EPA, <i>et al.</i> | No. 16-299 (S. Ct.) No. 15-3751 (6th Cir.) No. 15-14035 (11th Cir.) No. 3:15-cv-00059 (D.N.D.) |
| State of Wisconsin v. Madison-Kipp Corp. ³ | No. 12-CX-46 (Dane County) |
| Matters related to the Pebble Mine near Bristol Bay, Alaska | Includes any associated litigation, settlement agreement, and permitting ⁴ |

SCREENING ARRANGEMENT

In order to ensure that I do not participate in the matters listed above, I will instruct Lee Forsgren, Deputy Assistant Administrator, to assist in screening EPA matters directed to my attention. All inquiries and comments involving the matters on my recusal list should be directed to Mr. Forsgren without my knowledge or involvement until after my recusal period ends.

If Mr. Forsgren determines that any of the matters listed on my recusal list is involved, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

² I am advised by OGC/Ethics that my recusal is limited to these specific party matters challenging the Clean Water Rule issued in June 2015. I understand that I am not recused from working on other rulemakings or related matters, including the two-step rulemaking process intended to review and revise the definition of "waters of the United States" (Step 1 rule and Step 2 rule), and any subsequent litigation from such rulemakings.

³ Although not necessarily required, I am voluntarily recusing myself from any SDWA or TSCA (PCB) matters at the Madison-Kipp Corporation facility to avoid the appearance of any impropriety under federal ethics or professional responsibility obligations.

⁴ In an abundance of caution to avoid even the appearance of an ethical or professional responsibility concern, my recusal includes, but is not limited to, Pebble Ltd. P'tship v. EPA, *et al.*, No. 3:14-cv-00097-HRH (D. Alaska), and other associated lawsuits, as well as the subsequent May 11, 2017 Settlement Agreement addressing all of these matters.

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
D. Lee Forsgren, Jr., Deputy Assistant Administrator
Benita Best-Wong, Acting Principal Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Justin Schwab
Senior Legal Advisor

TO: Don Benton
Senior White House Advisor

Charles Munoz
White House Liaison

Jn J L 02/10/2017

This memorandum provides you with written notification of my obligation to recuse myself from participation personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any other person whose interests are imputed to me unless I first obtain a written waiver, pursuant to § 208(b)(1), or qualify for a regulatory exemption, pursuant to § 208(b)(2). Because of the current level of my financial ownership, I am disqualified from participating personally and substantially in any particular matter that affects *Microsoft* as a specific party.

In addition, I understand I am obliged to recuse myself from certain matters in which I have a covered relationship under the federal impartiality regulations. I am prohibited from participating in any particular matter in which my former employer, *Baker and Hostetler*, is or represents a party. I understand that my recusal lasts for one year from the date that I left the firm, so this recusal will end on January 19, 2018.

I further understand that I have a covered relationship with *any client* for whom I provided legal services. I understand that there is no *de minimis* exception for the provision of such services and that the ethics rules address any "person" to whom such services were provided, which can include subsidiaries. I will personally monitor my recusal list and promptly recuse myself as appropriate. For federal ethics purposes, this recusal remains in effect for one year from the date that I last provide services to that client or unless I am first authorized by the Office of General Counsel/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). But I understand that I am also subject to my own bar obligations.

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, and/or when I change positions within EPA. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to the appropriate supervisor, OGC/Ethics, and any principal subordinates.

I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

cc: Justina Fugh, Senior Counsel for Ethics

Jan J. Bl 02/10/2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

AUG 7 - 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Justin Schwab
Deputy General Counsel

TO: Kevin S. Minoli
Acting General Counsel
Designated Agency Ethics Official

A handwritten signature in black ink, appearing to read "Justin Schwab".

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum updates my earlier recusal by specifying my former clients, but I have been complying and will continue to comply with my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. Because of the current level of my financial ownership, I am disqualified from participating personally and substantially in any particular matter that affects **Microsoft** as a specific party.

OBLIGATIONS UNDER EXECUTIVE ORDER 13,770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **Baker and Hostetler LLP**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties. Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA.¹

| RECUSAL LIST | |
|--|---|
| In effect until January 23, 2019 | |
| FORMER EMPLOYER: Baker and Hostetler LLP | |
| <p>FORMER CLIENTS:</p> <p>AK Steel Corporation American Bureau of Shipping American Fuel & Petrochemical Manufacturers Arcelormittal Usa LLC Association of Battery Recyclers Big River Steel, LLC Broadwood Investment Holdings, LP Cape Wind Associates, LLC Caterpillar, Inc. Cato Institute Chevron U.S.A. Inc. Colgate-Palmolive Company Competitive Enterprise Institute C.R. Bard, Inc. Grupo Ferré Rangel JTEKT North America Corporation Mississippi Silicon, LLC</p> | <p>NYK Line North America, Inc. Oldcastle Materials, Inc. Pentair Residential Filtration, LLC Resolute Forest Products Inc. Salt River Pima-Maricopa Indian Community Sanders Lead Company, Inc. Shamrock Foods Company State Government Leadership Foundation Sunoco, Inc. The Aluminum Association, Inc. The Center for Consumer Freedom The National Center for Public Policy Research The Scotts Company, LLC The Southern Company Trafigura Trading LLC United States Beet Sugar Association Valero Renewable Fuels Company, LLC</p> |

¹ For my former clients that are not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state government,² and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”³ But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client who is a state government. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which the **State of Oklahoma** or the **Virginia House of Delegates** is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to the State of Oklahoma on September 23, 2017, and with regard to the Virginia House of Delegates on August 31, 2017.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct David Fotouhi, Deputy General Counsel, and Richard Albores, Associate Deputy General Counsel, to assist in screening EPA matters directed to my attention that involve these entities. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities on my recusal list should be directed to Justin and Rich without my knowledge or involvement until after my recusal period ends.

If Mr. Fotouhi or Mr. Albores determine that a particular matter will directly involve any of the entities listed on my “specific party” recusal list, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with

² See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include ... State government.”

³ See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC, including OGC/Ethics.

cc: Elise Packard, Acting Principal Deputy General Counsel
Erik Baptist, Senior Deputy General Counsel
David Fotouhi, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
OGC Associate General Counsels and Directors
Justina Fugh, Senior Counsel for Ethics
Regional Counsels




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION III
1650 Arch Street
Philadelphia, Pennsylvania 19103-2029

MEMORANDUM

FEB 12 2018

SUBJECT: Recusal Statement

FROM: Cosmo Servidio 
Regional Administrator

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics



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obligations with respect to my former employer, the Bucks County Water & Sewer Authority (BCWSA), and, by extension, Bucks County, Pennsylvania. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employers do not apply to me. The definition of “former employer” in the Executive Order excludes local government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer. For one year after my resignation from BCWSA, I am prohibited from participating personally and substantially in any particular matter involving specific parties in which BCWSA or Bucks County is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). On November 15, 2017, EPA’s Designated Agency Ethics Official issued an impartiality determination authorizing me to participate in particular matters that involve BCWSA or Bucks County, Pennsylvania with the following limitation: I must recuse myself from participation in EPA specific party matters if I participated personally and substantially in the same specific party matters while employed with the BWSCA.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Ryan Jackson, Chief of Staff
Cecil A. Rodrigues, Deputy Regional Administrator, Region III
Mary Coe, Regional Counsel, Region III
Deane Bartlett, Regional Ethics Counsel, Region III
Justina Fugh, Senior Counsel for Ethics

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include ... State government.”



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

JUL 31 2017

OFFICE OF
ENFORCEMENT AND
COMPLIANCE ASSURANCE

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Patrick Traylor
Deputy Assistant Administrator

TO: Lawrence Starfield
Acting Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner, or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, Hogan Lovells US LLP, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

| RECUSAL LIST | |
|--|---|
| In effect until June 5, 2019 | |
| FORMER EMPLOYER: Hogan Lovells US LLP | |
| FORMER CLIENTS: | |
| 3M Company AB Volvo American Petroleum Institute BHP Billiton Petroleum (Deepwater), Inc. Bio Springer North America Corporation Bloom Energy Corporation Broken Hill Proprietary (USA) Inc. Calumet Specialty Products Partners, L.P. Crescent Point Energy US Corp. Daimler Trucks North America LLC Delfin LNG LLC Denbury Resources, Inc. Detroit Diesel Company Edison Transmission, LLC Flint Hills Resources, LLC Forest River, Inc. Fujicopian Co. Ltd. Fulcrum Bioenergy, Inc. Glenfarne Group, LLC Gopher Resources LLC Gulf Pacific Power, LLC Harbert Power Fund V, LLC Howard Midstream Energy Partners, LLC INEOS USA LLC Koch Companies Public Sector, LLC Koch Minerals | Latticework Capital Management, Inc. Meggitt-USA, Inc. Nextera Energy Resources, LLC NRG Energy, Inc. One Rock Capital Partners, LLC PPG Industries, Inc. QTS Realty Trust, Inc. RPM Access, Inc. SABIC Innovative Plastics US LLC SGC Energia Co LLC Solarcity Corporation Southern California Edison Co. The AES Corporation The C. Reiss Coal Company Thompson Pump & Manufacturing Company Transocean Offshore Deepwater Drilling, Inc. UGI Energy Services and UGI Development Company United States Steel Corporation Venture Global LNG, Inc. Vibracoustic GMBH Vopak LNG Holding B.V. Vopak North America Inc. Xanterra Parks & Resorts, Inc. |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Larry Starfield to assist in screening EPA matters directed to my attention that involve my former employer or my former clients as a specific party. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities on my recusal list should be directed to Mr. Starfield without my knowledge or involvement until after my recusal period ends.

If Mr. Starfield determines that a particular matter will directly involve any of the companies listed on my "specific party" recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

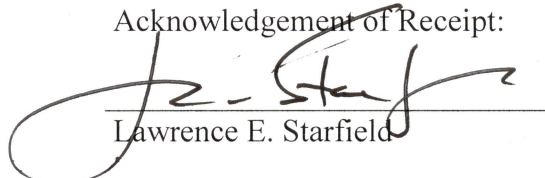
In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

DISTRIBUTION

I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving any of the entities listed above should be directed to Mr. Starfield or you without my knowledge or involvement.

cc: OECA Office Directors
Justina Fugh, Senior Counsel for Ethics

Acknowledgement of Receipt:


Lawrence E. Starfield



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

OFFICE OF
RESEARCH AND DEVELOPMENT

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Richard Yamada
Deputy Assistant Administrator

TO: Dr. Jennifer Orme-Zavaleta
Acting Assistant Administrator

[Handwritten signature] 11/22/17

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

Because of the current level of my financial ownership, I understand that I am disqualified from participating personally and substantially in any particular matter that will have a direct and predictable effect upon Berkshire Hathaway Inc. (BRK) and any of its subsidiaries as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on sectors in which BRK and its [subsidiaries](#) operate (e.g., pipelines,

renewable fuels, alternative fuels, natural gas, and electricity). I have consulted with OGC/Ethics and been advised that they do not anticipate that my work in ORD is likely to have a direct and predictable financial effect upon BRK. Should a situation arise in which BRK or any of its subsidiaries is a specific party in a particular matter, or if my work is focused on one of BRK's industrial sectors, then I will consult with OGC/Ethics prior to participation.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Chris Robbins, Deputy Ethics Official
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGIONAL ADMINISTRATOR
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590

MAR 05 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Kurt Thiede *KA7*
Chief of Staff

TO: Cathy Stepp
Regional Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and the Region's ethics team and been advised that I do not currently have any significant financial conflicts of interest. I will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am in an Administratively Determined position and have been advised by OGC/Ethics that I am not considered an appointee for purposes of Executive Order 13770 and, therefore, not required to sign the Trump Ethics Pledge. As an executive branch employee, I understand that I am subject to the federal impartiality standards and have a "covered relationship" with my

former employer, the Wisconsin Department of Natural Resources (WDNR), which is a state government. However, on March 1, 2018, EPA's Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters I worked on personally and substantially while employed with the WDNR. Attached is a list of matters I am recused from given my role at WDNR.

SCREENING ARRANGEMENT

To ensure that I do not participate in matters relating to any of the entities listed below, I will instruct the Acting Deputy Regional Administrator (DRA), Region 5, and Regional Administrator assistants (identified in the cc: list below) to assist in screening EPA matters directed to my attention that involve those entities. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting DRA to seek the assistance of the Region 5 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting DRA without my knowledge or involvement until after my recusal period ends.

If the Acting DRA determines, with input from Region 5 Ethics and/or OGC/Ethics as appropriate, that a particular matter will directly involve any of the entities on my "specific party" recusal list, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates, Justina Fugh, Senior Counsel for Ethics, and Ann Coyle, Regional Ethics Attorney.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region's ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at WDNR, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics
Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Felicia Williams, Executive Assistant to the Regional Administrator
Isidra Martinez, Staff Assistant
Marjorie Marshall, Secretary
Sheila Robinson, SEEP

| Kurt Thiede–Wisconsin Department of Natural Resources Permanent Recusal | |
|---|------------|
| Company/Matter | Statute(s) |
| Aquaculture issues | |
| Ashley Furniture | CWA |
| Back 40/Aquila Mine | CWA |
| Badger Ammunition | RCRA |
| Calumet Superior/Husky | Various |
| Fox River | Superfund |
| Foxconn | Various |
| Kinnard Farms | CWA NPDES |
| Kohler Golf Course | CWA |
| Legal Authority Review | CWA NPDES |
| Madison-Kipp Corp (Madison) | TSCA PCBs |
| Meteor Timber | CWA |
| Mid-America Steel Drum a/k/a Greif a/k/a CLCM (Milwaukee) | Various |
| NPDES withdrawal petition | CWA NPDES |
| Ozone Designations and SIPs <ul style="list-style-type: none"> • Sheboygan • Door County • Racine County • Waukesha County | CAA |
| Sturgeon Bay ordinary high water mark | |
| WDNR’s comments on Tribal “Treatment as State” Reinterpretation Rule <ul style="list-style-type: none"> • Forest County Potawatomi, Treatment as a State • Lac Courte Oreilles, Treatment as a State | CWA & CAA |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGIONAL ADMINISTRATOR
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590

MAR 15 2018

MEMORANDUM

SUBJECT: Recusal Statement, Revision #1

FROM: Kurt Thiede
Chief of Staff *KT*

TO: Cathy Stepp
Regional Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. *This revision adds five counties to the list of ozone designation and SIP matters from which I am recused.*

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and the Region's ethics team and been advised that I do not currently have any significant financial conflicts of interest. I will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am in an Administratively Determined position and have been advised by OGC/Ethics that I am not considered an appointee for purposes of Executive Order 13770 and, therefore, not required to sign the Trump Ethics Pledge. As an executive branch employee, I understand that I

am subject to the federal impartiality standards and have a “covered relationship” with my former employer, the Wisconsin Department of Natural Resources (WDNR), which is a state government. However, on March 1, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters I worked on personally and substantially while employed with the WDNR. Attached is a list of matters I am recused from given my role at WDNR.

SCREENING ARRANGEMENT

To ensure that I do not participate in matters relating to any of the entities listed below, I will instruct the Acting Deputy Regional Administrator (DRA), Region 5, and Regional Administrator assistants (identified in the cc: list below) to assist in screening EPA matters directed to my attention that involve those entities. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting DRA to seek the assistance of the Region 5 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting DRA without my knowledge or involvement until after my recusal period ends.

If the Acting DRA determines, with input from Region 5 Ethics and/or OGC/Ethics as appropriate, that a particular matter will directly involve any of the entities on my “specific party” recusal list, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates, Justina Fugh, Senior Counsel for Ethics, and Ann Coyle, Regional Ethics Attorney.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at WDNR, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics
Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Felicia Williams, Executive Assistant to the Regional Administrator
Isidra Martinez, Staff Assistant
Marjorie Marshall, Secretary
Sheila Robinson, SEEP

| Kurt Thiede–Wisconsin Department of Natural Resources Permanent Recusal | |
|--|------------|
| Company/Matter | Statute(s) |
| Aquaculture issues | |
| Ashley Furniture | CWA |
| Back 40/Aquila Mine | CWA |
| Badger Ammunition | RCRA |
| Calumet Superior/Husky | Various |
| Fox River | Superfund |
| Foxconn | Various |
| Kinnard Farms | CWA NPDES |
| Kohler Golf Course | CWA |
| Legal Authority Review | CWA NPDES |
| Madison-Kipp Corp (Madison) | TSCA PCBs |
| Meteor Timber | CWA |
| Mid-America Steel Drum a/k/a Greif a/k/a CLCM (Milwaukee) | Various |
| NPDES withdrawal petition | CWA NPDES |
| Ozone Designations and SIPs <ul style="list-style-type: none"> • Door County • Kenosha County • Manitowoc County • Milwaukee County • Ozaukee County • Racine County • Sheboygan County • Washington County • Waukesha County | CAA |
| Sturgeon Bay ordinary high water mark | |
| WDNR's comments on Tribal "Treatment as State" Reinterpretation Rule <ul style="list-style-type: none"> • Forest County Potawatomi, Treatment as a State • Lac Courte Oreilles, Treatment as a State | CWA & CAA |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGIONAL ADMINISTRATOR
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590

MAR 14 2018

MEMORANDUM

SUBJECT: Recusal Statement
FROM: Cathy Stepp
Regional Administrator
TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I am recused from participating in any particular matter involving the following entities:

| ENTITY | BUSINESS |
|--|-------------------------------|
| Magnum Truck and Equipment, Sturtevant, WI | Used truck and trailer dealer |
| HMS Properties of Wheatland, LLC, Sturtevant, WI | Real estate holding company |
| PS Properties of Dover, LLC, Sturtevant, WI | Real estate holding company |
| P&C Investors, Sturtevant, WI | Real estate holding company |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer, the Wisconsin Department of Natural Resources (WDNR). The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer do not apply to me. The definition of “former employer” in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to the federal impartiality standards, I understand that I have a “covered relationship” with my former employer, which is a state government. However, on January 3, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters I worked on personally and substantially while employed with the WDNR. Attached is a list of matters I am recused from given my role at WDNR. In addition, I am recusing myself for one year from my date of separation from WDNR from certain additional matters currently pending in Region 5, which are identified in the attached list. This one-year recusal ends on August 31, 2018.

SCREENING ARRANGEMENT

To ensure that I do not participate in matters relating to any of the entities listed above, I will instruct the Acting Deputy Regional Administrator (DRA), Region 5, and Regional Administrator assistants (identified in the cc: list below) to assist in screening EPA matters directed to my attention that involve those entities. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting DRA to seek the assistance of the Region 5 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting DRA without my knowledge or involvement until after my recusal period ends.

If the Acting DRA determines, with input from Region 5 Ethics and/or OGC/Ethics as appropriate, that a particular matter will directly involve any of the entities on my “specific party” recusal list, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics, and Ann Coyle, Regional Ethics Attorney.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that

¹ See Exec. Order 13770, Section 2(j), which provides: “‘former employer’ does not include ... State or local government.”

I worked personally and substantially on a particular matter, not yet identified, while at WDNR, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics
Ed Chu, Acting Deputy Regional Administrator, Region 5
Kurt Thiede, Chief of Staff, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Felicia Williams, Executive Assistant to the Regional Administrator
Isidra Martinez, Staff Assistant
Marjorie Marshall, Secretary
Sheila Robinson, SEEP

Cathy Stepp–Wisconsin Department of Natural Resources

| Permanent Recusal | |
|--|--|
| Company/Matter | Statute(s) |
| Ashley Furniture | CWA |
| Kohler Golf Course | CWA |
| Large dairy CAFOs general permit | CWA |
| Legal Authority Review | CWA NPDES |
| Madison-Kipp Corp (Madison) | TSCA PCBs |
| Meteor Timber | CWA |
| Mid-America Steel Drum a/k/a Greif a/k/a CLCM (Milwaukee) | Various |
| Milwaukee Metropolitan Sewerage District | CWA NPDES |
| NPDES withdrawal petition | CWA NPDES |
| Pagels family enterprises ² <ul style="list-style-type: none"> • Ponderosa Dairy (Kewaunee) • Dairy Dreams | All |
| Variance for phosphorus | CWA |
| WDNR's comments on Tribal "Treatment as State" Reinterpretation Rule <ul style="list-style-type: none"> • Forest County Potawatomi, Treatment as a State • Lac Courte Oreilles, Treatment as a State | CWA & CAA |
| Webers (Oregon, WI), health effects of exposure to biological waste holding tanks | Various |
| WE Energies | CWA NPDES Permit/Water Quality Standards (arsenic) |
| Wisconsin CAFO vegetative treatment areas | CWA NPDES |

² Any other businesses, including farms, in which the Pagels have a financial interest are covered by this recusal.

| One-Year Recusal (ending August 31, 2018) ³ | |
|--|-----------------|
| Company/Case | Statute(s) |
| CAFO enforcement matters <ul style="list-style-type: none"> • Brennan Farm (Lake Geneva) • Calamity Knoll Farm (Armenia) • Central Sands Dairy • Ebert Dairy Enterprises (Pierce) • El-Na Dairy LLC • Halls Calf Ranch (Montpelier) • Heims Hillcrest Dairy (Casco) • Kane Farm • Kinnard Farms (Lincoln) • Ledgeview Farms (De Pere) • Neighborhood Dairy (Kaukauna) • New Horizons • Sandway Farm • Tag Lane Dairy Farm • Wolf River Ranch (Shawano County) | CWA NPDES |
| Kretzschmar Dairy (Bad River Band) | CWA CAFO permit |
| Ozone Designations and SIPs <ul style="list-style-type: none"> • Sheboygan • Door County • Racine County • Waukesha County | CAA |
| State primacy petitions <ul style="list-style-type: none"> • Revised Total Coliform Rule • Lead & Copper Rule • Stage 2 Disinfection Byproducts Rule • Ground Water Rule | SDWA |
| Variance and exceptions to state drinking water regulations | SDWA |
| WE Energies (Rothschild) | CAA PSD permit |

³ Any recusal listed in this table will become permanent if I determine that I worked personally and substantially on the matter while I was at WDNR. I will update my recusal list accordingly.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION III
1650 Arch Street
Philadelphia, Pennsylvania 19103-2029

MAR 19 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Jenifer Fields *Jenifer Fields* 3/19/18
Chief of Staff

TO: Cosmo Servidio
Regional Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and the Region's ethics team and I understand that I have an imputed financial conflict of interest with my spouse's company, Plymouth Fleet, LLC. Thus, I will not participate personally and substantially in any particular matter that affects **Plymouth Fleet, LLC** as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on the **fleet vehicle repair and maintenance sector**.



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EPA-17-0558-A-000184

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am in an Administratively Determined position and have been advised by OGC/Ethics that I am not considered an appointee for purposes of Executive Order 13770 and, therefore, not required to sign the Trump Ethics Pledge. However, as an executive branch employee, I understand that I am subject to the federal impartiality standards and have a “covered relationship” with my former employer, the Pennsylvania Department of Environmental Protection (PADEP). However, on January 11, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Pennsylvania, but not on the very same specific party matters I worked on personally and substantially while employed with PADEP. Attached is a list of matters I am recused from given my role at PADEP.

SCREENING ARRANGEMENT

To ensure that I do not participate in the matters listed below, I will instruct Cecil Rodrigues, Deputy Regional Administrator, Region 3 to assist in screening EPA matters directed to my attention that involve those matters. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Deputy Regional Administrator to seek the assistance of the Region 3 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the matters on my recusal list should be directed to the Deputy Regional Administrator without my knowledge or involvement.

If the Deputy Regional Administrator determines, with input from the Region 3 Ethics Team and/or OGC/Ethics as appropriate, that any of the matters listed on my recusal list is involved, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region 3 Ethics Team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at PADEP, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, the Region 3 Ethics Team and my principal subordinates.

cc: Cecil A. Rodrigues, Deputy Regional Administrator, Region 3
Mary Coe, Regional Counsel, Region 3
Deane Bartlett, Regional Ethics Team, Region 3
Justina Fugh, Senior Counsel for Ethics

| |
|--|
| <p>Jenifer Fields Pennsylvania Department of Environmental Protection Permanent Recusal</p> |
| DELCORA CWA Consent Decree, Case No. 2:15-cv-04652RB (E.D. Pa.) |
| Bristol Township CWA Consent Decree, Case No. 10-5049 (E.D. Pa.) |
| Bucks County Water and Sewer Authority CWA enforcement matter |
| City of Philadelphia Water Department NPDES permits and enforcement matters |
| City of Philadelphia Municipal Separate Storm Sewer System permit |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460


APR 20 2018

OFFICE OF
SOLID WASTE AND
EMERGENCY RESPONSE

NOW THE
OFFICE OF LAND AND
EMERGENCY MANAGEMENT

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Steven Cook 
Deputy Assistant Administrator

TO: Barry N. Breen
Acting Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest. I will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **LyondellBasell Industries N.V.**, is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties who represent a diversity of interests.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters related to the entity above, I will instruct Becky Brooks or Nick Hilosky, Special Assistants, to assist in screening EPA matters directed to my attention that involve LyondellBasell Industries N.V. All inquiries and comments involving LyondellBasell Industries N.V. should be directed to Becky Brooks or Nick Hilosky without my knowledge or involvement until after my recusal period ends.

If Becky Brooks or Nick Hilosky determines that a particular matter will directly involve LyondellBasell Industries N.V., then she/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that she/he is unsure whether an issue is a particular matter from which I am recused, then she/he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and to OGC/Ethics.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Andrew R. Wheeler
Deputy Administrator

Andrew R. Wheeler
5-24-18

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **Faegre Baker Daniels LLP**, or any former client to whom I provided legal or

consultative services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means that the meeting should include a multiplicity of parties representing a diversity of viewpoints. If my former employer or a former client is present, then I understand that, generally speaking, at least four other parties should be present to ensure that a diversity of viewpoints is represented and not the same united perspective.

Because I was formerly a federally registered lobbyist, I understand that I am also subject to the provisions of Section 1, paragraph 7 of the Executive Order. For a period of two years, I will not participate in any particular matter on which I lobbied in the preceding two years, nor will I participate in the specific issue area in which that particular matter falls. This recusal encompasses issues that I lobbied on before EPA as well as before other federal agencies during the preceding two years including, for example, Section 202(c) of the Federal Power Act.

Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA,¹ as well as the specific issues areas from which I am recused:

| RECUSAL LIST | |
|---|---|
| In effect until April 28, 2020 | |
| FORMER EMPLOYER: Faegre Baker Daniels LLP | |
| FORMER CLIENTS: | |
| Murray Energy Sargento Food Inc. Underwriters Laboratories Energy Fuels Resources Inc. | Growth Energy International Paper Martin Farms Xcel Energy |
| FORMER LOBBYING ISSUES: | |
| Energy Star | |

¹ For any former client that is not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with any organization in which I was an active participant. For one year after my resignation from the National Energy Resources Organization (NERO), I am prohibited from participating personally and substantially in any particular matter involving specific parties in which NERO is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). That said, I acknowledge that NERO does not itself lobby or represents parties other than itself.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Michael Molina, Senior Advisor to the Deputy Administrator, to assist in screening EPA matters directed to my attention that involve those entities. All inquiries and comments involving the entities on my recusal list should be directed to Mr. Molina without my knowledge or involvement until after my recusal period ends.

If Mr. Molina determines that a particular matter will directly involve any of the entities or matters listed on my “specific party” recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Kevin Minoli, Designated Agency Ethics Official, and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
Michael Molina, Senior Advisor to the Deputy Administrator
Kevin Minoli, Designated Agency Ethics Official
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 8

1595 Wynkoop Street
Denver, CO 80202-1129
Phone 800-227-8917
www.epa.gov/region8

APR 24 2018

Ref: 8RC

MEMORANDUM

SUBJECT: My Ethics Obligations – Updated Recusal and Screening Arrangement

FROM: Douglas H. Benevento
Regional Administrator

TO: E. Scott Pruitt
Administrator

As indicated in my prior Recusal and Screening Arrangement signed on December 15, 2017, I agreed to update you if there were any changes to my financial interests or personal or business relationships.

This memorandum formally notifies you that my spouse has left Holland and Hart LLP and, as of April 2018, has formed her own firm, GB Law which is an LLC and she is the sole employee. Her client will be Maven Law Group, and she will work for that firm exclusively.

I have consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region 8 ethics team and been advised that I am no longer recused from particular matters involving Holland & Hart LLP, my spouse's former law firm. My ethics obligations with respect to my spouse's new law firm and her client are discussed below, as well as a reiteration of my existing and ongoing ethics obligations as described in my December 15, 2017 Recusal and Screening Arrangement.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse or any minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order and the Trump Ethics Pledge, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **Xcel Energy**, is a party or represents a party, or that is directed at Xcel as a

specifically identifiable party (e.g., sulfur dioxide National Ambient Air Quality Standard designations directed at Xcel owned power plants). I understand that my recusal from particular matters involving Xcel Energy as a specific party lasts until October 15, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term "particular matters involving specific parties" is broadened to include any meetings or other communication with **Xcel Energy** relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term "open to all interested parties" means five or more parties.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a "covered relationship" with my wife's current employer, GB Law and with any of the clients to whom she provides services. Therefore, I will not participate personally and substantially in any particular matter in which GB Law, Maven Law Group or a client of my wife's that is known to me is a party or represents a party unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that this recusal remains in effect for the duration of my spouse's employment.

In consultation with OGC/Ethics, we have determined that neither GB Law nor Maven Law Group is likely to have any matters arising before EPA or Region 8. However, I will consult with OGC/Ethics should the unlikely situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving GB Law, Maven Law Group or any of my wife's clients that are known to me.

SCREENING ARRANGEMENT

In order to help ensure that I do not participate in matters subject to my recusal obligations, I have taken or will take the following steps:

1. I will provide the Deputy Regional Administrator with a copy of this memorandum so that she may fully understand the purpose and scope of my recusal obligations and this screening arrangement. To ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Deputy Regional Administrator to seek the assistance of the Region 8 ethics team and/or OGC/Ethics if she is ever uncertain whether or not I may participate in a matter.

2. I will provide a copy of this memorandum to my principal subordinates, including the Region 8 Senior Leadership Team. I will also instruct my principal subordinates that all inquiries and comments involving matters that may be covered by my recusal obligations should be directed to the Deputy Regional Administrator for her review without my knowledge or involvement.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region 8 ethics team, I will revise and update my recusal memorandum whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, the Chief of Staff, OGC/Ethics, Office of Regional Counsel, the Deputy Regional Administrator, and to my principal subordinates.

cc: Ryan Jackson, Chief of Staff
Debra H. Thomas, Deputy Regional Administrator, Region 8
Suzanne J. Bohan, Assistant Regional Administrator, ECEJ, Region 8
Richard D. Buhl, Assistant Regional Administrator, TMS, Region 8
Martin Hestmark, Assistant Regional Administrator, OPRA, Region 8
Darcy O'Connor, Assistant Regional Administrator, OWP, Region 8
Betsy Smidinger, Assistant Regional Administrator, EPR, Region 8
Andrew Mutter, Director, OCPI, Region 8
Kenneth C. Schefski, Regional Counsel, Region 8
Elyana Sutin, Deputy Regional Counsel, Region 8
Patrick Davis, Senior Advisor for Public Engagement, Region 8
Justina Fugh, Senior Counsel for Ethics
Michael Gleason, Regional Ethics Counsel, Region 8
Diane Moon, Staff Assistant, Region 8



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION IX
75 Hawthorne Street
San Francisco, CA 94105

MEMORANDUM

SUBJECT: Recusal Statement
FROM: Michael B. Stoker *Michael B Stoker*
Regional Administrator
TO: E. Scott Pruitt
Administrator
DATE: June 4, 2018

I have consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties involving my

sole proprietorship (**the Law Offices of Mike Stoker**), or any former client to whom I provided legal or consultative services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means that the meeting should include a multiplicity of parties representing a diversity of viewpoints. If a former client is present, then I understand that, generally speaking, at least four other parties should be present to ensure that a diversity of viewpoints is represented and not the same united perspective.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. I am therefore recusing myself from participation in all matters related to United States of America, et al. v. HVI Cat Canyon, Inc., f/k/a Greka Oil & Gas, Inc., U.S. District Court, Central District of California Case No. CV 11-50978FMO (SSx).

RECUSALS

Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA, as well as the specific issues areas from which I am recused:

| RECUSAL LIST In effect until April 28, 2020 | |
|--|--|
| FORMER EMPLOYER: | the Law Firm of Mike Stoker (currently inactive) |
| FORMER CLIENTS: | United AG BioSci |
| PRIOR PARTICIPATION IN SPECIFIC PARTY OR RELATED MATTER: | <u>United States of America, et al. v. HVI Cat Canyon, Inc., f/k/a Greka Oil & Gas, Inc.</u> , U.S. District Court, Central District of California Case No. CV 11-50978FMO (SSx) |

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Sylvia Quast, Regional Counsel for EPA Region IX, to assist in screening EPA matters directed to my attention that involve those entities. All inquiries and comments involving the entities on my recusal list should be directed to Sylvia Quast without my knowledge or involvement until after my recusal period ends.

If Sylvia Quast determines that a particular matter will directly involve any of the entities or matters listed on my "specific party" recusal list, then she will refer it for action or assignment to another, without my knowledge or involvement. In the event that she is unsure whether an issue is a particular matter from which I am recused, then she will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Debbie Jordan, Deputy Regional Administrator
Sylvia Quast, Regional Counsel
Steven Jawgiel, Regional Ethics Counsel
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Clinton Woods
Deputy Assistant Administrator



3/30/18

TO: William L. Wehrum
Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that, apart from my spouse's employment, I do not currently have any financial conflicts of interest. I am recused from participating personally and substantially in any particular matter that would have a direct and predictable effect on my spouse's current employer, Fayette County Public Schools. I will not participate personally and substantially in any particular matter that affects Fayette County Public Schools as a specific party or as a member of an affected class.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

As an Administratively Determined (AD) appointment, I have been advised by OGC/Ethics that I am not subject to Executive Order 13770 and I am not required to sign President Trump's Ethics Pledge. But as an executive branch employee, I understand that I am subject to the federal impartiality standards and have a one-year cooling off period with my former employer. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which **The Council of State Governments** or its affiliate,



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

the **Association of Air Pollution Control Agencies**, is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that under the federal impartiality standards, I am also recused from participation in any specific party matter in which the **Keep Lexington Beautiful Commission** is a party or represents a party.

For federal ethics purposes, I understand that my recusal remains in effect for one year from the date I resigned, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to The Council of State Governments and its affiliate, the Association of Air Pollution Control Agencies, on December 8, 2018, and November 2, 2018 for the Keep Lexington Beautiful Commission. I will consult with OGC/Ethics should a situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving any of these entities.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to the entities listed above, I will instruct Josh Lewis, Chief of Staff, and Mandy Gunasekara, Principal Deputy Assistant Administrator, to assist in screening EPA matters directed to my attention that involve these entities. All inquiries and comments involving the entities on my recusal list should be directed to Josh or Mandy without my knowledge or involvement until after my recusal period ends.

If Josh or Mandy determine that a particular matter will directly involve any of the entities listed above, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Elizabeth Shaw, Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 6
1445 ROSS AVENUE, SUITE 1200
DALLAS, TEXAS 75202 - 2733

Office of the Regional Administrator

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Anne L. Idsal
Regional Administrator

Anne L. Idsal 7/24/18

TO: Andrew Wheeler
Acting Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics about my family's closely-held corporation, **Idsal Family Properties Management**. I will continue to have a financial interest in this entity but receive only passive income from it. I will not participate personally and substantially in any particular matter that will have a direct and predictable financial effect on the financial interest of Idsal Family Property Management, unless I first obtain a written waiver from the Office of General Counsel pursuant to Section 208(b)(1).

Unless I am authorized to participate by the Office of General Counsel (OGC), I am disqualified from participating in any particular matter involving specific parties in which the following entity is a party or represents a party:

This paper is printed with vegetable-oil-based inks and is 100-percent postconsumer recycled material, chlorine-free-processed and recyclable.

| NAME OF ENTITY | DATE WHEN RECUSAL ENDS |
|--------------------------|--|
| Valley Crossing Pipeline | Continues for as long as I have an interest in a pipeline easement with this entity. |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to any former employer during the preceding two years, as well as any organization in which I held a fiduciary duty. For purposes of this restriction, the entities that fall within the prohibition are the Texas General Land Office (TX GLO) and the Leukemia & Lymphoma Society.

The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that these additional restrictions contained in the Executive Order regarding the TX GLO as my former employer do not apply to me. The definition of “former employer” in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers for the TX GLO.

I understand that I am prohibited from participating in any particular matter involving specific parties in which the **Leukemia & Lymphoma Society** is a party or represents a party. My recusal lasts for two years from the date that I joined federal service. I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means that the meeting should include a multiplicity of parties, representing a diversity of viewpoints. If my former employer is present, then I understand that, generally speaking, at least four other parties should be present to ensure that a diversity of viewpoints is represented and not the same united perspective.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state or local government entities. But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a “covered relationship” with my former employer who is a state government. However, on December 28, 2017, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Texas, but not on the very same specific party matters I worked on personally and substantially while employed with the **TX GLO**.

ATTORNEY BAR OBLIGATIONS

Pursuant to my bar rules, I recognize that I am obligated to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially

¹ See Exec. Order 13770, Section 2(j), which provides that “ ‘former employer’ does not include...State or local government.”

related to the same specific party matter that I participated in personally and substantially, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. I am therefore recusing myself from participation in all matters related to the **Texas Regional Haze 5 Year Program Report** and the **Texas SO2 Transport SIP**.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters listed above, I will instruct the Acting Deputy Regional Administrator, Region 6, to assist in screening EPA matters directed to my attention that involve those matters. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting Deputy Regional Administrator to seek the assistance of the Region 6 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting Deputy Regional Administrator without my knowledge or involvement.

If the Acting Deputy Regional Administrator determines, with input from Region 6 Ethics and/or OGC/ethics as appropriate, that a particular matter will directly involve any of the matters described above, then he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region's ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at TX GLO, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: David Gray, Acting Deputy Regional Administrator, Region 6
Ben Harrison, Acting Regional Counsel, Region 6
Jan Gerro, Regional Ethics Counsel, Region 6
Terry Sykes, Regional Ethics Counsel, Region 6
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JUL 24 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Peter Wright 
Senior Advisor to the Administrator

TO: Andrew R. Wheeler
Acting Administrator

Upon consideration of my appointment, I consulted with the Office of General Counsel/Ethics (OGC/Ethics) and was advised about my ethics obligations. I have assiduously followed their advice since my appointment, and this memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

As stated in my ethics agreement, I agreed to forfeit all DowDuPont, Inc. (DowDuPont) stock options that are unvested at the time of my resignation from DowDuPont and to divest of my vested stock options and stock in DowDuPont within 90 days of my federal appointment. I am currently working with OGC/Ethics to fulfill this commitment. Until I sell the stock, I

understand that I am recused from participating personally and substantially in any particular matter, including sector-specific policies and regulations, that would have a direct and predictable effect on the following:

| NAME OF ENTITY | DATE WHEN RECUSAL ENDS |
|--------------------------|---|
| DowDupont, Inc. | Continues for as long as I own stock in the company. Upon divestiture, I understand that I am subject to additional restrictions pursuant to Executive Order 13770. |
| Chemical industry sector | Continues for as long as I own stock in this sector. |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to any former employer during the preceding two years, as well as any organization in which I held a fiduciary duty. For purposes of this restriction, the entities that fall within the prohibition are DowDuPont, the National Association of Wabash Men, and the Lambda Chi Alpha Home Association of Wabash College.

I understand that I am prohibited from participating in any particular matter involving specific parties in which any of the entities listed below is a party or represents a party. My recusal lasts for two years from the date that I joined federal service. I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties who represent a diversity of interests rather than one shared perspective.¹

| NAME OF ENTITY | DATE WHEN RECUSAL ENDS |
|--|------------------------|
| DowDupont, Inc. | July 8, 2020 |
| National Association of Wabash Men, Board of Directors | July 8, 2020 |
| Lambda Chi Alpha Home Association of Wabash College | July 8, 2020 |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in

¹ I understand that such meetings “do not have to be open to every corner, but should include a multiplicity of parties. For example, if an agency is holding a meeting with five or more stakeholders regarding a given policy or piece of legislation, [then I] could attend such a meeting even if one of the stakeholders is a former employer or former client.” See Office of Government Ethics (OGE) Advisory DO-09-011 (3/26/09), which applies to Exec. Order 13770 pursuant to OGE Legal Advisory LA-17-03 (3/20/17).

personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SUPERFUND SITES

To avoid any concerns about my ethical obligations, I am affirming that I will not participate in matters related to any of the sites on the attached list generated by the Office of Site Remediation and Enforcement. This list includes all of the Superfund sites at which DowDuPont Inc. is a potentially responsible party. Consistent with my attorney bar rules, I will not participate in any matter that I previously worked on personally and substantially. For any of the sites on the attached list that I did not previously work on personally and substantially, I will not participate in matters for those sites until after July 8, 2020, consistent with my obligations under the federal ethics regulations and Executive Order 13770.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above or Superfund sites on the attached list, I will instruct Barry Breen and/or Steven Cook, Deputy Assistant Administrators, to assist in screening EPA matters directed to my attention that involve those entities or sites. All inquiries and comments involving the entities or Superfund sites on my recusal list should be directed to Mr. Breen or Mr. Cook without my knowledge or involvement until after my recusal period ends.

If Mr. Breen or Mr. Cook determine that a particular matter will directly involve any of the entities or matters listed on my "specific party" recusal list, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Kevin Minoli, Designated Agency Ethics Official, and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests such as the sale of the above-mentioned stock, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
Barry Breen, Deputy Assistant Administrator
Steven Cook, Deputy Assistant Administrator
Kevin Minoli, Designated Agency Ethics Official
Justina Fugh, Senior Counsel for Ethics

MICHAEL ABBOUD

(b) (6)

EXPERIENCE

House And State Level Research Analyst

September 2016 to Present

America Rising LLC

- Created opposition research materials for clients to utilize in Congressional and state races.
- Analyzed FEC reports for PACs and congressional campaigns for clients and internal use.

Surrogates and Messaging Coordinator

May 2016 to September 2016

Donald J. Trump For President Inc.

- Assisted in developing weekly messaging for the campaign.
- Implemented the campaign's daily rapid response efforts, including the "Media Bias Of The Day" and "Crooked Hillary Question Of The Day" press releases, highlighting uneven coverage of our campaign compared to the opponent.
- Edited speeches for Donald Trump.
- Drafted press releases and statements on behalf of Donald Trump and his campaign.
- Crafted talking points and messaging strategy for Donald J. Trump for President surrogates to promote our candidate and counter opposing narratives.
- Coordinated daily with surrogates to ensure they remained on message for all media appearances.

Senior Research Analyst

August 2014 to May 2016

Republican National Committee

- Authored the immigration, education, and energy policy chapters for the RNC's Hillary Clinton Opposition Research Book.
- Researched various policy and public statements by Hillary Clinton and relevant Democrat candidates and organized these into research briefs.
- Helped coordinate rapid response efforts against the Clinton campaign.
- Managed a team that created vetting reports for internal hiring and opposition research purposes.

Campaign Manager

January 2014 to May 2014

Pete Pirsch for Nebraska Attorney General

- Spearheaded solicitation messaging strategies to increase fundraising efforts.
- Directed turnout efforts for primary campaign.

Grassroots Operative

January 2012 to May 2016

Chris Abboud Public Affairs Group

- Organized trade organizations to influence tax, transportation, and energy policy.
- Researched public policy issues and crafted strategies to promote the objectives of our clients.
- Drafted language for bills enacting new changes in transportation and tax policy.
- Composed letters to federal and state legislators and op-ed articles promoting approval of the Keystone XL pipeline.
- Coordinated with government officials and local business interests to develop the communications strategy for various public policy issues.
- Led a successful three-year campaign culminating in the passage of LB 716 in Nebraska in April 2016.

PUBLICATION

- "Do Term Limited Legislators Vote Differently?" *Journal of Political Research*

June 2014

EDUCATION

Creighton University

Graduated: May 2014

Bachelor of Arts, International Relations

MICHAEL ABBOUD

EXPERIENCE

House And State Level Research Analyst

September 2016 to Present

America Rising LLC

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PUBLICATION

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June 2014

EDUCATION

Creighton University

Graduated: May 2014

Bachelor of Arts, International Relations



LAYNE RIO BANGERTER

Trump Campaign Director of Idaho
Policy Advisor to National Trump Campaign



PROFESSIONAL PROFILE

- ♦ Experienced background in political processes and administrative procedures with the Executive, Legislative and Judicial branches of government.
- ♦ Strategic facilitator with abilities to transform plans into workable solutions to meet and exceed benchmarks and operational goals.
- ♦ Extensive leadership and management experience in the highest levels of government while consistently handling multi-faceted issues.
- ♦ National Trump delegate from Idaho. Attended Republican National Convention in Ohio
- ♦ Demonstrated interpersonal skills and ability to work with and manage people from diverse backgrounds. Consistently motivating team members to accomplish goals.
- ♦ Proven ability to work effectively and efficiently under pressure and within time limits.
- ♦ Extensive background with US Department of Interior, USDA and a myriad of other federal and state agencies. (BLM, Forest Service, National Park Service, NOAA Fisheries, USFWS, EPA, BOR, BIA, USACOE, Bonneville Power Administration)
- ♦ Created a successful agricultural operation including farming, ranching, livestock, and land banking company.
- ♦ Consistent positive interaction and association with; Federal, State, County, Municipal, and International Governments.
- ♦ Trilingual in Portuguese, Spanish and English. Lived abroad for years in Europe and Brazil and various other countries.

AREAS OF EXPERTISE

- | | | |
|-------------------------|---|--|
| ♦ Public Speaking | ♦ Fisheries/Wildlife/Land and Water Policy | ♦ Training and Leadership |
| ♦ Business Management | ♦ Decision Making | ♦ Fundraising |
| ♦ Planning/Execution | ♦ Problem Solving | ♦ Performance Management |
| ♦ Policy Advisor | ♦ Collaboration | ♦ Supervise, Coordinate, and Direct Federal Agencies |
| ♦ Agribusiness | ♦ Process Redesign | ♦ Natural Resource Management |
| ♦ Banking | ♦ Organization of Campaign and office structure | ♦ Project Management |
| ♦ Strategic Planning | | |
| ♦ Multi-Site Operations | | |

PROFESSIONAL EXPERIENCE

Trump Campaign Director of Idaho

September 2016-present

Policy Advisor to National Trump Campaign

- ♦ Created internal structure for Campaign which included office staff, communications team, finance team, training and other volunteer coordination.
- ♦ Orchestrated fundraising efforts in Idaho and Western States. Exceeded expectations raising millions of dollars. This included the Mike Pence Idaho fundraiser, Donald Trump Jr. donor meetings and fundraisers at; Boise, Idaho Falls, Phoenix AZ, Las Vegas NV, Jackson WY, and Reno NV.
- ♦ Ensured all incoming campaign emails, phone calls and inquiries were answered by myself or senior staff.
- ♦ Formed Idaho County Chairs to help facilitate operations in areas of the state including organization of volunteers and distribution of campaign yard/rally signs and bumper stickers.
- ♦ Oversaw operations for Trump Talk and recruited staffing to train and assist volunteers on use and campaign standards for the calling system.

- ♦ Accompanied Mike Pence to the rallies in Nevada, Utah, and New Mexico. Gave introduction speeches to emphasize the importance of this year's election and how critical it was to elect the Trump/Pence ticket.
- ♦ Coordinated and scheduled rallies and fundraising efforts throughout the West with Donald Trump Jr.
- ♦ Liaison for the LDS Church for the Trump/Pence ticket to encourage religious leaders that pro-life and religious liberties were at stake in this year's election.
- ♦ Assisted in drafting the Trump/Pence 100 day plan of Action. This included; Healthcare reform, Supreme Court Nominees, Economic Relief, Energy Independence, Renegotiating NAFTA, withdrawing from the TPP, and Immigration Reform.
- ♦ Member and Advisor for the 2016/2017 Presidential Transition Team for the Department of Interior, Department of Agriculture and Department of Energy.
- ♦ Handled campaign communications for newspapers and other media sources including printed reviews, TV, Radio, and other sources of media.
- ♦ Fought to bring together Republican Party and Independents to support the Trump agenda and policies, through community outreach and interaction with political leaders.

State Director for Agriculture, Natural Resources and Environment

April 2002-Present

U.S. Senator Mike Crapo-Idaho

- ♦ Representative for Senator Crapo on various issues, agency oversight, legislation and laws. Senior staffer for the Owyhee Public Land Management Act, and collaboratively derived a Bill that resolved long standing land management conflicts which passed in 2009. Worked to pass the following Acts and Bills: Healthy Forest Restoration Act passed in 2003, Delisted wolves from ESA. Pushed through several 2nd Amendment bills, resulting in additional protections for law-abiding citizens.
- ♦ Represented Senator Crapo in all capacities, regularly speaking with constituents and staff.
- ♦ Drafted and edited: press releases, statements, letters, bills, proposals, research summaries, talking points and other documents related to state, federal policies and law.
- ♦ Oversaw Staff Senate Agriculture Committee Hearings and other germane committee hearings.
- ♦ Assisted in drafting significant portions of the 2008 Farm Bill. The Energy and Conservation Titles resulted in strengthened American position in all agricultural commodities and enhancing national securities.
- ♦ Provided congressional oversight with EPA, USDA, U.S. Department of the Interior, Bureau of Reclamation, U.S. Fish and Wildlife Service, U.S. Army Corp of Engineers, ATFB, Bureau of Land Management, Forest Service, National Park Service, Bonneville Power Administration, Department of Energy and associated state agencies.
- ♦ Consistent interaction with multi-agency policies and the various role of each including familiarity with FSA programs.
- ♦ Provided expertise in legal and judiciary matters and federal law for the Clean Air Act, National Environmental Policy Act, Clean Water Act, Endangered Species Act, Federal Insecticide Fungicide and Rodenticide Act, and NEPA.
- ♦ Correspondence with constituents and assisted in casework including the Act that restored motorized herding in wilderness to ranchers.
- ♦ Interacted with Federal, State, County, Municipal, and International Governments to discuss Trade with Canada, Taiwan, Brazil, and Mexico. Resolved conflicts with Idaho counties and cities with regard to land and water management. Facilitated the Wetland Banking Collaborative which protected private property and created wetlands.
- ♦ Provided management and oversight as the Senior Policy Advisor for the Banking Committee.

U.S. Fish and Wildlife Service/USDA, Supervisory Wildlife Biologist

July 21, 1985-April 2002

- ♦ Served within U.S. Fish and Wildlife Service and USDA-APHIS.
- ♦ Twelve years as Supervisory Biologist responsible for program mission.
- ♦ Recruited, organized and managed an agency within USDA, Wildlife Services.
- ♦ Established key relationships with various entities and people to ensure success of the agency.
- ♦ Wrote NEPA documents and participated on Interagency Management Teams.
- ♦ Authored technical papers and presented such at peer conferences and received top honors and awards. Authored several technical papers published in the Journal of Wildlife Management.
- ♦ APHIS, Fish and Wildlife Service Technician

General Contractor/ Laborer

1978-1985

- ♦ Worked in many occupations and learning skills such as home construction, farming, ranching, grain mills, horse shoeing (farrier), truck driving, retail sales, coaching, hunting guide, horse trainer, sheep shearer, brick layer and many more endeavors.

Current Manager/ Owner of Rio B LLC. (Farm, Ranch and Land Company)

- ♦ Production of food and fiber through livestock and farming operation in Melba, Idaho with property in Idaho, Utah and northern Nevada. Rio B is entirely held, owned and operated by Layne Bangerter and his wife Betsy.

AWARDS

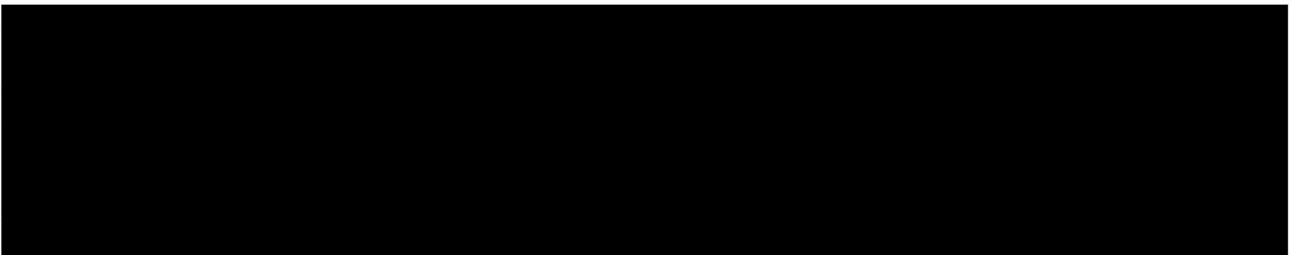
- ♦ Program Achievement Award-Jack H. Berryman Institute for Wildlife Management.
- ♦ USDA Superior Performance Awards-Multiple
- ♦ Multiple Constituent and Interagency Appreciation Awards

EDUCATION

- ♦ Brigham Young University 1989, Bachelor of Science- Wildlife and Range Resource Management.
- ♦ Scholastic and Leadership Scholarship.
- ♦ High School Diploma, American Fork, Utah –Leadership and multiple sport and academic achievements.

PERSONAL

- ♦
- ♦
- ♦
- ♦
- ♦



Salary History:



ERIK C. BAPTIST

EXPERIENCE

The American Petroleum Institute (API), Washington, DC
Senior Counsel, Office of General Counsel, January 2014 to present
Counsel, Office of General Counsel, May 2011 to December 2013

Direct litigation and legal advocacy on critical issues facing the oil and gas industry, including matters related to fuels specifications, market development of natural gas, Canadian oil sands, and environmental regulations

- Lead API's Subcommittee on Environmental, Health, and Safety Law, on which over 20 oil and gas companies participate
- Work with API members and outside counsel to determine legal strategy on issues important to industry and draft Supreme Court, appellate, district court, and amicus briefs in litigation related to those issues
- Submit comments to EPA on proposed rules relating to the Renewable Fuel Standards and other fuels regulations; file comments with FERC on regulations that affect the generation, transmission, and consumption of natural gas
- Draft letters to federal, state, and local governmental entities explaining API's legal concerns with proposed laws and regulations
- Successfully sued EPA multiple times over its mandates for refiners to use non-existent biofuels
- Provided the legal support on all aspects of API's advocacy on the Keystone XL Pipeline, including litigation strategy, presidential review process, federal legislation, coalition building, grassroots mobilization, and communications
- Negotiated settlement agreements with EPA and OSHA in separate lawsuits that API initiated

Provide legal counsel for API's legislative efforts, lobbying activities, and grassroots mobilization

- Collaborate with API staff from policy, communications, federal and state relations, external mobilization, and research and analysis departments to execute wide-ranging campaigns on mission-critical issues
- Draft legislation for congress and state legislatures on tort reform, environmental regulations, funding for government programs, and significant reform of prior legislation; develop consensus with affected constituencies to build broad coalition to support the legislation; work with congress and legislatures to introduce the bills; and prepare testimony before congressional committees on these issues
- Provide counsel to API's affiliated state petroleum councils on pending legislation and regulations, in addition to other legal issues unique to a particular state council
- Advise API on federal, state, and local lobbying activities and grassroots mobilization efforts to ensure that they comply with applicable laws and regulations

Provide legal advice for API's corporate needs and business operations

- Prepare, edit, and review press statements and published intellectual property, including television, radio, and print advertisements
- Work with API's research and analysis group to develop, monitor, and review third-party studies that support advocacy efforts
- Communicate with the press on legal issues facing the oil and gas industry
- Supervise API's response to subpoena requests from significant lawsuits and provide counsel to API staff on document retention policy
- Draft and review API's contracts with outside vendors

EXPERIENCE (continued)

The Federal Energy Regulatory Commission (FERC), Washington, DC

Attorney, Office of Enforcement, Division of Investigations, March 2009 to May 2011

Market Manipulation Investigation and Trial Related to Natural Gas Market, March 2009 to March 2010

- Worked on the investigation of the Amaranth hedge fund and the trial of Amaranth's head trader who was found to have manipulated the price of natural gas through his futures trading
- Cross-examined a key NYMEX broker at trial; deposed executive officers and directors of the hedge fund; prepared staff's chief expert for his deposition and trial testimony; and drafted motions and briefs

Market Manipulation Investigation Related to Electricity Market, August 2010 to present

- Investigated whether trading firms violated FERC's anti-market manipulation rule by allegedly engaging in uneconomic virtual transactions solely to collect profitable surplus allocations
- Deposed traders, analyzed trade data, and devised an investigative plan

Other Significant Experience

- Lead a market-wide investigation of energy companies relating to the reliability of the electric grid
- Investigated an energy company for multiple violations of federal energy laws and regulations

McDermott Will & Emery LLP, Washington, DC

Associate, Trial Department, September 2004 to January 2009

Summer Associate, May 2003 to August 2003

Retiree Health Care Benefits Litigation, December 2004 to January 2009

- Worked on all aspects of two related billion-dollar class action lawsuits brought by retirees demanding free, lifetime healthcare benefits from client
- Drafted Supreme Court, Sixth Circuit, and district court briefs; took and defended the depositions of key witnesses; assisted with the preparation of client's chairman of the board and general counsel for their depositions; and helped with preparation for trial

✓ *U.S. Department of Justice's Investigation of Body Armor Industry*, September 2006 to January 2009

- Served as primary point of contact for Department of Justice's investigation into alleged False Claims Act violations relating to client's participation in the body armor manufacturing industry and involving \$300 million in potential liability
- Prepared client's employees for witness interviews; supervised associates and translators working on matter; successfully negotiated with government to reduce the scope of its document requests; and created presentations to government on client's non-liability for settlement negotiations

✓ *U.S. Department of Justice's Investigation of Off-Label Use of Client's Drug*, March 2008 to June 2008

- Represented pharmaceutical company in response to Department of Justice's investigation of off-label use of client's drug, by leading team of attorneys in nationwide effort to interview witnesses and collect documents from their offices

Other Significant Firm Experience

- Participated in a federal court trial involving a false advertising claim against client contact lens manufacturer, by writing direct examinations of expert witnesses, preparing witnesses for courtroom testimony, and drafting pre- and post-trial briefs
- Successfully argued motions at hearings in federal and state courts for civil and criminal matters
- One of 33 associates in the firm to be recognized at associate retreat for outstanding *pro bono* work

EXPERIENCE (continued)

- ✓ **U.S. District Court for the District of Columbia, Washington, DC**
Judicial Extern to the Honorable Ricardo M. Urbina, August 2002 to April 2003
 - Drafted legal memoranda and a proposed memorandum opinion for Judge Urbina
- ✓ **District of Columbia Court of Appeals, Washington, DC**
Judicial Intern to the Honorable Eric T. Washington, June 2002 to August 2002
 - Drafted legal memoranda for Judge Washington
- The Washington Times, Washington, DC**
Research Associate, Insight Magazine, June 2001 to August 2001
 - Published three articles and edited submissions for the newspaper's weekly magazine
- The Heritage Foundation, Washington, DC**
Editorial Assistant, Coalition Relations, June 2000 to August 2000
 - Drafted summaries of articles from various sources for *The Insider* and performed editorial tasks

EDUCATION

- The George Washington University Law School, Washington, DC**
J.D., May 2004
The George Washington International Law Review, Member
George Washington Law Alumni Association, Board of Directors (2016-present)
The Federalist Society, Executive Board
Legal Research and Writing Program, Dean's Fellow
- Vanderbilt University, Nashville, TN**
B.A. (GPA: 3.5/4.0), American Studies and Sociology (double major), May 2001
Vanderbilt Alumni Association, Board of Directors (2010-2013)
College Republicans, President
Homecoming King

SPEAKING ENGAGEMENTS

- "Fuels Regulations Under Clean Air Act Section 211." *Title II: Motor Vehicles, Engines, Aircraft, Fuels, and Renewables*, American Law Institute Continuing Legal Education (ALI CLE), "The Clean Air Act: New Directions in Law, Policy, and Practice," October 22-23, 2015, Washington, DC.
- "The U.S. Renewable Fuel Standard: An Unrealistic and Unworkable Mandate." *How Green Is Your Gasoline? An Examination of Recent Developments in EPA's RFS Program*, D.C. Bar, July 14, 2015, Washington, DC.
- "A View from the Inside: An In-House Counsel's Advice on How To Improve Client Relations and Business Development," McDermott Will & Emery LLP, January 22, 2014, Washington, DC.
- "The False Claims Act: A Powerful, Far-Reaching Statute that Affects All Aspects of ITOCHU's Business," ITOCHU Compliance Conference, March 5, 2008.

BAR ADMISSIONS

- Admitted to practice in the District of Columbia and Illinois (currently inactive)

Christopher Beach

(b) (6)

PROFESSIONAL EXPERIENCE

Jan. 2017 – Present Real Clear Politics Washington, D.C.

Editor, Real Clear Education

- Responsibilities include writing original articles, editing op-ed submissions, curating content, writing a daily e-newsletter (distribution 6,000+ readers) and managing the social media accounts for Real Clear Education, which generates roughly 150,000 page views per month.

May 2010 – Present William J. Bennett

Chief of Staff to William Bennett

- Producer of the Bill Bennett Show, a weekly podcast featuring interviews with leading political figures like Vice President Mike Pence, Speaker of the House Paul Ryan and Steve Wynn.
- Co-authored with Bennett the revised and updated version of the New York Times best-seller *The Book of Man: Readings on the Path to Manhood* (Link: <http://tinyurl.com/jbrjt9p>).
- Wrote speeches for delivery at major events, including keynote addresses for leading trade associations and corporations as well as major political events. Examples available upon request.
- Ghostwrote and co-authored op-eds with Bennett which appeared in USA Today, Wall Street Journal, Politico, Fox News, CNN and other major outlets. Example: "The Hypocrisy Of Congress's Gold-Plated Health Care." The Wall Street Journal, September 2013. (Link: <http://tinyurl.com/zzbhehc>)

Aug. 2016 – Dec. 2016 Rolls-Royce

Freelance Speechwriter

- Speechwriter for Marion Blakey, CEO of Rolls-Royce North America. Researched and wrote keynote speeches for delivery at major industry events. Examples available upon request.

May 2010 – Apr. 2016 Bill Bennett's Morning in America Arlington, VA

Executive Producer

- Executive Producer of Bill Bennett's Morning in America, a nationally syndicated talk show aired on over 200 stations nationwide and rated with 3+ million listeners weekly (the seventh largest talk show in the nation). Duties included regular live on-air contributions, writing copy for on-air delivery, booking high-level guests for the show and editing and preparing audio cuts for delivery on air. Sample audio clips available upon request.

EDUCATION

Patrick Henry College
Journalism. Received May 2010.

Purcellville, VA B.A.,

REFERENCES (Additional references available upon request.)

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Nancy Beth Beck PhD, DABT

(b) (6)



SCIENCE & REGULATORY POLICY EXPERT

Ph.D. Toxicologist with over eighteen years of applied public health experience. Specialized ability to provide a broad policy perspective as well as detailed technical input. Deep understanding of scientific issues, risk assessment, and U.S. regulatory process. Accomplished in bringing a scientific dialogue to the policy discussion to inform critical decision-making. Skilled in leading and directing interagency negotiations to improve policy. Successful collaborations have involved partnerships with senior staff and policy officials throughout the Executive Office of the President and Federal agencies.

Education & Certification:

Diplomat American Board of Toxicology (DABT), November 2002, recertified Aug 2016

Ph.D. Environmental Health, *University of Washington*, Seattle, WA, 1998

M.S. Environmental Health, *University of Washington*, Seattle, WA, 1992

B.S. Microbiology (minor economics), *Cornell University*, Ithaca, NY, 1988

APPLIED TOXICOLOGY & PUBLIC HEALTH EXPERIENCE:

American Chemistry Council (ACC), Washington DC

Senior Director of Regulatory Science Policy

January 2012- present

- Leading expert for ensuring sound implementation of risk assessment practices within the Frank R. Lautenberg Chemical Safety for the 21st Century Act (signed into law June 2016).
- Develop technical and policy materials to develop sound scientific policies on science and health critical for the government assessment of chemicals.
- Oversee funding and development of projects to advance risk assessment methodologies and practices.
- Review and provide comment on various scientific assessments including EPA IRIS assessments, OPPT Risk Assessments, Report on Carcinogens documents, and international assessments to inform industry engagement with Federal Agencies.
- Serve as an expert technical and strategy resource to committees and self-funded groups on the development and improvement of scientific documents related to specific chemical assessments.
- Analyze scientific documents to identify critical scientific issues relating to improving the scientific basis to support decisions making. Provide technical assistance in protocol development, monitoring, auditing and communication of results.
- Co-lead ACC panel on advancing risk assessment and science policy regarding issues related to characterizing uncertainty, systematic review, and weight of evidence evaluations.
- Work to resolve member company concerns and problems related to chemical assessments
- Monitor, analyze, and track emerging issues, developments and trends on science policy and chemical assessment and management.
- Serve as spokesperson on behalf of ACC in front of federal agencies, congressional staff, press, international groups, scientific societies and other organizations.

Executive Office of the President, Office of Management and Budget, Office of Information and Regulatory Affairs, Washington, DC

TOXICOLOGIST/RISK ASSESSOR/POLICY ANALYST

AUG. 2002-January 2012

- Utilized toxicology expertise to bridge the science and policy gap by framing and identifying scientific issues for an active policy debate.
- Led expert for international regulatory discussions with the EU, Canada and Mexico on risk assessment and nanotechnology policy.
- Managed and led the scientific review of the toxicological/scientific analyses and risk assessments upon which rulemakings, proposals, notices, guidance documents, and information collection requests rely as part of the review by the Executive Office of the President (EOP). Included review of IRIS assessments.
- Supervised the oversight of federal agency implementation of the Information Quality Law and OMB Information Quality Guidelines.
- Coordinated and led OMB risk assessment initiatives, including oversight, authorship, coordination of working group, shepherding of draft documents through peer review and public comment, and culmination into a final OMB/OSTP Memorandum on Risk Analysis.
- Monitored and analyzed human health, environmental and safety information which appears in legislative testimony through the legislative review clearance process within the EOP.
- Provided direct scientific, risk assessment, toxicological, and environmental health assistance and interpretation to White House political appointees and senior leaders. Prepared and conducted various briefing papers and talks.

US EPA CAREER DEVELOPMENT DETAIL

US EPA, Office of the Assistant Administrator for the Office of Research and Development, Washington DC. Feb.2006-May 2006

- Reviewed and provided comments on strategies and draft documents for the EPA Assistant Administrator
- Assessed differences and similarities of risk assessment procedures among different EPA program offices, with a specific emphasis on pesticides and the IRIS processes.
- Gained critical understanding of the Office of Research and Development and its role in regulations.

AAAS (American Association for the Advancement of Science) Science and Technology Policy Fellow, Washington DC *FELLOW--US EPA, National Center for Environmental Assessment*

Sept. 2000-Aug. 2002

- Worked on toxicology projects focused on identifying health issues related to childhood susceptibilities, human variability, childrens toxicokinetics and toxicodynamics, and susceptible populations.

Washington State Department of Health, Office of Environmental Assessments, Olympia, WA

***TOXICOLOGIST/PUBLIC HEALTH ADVISOR* Feb. 1999-July 2000**

- Prepared health and exposure assessments, including site specific risk assessments, for ATSDR and the Washington State Department of Health.
- Evaluated human health risks using knowledge, risk assessment tools, air modeling programs, hydrogeology knowledge, and a strong understanding of the fate and transport of compounds in the environment and the body.
- Interacted regularly with other regulators and the general public at public meetings.

Publications, awards, and other leadership activities available upon request

Doug Benevento

(b) (6)

Education

B.A. Communication, University of Colorado
M.A. Government, Johns Hopkins University
J.D. University of Denver

Professional Experience

Xcel Energy

2010 to Present

Director of Energy Policy, Policy and Federal Affairs Office

- **Oversee Corporate Policy Development**
 - Manage a team of policy professionals
 - Analyze national utility trends and develop appropriate policies
 - Coordinate company policy for different markets and regional transmission organizations
 - Represented the company before the California legislature regarding revisions to state renewable portfolio standard
 - Drafted a FERC brief in collaboration with other utilities regarding the value of renewable energy
 - Developed a Policy Risk Registry to more rigorously track specific policy risks facing the company and identify potential impacts to customers and shareholders
 - Analyzed the Clean Power Plan's impact on utility costs at regional transmission organizations and other markets, pending federal energy legislation, and the value and environmental impact of cross-state renewable energy delivery
- **Manage Political Activities and National Association Memberships**

Manage the company's Political Action Committee and represent the company at political events and on policy panels before national trade associations

The Law Firm of Greenberg Traurig

2006 to 2010

Of Counsel

- **Practiced Environmental, Natural Resource and Administrative Law**

Counseled multiple clients on environmental and natural resource legal issues and legislative matters

 - Managed a nation-wide multi-million dollar environmental audit program for a major retailer
 - Represented clients before multiple state agencies
 - Assisted clients in developing successful government affairs strategies at the local, state and federal level
 - Assisted clients in designing and implementing strategic plans for environmental regulatory compliance
 - Represented a state-wide health care association
 - Lead counsel on an Amicus Brief to the Colorado Supreme Court arguing state pre-emption over local mining regulations, including several arguments adopted in the final ruling
 - Recognized by *Chambers USA* in the field of environmental law

Colorado Department of Public Health and Environment
1999 to 2005

Executive Director, 2002 – 2005

Director of Environmental Programs, 1999 – 2002

- **As Executive Director** responsible for management and policy of the state's environmental and public health programs
- **As Director of Environmental Programs** managed the state's Air, Water, Waste, and Consumer Protection programs
 - Managed a budget of \$300 million and 1100 employees
 - Responsible for implementation of Colorado's public health and environmental laws
 - Represented the State of Colorado before Congress on multiple environmental policy issues
 - Expedited cleanup and closure of the Rocky Flats Nuclear Weapons Plant
 - Revised Department enforcement policies to focus on achieving compliance more quickly
 - As part of a team, worked with EPA to negotiate revisions to state environmental audit law to reduce threat of EPA over-filing
 - Developed strategies to bring Colorado into attainment with all NAAQS
 - Developed health care legislation passed by the General Assembly to increase preventive care for at-risk populations in Colorado
 - Responsible for the development of strategies in response to a pandemic disease outbreak or a bioterrorism event

United States Congressman and Senator Wayne Allard
1991 to 1999

Senior Legislative Assistant, Office of Senator Allard

Staff Director, House Agriculture Subcommittee on Forestry, Conservation, Credit, and Rural Development

Legislative Director, Office of Congressman Allard

- **Focused on environmental, natural resource and agricultural issues**
 - Managed a team of legislative assistants
 - Drafted amendments protecting the primacy of states to allocate water
 - Developed legislation creating one of the largest urban wildlife refuges in the United States
 - Drafted an amendment to CERCLA legislation waiving federal sovereign immunity from state cleanup standards
 - Developed and coordinated a coalition to streamline Endangered Species Act requirements
 - Expedited funding for cleanup of the Rocky Flats Nuclear Weapons Plant
 - Developed and passed legislation establishing new wilderness areas in Colorado
 - Lead negotiator for the House of Representatives of the Conservation Title of the 1996 Farm Bill
 - Developed and passed legislation reforming the Food and Drug Administration's approval process for animal drugs

Professional Activities

- Electric Utility Regulation Advisory Group, Lawrence Berkley National Laboratory
- Vice-Chairman External Affairs Committee, Edison Electric Institute
- Director and Vice-President, Douglas County Board of Education
- Member, Colorado Bar Association
- Past Member, National Advisory Environmental Health Sciences Council
- Past Chair, Governor's Emergency Expert Epidemiology Response Committee
- Past Member and Board Member, Environmental Council of States

ELIZABETH TATE BENNETT

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**National Rural Electric Cooperatives Association, Sr. Principal, Legislative Affairs
Lead Lobbyist on Environment & Appropriations Issues**

June 2015- Present

- Designated NRECA liaison in the Coal Utilization Research Council (CURC), Utility Solid Waste Activities Group (USWAG), Washington Coal Club, Women in Agriculture and Women in Government Relations
- Builds broad coalitions of industry stakeholders with common legislative interests
- Spearheaded plan to increase NRECA engagement with Congressional Offices on social media
- Works regularly with NRECA's regulatory and legal teams on in-depth policy issues
- Drafts op-eds for placement in local co-op magazines and newsletters
- Travels regularly throughout the Midwest and Southeast for speaking engagements at co-ops
- Responsible for designating campaign contributions for all EPW/E&C/Appropriations Committee Members
- Maintains strong relationships with individual Members of Congress & senior staff within Senate and House Leadership, Senate Environment and Public Works Committee, House Energy & Commerce, Senate/House Appropriations Committees/Subcommittees, House/Senate Agriculture Committees
- Maintains strong relationships with rural & D.C. stakeholders in policy arenas of energy, environment, telecomm & agriculture
- Assisted EPA Transition Team with General Pruitt's confirmation hearing process: identified and connected Team with Congressional allies from key states. Facilitated industry op-eds and social media placement
- Utilized industry coalitions and NRECA's grassroots network to build support for final passage of WIIN
- Obtained a record 38 Senate, 218 House signatures on annual Rural Utility Service letters for FY17

Majority Leader Mitch McConnell, Legislative Assistant for Energy & Agriculture

July 2013- June 2015

- Advised Leader on the Senate Floor for votes on relevant EPA, Interior, DOE, FERC & USDA nominations
- Advised the Leader on selecting GOP Conferees to 2014 Farm Bill
- Advised the Leader during Conference of the 2014 Farm Bill. Helped him insert personal leg priorities
- Regularly staffed the Leader in weekly meetings with D.C. and KY stakeholders
- Spearheaded innovative initiatives (both legislative and non-legislative) during busy political year, including the Leader's 2014 visit (with local coal miner) to EPA HQ for a Public Listening Session on the CPP. Worked with House Coal Caucus to organize press conference outside the Capitol immediately after
- Oversaw the 2015 Senate confirmation process of Jeff Hall for the Farm Credit Administration Board
- Drafted, whipped and passed McConnell-Merkley hemp amendment to the 2014 CJS Appropriations Bill
- Protected 2014 USDA tobacco buyout settlement payments from sequestration. Wrote Sec. Vilsack and OMB Director Burwell identifying specific Budget Control Act language exempting revolving trust dollars, which includes tobacco payments, as exempt from sequester
- Met with and successfully persuaded USDA Deputy Secretary Krysta Harden to reverse a 2013 RMA decision altering crop insurance rotation requirements for tobacco
- Secured speakers from the Administration for Senate briefings including CFTC Commissioner Giancarlo
- Managed efficient, timely mail and vote rec. programs for issues; oversaw small team of leg correspondents
- Initiated a plan to build relationships with Senate Democratic staff
- Represented the Leader's Office at Senate Western Caucus meetings
- Enhanced D.C./KY perceptions of the Leader's accessibility via strong interpersonal skills, open-door policy

Congressman Andy Barr (KY-06), Senior Legislative Assistant

January 2013- July 2013

Congressman Brett Guthrie (KY-02), Legislative Assistant

June 2009-January 2013

**EDUCATION: Georgetown University Washington, D.C., Master's in Public Relations & Communications
Centre College, Danville, KY, Bachelor of Arts, Government**

Molly Block

(b) (6)

Experience

House Committee on Natural Resources, Chairman Rob Bishop, Washington, D.C

Press Secretary

March 2017 – Present

- Create media plans for legislation including Puerto Rico's economic crisis and comprehensive mining reform to organize messaging, engage stakeholders and boost the Committee's profile
- Draft speeches and op-eds for Chairman Bishop on issues including BLM methane regulations and Arctic energy development to generate national and regional news coverage
- Respond to press inquiries on behalf of the Committee and the Chairman in a strategic and timely fashion on breaking news, legislative priorities and incoming journalist requests
- Delegate responsibilities and review communications from the press shop including work from the Communications Assistant and Press Assistant in addition to training new hires
- Edit and design two weekly newsletters that are sent to a combined audience of 7,000 including constituents, industry and Hill staffers

Deputy Press Secretary

April 2016 – February 2017

- Traveled with the Chairman and military personnel to manage field hearing press operations and develop firsthand knowledge and expertise on topics within the Committee's jurisdiction
- Cultivated relationships with reporters, industry groups, and press and policy staff in the 26 Republican Member offices to promote national and regional coverage of Committee policy objectives

Press Assistant and Media Relations Coordinator

September 2015 - April 2016

- Pitched and booked Congressmen and surrogates for national and regional television and radio appearances
- Wrote and distributed media advisories for hearings on issues including the EPA's Animas River spill and offshore energy production in the Gulf of Mexico to shape the national narrative
- Increased exposure and highlighted key legislation in targeted markets by expanding press lists 30% to reach more than 1,200 national and local reporters and producers organized by outlet and issue area

Republican National Committee, Cleveland, OH

July 2016

Republican National Convention Regional Media Outreach Team – West At-Large Professional Volunteer

- Booked 23 surrogates for over 75 media appearances in 24 outlets over 4 days of convention
- Coordinated in-person satellite interviews for delegates and circulated convention communication documents with regional outlets in OR, UT, MT, WA, and WY

United States Senate, Washington, D.C.

May - August 2015

Paid Intern

- Strengthened constituent outreach through weekly meetings with interest groups about policy developments, the legislative agenda and day-to-day responsibilities on Capitol Hill
- Compiled and sent morning, midday and evening television, radio and print news clips using specialty online research tools and to Republican senate staffers

Legislative Intern

May - July 2014

- Completed extensive oversight research on critical issues such as the Inspector General's audits of Veterans Affairs' Hospitals regarding manipulated wait times

Skills: Proficient in Spanish, Adobe Creative Suite, Basic HTML

Education

University of Michigan, Ann Arbor, MI

May 2015

- B.A. in Political Science, International Studies and Spanish – Honors Program
- Thesis: Fact or Fiction: Media's Tale of an Ideologically and Affectively Polarized Society
- Pi Beta Phi Fraternity, External Relations Co-Chair

September 2011 - May 2015

SUSAN PARKER BODINE

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SKILLS

Through twenty-eight years of experience in managing environmental issues in the executive and legislative branches of government and in private practice:

- I have a thorough understanding of legislative and administrative processes;
- I am experienced in managing a staff and a budget to achieved desired outcomes;
- I am skilled at communicating both orally and in writing;
- I am experienced in negotiating with adverse parties to achieve legislative, administrative, and legal outcomes.

EXPERIENCE

Chief Counsel, Senate Environment and Public Works Committee

410 Senate Dirksen Office Building
Washington, DC 20510 January 2015-
present

The Environment and Public Works Committee has jurisdiction over all environmental and fish and wildlife protection laws as well as the Federal Highway Program, the civil works program of the Army Corps of Engineers, and other infrastructure authorities.

As Chief Counsel my job is to help the Chairman advance his legislative and oversight agenda. In particular, I negotiated the environmental streamlining provisions of the Fixing America's Surface Transportation Act (P.L. 114-94). I oversaw the Senate legislative and oversight efforts relating the Waters of the United States (WOTUS) rule, including the Federal Water Quality Protection Act (S. 1140), numerous hearings, and a Majority Report on WOTUS implementation. I negotiated many provisions of the Water Infrastructure Improvements for the Nation (WIIN) Act (S. 612). I also help supervise the committee staff.

I have written numerous op-eds, articles, and press releases to communicate the Chairman's goals. I made presentations before many different groups and spoke to reporters on behalf of the Chairman.

I have worked with outside counsel to develop and file amicus briefs for members of Congress challenging the Clean Power Plan, the Chesapeake Bay Total Maximum Daily Load, and the WOTUS Rule.

Partner, Barnes & Thornburg.

1717 Pennsylvania Ave. N.W., Suite 500
Washington, DC 20006
March 2009-January 2015

~~As a lawyer in private practice~~
As a lawyer in private practice I used my skills to interact with Congress, the U.S. Environmental Protection Agency (EPA), the U.S. Department of Justice, and the White House, on environmental legal and policy issues.

This interaction has included writing rulemaking comments; litigating final rulemakings; negotiating consent decrees and administrative settlements; drafting legislation, testimony, and position papers; and engaging with government officials on policy positions.

I also testified before Congress, wrote articles, gave frequent speeches to trade associations, and spoke to reporters on environmental issues.

Assistant Administrator, Office of Solid Waste and Emergency Response, U.S. Environmental Protection Agency.

1200 Pennsylvania Avenue, Washington, DC

January 2006-January 2009 (confirmed by the Senate on December 17, 2005).

As an EPA Assistant Administrator, I had twelve staff who reported directly to me. Through them I managed nearly 600 headquarters employees and an annual budget of \$1.3 billion to carry out EPA's programs related to brownfields revitalization, oil spill prevention and response, chemical accident prevention and preparedness, underground storage tanks; and emergency response (including Homeland Security); Superfund cleanup, and the management of hazardous waste.

During my tenure, I oversaw the development of significant rulemakings, including revisions to the Resource Conservation and Recovery Act Definition of Solid Waste, the Clean Water Act Spill Prevention, Control and Countermeasure regulations, and the exemption reporting air releases from farms under both the Comprehensive Environmental Response, Compensation, and Liability Act and the Emergency Planning and Community Right to Know Act.

In carrying out EPA's missions, I placed a priority on voluntary resource conservation and materials management programs and facilitating the return of property to productive use.

As the political head of the Office of Solid Waste and Emergency Response (now Office of Land and Emergency Management) I testified before Congress frequently, and gave numerous speeches and interviews.

Committee on Transportation and Infrastructure, Subcommittee on Water Resources and Environment, U.S. House of Representatives, Washington, D.C.

Rayburn House Office Building, Washington, DC

February 2001-December 2005: Staff Director and Senior Counsel,

January 1995 – January 2001: Counsel

As a staff director, I managed a six person staff to carry out all oversight and legislative activities relating to water resources issues, including issues related to the control of water pollution, protection of wetlands, the cleanup of contaminated property, water supply, navigation, flood control and the related activities of EPA, the U.S. Army Corps of Engineers, the National Oceanic

and Atmospheric Administration, the Natural Resources Conservation Service, and the Tennessee Valley Authority.

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Our primary focus was on legislation and oversight related to the Clean Water Act (including the section 404 wetlands permitting program); the Comprehensive Environmental Response, Compensation, and Liability Act; the Small Business Liability Relief and Brownfields Revitalization Act (P.L. 107-118) ; and various Water Resources Development Acts to authorize the civil works program of the U.S. Army Corps of Engineers.

Covington & Burling.

1201 Pennsylvania Avenue, Washington, D.C. Associate,
August 1988 – January 1995

As an associate, I represented clients involved in Superfund litigation and Clean Water Act permit appeals, counseled clients on compliance with the Resource Conservation and Recovery Act, drafted comments on proposed agency regulations, prepared clients for multi-media inspections, conducted environmental due diligence for real estate and corporate transactions, and drafted environmental contract terms.

ASARCO, Inc.

180 Maiden Lane, NY, NY
August 1983-July 1985

Paralegal in the legal department of Fortune 500 company.

EDUCATION:

University of Pennsylvania School of Law

Philadelphia, Pennsylvania J.D.,
cum laude (May 1988)

University of Pennsylvania Law Review, Editorial Board (1987-88)

Princeton University

Princeton, New Jersey

A.B, History, cum laude (June 1983)

PROFESSIONAL:

Security Clearances (former):

Top Secret

Sensitive Compartmented Information access

Bar Admissions:

District of Columbia

Commonwealth of Pennsylvania (inactive)

U.S. Court of Appeals for the District of Columbia Circuit

PERSONAL:

(b) (6)

EXPERIENCE:

U.S. Senate Republican Policy Committee

Policy Counsel, Chairman John Barrasso (R-Wyo.)

Jan. 2017-Present

- Responsible for providing in-depth analysis, strategic guidance, and policy resources related to energy, environment, agriculture and regulatory reform issues as a function of the Senate Republican leadership
- Authored policy papers on presidential nominees and regulatory issues; promoted Republican legislative priorities

U.S. Senate Committee on Environment and Public Works

Majority Counsel, Chairman James M. Inhofe (R-Okla.)

Jan. 2015-Jan. 2017

- Developed policy and conducted oversight on cross-cutting environmental, economic, and regulatory matters
- Advanced expertise in the Clean Air Act, Administrative Procedure Act, Information Quality Act, Regulatory Flexibility Act, and the Environmental Research, Development, and Demonstration Authorization Act
- Worked on the consideration of several presidential nominees for the Environmental Protection Agency (EPA)
- Responsible for the preparation of Committee hearings on EPA's science, risk assessments and advisory panels; litigation activities; cost-benefit tools; approach to cooperative federalism; consultation with small businesses; unfunded mandates; enforcement actions; and unimplemented IG and GAO recommendations
- Co-author and researcher of the following comprehensive Committee staff reports:
 - *Obama's Carbon Mandate: An Account of Collusion, Cutting Corners, and Costing Americans Billions*
 - *Forecast for COP-21: Senate Predicts Obama Climate Promises to Come Up Short Again*
 - *Lessons from Kyoto: Paris Agreement Will Fail National Economies and the Climate*

Republican Counsel, Ranking Member David Vitter (R-La.)

Jan. 2013-Dec. 2014

- Conducted oversight of and investigations into EPA management, regulatory and litigation activities, and grants
- Contributed to the consideration of presidential nominees for EPA Administrator, General Counsel, Chief Financial Officer, and Offices of Air and Radiation, Research and Development, and Environmental Information
- Co-author and primary researcher of the following comprehensive Committee staff oversight reports:
 - *A Call for Sunshine: EPA's FOIA and Federal Records Failures Uncovered*
 - *EPA's Playbook Unveiled: A Story of Fraud, Deceit, and Secret Science*
 - *The Chain of Environmental Command: How a Club of Billionaires and Their Foundations Control the Environmental Movement and Obama's EPA*
 - *Setting the Record Straight: Hydraulic Fracturing and America's Energy Revolution*

U.S. House of Representatives Committee on the Judiciary

Legal Fellow, Chairman Lamar Smith (R-Tex.)

Sept. 2012-Dec. 2012

- Worked exclusively with the Subcommittee on Courts, Commercial and Administrative Law
- Contributed to regulatory reform efforts and oversight of agencies' compliance with regulatory process
- Researched, drafted, and revised Subcommittee letters, legislative materials, memoranda, and hearing questions

U.S. House of Representatives Committee on Oversight and Government Reform

Legal Intern, Chairman Darrell Issa (R-Calif.)

Jan. 2012-Aug. 2012

- Worked with the Committee's oversight team and specialized in its energy and regulatory affairs portfolio
- Contributed to Member briefings, witness outreach, memoranda, and questions for Committee hearings
- Researched, drafted, and revised Committee letters and sections of the following Committee staff reports:
 - *Continuing Oversight of Regulatory Impediments to Job Creation: Job Creators Still Buried by Red Tape*
 - *The Department of Energy's Weatherization Program: Taxpayer Money Spent, Taxpayer Money Lost*
 - *Government Motors: A Preliminary Report on the Effects of Bailouts and Politics on the Obama Administration's Ability to Protect American Consumers*

EDUCATION:

George Mason University Antonin Scalia Law School, J.D.

May 2013

- Mason Law Scholarship Recipient; completed coursework within the Regulatory Law Concentration

University of Florida, B.A. Political Science and Criminology

May 2010

- *Cum Laude* Distinction; University of Florida Anderson Scholar; Certificate in Public Affairs

BAR ADMISSION: State of Florida

Oct. 2013

Derrick A. Bolen

(b) (6)

Experience

United States Department of Labor

February 2017 – Present

Special Assistant to the Secretary

- Liaison between Congress and the Department
- Assisted in briefing and scheduling meeting for nominees with Senators
- Assisted the Secretary in meeting his needs

Donald J. Trump for President, Inc

September 2016 – November 2016

Regional Field Director / Youth for Trump Virginia Director

- Generated activism among college students
- Managed staff and volunteers
- Coordinated events
- Organized door knocks and phone banks

Republican Party of Virginia

July 2015 – August 2016

Regional Field Director

- Establish a ground game in three congressional districts
- Managed staff and volunteers
- Coordinated events
- Organized door knocks and phone banks

Delegate Christopher Head

May 2015 – July 2015

Field Director

- Organized canvassing operations

Car Lovers Car Wash

July 2011- August 2014

Manager

- Oversaw day to day operations
- Managed staff
- Coordinated promotions and incentives

Education

Liberty University

Spring 2016

Bachelor of Arts in Politics and Policy

(b) (6)

Strategic Communications Professional

Media relations and public affairs professional with advanced writing, messaging and public speaking expertise. Hard-worker who builds teams and executes tactics to support legislative and regulatory efforts.

AREAS OF EXPERTISE

- Strategic communications planning
- Advocacy and legislative message development
- Crisis communications and rapid response
- Media relations and spokesperson training

PROFESSIONAL EXPERIENCE

American Chemistry Council (ACC), Washington, D.C.

Oct.2012 - Present

Director, Issue/Advocacy Communications

- Develops and manages comprehensive communications plans and media relations to support ACC's ongoing chemicals management legislative and regulatory advocacy work
- Seeks opportunities to market the benefits of chemical products to key audiences, including: members, value chain, elected officials, press, regulatory bodies, coalitions and partner organizations

HDMK, Washington, D.C.

March 2010- Oct.2012

Vice President

- Manages public affairs campaigns and strategic communications for clients, including: corporations, trade associations, nonprofits, coalitions, individuals, advocacy campaigns and political campaigns
- Works with clients to develop strategy and messaging on public policy issues to inform and educate: opinion leaders, partners, allies, legislators, the media and the public
- Provides media relations by building on extensive contacts and coordination of responses and statements

The Pew Charitable Trusts, Washington, D.C.

Sept. 2008 - March 2010

Senior Associate for Media Relations, The Pew Center on the States

- Managed strategic communications for advocates, policymakers and legislators for Pew campaigns and projects to achieve campaigns' policy goals, specifically the Pew Center on the States and Pre-K Now
- Wrote and placed news releases, FAQs, talking points, statements, letters and op-eds
- Developed strategic communications plans and messaging for research, analyses and public opinion polls
- Prepared Pew executives, allies and partners for media interviews and public speaking opportunities

Strong American Schools' ED in '08 Campaign, Washington, D.C.

Aug. 2007 - Sept. 2008

Deputy Press Secretary

- Managed media outreach during the 2008 presidential election for ED in 08, a 501(c)3 Rockefeller project of the Bill and Melinda Gates Foundation and the Eli and Edythe Broad Foundation
- Managed field staff and consultants in the campaign's states and at the national level
- Worked directly with elected officials, business leaders and community activists who served on the campaign's leadership/steering committee to disseminate the campaign's messages
- Served as the on-ground field coordinator and media contact at presidential debates and campaign events

DynCorp International, Falls Church, Va.

Sept. 2005-Aug.2007

Manager, Media and Government Relations

- Managed national and international media access to the company and its national/international work sites; managed external communications a variety of stakeholders
- Helped build and maintain the company's relationship with key media, government and elected officials
- Directed all internal communication to inform employees of corporate developments

CTIA - The Wireless Association, Washington, D.C.

Sept. 2004-Sept. 2005

Coordinator, Policy and Research

- Assisted in the research and writing of industry papers for issues, such as: taxes, hearing aid compatibility, disability access, child safety, wireless end-of-life management and health effects
- Assisted the vice president of policy in various administrative, scheduling and proofreading tasks

EDUCATION

Johns Hopkins University: MA in government (focus in political communication)

Virginia Tech University: BA in communication studies, minors in political science and business leadership

EPA-17-0558-B-000023

MARCELLA BURKE

(b) (6)

SUMMARY

Pre-nomination supporter of Donald J. Trump with significant oil and gas, energy, and renewables legal experience who seeks to serve the Trump Administration through a political appointment whereby I can contribute to the Administration's mission to

- reduce regulation on the energy industry;
- promote federalism through facilitating cooperation with state environmental action;
- prove false the paradigm that to be pro-energy is to be anti-environment; and
- unleash this country's valuable energy resources so the United States can be truly energy independent.

WORK EXPERIENCE

Akin Gump Strauss Hauer & Feld LLP, Houston, TX, September 2012–present (including interim year for Texas Supreme Court clerkship)

Corporate Energy Associate Counsel/Advise/Manage a diverse group of clients in the global energy sector involving oil and gas, solar power, natural resources, and fossil fuels, with a practice focus on mergers and acquisitions, divestitures, capital markets, securities regulations, corporate governance, debt and equity offerings, debt and financial restructuring, bankruptcy, and other complex corporate matters. I have served as a lead associate managing significant transactions through the Houston and Washington, DC offices.

Professional Recognition

- Named Woodrow B. Seals Outstanding Young Lawyer of Houston Award, 2017
- Texas Rising Star, Texas Monthly 2017

CLERKSHIP, FELLOWSHIPS, JUDICIAL EXTERNSHIPS

Texas Supreme Court, Hon. Don Willett, August 2014–July 2015, Law Clerk

Drafted opinions and memoranda for both Court-wide discussion and Justice Willett's personal review; briefed the Court and fielded questions at monthly conferences on circulated draft opinions.

John Marshall Fellowship, Claremont Institute Center for Constitutional Jurisprudence, August 2014

James Wilson Fellowship, James Wilson Institute on Natural Rights & the American Founding, July 2014

United States Fifth Circuit Court of Appeals, Hon. Jennifer Walker Elrod, 2011, Extern

United States Court for the Southern District of Texas, Hon. Nancy Atlas, 2010, Extern

Texas First Court of Appeals, Chief Justice Sherry Radack, 2010, Extern

MARCELLA BURKE

(b) (6)

Corporate representations include:

Upstream/ Midstream Mergers & Acquisitions

- Advise publicly held E&P in the potential acquisition of properties in the Bakken Shale, North Dakota
- Represent E&P in the acquisition of producing and undeveloped oil and gas assets in Canadian, Blaine, Kingfisher, and Major Counties, Oklahoma
- Advise publicly held midstream company on acquiring water supply, sewerage, and refuse systems midstream assets in the Appalachian Basin
- Represent natural gas liquids E&P in the acquisition of undeveloped acreage and producing properties in the Sooner Trend Anadarko Basin, Canadian, Kingfisher Counties, Oklahoma
- Representation of South Korean energy company in the negotiation of a liquefaction tolling agreement with a subsidiary of a private LNG company
- Assist private equity buyer with an equity investment in propane storage and delivery business in Kenya
- Represent publicly held E&P in connection with its joint venture with publicly held MLP
- Represent publicly held upstream oil & gas company in the acquisition of oil and gas assets through the purchase of two subsidiaries
- Represent independent oil and natural gas company in connection with the proposed acquisition of oil and gas assets from a privately held oil and gas company
- Assist publicly held E&P in raising capital to finance a transaction with Anadarko Petroleum
- Represent petroleum, natural gas, and natural gas liquids E&P in the negotiation and documentation of the divestiture of oil and gas assets
- Represent publicly held tubing company in connection with possible joint venture with major steel production company
- Represent publicly held company in connection with the potential private equity acquisition of company outstanding membership interests
- Represented the underlying company in \$100 million acquisition by an affiliate

Renewable/ Solar

- Provide assistance to Fortune 200 global power company for a loan for the construction of solar projects
- Assist utility scale solar and commercial solar energy systems provider with the negotiation and purchases of a portfolio of solar projects
- Represent publicly held company in the purchase of land holding company affiliates, which own multiple solar project sites
- Negotiate the sale of renewable projects to a major electric and natural gas utility
- Represent manufacture of crystalline silicon photovoltaic cells, roof tiles and solar panels in tax equity transaction
- Advise residential solar service provider on tax-equity raise negotiations and advice
- Advise developer in the formation of a partnership with a tax-equity investor to own a solar project
- Negotiate the sale of a private solar panel manufacturer

Financial Restructuring/ Finance

- Represent the Official Committee of Unsecured Creditors of a major petroleum and natural gas E&P in connection with Chapter 11 proceedings and its affiliated debtors
- Assist with the proposed acquisition of a privately owned petrochemical plant which produces waxes, drilling fluids, diesel, and naphtha through a Chapter 11 sale process
- Assist global provider of offshore contract drilling and liftboat services to the oil and gas industry with the sale of its assets (primarily offshore oil rigs), including evaluating potential bids
- Advised private equity client in the potential purchase of secured debt of an oil and gas company
- Represented publicly held company in negotiations of a \$1.3 billion syndicated credit facility
- Represented private aircraft company in an \$8 million aircraft acquisition financing

Securities/ Governance

- Provided securities law advice regarding 1934 Act obligations to one of the largest independent oil and gas companies in the United States
- Advise Fortune 500 independent oil and natural gas E&P company regarding compliance with securities laws, including its 1934 Act reporting and compliance obligations and its NYSE reporting and compliance obligations
- Advise publicly held propane supplier on SEC reporting and MLP governance matters
- Represent conflicts committee of publicly held company in potential acquisition by its midstream company of assets from the Sponsor
- Advise client in connection with resolution of conflicts of interest matters in connection with multiple drop down transactions
- Representation of privately owned hedge fund sponsor in a restructuring of offshore deepwater drilling company

Energy Litigation Associate (2012-2013)

- Drafted and compiled pre-trial discovery, trial motions, and pleadings for complex corporate matters
- Sat second chair in Fifth Circuit argument in which I assisted drafting the appellate brief

Semper Fi Injured Marines Fund

Much of my probono work focuses on helping military families with children with special needs get adequate health care.

- Represented a Navy Seal in an appeal from the government's claim that his heart did not qualify as an organ under the military health care statute
- Represented the special-needs daughter of a Navy captain in an appeal from the government's claim that her life-saving healthcare was not covered under Tricare
- Named firm-wide "Pro-Bono Associate of the Year" for serving as lead counsel defending disabled children of military veterans
- TIME Magazine called me a "dynamo of a lawyer" in the article, "The Pentagon's Letting Down Our Kids"
- The Atlantic called me "a smart attorney with the powerful Akin Gump law firm" in the article, "Why Congress Should Pass 'Kaitlyn's Law' Today"

EDUCATION

University of Houston Law Center, Juris Doctor, May 2012

- Harvard Journal of Law and Public Policy, editor
- Houston Law Review, editor
- Research Assistant, Administrative Law, Professor Sapna Kumar
- University of Houston Legal Writing Center, legal writing tutor
- Order of the Barons, scholastic honor society
- Received 11 scholarships: Dean's Scholarship; Academic Merit Scholarship; Houston Bar Association Auxiliary Scholarship; Aggies in the Legal Profession Scholarship; Joan Garfinkel Glantz Scholarship for best paper in civil liberties; Ron Bass Scholarship; Garland R. Walker Scholarship; Association of Corporate Counsel Scholarship; University of Houston System Award for Distinguished Service to Women; Chickasaw Nation Academic Excellence Scholarship; Chickasaw Nation Judicial Fellowship

Texas A&M University, Bachelor of Arts, May 2004

- NET Ministries: served on year-long mission trip in Australia
- Buck Weirus Spirit Award
- Distinguished Student College of Liberal Arts
- Public Policy Scholarship recipient

COMMUNITY SERVICE

(b) (6)



Please see the attached deal sheet for a list of oil and gas, energy, renewables, and corporate projects I have worked on.

Erin E. Chancellor

(b) (6)

EDUCATION

SMU DEDMAN SCHOOL OF LAW

DALLAS, TX

Juris Doctor, May 2013

- Dean's Scholarship, *Recipient*
- Science & Technology Law Review, *Symposium Coordinator 2012-2013; Staff Editor 2011-2012*
- Student Bar Association, *Finance Committee 2012-2013; Graduation Committee Chairman 2012-2013*
- American Bar Association, *SMU Law Student Representative 2012-2013*
- Dallas Association of Young Lawyers, *Board Member/SMU Law Student Representative 2011-2012*
- Sports & Entertainment Law Association, *Treasurer 2011-2012*
- Longhorn Bar Association, *Secretary 2011-2012*
- SMU-in-Oxford Summer Abroad Program, University College, Oxford, UK *Summer 2011*

THE UNIVERSITY OF TEXAS

AUSTIN, TX

Bachelor of Science in Public Relations, May 2007

- Delta Mu Sigma Honor Society, *2004-2007*
- UT Panhellenic Council, *Head Recruitment Counselor 2006; Recruitment Counselor 2005*
- Kappa Alpha Theta Sorority, *Midland/Odessa Recruitment Captain 2004-2005; Music Chair 2005*

OTHER PROFESSIONAL EXPERIENCE

PRIMALSCREAM MUSIC

AUSTIN, TX & LOS ANGELES, CA

Assistant Producer, September 2007-September 2008 & March 2014-June 2014

Coordinated and organized meetings with clients and artists, screened composers, and completed administrative duties for the business. Reviewed composer contracts. Due to the small size of the company, the position had a great deal of responsibility and variety (i.e., responsible for everything aside from music mixing and hiring talent).

ENDGAME ENTERTAINMENT

BEVERLY HILLS, CA

Executive Business & Legal Assistant, October 2008-July 2010

Tracked foreign sales for all films, reviewed and edited contracts for writers and talent, and updated sales and collections spread sheets. Arranged the schedules of three executives (President/COO, SVP of Business/Legal Affairs, and EVP of Business Development/Operations) and organized all domestic and international travel. Assisted in the creation of a new marketing venture for future films. Corresponded and arranged events with investors and completed general assistant duties. Researched above/below the line quotes and filed works with the U.S. Copyright Office. Worked with banks and law firms to secure loans and funding for films.

PROFESSIONAL AFFILIATIONS

State Bar of Texas Environmental & Natural Resources Law Section Executive Committee Member, Austin Bar Association Environmental Law Section Secretary, Austin Young Lawyers Association Member, Travis County Women Lawyers Association Member, American Bar Association Member.

COMMUNITY ACTIVITIES

The Seton Forum Member, Generation Waller Events Committee Member, Kappa Alpha Theta Austin Alumni Chapter Member, Lifetime Texas Exes Member.

■■ Kevin Chmielewski

(b) (6)

Experience

PRESIDENTIAL INAUGURAL COMMITTEE -- DECEMBER 2016 - JANUARY 2017

Events Coordinator

DONALD J TRUMP FOR PRESIDENT -- JUNE 2015 - DECEMBER 2016

Senior Advance Lead

K.P. KAUFFMAN, DENVER, CO -- JUNE 2013 - OCTOBER 2015

Director of Safety and Security

GOVERNOR MITT ROMNEY FOR PRESIDENT -- DECEMBER 2011 - NOVEMBER 2012

CONGRESSMAN PAUL RYAN

Lead Advance

GOVERNOR RICK PERRY -- AUGUST 2011 - JANUARY 2012

Lead Advance

GOVERNOR RICK SCOTT -- APRIL 2010 - AUGUST 2011

Lead Advance, Policy and Data Analyst, Deputy Director of Credentialing

LIEUTENANT GOVERNOR JENNIFER CARROLL -- APRIL 2010 - AUGUST 2011

Tour Director, Lead Advance

SENATOR JOHN MCCAIN FOR PRESIDENT -- 2007 - 2008

GOVERNOR SARAH PALIN

Advance and Production

SENATOR FRED THOMPSON CAMPAIGN -- 2006 - 2007

MAYOR RUDY GIULIANI

Advance and Production

ADVANCE AND PRODUCTION -- 2002 - 2010

President George W. Bush events; Vice President Dick Cheney events; Prince of Wales - Prince William; Library of Congress events; Governor Charlie Christ; Digital Media Designs; Susan G. Komen Race for the Cure; Bloomberg Television; Numerous Concert Tours and Television Programs

Education

UNITED STATES COAST GUARD -- 1998 - 2002

Honorably Discharged

Certifications and Licenses

U.S MERCHANT MARINE OFFICER - CAPTAIN -- 2002 - 2016

UNITED STATES COAST GUARD BASIC MILITARY TRAINING, CAPE MAY, NJ -- 1998

References

Available Upon Request

STEVEN D. COOK

DAA
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Objective – By actively participating in the legal counseling process and contributing an “as applied” perspective to problem solving, improve both the physical and moral environment of the world in which we live.

I. Professional Skill Set

Defender of the Realm

- Established network of in-house and outside counsel in the EU and Australia to oversee environmental and product safety related legal issues.
- After receiving outside counsel advice as to the “worthless” nature of indemnity, successfully pursued claim against two large European chemical companies resulting in a €40+MM recovery for the company for historical contamination.
- Established and implemented unique advocacy and litigation strategy (including establishing a non-profit corporation, BCCA Appeal Group, as the litigation vehicle) to overturn agency decisions – resulted in saving the client in excess of \$250MM while also improving air quality in Houston by having the state implementation plan shift focus to “spike” emissions.
- Executed a partially successful multi-jurisdictional litigation strategy to preserve market for product.
- Prepared and coordinated defense against enforcement actions from governmental agencies at the local and federal level in US and EU.

Truth Seeker/Truth Teller

- Involved in numerous investigations and delivered the sometimes unpleasant results to senior management. Revamped company compliance and ethics program, justified and oversaw creation of permanent staffing.
- Known as the “Angel of Darkness” due to the frequent “bad news” that is brought forward – yet they keep asking me back.

Puzzle-solver

- Preliminary engineering solution to address air quality concern required extensive capital and threatened economic viability of plant. On own initiative, brought together team of engineers, site environmental staff and business managers to work through the issues and developed a revised plan that resulted in limited capital costs, lower emissions and preserved both the operating permit and financial viability of plant.
- Developed technique of re-asking questions to ensure accurate picture is developed – due to complex nature of chemical plants and regulatory requirements often takes 4-5 times of going through the story before an accurate description of the problem is in place and an optimal solution can be found. Have had to adapt practice to different cultures around the world.

Seeker of Knowledge

- Constantly involved in the learning process as either student or teacher – obtained MBA degree while working as in-house counsel and have taught several classes as adjunct professor at University of Houston.
- Known for tying together seemingly unconnected ideas and facts to explain a problem or devise a solution.
- Participated in EU roundtable of environmental law experts from 7 countries.

1 of 2

II. Professional Experience -- More Traditional description

Steve Quake

LYONDELL CHEMICAL/EQUISTAR CHEMICALS/LYONDELLBASELL INDUSTRIES, LEAD COUNSEL GLOBAL HSE, Houston, Texas: April 1995 to Present

Currently responsible for all aspects of environmental, health and safety regulatory counseling, enforcement defense, indemnity recovery and waste site litigation for over 50 chemical, refining and polymer production facilities in the Americas, Europe and Asia including coordination of in-house team and network of outside counsel in several countries. Active participant in advocacy on environmental policy development at the state and federal level. Successfully concluded settlement with federal and state governments resolving over \$5 billion in environmental claims as part of bankruptcy emergence. Former chair of company-wide ethics and compliance committee. Additional responsibilities have included oversight of political action committee activities, lead in-house attorney on plant divestitures, and managing litigation docket of 40+ cases involving toxic torts, administrative law, product liability and enforcement.

BRACEWELL & PATTERSON, L.L.P., ASSOCIATE, Houston, Texas: July 1994 to April 1995

Environmental regulatory counseling and litigation with particular emphasis in Clean Air Act, hazardous and solid waste regulatory matters and Superfund liability, and cost recovery issues. Specialized expertise in environmental policy development with an emphasis in enforcement defense and air permitting and regulatory matters. Coordination of due diligence efforts for acquisitions.

BAKER & BUTTS, L.L.P., ASSOCIATE, Houston, Texas: November 1989 to July 1994

Member of Environmental Practice Group - Focus on regulatory compliance and administrative enforcement actions on the state and federal level. Strong emphasis on air quality matters with experience in other substantive areas including USTs, solid hazardous waste, EPCRA and criminal defense.

UNIVERSITY OF HOUSTON LAW CENTER, Adjunct Professor, Houston, TX: 1992-1996, 1999-2000, 2003, 2011, 2017

Courses taught: Clean Air Act - Federal and State, Advanced Clean Air Act Seminar and Environmental Law and Bankruptcy. Frequent guest lecturer.

DORSEY & WHITNEY, Associate, Minneapolis, Minnesota: May 1988 to October 1989

Member of Environmental & Regulatory Affairs Dept. - General environmental practice including state and federal regulatory compliance, CERCLA, USTs, FIFRA and insurance coverage litigation.

AMOCO OIL CO., Summer Research Engineer, Naperville, Illinois: June-August 1984, April-August 1985

Assisted in development of synthetic fuels plant and process for removing sulfur compounds from air emissions.

Education

J. Reuben Clark Law School, Brigham Young University, J.D.: April 1988

Class Rank - Top 3%, Magna Cum Laude
Executive Editor BYU Law Review, 1987-88
Research Assistant - mining & geothermal law, interviewing & counseling

Brigham Young University, Chemical Engineering, B.S.: April 1985

Subjects studied included nuclear engineering, air pollution, synthetic fuels and creativity.

University of Texas at Austin -- Masters of Business Administration, May 1998

2 pages

Emmeline Jones *10/12/24*

Professional Associations

State Bar of Texas

Served on the following committees:

- Chair, Legal Committee, AIPM (2015-17)
- Secretary/Treasurer, Corporate Environmental Enforcement Council (CLEEC) 2017
- Founding Director, President, Secretary, BCCA Appeal Group (2000 to present)
- Chair, Oxygenated Fuels Association Litigation Committee (2002-03)
- Manager, MTBE Joint Defense Expert Fund (2001-03)
- Director Houston Bar Association Environmental Law Section (1995-97)
- Vice-chair Texas Title V Steering Committee (1995-98)
- Texas Natural Resource Conservation Commission NOx RACT Advisory Committee (1993-94)
- Texas Air Control Board Marketable Permits Advisory Committee (1993-94)
- Texas Air Control Board Enforcement and Penalty Policy Task Force (1992)
- Alternate member of the Houston-Galveston Area Council Regional Air Quality Planning Committee (1991-94)

Contact Information

(b) (6)



K. Preston Cory

(b) (6)

WORK EXPERIENCE

Office of United States Representative Scott DesJarlais, M.D. (R-TN) **Washington, D.C./ November 2016-Present**
Legislative Assistant

- Brief Member and serve as primary staffer overseeing the House Agriculture Committee and subcommittees, General Farm Commodities and Risk Management, Livestock and Foreign Agriculture, and Nutrition
- Perform legislative research and advise Member within portfolio including, but not limited to, agriculture, energy, environment, federal lands, rural broadband and other telecommunications issues, and transportation
- Maintain strong relationships with major D.C. trade associations and major business employers including the Tennessee Valley Authority, National Cattlemen's Beef Association, National Park Service, American Farm Bureau Federation, National Rural Electric Cooperatives Association, National Rural Broadband Association, among others
- Oversee bills through the legislative process and execute cosponsorship priorities

Office of United States Representative Scott DesJarlais, M.D. (R-TN) **Washington, D.C./ April 2016-November 2016**
Legislative Correspondent

- Created district mail outreach plan and maintained an efficient response time on all correspondence leaving office
- Led numerous unsolicited mail campaigns to target interests of various constituencies of TN-04

Office of United States Representative Barbara Comstock (R-VA) **Washington, D.C./ October 2015- March 2016**
Staff Assistant

- Composed letters and Congressional Record statements on Member's behalf
- Coordinated and processed tour requests for the White House, U.S. Capitol, and other federal agencies

Camp Merrie-Woode: The Merrie Woode Foundation **Sapphire, NC/ Summer 2013**
Mountaineering Counselor

- Taught orienteering, climbing, caving, and other wilderness skills and led trips for girls ages 7-14

INTERNSHIPS

Office of United States Senate Majority Leader Mitch McConnell (R-KY) **Washington, D.C./ June- August 2014**

Office of United States Senator Saxby Chambliss (R-GA) **Washington, D.C./ May- June 2014**

Office of United States Representative Brett Guthrie (R-KY) **Washington, D.C./ Spring 2011**

VOLUNTEER EXPERIENCE

Scott DesJarlais for Congress **Washington, D.C./ June 2016-November 2016**

Ward 6 Higher Achievement Mentor **Washington, D.C./ August 2016-Present**

Washington and Lee Alumni Admissions Program **Washington, D.C./ September 2016-Present**

EDUCATION

Washington and Lee University **Lexington, VA/ Graduate 2015**
B.A. in International Politics

Extracurricular:

- Columnist and Alumni Correspondent for *The Spectator*, a conservative, quarterly publication
- 2012 Republican Presidential Mock Convention Kentucky Delegation member and event planner
- Dean's List-awarded for a semester GPA of 3.4 or higher
- Member of the University Chamber Singers, a select chamber choir that tours both domestically and abroad
- 91.5 FM Radio Show Host- won prize for best group musical performance in an open mic event

Universidad de Sevilla **Seville, SPAIN/ Winter 2014**
Winter Semester Study Abroad

Episcopal High School **Alexandria, VA/ Graduate 2011**

SKILLS & INTERESTS

(b) (6)

HENRY R. DARWIN

(b) (6)

EXPERIENCE

STATE OF ARIZONA, OFFICE OF THE GOVERNOR

Chief of Operations, August 2015 to present

ARIZONA DEPARTMENT OF ENVIRONMENTAL QUALITY

Director, December 2010 to August 2015

Deputy Director, January 2010 to December 2010

Director, Water Quality Division, April 2009 to January 2010

Administrative Counsel, February 2007 to April 2009

ADEQ Enforcement Coordinator / Manager, Air Quality Compliance Section, September 2003 to February 2007

SALT RIVER PROJECT

Environmental Attorney, Legal Services Department, March 2003 to September 2003

ARIZONA DEPARTMENT OF ENVIRONMENTAL QUALITY

Compliance & Enforcement Coordinator, Office of Special Counsel, January 2001 to March 2003

Enforcement & Rule Making Coordinator, Waste Programs Division, October 2000 to January 2001

ARIZONA ATTORNEY GENERAL'S OFFICE

Assistant Attorney General, Environmental Enforcement Section, March 2000 to October 2000

ARIZONA DEPARTMENT OF ENVIRONMENTAL QUALITY

Manager, Water Quality Compliance Section, February 1999 to March 2000

Enforcement Coordinator, Air Quality Compliance Section, September 1998 to February 1999

Enforcement Officer, Water Quality Compliance Section, Enforcement Unit, April 1997 to September 1998

EDUCATION

NORTHWESTERN SCHOOL OF LAW OF LEWIS & CLARK COLLEGE

Juris Doctor, May 1996

Certificate in Environmental and Natural Resources Law

UNIVERSITY OF ARIZONA

Bachelor of Science, cum laude, Hydrology (College of Engineering & Mines), May 1993

Member, Tau Beta Pi (National Engineering Honor Society)

PROFESSIONAL ASSOCIATIONS

National Academy of Public Administration, *Fellow*, 2016

Environmental Council of the States, *Secretary-Treasurer*, 2014-2015

Admitted to the State Bar of Arizona

AWARDS

2015 *Arizona Capital Times*, Public Policy Leader of the Year - Environment

2006 ADEQ Bronze Star Award (for promoting innovation and efficient operations)

2002 ADEQ Supernova Star Award (highest award given to an ADEQ employee)

2001 EPA Office of Enforcement and Compliance Assurance Bronze Medal (highest award given to non-EPA staff)

VERONICA G. DARWIN

(b) (6)

Experience: **August 2016 – Present** **Phoenix, AZ**

Arizona Office of Economic Opportunity

Labor Systems Administrator

. Manages the Quarterly Census of Employment and Wages (QCEW), Occupational Employment Statistics (OES), Current Employment Statistics (CES), and Local Area Unemployment Statistics (LAUS) programs in Arizona on behalf of the U.S. Bureau of Labor Statistics.

December 2013 – August 2016 **Phoenix, AZ**

Arizona Department of Administration (ADOA), Arizona

Strategic Enterprise Technology (ASET), Strategic

Oversight Financial Analyst

- . Managed the Automation Projects Fund (APF) for information technology projects including fund releases to reporting agencies.
- . Coordinated with agencies to request legislative favorable review.
- . Assisted ADOA Budget Office with development of APF related budget requests.
- . Addressed all fund related inquiries from legislature, Governor's budget office, ADOA Budget Office, and reporting agencies.
- . Prepared APF quarterly reports for submission to legislature
- . Supported day to day project management activities on behalf of reporting agencies.
- . Managed tasks to transfer AZ Department of Weights and Measures functions, FTEs, and assets to receiving agencies as part of Governor's consolidation efforts.

2008-2013 **Phoenix, AZ**

Arizona Department of Environmental Quality (ADEQ),

Waste Programs Division (WPD), Deputy Division Director

- . Supported Division Director in all aspects of Division management, including process improvements efforts.
- . Addressed individual employee related issues including discipline, promotion and Division reorganization.
- . Managed day to day budget issues in support of Division functions.
- . Represented Waste Programs Division during legislative sessions.
- . Represented Waste Programs Division during stakeholder meetings to secure program funding.
- . Represented ADEQ on the Good Neighbor Environmental Board and the Border 2012 Waste and Enforcement Task Force.
- . The Waste Programs Division has approximately 125 employees and a \$26 million annual budget to implement a variety of environmental regulatory, sustainability and remediation programs including: Water

Quality Assurance Revolving Fund, Resource Conservation and Recovery Act, solid waste, brownfields, pollution prevention, green building, green business, Voluntary Remediation Program, Illegal Dumping Abatement Program, recycling and Superfund.

2006-2008 ADEQ Phoenix, AZ

WPD Inspection and Compliance Section Manager

- . Managed Inspections and Compliance Section. Duties included Review of informal and formal enforcement documentation, tracking of performance measures, settlement negotiation, solid waste rule development and coordination with federal, state and local authorities.
- . Coordinated with ADEQ enforcement coordinator, division directors legislative liaisons, ombudsman and public information officer.

2005-2006 ADEQ Phoenix, AZ

WPD Outreach Unit Manager

- . Managed outreach staff. Duties included review of outreach materials and coordination with ADEQ Remedial Projects Section and EPA community involvement staff.
- . Organized and led community outreach activities, including public Meetings and site visits; Translated technical documents into Spanish.

1998-2005 EPA, Region IX San Francisco, CA/Phoenix AZ

RCRA Compliance Officer

- . Developed and implemented a hazardous waste border inspection program. Performed hazardous waste inspection and enforcement activities at fixed facilities.

1995-1998 GPI Yuma Proving Ground, AZ

Physical Scientist/Regulatory Specialist

- . Authored and co-authored documentation involving one or more of the Following: National Environmental Policy Act, Resource Conservation and Recovery Act, Comprehensive Environmental Response, Compensation and Liability Act, Army regulation 200-1 (Environmental Protection and Enhancement), Clean Air Act, Clean Water Act.
- . Specific projects included: facility annual hazardous waste reports, installation spill prevention, control and countermeasures plans, pollution prevention plans, phase II site characterization for two underground storage tank sites and Kofa Range sewage lagoon, environmental assessments for Kofa South Direct Fire Range, Combat Systems Test Range Complex, and Gauna Peak/Laser Site 12A.

Education: **1993-1995** Northern Arizona University, Flagstaff, AZ

- . B.S. Environmental Science-Biology emphasis
- . Graduated magna cum laude

Patrick Davis Consulting, LLC
Public Affairs * Political Consulting * Strategy * Public Relations

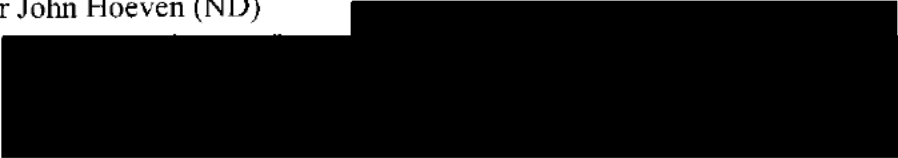
Patrick Davis served as a senior campaign advisor to the Donald Trump for President and the Colorado State Director for the Trump campaign in 2016.

The US Senate Republican majority increased from 51 to 55 while Patrick Davis was the Political Director of the National Republican Senatorial Committee during the 2004 Election cycle. In that position, Davis managed the political and strategic operations of the committee including candidate recruitment, message development, and campaign management. He directed the committee's \$35 million voter contact budget.

In 1999, Davis represented the NRSC as a Regional Political Director in ten Republican US Senate campaigns. He has been directly involved in the competitive winning US Senate campaigns of John Thune, Norm Coleman, Jim DeMint, Mike Lee, Wayne Allard, Gordon Smith, Steve Daines, Conrad Burns, Tom Coburn, Mel Martinez, Richard Burr, David Vitter, Jim Bunning, Johnny Isakson and John Hoeven.

In January, 2005, Davis founded Patrick Davis Consulting, LLC. The firm is dedicated to serving clients and candidates regionally and nationally in the areas of public affairs, political consulting, strategy and public relations. The firm is headquartered in Colorado Springs, Colorado.

Davis' recent accomplishments and partners include:

- Donald Trump for President
 - Neumann Education Foundation
 - Telephone Town Hall Meeting
 - Steve House for Governor of Colorado
 - Stan VanderWerf for El Paso County Commissioner
 - Larry Liston for State Representative
 - Colorado Liberty Alliance
 - GOPAC
 - Save our Secret Ballot
 - Set it Straight (527)
 - Americans for Prosperity
 - Chris Vance for US Senate '16
 - TrustHuckabee
 - Kyle Hybl for CU Regent '06 (CO-5)
 - Colorado Springs Strong Mayor campaign '10
 - Congresswoman Kristi Noem (SD)
 - Congressman Tim Huelskamp (KS)
 - Congressman Kevin Cramer (ND)
 - Senator John Hoeven (ND)
- 

- Senator Mike Lee (UT)
- Don Bates for Congress '12 (IN-6)
- Ron Saxton for Governor '06 (OR),
- Brian Bahr for Mayor '11 (Colorado Springs),
- Debbie Rose for Pueblo County Commission '12,
- Joe Gschwendtner for Governor '10 (CO),
- Don Stenberg for U.S. Senate '06 (NE),
- Mike Protack for U.S. Senate '06 (DE),
- Glen Urquhart for Congress '10 (DE),
- Congressman Scott Tipton '06 (CO-3),
- Jeff Crank for Congress '06 & '08(CO-5),
- Duane Sand for Congress '08 (ND),
- Bruce Whalen for Congress '06 (SD),
- Rick O'Donnell for Congress '06 (CO-7),
- Sid Leiken for Congress '10 (OR-4),
- Teresa Collett for Congress '10 (MN-4),
- State Senator Dan Lederman (SD),
- Elli Schwiesow for State Senate '06 (SD),
- The Colorado Leadership Fund,
- The Colorado Marriage Amendment,
- Missourians Against Human Cloning,
- Vote Yes for Life in South Dakota,
- Wal-Mart Store, Inc.,
- NDRx.org,
- The Boyer Company,
- W Communications,
- Jiffy Lube,
- Save our Secret Ballot,
- Target Point Consulting (Microtargeting),
- Mywireless.org,
- The grassroots campaign to support President Bush's efforts to reform Social Security.
- The grassroots campaign to defeat cap and trade.
- SpendingRevolt.com

Prior to joining the NRSC, Davis served as the Executive Director of the South Dakota Republican Party from 1995 to 1999. During this time, South Dakota Republicans increased their majorities in both houses of the State Legislature, elected John Thune to the US House, and re-elected Governor Bill Janklow.

During the 1992 Bush-Quayle Presidential campaign, Davis served as the field desk coordinator for eleven Northwestern states, and in 1990, Davis served as the Assistant to the Deputy Director of White House Political Affairs in the Bush "41" administration.

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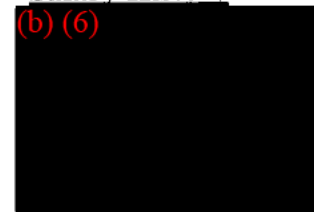
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Salary History

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Sean Thomas Dixon

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Experience

Riverkeeper, Senior Attorney

February 2014 – Present

- Primarily responsible for Riverkeeper's New York City and New York-New Jersey Harbor & Estuary work.
- Substantive work focuses on clean water enforcement, stormwater control, climate change adaptation planning, pollution abatement, ecosystem restoration, and watershed management.
- Significant experience in community engagement, environmental education, and citizen science, as well as local, state, and federal administrative practice, legislative coordination, environmental litigation.
- Directly manage full-time staff working on New York City initiatives, and supervise contractors and campaign staff working on a number of programs ranging from sustainable development to stormwater innovation.
- Broad expertise in coastal, marine, and water resources; portfolio also includes work on contaminated site remediation, habitat conservation, energy policy, endangered species, and water quality.

Village Fishmonger LLC, Co-Founder

October 2011 – August 2016

- Founder of Village Fishmonger NYC, a community supported fishery and local seafood initiative.
- Responsible for advocacy in the sustainable seafood sector, including coordination with local fisheries and fishermen, wholesalers, restaurants, retail markets, ocean advocates, legislators, and fishery agencies.
- Co-Creator and program lead for "Sustainable Seafood Week," a nationwide weeklong educational seafood celebration in NYC (2013 – 2015), and in Seattle, San Francisco, and Washington, D.C. (2015).

Clean Ocean Action, Coastal Policy Attorney

June 2010 – January 2014

- Responsible for substantive and strategic management of cases and campaigns on clean water, coastal zone, and offshore energy issues; reporting to, and working closely with, the organization's Executive Director.
- Led coastal conservation initiatives, Superstorm Sandy response and resiliency programs, and offshore energy and pollution prevention work; created and executed special campaigns and programs.
- Worked alongside and coordinated with New York and New Jersey Congressional delegations, state and local agencies and legislatures, as well as business, industry, and regional citizen advocates.

Pace Law School, Adjunct Professor of Law ("Oceans & Coastal Law")

January 2015 – Present

Clarkson University, Adjunct Professor ("Environmental Law & Policy")

June 2016 – June 2017

Yale University, Lecturer ("Ocean Policy", "Marine Resources")

January 2009 – June 2010

Education & Leadership

Pace Law School, Master of Laws (LL.M.) in Climate Change Law

May 2010

- *LL.M. Research*, Climate Change Effects on Coastal Zones and Fishery Management

Yale University, Master of Environmental Management (M.E.M.)

May 2009

- *Master's Research*, Aquatic and Coastal Resource Management in an Era of Climate Change
- *Delegate*, 2008 IUCN World Conservation Congress, Barcelona, Spain

Pace Law School, Juris Doctor (J.D.) cum laude, Certificate in Environmental Law

May 2009

- Admitted to the New York State Bar (2010)
- *Observer*, 2007 International Whaling Commission Meeting, Anchorage, Alaska

Boston University, Bachelor of Arts (B.A.) cum laude

May 2004

- *Double Major*, Earth Sciences & Marine Biology; Varsity Men's Rowing (2000 – 2004)
- *Field Research*, Ecuadorian Amazon, Galapagos, Marine Biological Laboratory (Woods Hole, MA)

Membership Officer, ABA Section of Environment, Energy, and Resources (2017 – Present)

Co-Chair, NY-NJ Harbor Estuary Program, Citizens Advisory Committee (2016 – Present)

Senior Fellow, Environmental Leadership Program (2011 – Present)

Program Chair, ABA SEER 43rd Annual Conference on Environmental Law, Salt Lake City, Utah (March, 2014)

ALEXANDER DOMINGUEZ

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EXPERIENCE

Congressman James Renacci, (OH-16)

January 2017 - Present

Legislative Assistant

- Develop legislation, draft position papers, write vote recommendations, and summarize proposed regulations for the Congressman
- Track legislation on state and federal levels and prepare weekly memos
- Provide analysis on trade, energy and environment, transportation, agriculture, and national security issues
- Coordinate daily meetings with organizations and discuss remedial action
- Draft talking points, letters of support, and press releases

Congressman James Renacci, (OH-16)

January 2016 – December 2016

Legislative Aide/Legislative Correspondent

- Wrote all constituent correspondence on legislative issues
- Supported Communications Director – compiled daily press clips, edited press releases, analyzed district demographics using Constituent Mapping
- Supported legislative staff – conducted research, tracked legislation, wrote memos to brief staff on Whip and RSC meetings

Congressman James Renacci, (OH-16)

March 2015 – December 2015

Staff Assistant/Intern Coordinator

- Drafted mass mailings and constituent letters on energy, environment, foreign affairs, homeland security, labor, and natural resources
- Sorted and sent out mail in Intranet Quorum
- Managed the flag and tour programs
- Managed the front office, greeted visitors, and ensured punctuality of Member's and staffs' meetings

Congressman James Renacci, (OH-16)

July 2014 – March 2015

Legislative Intern

- Sent weekly "Dear Colleague" letters, managed bill co-sponsorship in Excel
- Greeted visitors, answered constituent phone calls, led tours of the Capitol
- Managed the flag program

European Union Parliament, Office of MEP Monica Macovei

January 2013 - April 2013

Stagiaire

- Wrote explanatory statements on voting decisions used for floor time speeches
- Served as ghostwriter for an article published in *The Parliament Magazine*.
- Researched topics regarding EU organized crime and corruption for legislative staff.
- Wrote briefing and meeting memos for Ms. Macovei.

EDUCATION

DePaul University

June 2014

Bachelor of Arts in International Studies and Political Science

- Cum Laude
- Dean's Scholarship 2010 - 2014,
- Dean's List 2010 – 2014,
- Member of Pi Sigma Alpha

ALEXANDRA DAPOLITO DUNN

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PROFESSIONAL EXPERIENCE

ENVIRONMENTAL COUNCIL OF THE STATES EXECUTIVE DIRECTOR & GENERAL COUNSEL

Washington, DC
2014 - Present

- Visionary and dynamic chief executive of national 501(c)(6) organization and 501(c)(3) division, *Environmental Research Institute of the States*; recruited by state executive branch officials to represent their collective interests as the gubernatorial appointed U.S. state and territorial environmental commissioners.
- Nationally recognized compelling speaker and author on diverse topics in U.S. environmental law and policy, with particularly honed expertise in water quality and drinking water law, policy and regulation; water infrastructure; states' rights, regulatory development, and increasing government efficiency; energy, waste management, air pollution control, chemicals management, and stakeholder engagement and conflict resolution; and economic development, results measurement, and state environmental budgets and legislation.
- Keen ability to develop and deliver, orally and in written format, clear and meaningful policy positions, to refine and advance advocacy initiatives, and to participate in a variety of federal administrative and other forums, while gaining and maintaining the support of a politically and geographically diverse membership.
- Transformative leader able to adapt and guide organizations to meet future challenges via critical assessment of strategic, organizational, staffing, and fiscal approaches, followed by design and implementation of modernizing changes, while remaining in coordination with governing boards and motivating internal teams.
- Proven in developing partnerships, alliances, and leveraged relationships to maximize effectiveness, recognition, fiscal sustainability, and substantive impact on priority issues; known for establishing productive collaborations with Congress, agencies, business, and non-governmental organizations.
- Efficiently oversee all operations, including investments and budgets, personnel, policies, sponsorships, grants, website and social media presence, fundraising, dues and revenue strategies, board and leadership relations, strategic planning and alliances, conferences, and media engagement.
- *Lecturer in Law*, Catholic University of America, Columbus School of Law; *Associate Adjunct Professor of Law*, American University Washington College of Law; admitted before U.S. Supreme Court and federal courts; licensed in MD, DC, and NY.

ASSOCIATION OF CLEAN WATER ADMINISTRATORS EXECUTIVE DIRECTOR & GENERAL COUNSEL

Washington, DC
2010 – 2014

- As national expert in water law and policy, recruited to rebuild 501(c)(3) non-profit organization of the 50 state and six interstate water directors; carried state and interstate voice forward on critical surface water policy, regulatory, and legal issues. Engaged in legislative, regulatory, and substantive advocacy on a full portfolio of issues impacting state water quality programs; cultivated extensive partnerships.
- Developed strategic plan, built an expert staff and involved state leaders; launched website and social media; implemented investment strategy; obtained multi-year funding and enhanced revenue sources.

PACE UNIVERSITY, ELISABETH HAUB SCHOOL OF LAW DEAN, ENVIRONMENTAL LAW & ADJUNCT PROFESSOR OF LAW

White Plains, NY
2007-2010

- Directed *U.S. News* #3 in the U.S. environmental law program and its *Center for Environmental Legal Studies*, designed Masters of Law programs and Juris Doctorate courses in all areas of environmental and energy law.
- Expert on regional and state water quality and quantity issues; spoke and published on clean water policy; oversaw Brazil and China programs; handled student advising, alumni, budgets, grants, and alliances.
- Governance member of *Pace Academy for Applied Environmental Studies*; *Kheel Center on Resolution of Environmental Interest Disputes*; and *Environmental Consortium of Hudson Valley Colleges & Universities*.

NATIONAL ASSOCIATION OF CLEAN WATER AGENCIES

Washington, DC, 2001 – 2007

- First in-house General Counsel for non-profit association of municipal clean water agencies serving the majority of the sewered U.S. population, professional affiliates, and supporting organizations.
- Interacted extensively with federal agencies, Congress, media, nongovernmental organizations, and municipal counsel and engineers, worked with Board of Directors and Legal Committee, prepared testimony for commissions and scientific bodies; oversaw a high volume litigation portfolio.
- Identified new revenue sources, developed national workshops and conferences on current and emerging issues; conceived, directed, and published resource guides of significant member value.

AMERICAN CHEMISTRY COUNCIL

Arlington, VA, 1996 – 2001

- Counsel responsible for litigation, legislative work, and regulatory counseling on environmental topics for companies comprising 90% of U.S. chemical production, case load in U.S. Supreme Court and federal courts.

WINSTON & STRAWN

Washington, DC, 1994 – 1996

- Counsel to business clients on environmental litigation, legislation, and regulation. Summer Associate, 1993.

REPRESENTATIVE PROFESSIONAL ACCOMPLISHMENTS

PUBLICATIONS: *The Lawyers' Role in Representing Communities*, chapter in *ISSUES OF LEGAL ETHICS IN THE PRACTICE OF ENVIRONMENTAL LAW*, 2D ED. (ABA, 2017) (w/H. Heineken); *Engines of Environmental Innovation: Reflections on the Role of States in the U.S. Regulatory System*, 32 PACE ENVTL. L. REV. 435 (2015) (w/C. Culleen); *Advancing the Environmental Rule of Law: A Call for Measurement*, 21 SW. J. INT'L L. 283 (2015) (w/S. Stillman); *Postcards from the Edge: Perspective to Reinvigorate Clean Water Act Cooperative Federalism*, 4 GEO. WASH. J. ENERGY & ENVTL. L. 68 (2013) (w/M. Boian); *Environmental Justice in Permitting: State Innovations to Advance Accountability*, 81 MISS. L. J. 747 (2012) (w/A. Weiss); *Siting Green Infrastructure: Legal and Policy Solutions to Alleviate Urban Poverty and Promote Healthy Communities*, 37 B.C. ENVTL. AFF. L. REV. 41 (2010); *Investment in Water and Wastewater Infrastructure: An Environmental Justice Challenge, a Governance Solution*, 24 NAT. RESOURCES & ENV'T 3 (2010) (w/E. Derrington).

SPEAKING: *Testimony*, Senate Committee on Environment and Public Works, June 2015 (Coal Ash Management); *Testimony*, Federal Energy Regulatory Commission, February 2015 (Clean Power Plan); *Reacting to Coastal Disasters*, 21st Fall Conference, ABA (2013); Discussion Leader, *Environmental Conflict Resolution*, World Justice Forum IV, The Hague (2013); Paper, *Ownership of Water and Wastewater Infrastructure: How Environmental Governance and Rule of Law Can Promote Equity and Justice*, IUCN Academy of Environmental Law (AEL), Wuhan, China (2009); Paper, *Using Green Infrastructure to Alleviate Poverty and Promote Healthy Communities: Legal and Policy Solutions for the Urban Environment*, IUCN AEL, Mexico City (2008).

REPORTED CASES: Represented parties, intervenors, or *amicus curiae* in over 25 environmental cases, including *National Ass'n of Home Builders v. Defenders of Wildlife*, 549 U.S. 1105 (2007); *South Florida Water Management District v. Miccosukee Tribe of Indians*, 541 U.S. 95 (2004); *Whitman v. American Trucking Associations, Inc. et al.*, 531 U.S. 457 (2001); *Appalachian Power Co. v. EPA*, 208 F.3d 1015 (D.C. Cir. 2000).

LEADERSHIP: Executive Committee, *American College of Environmental Lawyers*, October 2016-present; Executive Committee, *Environmental Law Institute* (2014-present); first non-profit and second youngest Chair (2013-2014) of the 10,000 member *ABA Section of Environment, Energy, and Resources*; past Officer and member of Circle of Champions, *U.S. Water Alliance*; Fellow, *American Bar Foundation*.

EDUCATION

COLUMBUS SCHOOL OF LAW, Catholic University of America, DC, Juris Doctor, *magna cum laude*, 1994.

- Editor-in-Chief, *Catholic University Law Review*; Faculty Award for *Outstanding Woman Law Graduate*

JAMES MADISON UNIVERSITY, Harrisonburg, Virginia, B.A., Political Science & French, *cum laude*, 1989.

Nicholas A. Falvo

PROFESSIONAL EXPERIENCE

58th Presidential Inaugural Committee, Washington, D.C.

Deputy Assistant Director of Transportation for Public Events 2016-2017

- Implemented transportation plan for all public events relating to the 58th Presidential Inauguration, including the welcoming concert and inaugural parade
- Planned and coordinated logistics for all equestrian participants

Donald J. Trump for President/Lincoln Strategy Group, Wilmington, N.C.

Regional Manager, 2016

- Organized paid canvassing operation in New Hanover County on behalf of the Republican National Committee, Donald J. Trump, Senator Richard Burr, and Governor Pat McCrory
- Managed over 80 staffers; responsibilities included training, deployment, and payroll

Career Education Colleges and Universities, Washington, D.C.

Director of Government and Regulatory Affairs, 2016

- Researched, authored, and submitted regulatory comments, advocacy materials, and position papers for distribution to Congressional offices and federal agencies
- Coordinated teams of key players within the organization and outside counsel to advance the association's legislative and regulatory priorities
- Established, updated, and maintained the association's legislative and regulatory agenda before Congress and the Administration

Akerman LLP, Washington, D.C.

Legislative Counsel, 2011-2015

- Developed and implemented federal relations strategies for multi-interest public-policy practice
- Advised clients on federal legislative regulatory developments in areas related to higher education, financial services, energy, global trade and commerce, and budget and appropriations
- Provided clients with interpretations of judicial and regulatory decisions

American Insurance Association, Washington, D.C.

Legislative and Regulatory Analyst, 2008-2010

- Monitored legislative, regulatory, and industry activity for property/casualty insurance, analyzing its impact on member-company operations, and communicating requirements appropriately
- Maintained daily contact with state affairs and legal departments to keep compliance information timely and accurate for association members and subscribers
- Managed the association's legislative and regulatory database which contained summaries, status, and compliance implications of state and federal legislation and regulations

Van Scoyoc Companies, Washington, D.C.

Summer Associate, 2006; 2007; 2008

- Drafted language that was incorporated into the Higher Education Reauthorization legislation
- Supported planning and executing policy conferences

Congressman Thaddeus G. McCotter, Washington, D.C.

Legislative Staff, 2003-2005

- Prepared the Representative's floor comments and drafted constituent response letters and press releases on policy matters
- Researched and tracked legislation involving immigration, commerce and manufacturing, financial services, Constitutional, federal government oversight, and Veterans affairs matters
- Coordinated direct mail program and constituent database

EDUCATION

Ave Maria School of Law, Naples, FL (Formerly Ann Arbor, MI)

Juris Doctorate, 2008

- Bar Membership, District of Columbia Bar, Active from 2012

Hillsdale College, Hillsdale, MI

Bachelor of Arts, History, 2001

VOLUNTEER EXPERIENCE

- Honor Flight Network, DC Ground Crew 2013-current
- Congressional Woman's Softball Game, Board of Directors, 2013-current
- US House Softball League, Pro-Bono Counsel 2012 – current
- Congressional Baseball Game, Coach 2003-2009
- Midtown Softball League, Commissioner, 2013-2015

ROBERT A. "DREW" FEELEY

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PROFESSIONAL EXPERIENCE

U.S. House of Representatives Committee on Oversight & Government Reform Washington, D.C.
Counsel – Interior, Energy & Environment Subcommittee (Majority Office) August 2015-present

- Issues worked on include Flint drinking water crisis/Safe Drinking Water Act reform, EPA "sue and settle" reform, federal employee misconduct, Waters of the U.S., EPA Science Advisory Board oversight and reform, Gold King Mine disaster, Paris Climate Agreement oversight, Clean Power Plan, Endangerment Finding oversight, NEPA, sound science, Keystone XL pipeline oversight, chemicals, renewable energy, minerals/mining, FOIA, and federal workforce reform
- Responsibilities include hearing preparation and staffing, member briefing, coordinating investigations (correspondence, briefings, transcribed interviews, document review), drafting legislation, and assisting with Committee reports

U.S. Senate Committee on Small Business & Entrepreneurship Washington, D.C.
Counsel (Majority Office) January 2015-August 2015

- Issue areas included regulatory reform, energy and environmental policy, lending, contracting, nominations, tort reform, oversight/investigations and Committee procedure
- Uncovered unfavorable information on a nominee for a top SBA position that forced the White House to withdraw the nominee from consideration

U.S. Senate Committee on Environment & Public Works Washington, D.C.
Law Clerk (Republican/Minority Office) May 2014-December 2014

- Assisted with oversight, press releases and letters, hearing preparation, and research on EPA rulemakings
- Assisted in researching, outlining and drafting Minority reports entitled "The Chain of Environmental Command: How a Club of Billionaires and Their Foundations Control the Environmental Movement and Obama's EPA" (July 30, 2014), and "Setting the Record Straight: Hydraulic Fracturing and America's Energy Revolution" (October 23, 2014)

Alabama Policy Institute Birmingham, AL
Adjunct Scholar January 2014-March 2014

- Authored white paper analyzing the Affordable Care Act: "Understanding the Patient Protection and Affordable Care Act and its Impact on Individuals and Small Businesses" (February 2014)

Wilson & Berryhill, PC

Birmingham, AL

Attorney December 2007-January 2014

- Managed complex and diverse civil caseload as the primary attorney through case resolution
- Continuing Legal Education (CLE) instructor in areas including immigration, labor/employment law, FMLA, ADA, workers' compensation and Medicare

Bar Admissions: Alabama (2007)

EDUCATION

University of Alabama School of Law Tuscaloosa, AL
Juris Doctor May 2007

- LAW & PSYCHOLOGY REVIEW

2006-2007: Managing Board – Student Articles Editor

Student Article: "Personality, Environment, and the Causes of White-Collar Crime,"

30 LAW & PSYCHOLOGY REVIEW 201-213 (2006)

- Bench & Bar Legal Honor Society
- Alabama Law Institute: Student Research Assistant (2006-2007)

Emory University

Bachelor of Arts: Political Science & History Double Major

Atlanta, GA
May 2004

- Pi Eta Sigma & Phi Alpha Theta Honor Societies
- Student Government Association: Class Representative (elected junior & senior years) • College Republicans

Lincoln Ferguson

Contact

LINCOLN FERGUSON

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Qualifications

- *Thorough knowledge of mediacommunications*
- *Excellent people skills*
- *Extensive knowledge/understanding of state and local hot button issues*
- *Adept at using Adobe CreativeSuite and Microsoft Office*

- *Experience dealing with quickdeadlines and high-pressured environments*

Education

BACHELOR OF ARTS

Journalism

Minor in Political Science

University of Oklahoma

2009-2013

Recent E xperience

Press Secretary

Attorney General's Office | Oklahoma City, OK | July 2016 - Current

Serve as a liaison between the media, the public, and government. Lead the efforts of the Attorney General's Office communications team in speech and column writing and operating all social media platforms. Actively plan opportunities for the Attorney General to speak around the state and country to various organizations. Serve as the official spokesperson for Attorney General Pruitt and the Attorney General's Office.

Deputy Press Secretary

Attorney General's Office | Oklahoma City, OK | Sept. 2014 - June 2016

Assisted in writing speeches, opinion editorials, social media posts and organizing press events. Worked closely with newspaper, television and radio reporters. Assisted in open records requests.

Producer

KFOR, Newschannel 4 | Oklahoma City, OK | May 2013 - August 2014

Created, organized and wrote three hours of content as the producer of Newschannel Four's morning newscast. Part of the Emmy Award-winning team that brought Oklahomans around-the-clock coverage of the 2013 tornado outbreak.

Experience

Writer

KFOR, Newschannel 4 | Oklahoma City, OK | Aug. 2011 - May 2013

Assisted producers with all nightly newscasts. This includes writing content,

dispensing scripts, and operating the TelePrompter.

Public Relations/Marketing Intern

Gaylord College, University of Oklahoma | Norman, OK | Jan. 2013 - May 2013

Created a weekly newsletter for all Gaylord students, staff and alumni. Designed numerous posters and promotional materials for various events. Assisted PR director with various tasks.

News Intern

KFOR, Newschannel 4 | Oklahoma City, OK | May 2011 - Aug. 2011

Observed and participated in daily duties including producing, reporting, directing and editing.

Awards/Accomplishments

- LOYAL, Class XII
 - 30 Under 30 Award - *presented by Oklahoma magazine*
 - President, University of Oklahoma JayMac Alumni Association Board
 - Brothers Under Christ Alumni Association Board Member
 - Heartland Emmy for Breaking News Coverage
 - Heartland Emmy for Continuing Coverage
- (Both were awarded to the KFOR team in 2014 for coverage of the May 2013 Moore tornado.)

SENIOR ADVISOR TO THE ADMINISTRATOR FOR OPERATIONS AND IMPLEMENTATION

SUPERVISORY CONTROLS

Receives broad general direction and policy guidance from the Administrator. Within this framework, the incumbent is allowed wide latitude in the exercise of initiative and judgment in performing assigned duties in a highly independent manner. Work is subject to review only for attainment of overall objectives and compliance with broad policies.

MAJOR DUTIES AND RESPONSIBILITIES

1. Serves as Senior Advisor to the Administrator. In this capacity, incumbent provides confidential personal and operational assistance to the Administrator. This involves the performance of a wide variety of duties, consisting of attending all events and meetings alongside the Administrator, assessing the impact and subsequent relevance of all matters discussed, and suggesting to the Administrator possible avenues of approach and coordinating implementation efforts. Follows up by conferring with the Assistant and Regional Administrators and/or their offices, discussing these issues and providing them with information developed through personal contacts.

2. Serves as personal representative of the Administrator with high ranking officials of the Agency, Members of Congress and White House representatives; scientific and research groups and associations; foreign governments and others.
3. Prior to all events and meetings, gathers talking points from relevant Agency officials and briefs the Administrator. Using continuous knowledge of emerging legislation and regulatory issues of interest to the Administrator, anticipates areas of concern and provides recommendations to the Administrator.
4. Coordinates talking points and remarks for all events and meetings that the Administrator attends. Works with the Office of Public Affairs and the Office of Public Engagement to determine the appropriate message for each event and briefs the Administrator on these remarks. Uses personal knowledge of the Administration's priorities to guide the message.
5. Provides advice to the Administrator and identifies problem areas, develops and recommends solutions and specific policies in relation to highly urgent and sensitive operational and/or implementation matters. Arranges for the implementation of specific policies and recommendations, including making action assignments to the appropriate organizational element.
6. Advises the Administrator as to significant observations and presents background information, personal opinions, and advice concerning program responsibilities and operations. As directed, provides direction to and/or leads staff in the analysis of implementation problems or issues reaching the Administrator's Office.
7. Performs other duties as assigned.

Jenifer L. Fields, P.E.

(b) (6)

A large black rectangular redaction box covers the contact information of Jenifer L. Fields, P.E.

PROFILE

Highly skilled program manager for the Pennsylvania Department of Environmental Protection (DEP), with 25 years of innovative and results driven accomplishments directed through subordinate section chiefs and supervisors and the activities of the Permits, Planning, and Operations, Monitoring and TMDL sections, to ensure compliance with the Commonwealth's rules and regulations governing water management. Proven leader with 30 years of experience in resource and personnel management, developed through 10 years as an officer in the U.S. Army (active and reserve), achieving the rank of Captain, and through increasing responsibilities and promotions at DEP. Effective manager, leader and facilitator with extensive governmental and political experience and proven ability to develop, communicate and implement solutions in a variety of situations, with limited time and resources.

EMPLOYMENT HISTORY

***2006-Present Regional Manager, Clean Water Program, Pennsylvania
Department of Environmental Protection, Norristown, PA***

Responsible for a staff of 40, including engineers, inspectors, compliance specialists, and program specialists; handling all aspects of municipal stormwater, sewage and industrial wastewater planning, permitting, compliance and enforcement; assists with policy development. Administered the NPDES program through developing, reviewing and defending NPDES and construction permits for the Southeast Region of DEP.

- Decision authority on hundreds of program actions each year including: sewage and industrial treatment permit applications, stormwater permits, municipal sewage plan revisions, compliance actions, and certification of grant projects for funding.
- Engaged legislators, news media, municipal and industrial officials, consultants, facility operators, residents, EPA, Delaware River Basin Commission, and county agencies regarding planning, permitting, grants, and operation (inspections and enforcement) functions of the water management program.
- Launched a pilot program for field staff using mobile devices for inspections to provide immediate feedback to the regulated community, resulting in more timely notification and correction of violations, limiting permittee liability.
- Actively coordinated efforts between DEP programs as well as other agencies, for example, coordination between Clean Water and Brownfields Cleanup program to ensure timely, appropriate and

comprehensive activities leading to effective remediation and redevelopment of brownfield sites.

- Spearheaded the creation and launch of a 180-hr wastewater operator training program at community colleges in PA, successfully improving both the pass rate on licensing exams as well as the recruitment, retention and knowledge base of operators in this career field, significantly improving treatment plant operations and quality of discharged effluent.
- Developed/negotiated improvements to the statewide MS4 program, which, in addition to a robust training program offered to municipalities and consultants, resulted in better understanding of obligations, reduced deficiencies and improved water quality.
- Restructured the Clean Water Program to improve efficiency despite staff reductions and increased program responsibilities.
- Prepared status reports on clean water program activities and decisions, including submissions to the weekly report and hot issues tracking system.
- Reviewed and commented on draft rules, regulations, policies, procedures and guidance.
- Delivered presentations and training on DEP programs such as municipal stormwater and sewage facilities planning and management.
- Administered personnel activities including: employee performance reviews, disciplinary actions, time and attendance tracking, interviewing, and training.
- Prepared annual budgets, managed program equipment including vehicles, sample analyzers and supplies.
- Lead trainer for Activated Sludge in Pennsylvania, specializing in training older operators.

1999- 2006 Chief, Permits Section, Water Management, Department of Environmental Protection (DEP), Norristown, PA

Responsible for supervising a staff of nine sanitary engineers in the Permits Section; involved with the technical review of permit applications, including recommendations regarding permit issuance; ensured that the technical reviews performed, and recommendations developed by staff, were based on state and federal regulations, guidelines and policies, and best professional judgment. Drove statewide permit changes to improve permittee compliance.

1993-1999 Sanitary Engineer, Permits Section, Water Management, Department of Environmental Protection (DEP), Conshohocken, PA

Conducted technical reviews of permit applications; made recommendations regarding permit issuance; conducted field inspections. Developed permit process improvements. Chosen to lead the program through the transition of application processing to an on-line platform. Served as an inspector and the program liaison to Pennsylvania Emergency Management Agency for hurricane Floyd response. Chosen to be the program lead participating in the development and transition of the state to an on-line application management system.

1995-2000 *Supply Officer, U.S. Army Reserve, Wilmington, DE*

Responsible for a staff of seven; developed and managed a government contract for the boarding, feeding and transport of soldiers attending the annual training of the Army Nursing Program; Property Book Officer for the 5th Brigade inventory of medical supplies and information technology equipment worth over \$300,000; awarded the Meritorious Service Medal.

1993-1995 *Supply/NBC Officer, U.S. Army Reserve, Wilmington, DE*

In addition to managing supplies for the 431st Chemical Support Unit, served as the ANBACIS (Automated Nuclear Biological And Chemical Information System) manager conducting risk analysis and vulnerability using Nuclear, Biological and Chemical data.

1989-1992 *Platoon Leader, U.S. Army, Fort Carson, CO*

Responsible for the welfare of 18 soldiers. Planned the cleanup and disposal of hazardous materials, wastes and grounds; managed a bulk petroleum supply yard and a rations warehouse; chosen to serve as Company Detachment Commander for Operation Dixie Thunder (1990) and as the Battalion Detachment Commander during Reforger '91.

EDUCATION

PA Professional Engineer License -- 1999
U.S. Army Quartermaster Officer Advanced Course -- 1999
PA DEP Leadership Development Institute -- 1999
U.S. Army Airborne School -- 1990
U.S. Army Quartermaster Officer Basic Course -- 1990
B.S. Civil Engineering - University of Delaware --1989

Hayley Ford

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PROFESSIONAL EXPERIENCE

WealthEngine

Recruiting Manager / HR Generalist

Bethesda, MD

May 2016 to Present

WealthEngine is the leading provider of predictive marketing analytics and wealth intelligence services.

- Lead all recruiting efforts to fill hiring needs in sales, marketing, product/tech, finance, HR and professional services, hiring for interns through VP-level positions
- Proactively source candidates, create and maintain pipelines, partner with hiring managers, engage and evaluate candidates, extend and negotiate offers, and manage logistics of interview and hiring process
- Manage and evaluate onboarding of new hires and fine-tune curriculum for successful transition into company
- Launched new web-based performance management tool and effectively rolled out to entire company
- Designed and launched brand new company careers website
- Perform HR Generalist responsibilities as needed and serve as main HR POC within organization
- Assist sales and marketing teams with sales enablement initiatives as needed

KPMG, LLP

Manager, Human Resources – Campus Recruiting

McLean, VA

October 2014 to May 2016

Senior Associate, Human Resources – Campus Recruiting

August 2011 to September 2014

KPMG is a global network of professional firms providing Audit, Tax and Advisory services.

- Managed all tax hiring for the DC/McLean office, including nine different service networks and four different pools of candidates, hiring for interns through manager-level positions; Identified candidates, maintained pipeline, hosted visits, led offer conversations and candidate evaluation, extended and closed offers, managed internship program, and regularly collaborated with onboarding and field HR
- Developed relationships with office tax practice leaders, gained understanding of their business and hiring needs, determined recruiting strategy, provided periodic analysis of hiring results and managed office recruiting budget
- Co-led national hiring efforts for advanced degree tax candidates at TIP/TARE programs in DC annually; Co-led task force of 4 recruiters, coordinated selection process for all offices nationwide, and supervised coordination of professional involvement
- Led firm recruiting efforts, coordinated all events, and managed pipeline of students from identification to full-time hiring at University of Maryland, a Platinum Premier (top level) hiring school for the firm; Hired over 100 students annually from Maryland for accounting, IT and finance positions; Increased hiring by 50% in 3 years; Organized and executed visit of U.S. firm COO to campus
- Developed and maintained relationships with deans, faculty, and staff at assigned schools; Received highly regarded award from University of Maryland Smith School of Business for exceptional recruitment efforts
- Guided 150 summer interns annually through the onboarding process
- Mentored team members and served as People Management Leader for DC/McLean recruiting team; Nominated for 2016 National Mentoring Award by counselee
- Nominated for 2015 Chairman's Award for High Performance
- Selected by lead M&A Tax partner to instruct senior-level tax professionals bi-annually in presentation and general instructor skills
- Guided recruiting team through 2 successful Department of Labor OFCCP compliance reviews

Senior Associate / Associate, Federal & Commercial Audit

Washington, DC / Milwaukee, WI

September 2009 to August 2011

Intern, Audit

June 2008 to August 2008

- Planned and provided audit services primarily in the industries of federal government, state and local government, manufacturing, financial services, and retail, including publicly traded companies
- Participated in year-end financial audits, quarterly reviews, SOX controls testing, and A-133 audits
- Reviewed workpapers prepared by audit staff and interns, provided quality feedback, and conducted engagement evaluations
- Passed Certified Government Financial Manager (CGFM) examination
- Selected to attend Global Audit New Hire Training in Rome, Italy prior to beginning client work
- Selected as Campus Ambassador to Marquette University following audit internship with responsibilities including: Identifying quality audit and tax candidates, meeting with candidates to discuss my experiences and helping them with their decision process, and planning and hosting on-campus recruiting events

EDUCATION

Marquette University

Bachelor of Science in Business Administration

Majors: Accounting and International Business Minor: French

Cumulative GPA: 3.96 Majors GPA: 4.0

Milwaukee, WI

Graduation Date: May 2009

CERTIFICATIONS / COMMUNITY SERVICE

Certified Public Accountant *District of Columbia*

Catholic Diocese of Arlington *Workcamp Adult Crew Leader*

St. Charles Borromeo Parish *Youth Group Leader*

Bridges to Independence *Adult English Tutor*

Inactive as of January 2017

June 2013, 2014, 2015, 2017

September 2012 to January 2017

January 2014 to April 2015

Salary History:

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Work Experience

February 2011 - Present: Of Counsel - HBW Resources. Provided legal and policy guidance to an array of clients related to the Oil Pollution, Energy, Water Resources, Property Rights, Space, Defense, maritime policy, transportation policy, Electric Vehicle use, natural resource management, and Federal appropriations. (1) Represented clients before Congress, in major litigation, numerous federal agencies, and the White House. (2) Developed extensive briefing materials and policy papers on the Oil Pollution Act, energy, space policy, defense policy, maritime and environmental policy. (3) Represented clients' interests in the development of legislative strategies and regulatory approaches before various House and Senate committees, House and Senate leadership, the White House, Executive branch agencies, and numerous state and local officials. Also was retained as a subject matter expert on the Oil Pollution Act in major litigation stemming from the Deepwater Horizon Oil Spill.

January 2006 – January 2011: Partner Nossaman L.L.P. /O'Connor and Hannan L.L.P. Provided legal and policy guidance to an array of clients related to contaminated sediments management, natural resource management, property rights, aviation, maritime policy, transportation policy, disaster response, logistics, toxic cleanup, , and Federal appropriations. (1) Represented clients before Congress, numerous federal agencies, and the White House. (2) Developed extensive briefing materials and policy papers on maritime and environmental policy. (3) Represented clients' interests in the development of legislative strategies and regulatory approaches before various House and Senate committees, House and Senate leadership, the White House, Executive branch agencies, and numerous state and local officials.

✓ **November 2003 - April 2005: Presidential Appointment as Assistant Administrator for Legislative Affairs, NASA.** Provided direct legislative and policy guidance to the NASA Administrator on all aspects of policy that may have Congressional interest, particularly implementation of the "Vision for Space Exploration." Successfully worked with the White House, industry, and Congressional supporters to achieve affirmation of and full funding for the "Vision for Space Exploration" for Fiscal Year 2005. (1) Was the principal representative of the Agency before Congress, The Office of Management and Budget, numerous other federal agencies, and the White House on matters related to legislation. (2) Developed extensive briefing materials and policy papers on a major Presidential initiative. (3) Represented NASA's interests in development of legislative strategies and regulatory approaches before various House and Senate committees, House and Senate leadership, the White House, Executive branch agencies, and numerous state and local officials. (4) Supervised a staff of 42 in the implementation of all of aspects of NASA's legislative and intergovernmental activities.

April 2005 – December 2005 and April 1999 - November 2003: Special Counsel/Partner, Adams and Reese L.L.P. Provided legal and policy guidance to an array of clients related to water resources, toxic cleanup, contaminated sediments management, natural resource management, maritime policy, transportation policy, logistics, and Federal appropriations. (1) Represented clients before Congress, numerous federal agencies, and the White House. (2) Developed extensive briefing materials and policy papers on maritime and environmental policy. (3) Represented clients' interests in the development of legislative strategies and regulatory approaches before various House and Senate committees, House and Senate leadership, the White House, Executive branch agencies, and numerous state and local officials.

✓ **January 1995 - April 1999: Majority Counsel (Water), Committee on Transportation and Infrastructure, U.S. House of Representatives.** Provided legal and policy advice to Members of the House of Representatives on issues related to clean water, wetlands, property rights, toxic waste cleanup, water resources and drinking water infrastructure, Coast Guard, emergency management, oil pollution, and maritime policy. Responsibilities included (1) drafting legislation, committee reports, floor statements, and other forms of legislative history; (2) briefing the House leadership on the impact of numerous budget and policy proposals on environmental and maritime policy; (3) preparing and organizing legislative hearings on, and Committee markups of, pending legislation; and (4) representing committee members in development of legislative strategies and regulatory approaches with House and Senate leadership, the White House, Executive branch agencies, and numerous state

and local officials. Worked extensively with numerous interest groups from all points of the political spectrum to ensure all perspectives were given fair consideration.

✓ **January 1991 - January 1995: Full Committee Counsel on Lands and Forestry, Minority Staff, Committee on Natural Resources, U.S. House of Representatives.** Provided legal and policy advice on forestry, wetlands, endangered species, Alaskan public lands, and other environmental issues to minority committee members. Drafted legislation, amendments, issue papers, hearing preparation briefs, and other supporting documents on legislative issues within areas of expertise. Also represented House minority members in the development of legislative and regulatory strategies with the White House, Office of Management and Budget, and the Departments of the Interior and Transportation.

✓ **November 1989 - December 1990: Professional Staff Member, Minority Staff, Committee on Interior and Insular Affairs, U.S. House of Representatives.** Drafted several titles of the "Oil Pollution Act of 1990" as well as significant portions of the Conference Report, Statement of Managers, and other legislative history. Prepared briefings for committee members; staffed committee hearings on the Oil Pollution Act of 1990 and related legislation. Handled all issues related to the EXXON VALDEZ oil spill clean-up and related issues for the only Congressman for the State of Alaska. Worked extensively on Public Lands and Forestry issues with a particular emphasis on property rights and Endangered Species impacts.

✓ **March 1987 - October 1989: Legislative Assistant, Congressman Don Young (R-AK), U.S. House of Representatives.** Advised Congressman Young on maritime, Coast Guard, labor, education, judiciary issues, and legislation. Responded to constituent inquiries, drafted speeches, legislation, amendments, and issue briefs.

September 1986 - February 1987: Policy Analyst, Designers and Planners, Arlington VA. Supported a U.S. Navy contract to upgrade planning and training for the U.S. Naval Reserve.

January 1985 - August 1986: Coordinator for Legislative and Regulatory Affairs, American Institute of Merchant Shipping, Washington DC. Lobbied on issues of importance to the U.S.-flagged, oil tanker industry. Researched legislative and regulatory issues; drafted testimony, industry comments, and briefing materials for member companies; and facilitated consensus building among members, thereby enabling the Association to take positions on controversial proposals.

✓ **January 1984 - January 1985: Staff Assistant, Senator Orrin Hatch (R-UT), United States Senate.** One of five Congressional staff members who drafted the Conference Report and Statement of Managers for the "Longshore and Harbor Workers Compensation Act Amendments of 1984."

July 1983 - December 1983: Critical Path Procurement Engineer, Electric Boat Division, General Dynamics Corp., Groton, CN. Responsible for procurement and testing of several major components used in the construction of the Trident and 688 Class U.S. Navy submarines.

Education

Juris Doctorate, 1990 - George Mason University School of Law, Arlington, VA.

Bachelor of Science, 1983 - U.S. Merchant Marine Academy, Kings Point, NY.

Bar Admittance

Commonwealth of Virginia

District of Columbia

Military Service

Commander, U.S. Naval Reserve (Retired) - 1983 to 2009

Political

May 2016 – November 2016

Provided policy assistance to the Trump Campaign policy office on, Environmental, Transportation and Energy policy.

January 2000 – Assisted with the Bush/Cheney transition on Transportation and Environment.

EDUCATION

Harvard Law School, Cambridge, Massachusetts

Juris Doctor, May 2010

Honors: Dean's Award for Community Leadership (2010)

Involvement: Harvard Federalist Society (President, 2009–10); *Harvard Law Record* student newspaper;
Harvard Journal of Law & Public Policy (Executive Editor, 2009–10)

Vanderbilt University, Nashville, Tennessee

Bachelor of Arts in History and Political Science, summa cum laude, May 2007

Honors: Phi Alpha Theta History Honor Society; Pi Sigma Alpha Political Science Honor Society

Involvement: Vanderbilt Student Communications Board of Directors; Undergraduate Conduct Committee;
Vanderbilt College Republicans; *The Vanderbilt Torch* conservative commentary magazine;
Vanderbilt Undergraduate Research Journal; Alpha Phi Omega National Service Fraternity

EXPERIENCE

Gibson, Dunn & Crutcher LLP, Washington, D.C.

Environmental Litigation and Mass Tort Associate

September 2011–present

Representative matters include:

- Defending a major government contractor in a RCRA civil enforcement and False Claims Act action in federal district court arising from the operation of a Department of Energy facility;
- Prosecuting multiple CERCLA actions against the United States on behalf of major defense contractors for recovery of costs incurred remediating soil and groundwater contamination at former defense installations and manufacturing facilities, including one action which involved examining expert and fact witnesses during a multi-week bench trial in federal district court;
- Defending a pulp and paper manufacturer in a Clean Air Act enforcement action, which included examining expert and fact witnesses during a multi-week bench trial in federal district court;
- Challenging a state's application for a Clean Air Act preemption waiver for its zero-emission vehicle regulations on behalf of a transportation trade association;
- Defending a pulp and paper manufacturer in state court against a mass tort action alleging that air emissions from the manufacturer's facility caused property damage and personal injuries;
- Defending in state trial and appellate courts a paper manufacturer in a multi-million dollar civil penalties action and two mass tort actions regarding alleged waterborne dioxin releases from a legacy disposal facility;
- Challenging a Surface Transportation Board rule in the Eighth Circuit on behalf of a railroad trade association;
- Defending a Class I freight railroad against a complaint filed with the Surface Transportation Board alleging statutory violations and substandard performance.

United States Court of Appeals for the Eighth Circuit, St. Louis, Missouri

Law Clerk to the Honorable Raymond W. Gruender

August 2010–August 2011

Drafted bench memoranda and assisted with drafting of legal opinions.

BAR ADMISSIONS

Texas; District of Columbia; U.S. District Court for the District of Columbia

PUBLICATIONS

- "Do CERCLA Cost Recovery And Contribution Rights Overlap?" (with M. Murphy), *Law360* (Aug. 7, 2015)
- "11th Circuit's Message: Be Careful With *Lone Pine* Orders" (with M. Murphy), *Law360* (Nov. 10, 2014)
- "CERCLA Recoveries Have A New Limit For Subcontractors," *Law360* (May 6, 2014)
- "6th Circuit's Impact On EPA Review Of Source Modifications" (with S. Fletcher), *Law360* (Apr. 8, 2014)
- "More CERCLA Recovery Hurdles For 'Compelled' Costs" (with M. Murphy), *Law360* (Apr. 12, 2012)

INTERESTS

Senior speechwriter, editor, communications strategist, and coalitions lead with experience running public affairs campaigns against regulatory overreach; Work has appeared in the *Wall Street Journal*, the *Washington Post*, the *New York Times*, and *Forbes*; Experience on camera as well as managing teams of writers and communicators and overseeing digital production and outreach; Consulted for corporate clients, conservative and libertarian groups, and candidates on regulatory, energy, conservation, land, and environmental issues; Current work includes policy messaging for Senate leadership and managing staff; Background includes successful work in conservative journalism, campaigns, congressional affairs, and crisis communications

EXPERIENCE

OFFICE OF SENATOR ORRIN HATCH, PRESIDENT PRO TEMPORE November 2014 – Present **Director of Communications**

Lead communications efforts for the longest serving Republican member of the Senate and Chairman of the Senate Finance Committee; Position senator as a leader on lands and energy issues facing western states; Originate and edit written communications and guide national media coverage; Successfully renewed Senator Hatch's media footprint among Utah outlets and strengthened key relationships nationwide; Coordinate outreach for all committee and personal office work (Finance, HELP, Judiciary)

U.S. HOUSE OF REPRESENTATIVES December 2012 – October 2014 **Director of Communications and Speechwriter**

Established Rep. Mike Pompeo, R-Kansas, as a leader on environmental and energy issues on the House Committee on Energy and Commerce; Prepped for hearings with EPA Administrator Gina McCarthy that led to breakthrough news coverage; Led policy work on Intelligence, and Benghazi Committees; Expanded national, local, and trade coverage of a sophomore member by building out media relationships; Wrote speeches and op-eds for member as well as supporters and stakeholder groups

Campaign Communications Adviser February 2014 – November 2014 Successfully ran communications operation for **POMPEO FOR CONGRESS** reelection effort

SELF-EMPLOYED June 2012 – December 2012 Led advocacy efforts for corporate and grassroots organizations on a contract basis; Drafted op-eds, directed social media strategy; Defended clients in energy industry against regulatory overreach

NEW MEDIA STRATEGIES March 2011 – November 2012 *Award-winning crisis communications and marketing firm*

Senior Communications Strategist/Lead Writer in Public Affairs
Developed comprehensive media strategy, analysis, and editorial services that penetrated top-tier outlets; Advised well-known international corporations in the energy sector affected by export-import regulations, energy markets, land issues, and global conflict

RICK PERRY FOR PRESIDENT September 2011 – January 2012 **Foreign Policy Communications Strategist**

Established candidate's credibility among major foreign policy outlets by writing on breaking news topics for mainstream audiences

THE WASHINGTON EXAMINER June 2009 – March 2011 **Associate Editorial Page Editor**

Wrote daily editorials in addition to regular collaborative editing and reporting work; Focused on regulatory overreach; Interviewed on Fox News, NBC, CNN, among others; Breakthrough investigative work cited in a House Oversight and Government Reform Committee report on Financial Crisis Inquiry Commission

THE AMERICAN SPECTATOR

January 2008 – June 2009

Managing Editor

Led editorial meetings, achieved numerous milestones for online and print editions; Recruited and mentored interns, writers, and artists who have gone on to contribute to major business and political outlets including *The Atlantic*, Reuters, and *Forbes*; Remain a contributing editor

Collegiate Network Journalism Fellow/Editorial Assistant

June 2005 – January 2006

Deputy to managing editor providing copyediting, web management, and writing

OTHER EXPERIENCE

| | | |
|--|-----------------|-------------|
| REPUBLICAN NATIONAL CONVENTION, Writer | Cleveland, OH | 2016 |
| HOUSE FINANCIAL SERVICES COMMITTEE, Speechwriter | Washington, DC | 2013 |
| HARIDOPOLOS FOR SENATE, Communications Adviser, Speechwriter | Tallahassee, FL | 2011 |
| EVANS-NOVAK POLITICAL REPORT, Researcher (Temporary) | Washington, DC | 2007 |
| THE NEW YORK TIMES, Researcher/Writer | Washington, DC | 2006 – 2007 |
| THE CORNELL REVIEW, Senior Editor/Writer | Ithaca, NY | 2003 – 2005 |

EDUCATION

CORNELL UNIVERSITY, Ithaca, New York

Bachelor of Arts, English (Renaissance literature and medieval studies) and a minor in government (emphasis on political rhetoric and history)

Graduate work: Shakespeare studies

Languages: Conversational Spanish

U.S. NAVAL WAR COLLEGE, Washington, DC

Currently enrolled and taking classes towards a Master of Arts Degree in Defense and Strategic Studies

Coursework: Joint Maritime Operations, Strategy and Warfare, Theater Security Decision Making

HONORS, MEMBERSHIPS, VOLUNTEER WORK

EUROPEAN UNION VISITORS PROGRAM

2016 Visiting Fellow to Brussels

CLAREMONT INSTITUTE

2015 Lincoln Fellow

HERITAGE FOUNDATION

Madison Fellow

NATIONAL REVIEW INSTITUTE

2013 Washington Fellow

FOREIGN POLICY INITIATIVE

2011 Future Leader

SENATE PRESS SECRETARIES ASSOCIATION

Director of Membership

REPUBLICAN COMMUNICATIONS ASSOCIATION

Member

AMERICAN CONSERVATIVE UNION

CPAC 2009 Journalist of the Year

NAVY SEA CADETS (Nov. 2013 - Nov. 2014)

Volunteer Instructor, Public Affairs Officer

INTERCOLLEGIATE STUDIES INSTITUTE

2005 Foreign Correspondent Fellow

INSTITUTE FOR POLITICAL JOURNALISM

Board Member

Tony Frye

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Professional Experience

Office of Senator Joni Ernst (R-IA)

Deputy Legislative Assistant

Washington, DC

January 2017 – Present

- Advise senator and office staff on issues related to rural development, education, housing, financial services, tax, budget, and nutrition policy portfolios.
- Perform oversight duties pertaining to regulations, proposed regulations, and other actions by various agencies including the U.S. Department of Agriculture (including all USDA sub-agencies), Food and Drug Administration, Commodity Futures Trading Commission, Department of Commerce, Treasury Department, Department of Housing and Urban Development, and Department of Education.
- Draft legislation in coordination with legislative staff members and counsel.
- Support communications staff with technical legislative responses to press inquiries, draft press releases, and talking points.

Office of Senator Joni Ernst (R-IA)

Legislative Aide

Washington, DC

January 2015 – January 2017

- Advised senator and office staff on issues related to education, housing, poverty, financial services, agriculture, and trade policy portfolios.
- Managed the drafting and dissemination of constituent correspondence within designated policy portfolio.
- Monitored and reported on issues including actions taken by the administration, relevant legislation, and trade negotiations.

Joni Ernst for U.S. Senate (R-IA)

Field Staff Representative

Albia, IA

July 2014 – January 2015

- Led voter outreach and volunteer recruitment in Iowa's Second Congressional District.
- Served as campaign representative while working with key civic and policy groups.
- Drafted policy statements and analysis and presented materials to political and community organizations.
- Developed and coordinated a district-wide distribution network of campaign materials and advertisements.
- Organized 99 County Tour campaign stops and facilitated day-of operations.

Office of Senator Charles Grassley (R-IA)

Legislative Correspondent

Washington, DC

January 2013 – July 2014

- Advised staff assistants on best practices to interact with constituents regarding policy issues.
- Managed the defense, foreign affairs, retirement, Social Security, welfare, and veterans affairs policy portfolios in coordination with a legislative assistant.
- Drafted constituent correspondence in response to policy issues on behalf of the senator.

Office of Senator Charles Grassley (R-IA)

Staff Assistant

Washington, DC

November 2012 – January 2013

- Managed office telephones and assisted constituents.
- Provided administrative support to staff members and office visitors.
- Organized, maintained database for constituent lottery of presidential inauguration tickets.

Education

University of Northern Iowa

Bachelor of Arts in Political Science

Cedar Falls, IA

August 2007-May 2011

Onis "Trey" Glenn, III, PE, MBA

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Summary:

Currently serving as a consulting engineer, an accomplished environmental professional bringing twenty-two plus years of progressive and successful leadership experiences obtained in increasingly complex environmental, water resources and regulatory fields. A motivational leader bringing the vision, initiative, innovation and interpersonal skills to make a meaningful impact. Well versed in today's changing economy and regulations with a proven record for leveraging internal and external operations to advance organizational success. Experience as the Director of the Alabama Department of Environmental Management (ADEM) complimented by experience in small family owned private business and a fortune 500 company as well as direction of a small governmental organization has uniquely equipped Mr. Glenn to provide valuable assistance and successful leadership for any type of organization regardless of role.

Mr. Glenn is currently serving as a technical consulting engineer, providing services to clients in the areas of, environmental policies and regulations, environmental permitting and compliance, water resources, negotiations, business development, executive leadership, renewable energy, landfills, transportation, infrastructure, litigation strategy construction management, and program management.

Areas of Knowledge:

Executive Leadership

- Directing an Environmental Regulatory Agency
- Casting a Vision
- Motivating People
- Creating Alignment in a Board
- Fostering Organizational Change
- Coaching Executives
- Managing Time Effectively
- Strategic Planning

Financial Management

- Successful Fiscal Turnarounds
- Cost Reduction/Controls
- Operating/Capital Budgets
- Forecasting/Budgeting
- Revenue Enhancement
- Contract/Grant Management
- Bonding/Lending

Personnel and Human Resource Management

- Team Building
- Defining Roles
- Leveraging Diversity
- Setting Goals
- Aligning People and Organizations
- Speaking/Education/Training
- Managing Performance

External Affairs

- Governmental Relations
- Media Relations
- External Relations
- Multimedia Presentations

Environment & Water Resources

- Environmental Permitting
- Environmental Compliance & Enforcement
- Sustainability/Green Initiatives
- Environmental Policy/Procedures
- Environmental Laws/Regulations
- Drought Management
- Flood Management
- Reservoir Operations
- Brownfield Redevelopment
- Solid Waste / Landfills
- Recycling/Reuse
- Emergency Response
- Coastal/Wetlands
- Superfund/CERCLA
- Field Sampling/Analysis
- RCRA/Voluntary Cleanup

Operations Management

- Realizing a Board's Vision
- Improving Quality
- Centering Operations on Customers
- Construction Management
- Goal Setting
- Goal Execution
- Creating and Maximizing Value
- Project Management

Litigation Support

- Expert Testimony
- Litigation Management
- Negotiations/Dispute Resolution

Education:

University of Alabama at Birmingham (UAB); Birmingham, Alabama

Master of Business Administration – June, 1999

Auburn University; Auburn, Alabama

Bachelor of Civil Engineering (Summa Cum Laude) – June, 1994

Registration:

Alabama State Board of Licensure for Professional Engineers

Professional Engineer (License: 23104) – 1999

Professional Appointments & Affiliations:

- 1 American Society of Civil Engineers
- 2 American Water Resources Association
- 3 Business Council of Alabama – Environment and Energy Committee
- 4 Environmental Council of States
- 5 Alabama Solid Waste Task Force
- 6 Tennessee Tombigbee Waterway Development Authority (past board member)

Career Highlights**Consulting Engineer & Business Owner**

12/09 to Present

Blue Ridge Consulting, Inc. - Birmingham, Alabama

Serving as a consultant providing services to clients in the areas of litigation strategy, environmental policies and regulations, water resources, negotiations, business development, executive leadership, renewable energy, landfills, program management, and environmental permitting and compliance. Services also include hydrologic modeling including extensive experience performing hydrologic analyses, including hydrology, hydraulics, and reservoir operations, on complex river systems in the Southeastern United States. Current services also include assisting clients in the areas of development, application, interpretation, and enforcement of environmental and water resources policies, rules, and laws, including regulatory permitting and compliance. Clients include law firms, associations, industries, private landowners and other regulated entities.

Assignments have included representing the Business Council of Alabama's Environment and Energy Committee as well as providing counsel and testimony regarding proposed federal and state rules including waters of the U.S. and opposition to EPA's Clean Power Plan. Assignments also included spearheading the successful effort to push back on state and federal government overreach in the area of water regulations. This included the development and passage of legislation in the State of Alabama legislature.

Since 2013, Mr. Glenn has been serving as a consultant to STRADA Professional Services. STRADA is a leading infrastructure engineering and program management consultancy firm. Created in 2004, STRADA is a minority-owned business enterprise founded and headquartered in Birmingham, Alabama. Providing comprehensive client development and project execution to a variety of industrial and government clients in areas such as solid waste, environmental regulatory compliance, construction and program management, organizational development and transportation. Assignments have included leading a successful effort to push back on the Environmental Protection Agency (EPA) and its attempt to implement a novel interpretation of its rules to apply atmospheric deposition to a CERCLA superfund effort. This included defending parties that were identified as potential responsible parties in a desired superfund action, basing the defense on the lack of EPA's use of sound science. Assignments have provided real, hands-on experience in the area of field sampling and analysis, including the development and implementation of a sampling and analysis plan, quality assurance project plan and health and safety plan.

Mr. Glenn's consulting has also included a one year assignment with *A.G. Gaston Construction Company, Inc.*, as a contract Vice President, to assist in the rebuilding and oversee change management of the company. Served as Chief Operating Officer with responsibility for day-to-day operations of the company's consulting and engineering divisions including client service, operations management and scope-fee definition and negotiation. Provided project level management and support with specific focus on engineering design and environmental and water resources consulting. Led business reorganization and the development of the business plan including implementing quality controls and standard operating procedures. Some key accomplishments with A.G. Gaston are as follows: Designed and implemented a full restructuring of the company's operations in order to meet the goals and objectives set by the Board of Directors; Completed a business plan, integrating the company's three different functional divisions; Assisted in the preparation of and submittal of the company's 8(a) application to the Small Business Administration; Prepared and presented business proposals and other marketing material; Implemented structured management procedures to better accomplish the company's operations, business development and administrative functions; Managed the program management personnel and resources to accomplish large scale construction projects such as a \$60 million stadium and \$100 million school construction; Managed the personnel and resources to accomplish surveying and engineering design projects such as the civil site design for a university campus and street improvement design for an area associated with a TIGER grant highway project; and Directly managed the consulting division of the company including the operation of a wastewater treatment facility and environmental permitting and compliance services to both public and private clients.

Director

2/05 to 12/09

Alabama Department of Environmental Management - Montgomery, Alabama

Revenues of +200 million (+60 million operating budget); 6 locations

Served as the Chief Executive with direct accountability to the Board for all organization functions. Employing +600, the Alabama Department of Environmental Management (ADEM) is responsible for assuring a safe, healthful and productive environment to all Alabama citizens. This mission is accomplished through the implementation of environmental regulatory programs complimented with compliance assistance, outreach programs and the disbursement of grants, loans and insurance payments. ADEM is an authorized agency, meaning it is the responsibility of ADEM to implement the Environmental Protection Agency's (EPA's) rules and regulations in lieu of EPA. This required in constant interface and liaison with EPA to ensure that the EPA regulations were being met in Alabama and to influence EPA on the State of Alabama's perspective on unfunded mandates and federal overreach.

Accomplishments:

- Implemented the board's strategic plan, which required significant behavioral changes within the organization to reduce bureaucracy and increase accountability.

- Instituted a performance management system including appropriate reporting to the board and stakeholders.

- Planned and executed an organizational realignment along functional responsibilities resulting in greater focus and productivity among staff.

- Envisioned, designed and implemented an organization-wide quality management system that guides all staff in the effective utilization of resources while meeting the expectations of the customers. Each program can now clearly identify customers, inputs, products, quality standards and auditable methods to ensure needs and expectations are met.

- Responded to resource constraints and customer expectation challenges by implementing operational efficiencies and technological innovations.

- Designed and implemented an organizational execution strategy to ensure there is an effective methodology to execute any achievable goal using a visual management/accountability system.

- Implemented a budgeting and cost accounting system that allows programs and functional teams to

manage operations while keeping in line with budget requirements.

Developed a dedicated Environmental Justice program to provide leadership and support to the entire organization by ensuring no disparate impacts are experienced by any group in the state.

Devised a strategy and increased focus on relations with legislative branch of government resulting in the passage of a significant revenue enhancement law that had failed numerous times under previous leadership.

Increased the focus on proactive outreach, media relations and other forms of external relations resulting in a public perception turnaround for an organization that was plagued with critics.

Developed detailed understanding with the organization's executive team regarding the roles and expectations of the team to ensure philosophical alignment among the top management team.

Personally implemented and coached executive team on focus and time management strategies.

Successfully fought EPA on an expanded use of its Opacity rules as well as overreach in the areas of fish tissue, mercury and coal mine permitting.

Division Director

6/01 to 1/05

Alabama Office of Water Resources - Montgomery, Alabama

Served as the Division Director with responsibilities for leading day-to-day operations of a +20 person office. The Office of Water Resources plans, coordinates, develops and manages Alabama's water resources, both ground and surface, in a manner that is in the best interest of the State of Alabama. This includes recommending policies and legislation, conducting technical studies, implementing and participating in management and conservation programs and projects, and actively representing Alabama's intra and interstate water resources interests with other states and the federal government.

Accomplishments:

Developed and implemented a strategic plan for the agency.

Successfully worked with the Governor's office, Legislature and federal agencies on the development and tracking of state and federal budgets for the agency.

Developed, proposed and administered various rules and regulations regarding agency operations, including presentations to the Alabama Water Resources Commission, conducting public hearings and working with the state legislative offices.

Worked closely with the Legislature on writing and analyzing draft legislation and educating stakeholders and legislators on State legislation.

Maintained relationships with members of the media. Accomplishments included responding to media inquiries, presenting complicated issues to state and national media, and framing highly technical issues for public dissemination in an understandable context.

Led the team, working with the Governor and Executive Branch staff, to manage the interstate water dispute between Alabama, Georgia, Florida and the federal Government.

Worked with legal counsel and the state's Attorney General, to manage complex water litigation including various levels of courts up to federal district and circuit court. Accomplishments have also included testifying in U.S. District Court.

Facilitated conversations and activities among state agencies, on issues regarding water resources management and policy.

Identified, applied for and allocated new federal funds to better serve the State of Alabama and its

programs to include all stages of the acquisition, management, spending and reporting of federal funds. This included working closely with federal agencies.

Served as Secretary of the Alabama Water Resources Commission with responsibilities to prepare for Commission meetings, report on office activities and manage Commission-related activities.

Resolved disputes and negotiated with various governmental and private parties over water and natural resources issues.

Worked closely with the Alabama Congressional delegation on issues that impact the water resources of the State of Alabama.

Developed, promoted and managed the education and outreach functions in the agency to enhance public participation in all aspects of water resources management and planning.

Developed the first comprehensive statewide drought management plan for Alabama.

Interpreted, provided input and worked with various state and federal agencies regarding federal regulations and laws.

Hydrologic Engineer

6/94 to 6/01

Alabama Power Company - Birmingham, Alabama

Employed as a Hydrologic Engineer with the company's Reservoir Management and Environmental Affairs group. Reservoir Management works to provide low cost hydroelectric generation, ensure compliance with applicable regulations, and maintain exceptional customer relations.

Accomplishments:

Developed and administered programs and policies applicable to water resources projects.

Negotiated with private and public entities in the areas of water resources. Such negotiations included water withdrawals, headwater benefits and NPDES permit applications.

Made public presentations on water resources issues to numerous public interest groups.

Conducted water quality modeling including thermal discharge modeling.

Conducted water resources studies on topics including plant upgrades, proposed reservoirs, water quality studies, relicensing, water withdrawals, and an interstate water dispute.

Supported the maintenance and development of the Hydroelectric Optimization Management System.

Supported state and local entities in the area of water resources issues.

Selected to the *Pipeline* program, an executive development and leadership program giving participants broad training in one of the nations largest industries.

Research Assistant and Lab Personnel

1992 to 1994

Auburn University - Auburn, Alabama

Employed as a research assistant in the Civil Engineering department assisting graduate students with structural engineering research.

Assistant Manager

1988 to 1992

Glenn's Cleaners - Wetumpka, Alabama

Employed as the assistant manager of a small family-owned dry cleaners with responsibilities of all day-to-day operations of the plant as well as customer satisfaction, accounting, inventory and human resources.

Personal Information

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Stephen Laurence Gordon Jr.

(b) (6)

PROFESSIONAL EXPERIENCE

U.S. Chamber of Commerce

Washington, D.C.

Manager of Corporate Relations

September 2015 - Present

- Develop, manage and implement an annual strategic fundraising plan to retain and grow a portfolio of 90+ corporate member accounts totaling over \$10 million in contract value.
- Manage the fundraising efforts of the U.S. Chamber's Of Counsel including scheduling and staffing prospect meetings with C-suite executives.
- Guide new members through the induction process from cultivation to closing and integrating into Chamber programs and initiatives.
- Serve as the primary contact to 90+ member companies and maintain positive Chamber relations with their executive and government relations teams.
- Organize and lead comprehensive corporate briefings to prospective and existing member companies in order to encourage increased participation in Chamber efforts by expanding investment.

Odell, Simms & Lynch, Inc.

Tysons Corner, VA

Account Manager

January 2015 - September 2015

- Managed large scale fundraising campaigns for clients including The National Coast Guard Museum Association.
- Served as the main point of contact for clients by responding to inquiries, emailing pertinent information and coordinating team administrative matters on a daily basis.
- Oversaw an account team of four people regarding daily fundraising and administrative activities.
- Coordinated with research team to identify major donor prospects including corporations, foundations and high-net worth individuals.
- Interfaced with prospective donors, networkers, board members and clients via phone, email, and face-to-face meetings.
- Developed letters, concept papers, proposals, grant materials and marketing materials for a variety of clients.
- Provided ongoing status reports to senior leadership on prospect pipelines and other client-related activities.
- Managed and updated database of all prospects and client-related information using SugarCRM.

Highwood Capital, LLC

Washington, D.C.

Account Executive

March 2012 - December 2014

- Served as the Deputy National Finance Director for Montana At-Large Congressman Steve Daines' successful U.S. Senate race, raising over \$2 million in 4 quarters.
- Served as the Deputy National Finance Director for Nebraska U.S. Senator Deb Fischer's successful U.S. Senate race in 2012, raising over \$1 million in less than 6 months for her General election campaign.
- Served as the Deputy National Finance Director for Kansas U.S. Senator Pat Robert's successful re-election bid, one of the top targeted races in the country, raising \$500,000 in just 6 weeks and a total of \$1.5 million in 6 quarters.
- Prepared and finalized planning memorandum and strategy documents in execution of overall finance strategy and strategic plan through coordination with C-suite executives.
- Represented the American Tort Reform Association interfacing with the organization's CEO, Tiger Joyce and key staff members in planning and executing a full-scale corporate development program for their annual membership and key projects including Trespass Liability, Consumer Protection Act, Judicial Hellholes, among other key tort reform areas.
- Consulted PGA America and its PGA REACH Program in the formulation and execution of a communications and fundraising plan to enhance brand awareness and raise funds from national sources and investors.
- Worked with Monumental Sports regarding business development and the execution of sales programs through structuring business and stakeholder partnerships with the teams and its associated venues including the Verizon Center.

Office of U.S. Senator Richard G. Lugar

Washington, D.C.

Senate Intern

June 2010 - August 2010

- Attended Foreign Relations Committee hearings and compiled policy memos for top legislative staff members.
- Interacted with constituents daily to convey and explain the Senator's policy positions and legislative priorities.

EDUCATION

University of Mississippi

Oxford, MS

Bachelor of Arts, History

December 2011

Landon School

Bethesda, MD

September 2000 - May 2007

EPA-17-0558-B-000069

SUMMARY

Strategic communications professional with more than eight years of experience working with high-level media, campaigns and elected officials in both the private and public sectors.

EDUCATION

Florida State University
Tallahassee, Fla.

MS | Applied American Politics and Policy

BS | Communications, Public Relations, Magna Cum Laude

ACTIVITIES

November 2016 | GOTV and election day operations volunteer for the New Hampshire Republican Party.

July 2016 | Professional volunteer at the 2016 Republican National Convention responsible for booking surrogates on NBC, MSNBC and CNBC.

2015 - 2016 | Member of the Senate Press Secretaries Association.

2013 - 2014 | Tutor for Horton's Kids, a mentoring organization that empowers at-risk children in Washington, D.C.

PROFESSIONAL EXPERIENCE

U.S. SENATE, OFFICE OF SENATOR SHELLEY MOORE CAPITO (R-W.Va.) | Washington, D.C.

Deputy Communications Director, January 2015 – Present

- Established the press office for an incoming member of the U.S. Senate.
- Spokesperson and key strategist for all communications activities.
- Helped write and prepare Senator Capito's prime-time speech in support of President-elect Donald Trump at the 2016 Republican National Convention in Cleveland.
- Developed and executed a 360 degree communication program to successfully advance Senator Capito's legislation to repeal the Clean Power Plan.
- Conceived and implemented the *WV Girls Rise Up* program to empower young women through fitness, education and confidence building activities, resulting in extensive positive media coverage.
- Write press releases, op-eds, speeches, talking points, social media plans and briefing materials.

SHELLEY MOORE CAPITO FOR U.S. SENATE | Charleston, W.Va.

Communications Director, May 2014 – December 2014

- Served as chief spokesperson and communications strategist in nationally targeted Senate race.
- Successfully pitched opposition research resulting in statewide and national media coverage.
- Briefed and staffed the candidate for major media events, including debate preparation and appearances on national public affairs programs.
- Conceived message-driven earned media tactics, including the *West Virginia Works Plan* highlighting the candidate's jobs platform during a statewide bus tour.
- Designed and implemented the campaign's social media and rapid response strategy.

CRC PUBLIC RELATIONS | Alexandria, Va.

Senior Account Executive, April 2013 – April 2014

- Provided media relations counsel to Fortune 500 corporations, political and non-profit clients.
- Crafted and executed a communications plan for the launch of Newt Gingrich's book *Breakout: Pioneers of the Future, Prison Guards of the Past, and the Epic Battle That Will Decide America's Fate*.
- Conducted media training and secured national print, TV and radio interviews for clients.

U.S. HOUSE OF REPRESENTATIVES, OFFICE OF REP. RON DESANTIS (FL-06) | Washington, D.C.

Communications Director, December 2012 – April 2013

- Served as primary spokesperson and social media strategist for Rep. Ron DeSantis.
- Established the press office and created a long-term communications strategy.
- Generated national, statewide and regional media hits.

MITT ROMNEY FOR PRESIDENT | Boston, Mass.

Surrogate and Media Affairs Manager, May 2012 – November 2012

- Led the Romney-Ryan campaign's surrogate operations, including overseeing strategic planning, media booking and managing relationships with top surrogates.
- Arranged broadcast interviews for Gov. Romney; aided campaign rapid response and bracketing.

FLORIDA GOVERNOR RICK SCOTT | Tallahassee, Fla.

Traveling Press Secretary and Deputy Communications Director, November 2010 – May 2012

- Traveled with and advised Governor Scott at state, national and international appearances.
- Provided media relations counsel on breaking news and ongoing issues.
- Served as spokesperson and coordinated all media appearances, events and press conferences.
- Created and executed radio, weekly newspaper and specialty media outreach programs.

FLORIDA DEPARTMENT OF ENVIRONMENTAL PROTECTION | Tallahassee, Fla.

Press Secretary, December 2007 - November 2010

- Primary media spokesperson for the agency and lead communicator for the state of Florida during the BP Deepwater Horizon oil spill in 2010.
- Led a multi-agency crisis communications team that provided emergency response updates and ensured correct information was reported about the oil spill's impact in Florida.

Holly Wheaton Greaves, CPA

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CERTIFICATIONS

Certified Public Accountant (Texas 2009/District of Columbia 2010); Certified Government Financial Manager (2014); Certified Information Systems Auditor (2014); U.S. Government Security Clearance

PROFESSIONAL EXPERIENCE

KPMG LLP **Washington, DC** **August 2008 – Present**

Senior Manager, Federal Audit

- Audit portfolio includes executive branch government agencies and privately held government contractors
- Provided external audit and attestation services under standards applicable to financial audits, including auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; and Office of Management and Budget (OMB) Bulletin No. 15-02, *Audit Requirements for Federal Financial Statements*
- Managed complex client engagements and supervised multi-location teams, including managing overall engagement budget and staffing
- Reviewed and analyzed complex financial statements and note disclosures
- Identified and communicated technical matters to senior management and political appointees
- Provided supervision, counseling, and on-the-job coaching to staff, helping them to understand the objectives and implications of their assignments
- Reviewed and coordinated work papers and client deliverables, including regular status reports, and led status meetings with client management

Administrative Accomplishments

- Consistently received high ratings in annual performance reviews
- Served as the Primary Recruiter for Audit (2015 – 2016), responsible for hiring 180 professionals per year
- Served as a performance manager and mentor to senior associates and staff
- Served as a KPMG National Instructor
- Developed content for local and national training curriculum, including industry specific content for the Federal Audit practice
- Participated in multiple proposal processes to bid on new and follow-on engagement task orders

Ernst & Young LLP **Houston, Texas** **August 2006 – August 2008**

Assurance & Advisory Business Services, Experienced Staff

- Audit portfolio included private and publicly held companies in the airline, financial services, commercial real estate, and healthcare industries (including Fortune 500 Company)
- Coordinated audit team activities to meet objectives within budget constraints
- Significant role in audit planning and execution for multiple engagements
- Extensive knowledge and experience in Sarbanes-Oxley Compliance, including Section 404 Control Testing
- Significant role in implementing new audit software tool for audit engagement teams
- Actively researched and interpreted accounting pronouncements to assist audit teams

ACTIVITIES AND AFFILIATIONS

Elected District of Columbia Delegate to the 2016 Republican National Convention; George Washington University, *Supporting Lecturer* (2014); DC Youth Orchestra Program, *Finance Committee*; Keep Louisiana Working, *Secretary* (2013 – 2015); University of Tennessee Young Alumni Council, *Membership Chair* (2010); Junior League of Washington DC; American Institute of Certified Public Accountants; Greater Washington Society of CPAs; Information Systems Audit and Control Association

EDUCATION

University of Tennessee, Knoxville, Tennessee

Masters of Accountancy GPA: 3.6 June 2006

B.S. in Accounting GPA: 3.9 May 2005

Honors: Graduate Teaching Assistant, *Access Database Management*, Tennessee Society of Certified Public Accountants Scholarship, University of Tennessee Departmental Scholarship, Mortarboard National Honor Society

Leadership Experience: Alpha Delta Pi Sorority, *Executive Vice-President*; All Campus Events Committee, *Committee Chair*; Relay for Life Executive Committee, *Sponsorship Co-Chair*

Salary History:

(b) (6)

SARAH GREENWALT

(b) (6)

EDUCATION

University of Oklahoma College of Law

Juris Doctor

Norman, OK

May 2013

Honors:

Order of the Barristers
Academic Achievement Award – Legal Research and Writing
Dean's Honor Roll
Cole E. Adwon Scholarship for Excellence in Moot Court Competitions

Moot Court:

Top Speaker Award in Intra-school Moot Court Competition
Chicago Bar Association Moot Court Competition; Chicago, Illinois
First Amendment Moot Court Competition; Washington, D.C.

Activities:

Federalist Society
Organization for the Advancement of Women in Law
Volunteer Income Tax Assistance (VITA)

University of Oklahoma

Bachelor of Arts, Psychology

Norman, OK

May 2010

Honors:

President's Honor Roll
Dean's Honor Roll
OU Foundation Scholar, given to selected Honors College students

PROFESSIONAL EXPERIENCE

Office of the Oklahoma Attorney General

General Counsel to the Attorney General and Deputy General Counsel to the Attorney General

Oklahoma City, OK

August 2016-Present

- Provided legal counsel to the Attorney General, members of the Legislature, agency directors, and the Governor's office regarding a variety of confidential and complicated issues.
- Facilitated the Attorney General's Opinion process by composing and overseeing other attorneys in the drafting of official Opinions on a range of state and federal law questions.
- Reviewed and revised draft ballot title language for state questions and defended the Attorney General's language in the Oklahoma Supreme Court.

Assistant Solicitor General and Law Clerk

May 2012- August 2016

- Defended the constitutionality of state statutes by briefing and arguing original jurisdiction actions before the Oklahoma Supreme Court; briefed and argued high profile cases before state district and appellate courts, including issues regarding abortion regulations, school vouchers, and workers' compensation reform.
- Brought challenges on behalf of the State against federal regulations, including challenges to the EPA's "Waters of the United States" Rule and the IRS's Final Rule regarding tax credits under the Affordable Care Act.
- Drafted Petition for Certiorari and amicus brief in United States Supreme Court cases.

U.S. Department of Veterans Affairs

Law Clerk for the Office of Regional Counsel for Veterans Affairs Medical Center of OKC

Oklahoma City, OK

May 2012-Aug. 2012

- Prepared training materials on the EEOC's final regulations regarding the Americans with Disabilities Act Amendments Act of 2008 and the Privacy and HIPPA regulations of the Department of Veterans Affairs.
- Gained a practical understanding of both Administrative and Health Law.

University of Oklahoma College of Law

Research Assistant to Professor Joseph Thai

Norman, OK

May 2011-Sept. 2011

- Drafted substantive materials for utilization in legal texts such as "John Paul Stevens: In His Own Words" and a Supreme Court sourcebook, which discussed the inner workings of the United States Supreme Court.
- Performed legal research on criminal and constitutional law issues including United States Supreme Court death penalty jurisprudence and the role of supreme courts in a democratic society.

JIM GULLIFORD

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CAREER HISTORY

EXECUTIVE DIRECTOR Soil and Water Conservation Society 2009-2016

Responsible for all operational aspects of the Soil and Water Conservation Society; a 3000 member, 501(c)(3), private non-profit, professional society. Members include researchers, administrators, planners, policy makers, technical advisors, teachers, students, farmers and ranchers. Served as an advocate for conservation professionals, and for science-based conservation practices, programs, and policy. The mission of the Soil and Water Conservation Society is to foster the science and art of natural resource conservation on working land – land used to produce food, fiber, and other services that improve the quality of life people experience in rural and urban communities.

Published the Journal of Soil and Water Conservation Society; a peer-reviewed scientific journal of research, policy features, and editorials. Developed and hosted national conferences on issues of soil and water conservation, soil health, and agricultural water quality protection. Partnered with universities, agribusiness, and agricultural organizations to develop and implement conservation systems that supported agricultural production, improved soil health and protected water quality.

Total program responsibility: 8 staff, approximately \$1.2 million annual budget

EPA ASSISTANT ADMINISTRATOR Office of Prevention, Pesticides and Toxic Substances U.S. Environmental Protection Agency, Washington, DC 2006-2009

Responsible for the protection of public health and the environment from potential risks of pesticides and chemicals.

Regulated the use of all pesticides in the United States; providing protection for applicators, farm workers, and consumers while assuring safe, effective pesticides are available to producers to meet crop production and protection needs. Established maximum pesticide residue levels for foods; safeguarding the nation's food supply, both domestic and imported. Cultivated productive government communication with registrants, growers, academia and environmental interests through the Pesticide Program Dialogue Committee.

Regulated new and existing chemicals, and their risks in commerce. Promoted the development, use and life cycle management of safer chemicals, processes and technologies. Developed scientific, and policy elements of the Environmental Protection Agency biotechnology, nanotechnology, and endocrine disruptor screening programs.

Total program responsibility: 1,350 staff, approximately \$250 million annual budget

CAREER HISTORY - Continued...

REGIONAL ADMINISTRATOR

**U.S. Environmental Protection Agency, Region 7, Kansas City, Kansas
2001-2006**

Responsible for all aspects of planning, programming, and implementing the authorities of the US Environmental Protection Agency in Region 7 for the states of Nebraska, Iowa, Kansas and Missouri, and nine (9) tribal nations within those state boundaries. Implemented policy, managed communication, regulated industry and public utilities, and resolved issues central to environmental actions affecting a diverse group of stakeholders within states, tribes, industry and the general public.

Programs included emergency response, air and water quality permitting, chemical and pesticide regulation, hazardous waste management, and superfund site remediation. Reviewed and awarded program and project grants and contracts to state, tribal, local, and non-government organizations.

Total program responsibility: 560 staff, approximately \$500 million annual budget.

DIRECTOR

**Division of Soil Conservation, Iowa Department of Agriculture and Land Stewardship
Des Moines, Iowa
1986-2001**

**Iowa Department of Soil Conservation
Des Moines, Iowa
1982-1986**

Responsible for the development and management of soil conservation, water quality, and mine regulation programs for the State of Iowa, including matters of legislation, budget, administrative rules, employee supervision, interagency coordination and annual reporting. Program authorities included: 1) direct supervision and responsibility for field services support to Iowa's 100 soil and water conservation districts, 2) financial incentive support for installation of soil conservation and water quality protection practices, 3) program authorities for watershed, surface and groundwater quality protection, and 4) regulatory authority for coal and non-coal mining, and 5) and reclamation responsibility for abandoned mined lands.

Worked closely with USDA Natural Resources Conservation Service, Iowa State University Cooperative Extension Service, and soil and water conservation districts to assure the effective integration of federal, state and local programs to meet producer needs and achieve soil and water conservation objectives of the State of Iowa. Worked nationally with federal and state agencies to address national soil and water conservation issues including Gulf of Mexico hypoxia, wetland restoration, and Farm Bill development and implementation.

Total program responsibility: 210 staff, approximately \$26 million annual budget.

CAREER HISTORY – Continued...

ASSISTANT DIRECTOR

**Coal Extraction and Utilization Research Center
Southern Illinois University, Carbondale, Illinois
1979-1981**

ENVIRONMENTAL SPECIALIST

**Iowa Coal Project
Iowa State University, Ames, Iowa
1975-1979**

EDUCATION

Master of Science in Forestry Economics and Marketing – 1975
Iowa State University
Bachelor of Science in Forestry Management, Minor in Soil Science – 1973
Iowa State University, Ames, Iowa

PROFESSIONAL ASSOCIATIONS AND HONORS

Board of Directors, Soil Health Institute - current position

Board of Directors and Secretary, Charles Valentine Riley Memorial Foundation - current position

George Washington Carver Distinguished Service Award, Iowa State University, 2012

Soil and Water Conservation Society, 1982 to present

President's Club Membership
Iowa Chapter President, 1996

National Association of State Conservation Agencies, 1982-2001
President, 1989

Xi Sigma Pi, National Honor Society for Forestry and Natural Resources
Iowa State University Chapter, President, 1974-1975

Gamma Sigma Delta, National Honor Society for Agriculture
Iowa State University Chapter, Alumni Award of Merit, 1990

REFERENCES AVAILABLE UPON REQUEST

MANDY M. GUNASEKARA

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BAR MEMBERSHIP

October 2010 Colorado State Bar (Inactive as of 2015)
April 2010 Mississippi State Bar (Inactive as of 2011)

LEGISLATIVE EXPERIENCE

January 2015 - Present **U.S. Senate Committee on Environment and Public Works** **Washington, D.C.**
Majority Counsel, Chairman Jim Inhofe
Responsibilities: Manage Clean Air Act and climate change portfolios for Chairman. Plan full and subcommittee hearings and develop related legislative strategies. Coordinate efforts with Leadership, other key committees, EPW Member offices and stakeholders. Represent committee perspective before administrative agencies, state officials, industry groups, environmental organizations, and international entities.

October 2014 - January 2015 **National Association of Chemical Distributors** **Arlington, VA**
Senior Director, Legislative Affairs
Responsibilities: Engage key congressional leaders and staff on environment, energy, tax, and transportation issues impacting member companies. Coordinate association priorities with coalition efforts. Expand member companies' participation in PAC activities and manage political contributions.

March 2014 - October 2014 **U.S. House of Representatives** **Washington, D.C.**
Senior Counsel, Office of Congressman Bob Latta
Responsibilities: Prepare Congressman for Energy and Power Subcommittee hearings and markups. Oversee Environment and Economy Legislative Assistant work product. Analyze floor votes and strategize amendment opportunities. Work with committee staff and interest groups on legislative initiatives important to the Congressman and the constituency.

June 2012 - February 2014 **U.S. House of Representatives** **Washington, D.C.**
Legislative Counsel, Office of Congressman Bob Latta
Responsibilities: Manage energy, environment, trade, agriculture, immigration, labor, and homeland security issues for Congressman. Prepare Congressman for Energy and Commerce Subcommittee activity. Draft legislation relative to issue responsibility. Coordinate district trips and interface with major energy entities, manufacturers, and the agriculture community.

June 2011 - June 2012 **U. S. Senate Committee on Health, Education, Labor and Pensions** **Washington, D.C.**
Labor Research Assistant, Office of Ranking Member Mike Enzi
Responsibilities: Update Senator and staff on current labor issues. Perform legal and policy research. Provide vote recommendations. Prepare floor documents for Senator and committee staff. Research, analyze, and draft memos regarding administrative actions. Participate in all facets of labor and disability hearings, including: developing key talking points; negotiating scope of hearings with Democrat staff; vetting potential witnesses; and preparing committee staff during prehearing briefings.

March - June 2011 **U.S. House of Representatives** **Washington, D.C.**
Legal Intern, Office of Congressman Mike Coffman
Responsibilities: Correspond with constituents on a number of issues. Draft co-sponsorship memos. Facilitate stakeholder meetings for Legislative Director. Lead Capitol tours. Provide supplemental policy and legal research for legislative staff. Attend relevant hearings. Assist with general administrative tasks.

EDUCATION

2007-2010 **University of Mississippi Law School** **Oxford, MS**
Juris Doctor
Activities: Research Assistant to Prof. Serrao, Mississippi Innocence Project, Student Hurricane Legal Network for the New Orleans City Attorney's Office, Law School Student Body Public - Relations Committee Chair, Environmental Law Society - Treasurer, Public Interest Law Foundation - Member.

2003-2007 **Mississippi College** **Clinton, MS**
Bachelors of Arts, *Magna Cum Laude*
Major: Communications
Honors: President's List Scholar, Dean's List Scholar, All-Academic Sportsman, All-Conference Runner, ASC Runner of the Week, SAAC Representative, Choctaw Soccer Pride Award.

C. Paige Hanson

(b) (6)

WORK EXPERIENCE

Social Security Administration, Special Assistant/Congressional Budget Liaison

June 2017 to Present

- Office of Budget, working in both Baltimore, MD, and Washington, DC

Organization for International Investment, Director, Government Affairs

December 2015 to June 2017

- Represented and advised 185 U.S. subsidiaries of the world's largest companies (e.g., Honda, Michelin, Nestle, LVMH, Sony, and L'Oréal)
- Focused on taxation and appropriations policy implications for inbound investors
- Launched the Global Investment in America Caucus

U.S. Joint Economic Committee, Professional Staff Member for Chairman Dan Coats

March 2013 to December 2015

- Senator Coats' lead on the budget and representative to the Senate Finance Committee on Social Security, Entitlement Reform, Foster Care and Adoption policy
- Initiated and executed Senator Coats' "Waste of the Week" initiative
- Represented the Senator in policy and constituent work, also leading in these policy areas for his personal office focused on constituent representation
- Managed a Legislative Correspondent and served as Intern Coordinator

U.S. Senator Dan Coats, Legislative Assistant

March 2012 to March 2013

- Responsible for Appropriations (while Senator Coats served on the Appropriations Committee), Budget, Tax, Joint Economic Committee and Social Security policy
- Approved press releases and constituent responses on financial issues
- Attended meetings on the Senator's behalf
- Managed a Legislative Correspondent

U.S. Senate Budget Committee, Budget Analyst for Ranking Member Jeff Sessions

June 2011 to March 2012

- Analyst for Budget functions 450 (community development) and 600 (income security)
- Served as the Republican point of contact on spending to federal agencies including the Department of Agriculture and the Federal Emergency Management Agency

U.S. Senator Jeff Sessions, Legislative Correspondent

July 2010 to June 2011

- Responded to constituent correspondence on economic, tax, budget, Social Security, trade and banking issues
- Attended meetings on the Senator's behalf

U.S. Senator Jeff Sessions, Staff Assistant

July 2009 to June 2010

- Managed the front office
- Collected constituent concerns to share with the Senator
- Coordinated tours of the Capitol and White House
- Wrote non-legislative congratulatory letters

EDUCATION

Virginia Tech, Arlington, VA
M.B.A.

Expected Graduation: August 2018

Wofford College, Spartanburg, SC

May 2009

Bachelor of Arts in Government (major) and Economics (minor), Concentrations in American Government, International Politics, and Political Philosophy

Activities:

(b) (6)

DAVID S. HARLOW

(b) (6)

COUNSEL

Open to and capable of filling positions at a law firm or in-house with a company or association

Accomplished professional who combines the judgment of a business decision-maker, precision of a skilled attorney, and style of a good leader to drive achievement of corporate goals and objectives while maintaining full compliance and avoiding risk. Strategic business partner who uses extensive and diverse experience to assess, identify, and provide innovative solutions to matters primarily dealing with environmental law and advocacy. Transactional, advocacy, and litigation experience with Atomic Energy Act, National Environmental Policy Act, Clean Air/Water Act, and the Resource Conservation and Recovery Act. Able to handle novel issues in a fast-paced environment, successfully bridging gaps between disparate groups and bringing together teams to achieve a common goal. Advanced communicator who readily explains complex legal documents and concepts to non-legal business stakeholders. Hands-on leader who effectively manages teams of legal and non-legal professional and drives a culture of excellence, ethics, and compliance organization-wide through personal example, training, and program development.

PROFESSIONAL EXPERIENCE

Hunton & Williams, LLP • 1986-Present

COUNSEL (2003-PRESENT)

ASSOCIATE (1986-2003)

Key Responsibilities:

- Provide expertise in litigation, regulatory compliance, client relations, and case management to effectively represent clients in civil matters before the state, appellate, and federal courts.
- Specialize in environmental law, including energy law, water law, and natural resources law, as well as providing support in general business areas, such as administrative law, general litigation,
- Assist clients with drafting business practices that supported sustainability and sustainable operations.
- Manage entire case lifecycle, from determining if there is sufficient evidence to proceed with prosecution to assessing damages and assigning punitive monetary values to representing clients in court or negotiating settlements.
- Provide legal counsel and policy support on enforcement defense, appellate litigation, and legislative advocacy issues.

Representative Cases:

- Represented major electric utility company in licensing proceedings before the US Nuclear Regulatory Commission regarding offsite radiological emergency planning and preparedness issues at a nuclear power plant.
- Represented major chemical manufacturer in a federal enforcement action, resulting in success on summary judgment on "fair notice" grounds.
- Lobbied for balanced regulations regarding pollution and materials handling and participated in major legislative rulemaking efforts.
- Handled appellate litigation arising out of the Clean Air Act Amendments of 1990, including a successful challenge to the Mercury and Air Toxics Standards rule before the US Supreme Court.
- Represented many of the nation's largest electric utility companies and advised major pulp/paper manufacturers in connection with threatened enforcement by the US EPA for alleged violations of the modification provisions of the New Source Performance Standards and New Sources Review Program under the Clean Air Act.

EDUCATION & PROFESSIONAL ACTIVITIES

EDUCATION

- JURIS DOCTOR (JD) • University of Virginia School of Law
- BACHELOR OF ARTS, GOVERNMENT • The College of William & Mary

ADMISSIONS

- District of Columbia
- Commonwealth of Virginia

A. BRADY HENDERSON

(b) (6)

Experience

ADVANCE, OFFICE OF THE VICE PRESIDENT; WASHINGTON, DC – JANUARY 2017 - PRESENT

- Coordinate with The White House, Secret Service, and host organizations to prepare logistics, security, and run-of-show for events attended by or including the Vice President
- Serve as a Trip Lead and Site Lead, coordinating event details and movements for the Vice President

PUBLIC EVENTS, 58TH PRESIDENTIAL INAUGURAL COMMITTEE; WASHINGTON, DC – DECEMBER 2016 - JANUARY 2017

- City Wide Signage Director: Worked with Secret Service and DC Law Enforcement to create city-wide walking paths to all events and produce way-finding devices
- Served as support staff for the Make America Great Again Welcome Event at the Lincoln Memorial, Inaugural Parade and assisted the catering team

ADVANCE, DONALD J. TRUMP FOR PRESIDENT; NEW YORK, NY – FEBRUARY 2016 - DECEMBER 2016

- Created and executed campaign events and rallies, with coordination from Campaign HQ, Secret Service, hosts, and venues including responsibility for logistics, vendors, and security
- Serving as Lead, press or location coordinator for events, responsible for event details, media and movements for Mr. Trump and Governor Pence
- Assisted Production Director in contracting and supervising production companies for Pence rallies

FUSE LIGHTING DESIGNER, NEWSRING CHURCH; GREENVILLE, SC – MAY 2014 - JANUARY 2017

- Programmed and operated concert-quality lighting system for Wednesday and Sunday Services
- Contributed to service planning and stage set design for 8,000 member megachurch
- Production Director for Unify Greenville hosted in the Bon Secures Wellness Arena, Greenville SC

CLOSING MANAGER, MCDONALDS; SIMPSONVILLE, SC – FEBRUARY 2012 - SEPTEMBER 2015

- Managed the crew and floor while working towards the numbers and goals set for the restaurant each night
- Managed the close of the store and prep for opening the next morning

OWNER AND OPERATOR, 2 KIDS GOING GREEN RECYCLING; SIMPSONVILLE, SC – APRIL 2009 - OCTOBER 2015

- Coordinated and ran a weekly recycling pick up for \$8 a month after the City of Simpsonville SC stopped their recycling services

Education

Hillcrest High School; Simpsonville, SC – South Carolina High School Diploma

Skills

- Live Production and stage lighting
- Management and delegation
- Service Planning and Producing
- Vendors and Contractors Negotiation and Bidding
- Event coordination

James Hewitt

(b) (6)

Work Experience:

Dezenhall Resources, Washington, D.C.

Senior Director, April 2016-present

Associate, September 2013-June 2014

- Senior Director for the nation's leading crisis communications firm for clients undergoing a reputational crisis, unwarranted government regulation, or public scrutiny; duties include providing communications and media strategy for clients, helping implement messaging campaigns in targeted media markets; recruiting allies, drafting press releases and other statements for the press, op-ed writing, media training, earned media placement, and broadcast media booking.

Republican National Committee, Washington D.C.

Deputy Press Secretary, February 2015-Present

Deputy Director of Media Relations, June 2014-February 2015

- Responsible for all press relations with conservative-leaning media outlets and organizations; duties include placing stories geared towards attracting conservative audiences; speaking to reporters on and off-the-record; writing and providing statements on behalf of the RNC; doing radio appearances; rapid response, circulating two daily newsletters to conservative writers and reporters.
- In charge of all radio operations for the RNC; duties include pitching and booking Chairman Priebus, RNC staff and Republican surrogates to national and regional programs, writing and circulating a morning daily newsletter to producers and hosts across the country, monitoring national programs, circulating relevant news stories, staffing the Chairman before interviews, providing surrogates with relevant messaging, and compiling the weekly RNC Pundit Prep for Republican affiliated Sunday Show guests.

Education:

University of Colorado, Boulder, B.A. (May 2013)

Major: History; Minor: Political Science

Activities: Legislator, College of Arts and Sciences Student Government (April-December, 2011)

Member, Sigma Alpha Epsilon Fraternity—Judicial Board; College Republicans

Seminar: Former US Senator Hank Brown's "Icons of the American Republic," Fall 2010

CU Business Intensive Certificate (May-June 2013)

- Advanced program for non-business students to develop foundational business skills in accounting, economics, finance, marketing, leadership, operations, corporate social responsibility and personal finance.

Other Work Experience:

Congressman Cory Gardner, Washington, D.C. Office

Intern, June, 2013-September, 2013

- Duties included constituent correspondence, attendance at briefings and committee hearings on behalf of the Congressman's staff, newsletter production, research, and front deskwork.

Young Americans for Romney Coalition, Boulder, Colorado

Co-chairman, Boulder Students for Romney at the University of Colorado Boulder campus, August-November, 2012

- On campus volunteer recruitment; distribution of campaign literature and supplies to university students.
- Planned and organized local campaign stops and weekly volunteer events.

Romney for President, Lakewood, Colorado, State Headquarters

Intern, June-August, 2012

- Research for staff: composed county profile information booklet that included information on Colorado county census demographics, elected leaders, and local clubs.
- Constituent relations and volunteer recruitment.

Senator Jon Kyl, Washington D.C. Office

Intern, June-July, 2011

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Chris Hladick

(b) (6)

Objective

Obtain a position with a forward looking organization with well-defined mission and goals where my skill set can be fully utilized.

Profile

Currently I serve as the Commissioner of Commerce Community and Economic Development for the State of Alaska. Previous to this position I have held City Manager positions in Alaska since 1990 with experience in the following areas: capital projects, strategic planning, budget and finance, management and organizational development, utilities, grant writing, managing legal disputes, construction contracts, policy development, disaster preparedness, Preferred Use Agreements, and lobbying experience at the State and Federal levels. I am familiar with NEPA and ESA issues with a diverse array of challenges working with Federal and State Agencies. I have a background in commercial fisheries and 15 years of experience serving on boards for the development of Arctic Policy. Experience includes 25 years positive working relationships with city councils and 21 years of experience with union contract negotiations. For 27 years I have been an avid student or learner of sound management theory and practice and continue my quest for ever better methods. I have built or overseen the construction of powerhouses and distribution systems, roads, bridges, schools, landfill facilities, docks, sewer and water plants and distribution systems, pools, recreation facilities, office buildings, clinics, mooring buoys, boat harbors, paving projects, and many custom homes.

Experience

COMMISSIONER OF COMMERCE, COMMUNITY AND ECONOMIC DEVELOPMENT, JUNEAU, ALASKA MARCH 2015 TO PRESENT

Governor Walker personally recruited me for his cabinet. In this capacity I am responsible for six divisions and seven corporate agencies: supervising 500 employees with a budget of \$196 M for core services not including seven corporate agencies budgets. The corporate agencies consist of the following: Alaska Industrial Development and Export Authority, Alaska Energy Authority, Regulatory Commission of Alaska, Alaska Railroad, Alaska Gas line Development Corporation, Alaska Seafood Marketing Institute, and the Alcohol and Marijuana Control Office. The six divisions are: Division of Economic Development, Corporate and Business Licensing, Division of Insurance, Division of Banking and Securities, Department of Community and Regional Affairs, and Division of Administrative Services.

Currently serve on 24 various boards and commissions as well as the Arctic Council's Task Force on Arctic Marine Cooperation.

CITY MANAGER, UNALASKA, ALASKA 2001-2015

I was recruited for this position by city council and mayor at the time. Responsible for \$50M operating budgets for General and Enterprise Funds: supervising 160 employees and administering five union contracts. The City encompasses the following departments: Police, Fire and EMS, Public Works & Utilities (Water, Sewer, Electric, Landfill), Clerks, Parks Culture and Recreation, Port of Dutch Harbor, Planning, Finance and Administration. Upon arrival projects were stalled, morale was low, and a general lack of leadership was present. The following are a few of my accomplishments to date:

- Carle E Moses boat Harbor \$72M and various dock projects \$15M
- New state of the art Powerhouse \$62M, installation of fourth engine in progress \$7.5M
- New Water and Sewer Plants \$42M
- Developed Ten year visioning process, Comp Plan Update, multiple year capital plans, 45 year development plan for landfill facility, developed plan for possible oil and gas exploration impacts.
- Multiple facilities construction projects, paving, schools, pool, Parks Culture and Recreation, and landfill cells.
- Developed assessment tools and long range plans for use in 5 year Capital and Major Maintenance Plan
- Emergency Towing System

CITY MANAGER, DILLINGHAM, ALASKA 1994-2001

Responsible for \$12M General and Enterprise Funds supervising 73 employees with following departments: Police, Fire & EMS, Finance, Planning, Senior Center, Public Works, Dock, Landfill, Library and Museum. Upon arrival dealt with serious financial concerns, negative cash flows, lack of training and a host of organizational issues. Employees were organizing under Local 71. The following are some of my accomplishments while there:

- Drafted city's first Strategic Plan, Comp Plan Update, Dock Tariff update, Water and Sewer Master Plans, Long Range Harbor Plan, GIS, completed a 20 year project for erosion control on the Nushagak River, harbor improvements, roads, Local 71 left without organizing the employee's.
- Water and Sewer improvements, Landfill design and construction.
- Planned and constructed a new 1.5 acre dock facility, erosion projects for harbor.

CITY MANAGER, GALENA ALASKA 1990-1994

Responsible for \$4.6M operating budget supervising 57 employees; city operates the Electric Utility, Public Works, Water and Sewer, Medical and Dental Clinic, Mental Health, Finance, and Administration. City was in the final stages of completing the Galena Powerhouse Project. Bid at \$1.2M and built for \$6.5M. I was the sixth manager on the project in five years (with no previous experience). Acted as: Public Works Director, Electric Utilities Supervisor as well as City Manager. The following is a partial list of my accomplishments:

- Maintained Air Force power supply contract during \$1M in failures during summer of 1991. Air Force contract was underbid by \$500K. After 2.5 years of claims processing and negotiation with the Air Force the Federal Government paid off the City's bond indebtedness of \$2.8M and renegotiated power sales agreement for the Galena Air Force Station.

- Upgraded transmission system, water plant, sewer lines, streamlined Public Works and Utility departments, developed maintenance programs, rate structures, and upgraded waste heat utilization.

- Obtained funding for New Water Plant, Bond issue for powerhouse, revetment projects on the Yukon, planning for new Health Clinic, BIA Road Project.

Education

BS Environmental Biology, Eastern Illinois University 1979

Geology and Engineering, Ft. Lewis College, University of Colorado 1983-85

Various Employments

Lead Carpenter, Custom Homes, Colorado; Derrick Hand, Oil Rigs, Wyoming; Fire Cache Everglades National Park Florida; Deck Hand Barges on Upper Mississippi River.

Organizations-Past and Present

Currently I serve on twenty four boards and commissions for the State of Alaska; Alaska Seafood and Marketing Institute, Alaska Industrial Development and Export Authority, Alaska Housing and Finance and a host of others, a full list available on request. At the Federal level currently serving on the Task Force for Arctic Marine Cooperation (a subgroup of Arctic Council) and working group on Arctic Marine Protected Areas. In the past I have served with the following organizations: Alaska Arctic Policy Commission, Northern Waters Task Force, Board member Museum of the Aleutians, Municipal Managers Association, BBAHC Jake's Place Advisory Board (Dillingham), SWAMC committees, Past Co-Chair of Legislative Committee AML.

Millan N. Hupp

(b) (6)

Education

Oklahoma State University
Bachelor of Science: Hotel and Restaurant Administration. Minor: Political Science

May 2014

Experience

Political Fundraising and Operations – Attorney General Scott Pruitt
Tulsa, OK

February 2015 – present

- Primary contact/liaison for Attorney General Pruitt's political team
 - Main interface between AG Pruitt and Republican Attorneys General Association
 - Main interface between AG Pruitt and donors and political contacts
- Execution of fundraising strategies for 501c3 and Leadership PAC
 - Aided in developing and executing fundraising plans and was responsible for collection of funds
 - Prepared briefings and messaging
- Staffing and scheduling for an elected official
- Relationship cultivation and management
 - Donors, organizations, lobbyists, constituents, principals
- Managed every aspect of a campaign operation
 - Donor file
 - Record keeping
 - Finance management/ compliance with state ethics and FEC
- Inception to dissolution of two federal PACs and dissolution of a state committee
- Experience in communications, social media, general consulting, solicitation and prospecting, and polling

Embassy Suites Nashville-Airport (Aimbridge Hospitality)
10 Century Boulevard, Nashville, TN 37214 615-871-0033

July 2014-February 2015

- Business Travel Sales Manager
 - Contract negotiation and RFP bids for local and national accounts
 - Responsible for meeting quarterly sales goals
 - Relationship building through community involvement and sales blitzes
 - Work with local contacts, Hilton national account owners, and utilize available resources to move market share
 - Act as liaison between the hotel and the community

Weekend Manager on Duty shifts

Oklahoma City Convention and Visitor's Bureau
123 Park Avenue OKC, OK 73012 405-297-8912

August 2013-December 2013

- Intern: Convention Services
 - Tasked with attending to walk-in and call-in orders for visitor information
 - Accompanied supervisors on site visits for potential conventions
 - Assigned many projects involving local and national organizations
 - Researched various methods for promoting tourism and city attractions

Westin San Francisco Market Street (High gate Hotels)
50 3rd Street San Francisco, CA 94103 415-974-6400

June-July 2012

- Intern: Human Resources
 - Dealt in Microsoft Operating Systems, EV5, ADP Timesaver
 - Assisted in organization of associate appreciation events as well as hotel's participation in a city wide fundraiser (Leukemia & Lymphoma Society: Million Dollar Mission)
 - Assisted in hotel wide communication through various methods including emails and postings
 - Attended operational meetings including union grievances, mediations, workers compensation, and safety

Eskimo Joes Restaurant
501 West Elm Avenue Stillwater, OK 74074 405-372-8896

August 2010-May 2014

- Server Shift Leader

Worked my way to this position first starting out as host, then expeditor, then server, and finally server shift leader

Leadership/Activities/Service

Oklahoma Federation of Young Republicans

April 2016 - present

First District Vice-Chair

Liaison between county chapters and the executive team

Tulsa Republican Women's Club

March 2016 - present

Member

Oklahoma House PAC

Volunteered to assist with fundraisers during election cycle



Sydney M. Hupp

(b) (6)

Experience

Executive Assistant; Oklahoma Attorney General Scott Pruitt

January 2016-Present

- Proactively manage and direct the schedule for the Attorney General, in its entirety
- Primary interface between Attorney General and internal contacts, external contacts, and vendors
- Prepare detailed correspondence on behalf of the Attorney General
- Deploy exceptional organizational, logistical, and operational tactics to aid Attorney General in all endeavors

Deputy Director of Operations and Compliance; Attorney General Pruitt Political Team

June 2015-Present

- Directed annual budgeting and planning process for political team's budgets
- Contributed to organizational planning and fundraising strategy
- Executed and met financial and operational goals
- Required to meet stringent FEC and state ethics financial reporting standards and deadlines
- Managed data entry, accounts, payroll

Political Fundraising and Event Planning for Attorney General Scott Pruitt

May 2014-May 2015

- Planned and executed fundraising events to benefit Scott Pruitt for Attorney General efforts
- Wrote and edited marketing and campaign materials
- Converted handwritten donor records to Crimson Database to meet rigorous compliance standards

Server, Expediter Shift Leader & Trainer; Eskimo Joe's Restaurant

August 2011-November 2014

Education

Oklahoma State University

August 2011- May 2015

Bachelor of Science in Strategic Communications; Emphasis in Public Relations

Minor in Religious Studies

An executive director with leadership experience focused on effective and long-term organizational change management in government agencies. Proven skills in utilizing multi-faceted approaches to communication and problem solving that are mission-based, open-minded, and decisive. Also possesses a legal background in environmental, energy, and administrative law at both state and federal levels.

EDUCATION

Baylor Law School

Waco, TX

J.D., Administrative Practice Concentration

July 2010

Texas State Bar No. 24074468

Licensed November 5, 2011

Washington and Lee University

Lexington, VA

B.A. Politics

June 2005

EMPLOYMENT

Texas General Land Office

Austin, TX

Chief Clerk & Deputy Land Commissioner

April 2015 - Present

- Assist the Land Commissioner in performing all duties required in his official capacity and to conduct agency business in his absence.
- Oversee and grant final approval of all budget and expenditure matters; approve all agency policies and procedures in keeping with the Commissioner's vision and goals; approve all internal audit reports; and execute binding contracts related to General Land Office (GLO) activities.
- Initiated and executed a comprehensive reorganization of all levels and aspects of the GLO to optimize business functions, improve internal and external customer service, and provide greater cost-savings to taxpayers.
- Regularly engage with members of the Texas Legislature, legislative staff, agency officials, industry leaders, stakeholder organizations, and members of the public in order to further the goals and vision of the Commissioner and GLO.

General Counsel

December 2014 – April 2016

- Serve as the chief lawyer to the Commissioner on agency matters regarding law and ethics.
- Provide substantive and procedural legal counsel to the Commissioner related to the School Land Board and Veterans Land Board agendas, litigation involving the agency, and proper adherence to the Texas Open Meetings Act and Public Information Act.
- Lead an office of attorneys and support staff, covering issues related to oil and gas, coastal lands, public lands, financial transactions, and general law (including EIR and contracting).

Texas Commission on Environmental Quality

Austin, TX

General Counsel

November 2013 - Present

- Served as the chief lawyer to the Commissioners on agency matters regarding law and ethics.
- Provided substantive and procedural legal counsel to the Commissioners related to the TCEQ agenda, litigation involving the agency, and proper adherence to the Texas Open Meetings Act and Public Information Act.
- Lead an office of attorneys and support staff, which also managed the administrative affairs of the Commissioners' offices.
- Oversaw the offices of the Chief Clerk, Public Interest Counsel, and Chief Auditor.

ANNE IDSAL

(b) (6)

Special Counsel to Chairman Bryan Shaw

February 2011 – October 2013

- Served as the primary advisor to the Chairman.
- Assisted the Chairman with legal issues, policy development, and policy implementation.
- Discussed and coordinated TCEQ environmental and energy issues with EPA, elected officials, agency leadership, industry stakeholders, and environmental groups.

Assistant General Counsel

September 2010 – January 2011

- Drafted legal notes and motions on agenda items for the Commissioners' consideration.
- Briefed and assisted the Commissioners on agenda items and other legal issues.

ORGANIZATIONS

- Leukemia & Lymphoma Society (Austin, TX) – 2017 Woman of the Year and Leadership Committee Member
- CASA of Travis County – Guardian ad Litem
- The Federalist Society – Member
- The Environmental and Natural Resources Section of the Texas State Bar – Member
- Washington and Lee University Austin Alumni Chapter – Member and Former President
- Governor's Executive Development Program XXXI – Graduate

Albert C. Kelly, Jr. "Kell"

(b) (6)

EXECUTIVE MANAGEMENT / COMMUNITY LEADER

PROFESSIONAL SUMMARY

High energy, hardworking executive who builds winning teams by motivating to high levels of performance. Leads by example. Experience successfully leading companies, local, state and national organizations. Strategic thinker. Created a business culture which emphasizes the importance of relationships with both customers and employees.

CORE COMPETENCIES

Organization, Communication, Motivational Speaker, Strategic Planning, Business Planning, Business Development, Relationship Development, Sales Training, Incentive Planning and Implementing, Team Leadership, Government Relations, Issue Advocacy, Political Activity

CAREER OVERVIEW

SPIRITBANK BRISTOW, OK

1984-PRESENT

Chairman 2012-Present; President and CEO 1990-2014; Vice President 1984-1990

Lead the bank and affiliates, to align with the board's vision. Guide executives and high level officers through daily operations, special projects, and problem management. Balance objectives internally and externally to strengthen and sustain the bank. Provide the bank a local and national presence by representing the bank at public meetings, conferences, legislative events, and sponsored events. Work in tandem with the CFO to ensure financial reporting accuracy, efficiency, asset liability management and overall profitability of the organization. Grew the bank from one location with \$35 million in assets to a multiple location, multi city bank reaching \$1.5 billion at its peak.

MCMILLAN, VASSAR & KELLY BRISTOW, OK

1980-1985

Partner

General practice of law including work in banking, domestic relationship law, contracts, personal injury, oil and gas, and real estate.

ASSISTANT DISTRICT ATTORNEY, CREEK & OKFUSKEE COUNTIES, OK

1982-1984

Assistant District Attorney

Responsible for prosecution of all types of criminal violations including docket management, jury and non-jury trials and office administration.

BENCHMARKS & MILESTONES

EXECUTIVE MANAGEMENT/LEADERSHIP

- Co-founder and Co-Chairman of TRUST (Transportation Revenue Used Strictly For Transportation) Statewide transportation coalition, chiefly responsible for dramatic increase instate transportation revenue being expended on roadways and bridges
- Chairman of the Oklahoma Turnpike Authority: Responsible for administration of 606 miles of turnpike system in Oklahoma. The bond rating for the Oklahoma Turnpike Authority is one the highest in the nation and the value provided its customer is one of the -least costly in the country
- Founded and led the SpiritBank Ambassador Program which educated selected SpiritBankers on the legislative process and provided issue advocacy on strategically important legislation for the state
- Founding director of Retired Educators for Agriculture Program; a statewide program to provide high school and college students with hands-on agriculture-related internships
- Served on City of Bristow Park Board and helped lead a \$4 million renovation of Bristow's City Park by raising all money from private sources
- Completed Board terms on the Oklahoma State Chamber of Commerce, Tulsa Chamber Of Commerce, Oklahoma Institute for Child Advocacy, Salvation Army, Oklahoma Policy Institute, Opportunity

EPA-17-0558-B-000089

Oklahoma, Oklahoma Center for Non-Profits, Bristow Park Board.

- Manager of Family Cattle Ranch responsible for daily care of approximately 200 head of cattle

BANKING/FINANCE

- Past Chairman of the American Bankers Association which represents over 5,000 banks nationwide
- Past Chairman of American Bankers Association Community Bankers Council which is the arm or the American Bankers Association Grass Roots Advocacy effort which organized and energized the rank and file bankers into a national lobby effort
- Served on American Bankers Association Board of Director for 8 years
- Past Chairman of the Oklahoma Bankers Association responsible for the representation of the over 250 banks then in Oklahoma

OTHER ASSOCIATIONS

Young Presidents Organization
Oklahoma Bar Association
American Bar Association
Bristow Rotary Club
NAACP Life Member
American Bankers Association
Oklahoma Bankers Association
Bristow Chamber of Commerce
Tulsa Chamber of Commerce
Bristow Historical Society

EDUCATION

Juris Doctorate, University of Oklahoma, Bachelor of Business Administration, University of Oklahoma

PROFILE

- Professional Communicator and Executive-level Manager with 18 years of experience in government, politics and business with a deep understanding of the interplay between Congress, federal agencies, state legislatures, foreign governments, industry and the American public.

PROFESSIONAL EXPERIENCE

Media Sherpa


Office of The President-Elect Donald J. Trump

Presidential Transition Office, Washington D.C. 2016 – Present

- Serving as the lead media staff member for the EPA nominee, Attorney General Scott Pruitt:
 - Developed media plan and strategy to help guide nominee through confirmation process.
 - Interact with media daily and provided on the record and on background quotes and material on behalf of the Transition and nominee.
 - Accompany nominee to Senate meetings and organized media opportunities around those meetings.
 - Organize surrogates to appear on TV and radio, submit statements, letters to the editor, columns and op-eds.
 - Built a communications support team from multiple supportive organizations inside and outside the Transition.
 - Implemented systems and controls so the team knew who was to do what, when and how.
 - Developed and implemented systems to keep all media related matters and information organized and accessible.

Executive Vice President

JAMESTOWN ASSOCIATES, Tallahassee, Florida, 2014 – Present

- 
- Develop, maintain and manage clients and client portfolios for one of the nation's most respected media, public relations and political consulting firms, leading to the success of several public relations and political campaigns for the firm:
 - Opened and managed the first Florida office for the firm in Tallahassee, FL in 2014 including negotiating lease agreement, opening office and managing the grand opening and public relations of the office.

- Developed and managed a multi-million dollar book of business that includes Members of Congress, Members of the Florida Legislature, the Republican Party of Florida, political committees, county and local officials, government and non-profit groups and business clients in the gaming, healthcare, transportation, banking, waste management and military industries.
- Write, produce and manage the process involved with sending over one million pieces of political, business and legislative mail.
- Write, produce, organize filming and manage all aspects involved with dozens of television advertisements and web videos.
- Led public relations initiatives for candidates running for office that included developing and executing campaign plans, media plans and budgets.
- Drafted hundreds of press releases and managed client's media relations.

Chief of Staff

LIEUTENANT GOVERNOR OF FLORIDA, Tallahassee, Florida, 2011 — 2014

- Optimized the effectiveness of a senior executive who served as Florida's second-in-command, meeting non-stop demands, addressing unique challenges daily, and anticipating needs to provide data, resources, and support:
 - Took charge of a portfolio of three major issues of priority to Governor Rick Scott and devised strategies to establish them as the office's driving force and ingrain an image of the Lieutenant Governor as their chief advocate.
 - Created and implemented a Priorities Chart that allocates 90% of the Lieutenant Governor's time to matters involving the issues of (1) Veterans Affairs, (2) Military Affairs, and (3) Space Florida.
 - Devised a methodology to examine, vet, and justify other issues worthy of the remaining 10% of the Lieutenant Governor's time and attention, including economic development and international trade.
- Served as a liaison to the Office of the Governor, legislators, gubernatorial appointees, and other stakeholders, easily transitioning between a multiplicity of topics and managing a continuous stream of complex information.
- Managed the operations and personnel of the Lieutenant Governor's two offices in Tallahassee and Orange Park, FL.
 - Developed, implemented, monitored, and identified opportunities to continuously improve processes to coordinate scheduling, time management, messaging, media relations, legislative affairs, goal setting, and outreach.
 - Administered budgets totaling \$40.42 million for the Office of the Lieutenant Governor, Citizen Safety Task Force, Veterans Affairs, and Military Affairs to optimize accountability and ensure compliance.
 - Oriented, trained, and supervised staff members to work as a team in supporting a top-echelon political office with superior service in planning schedules with extensive travel and shifting priorities.
 - Mentored and motivated staff to develop leadership skills, inspire enthusiasm, and promote professionalism in behavior, communication, and attitudes as representatives of the Lieutenant Governor and the State.

- Managed high-profile issues with state-wide, regional, national, and international implications, with a focus on attaining goals relating to Military Affairs, Legislative Affairs, aerospace, and economic development:
 - Collaborated with Space Florida to advance scientific and economic projects, helping to solidify relationships between the Lieutenant Governor, NASA, key Congressmen, aerospace companies, and defense contractors.
 - Played an integral role in promoting dozens of Space Florida initiatives, achieving major economic development and national security victories that include winning the bid to manage the International Space Station National Laboratory, facilitating successful SpaceX missions, and expediting the startup of Orion and Commercial Crew Projects.
 - Managed a portfolio of Military Affairs issues, working on a variety of projects within Florida's 20 military installations, 3 unified commands, and the Department of Defense, Congressmen, staff members, and defense contractors, building mutually beneficial alliances, displaying respect for protocols, and gaining first-hand access to key contacts.
 - Worked with the Department of Environmental Protection, Department of Defense, and various non-government organizations to develop and implement an aggressive military base encroachment plan to preserve lands and conserve precious natural resources adjacent to military installations in order to protect defense operations.
 - Acted as a prime mover in facilitating the state's purchase of Escribano Point, which protected one of the world's most environmentally sensitive areas from commercial development which would have disrupted flight patterns and impeded military and homeland security functions at the nearby Eglin Air force Base
 - Served as staff director to the gubernatorial designee to the Florida Defense Task Force charged with preparing Florida to potential base realignments and closures and working closely with task force chair, DOD, and Congressional officials on policies and public relations
 - Staffed and managed the Lieutenant Governor's international trade mission to South Africa in 2011, handling economic development work for an effort that produced \$40 million in trade for Florida.

Staff Director

GOVERNOR'S TASK FORCE ON CITIZEN SAFETY AND PROTECTION, Tallahassee, Florida, 2012

- Selected by Governor Rick Scott as the lead staff member for the high profile gubernatorial task force created after the shooting death of Trayvon Martin.
 - Accountability for staffing, organizing meetings, coordinating work of 19 appointed members, and managing communications with the public:
 - Played an integral role in the startup of the task force, managing the gubernatorial appointment process to ensure inclusion of Republican and Democrat lawmakers and a cross section of racial and professional backgrounds.

- Facilitated consensus building, brokering compromises between members to reach unanimous agreement in crafting and adopting a mission statement, guiding principles, and the scope of work of their inquiry.
- Organized seven public meetings at locations throughout Florida, managing media and public relations by preparing public notices, press releases, public statements, and information on media availability.
- Managed internal communications to ensure that members were well briefed and fully prepared for meetings, while maintaining compliance with Florida's Government in the Sunshine requirements.
- Oversaw the development of a website, working in collaborating with the IT team to design, launch, and maintain site that provides public and media access to every document related to the inquiry.
- Guided the process to successful conclusion, wrote and delivered a final report to the Governor and Legislature.

District Director

CONGRESSMAN CLIFF STEARNS UNITED STATES HOUSE OF REPRESENTATIVES,
Ocala, Florida, 2007 — 2011

- Administered three Congressional district offices located in Gainesville, Ocala, and Orange Park overseeing the daily operations, supervising a team of seven staff, and contributing to the success of multiple political campaigns:
 - Organized and executed all aspects of two highly successful general elections and one primary campaign, including two ballot access petition drives which far exceeded the number required to qualify.
 - Initiated strategies that projected a clear image of the Congressman and his concern for needs of average citizens that generated a steady increase in general election victory margins, gaining a 20 point win in the first primary
 - Managed issues relating to Florida press and media, constituent casework, community outreach, coalition building, special projects, and district communications throughout eight counties for U. S. Representative Cliff Stearns (R-FL).
 - Represented the Congressman, serving as his designated spokesperson at meetings and events across Florida, and stood in as his surrogate at debates, winning several debates over his political opponents
 - Developed an outreach plan for staff to conduct visits to communities in the district to gain understanding of the true needs and concerns of constituents and promote awareness of work being done on their behalf.
 - Designed and executed events in the district, including hosting 100 Congressional Town Hall Meetings.
 - Organized, publicized, and managed four Home Ownership Workshops during the height of the housing foreclosure crisis, providing individuals and families in distress with access to information and resources.
 - Assisted in founding and organizing Ocala Honor Flight, recognizing local World War II veterans by providing free charter flights for one-day trips to Washington D. C. to visit the WWII Memorial and other monuments.

Executive Assistant

CONGRESSMAN SHERWOOD BOEHLERT UNITED STATES HOUSE OF REPRESENTATIVES, Washington, D. C., 1998 — 2006

- Supported the work of the Congressman in carrying out his responsibilities as a U. S. Representative (R-NY), Chairman of the House Science Committee (2001-2006), and Chairman House Intelligence Committee (2004):
 - Served as Executive Secretary and Personal Assistant to the Congressman, providing oversight to ensure that all required resources were at his fingertips, developing scheduling tools to keep him and his staff on track, and managing the office budget in order to optimize accountability and ensure compliance with procedures.
 - Acted as a liaison to members and staff of Congress, the White House, and various federal agencies (with a focus on the National Aeronautics & Space Administration and the Environmental Protection Agency) to coordinate meetings and handle legislative affairs, policy development, and special projects.
 - Facilitated the establishment of new committee offices subsequent to the Congressman's rise to Chairman of Science Committee, assisting the Congressman and his staff members in transitioning into their roles.
 - Worked with members and staff of the Science Committee to promote openness, ensure fairness, and provide access to the Chairman to cut through bureaucracy and create efficient and responsive communication channels.
 - Managed the closure of the Capitol Hill office and archiving of official papers upon the Congressman's retirement

Professional Staff

COMMITTEE ON SCIENCE AND TECHNOLOGY UNITED STATES HOUSE OF REPRESENTATIVES, Washington, D.C., 2004 and 2006

- Served as Special Assistant to the Chairman during two tenures of service to the committee, exercising responsibility for organizing all facets of two Congressional Overseas Delegations that included trips to New Zealand, Australia, and various Pacific Islands with U. S. military and scientific interests:
 - Worked hand-in-hand with more than a dozen Republican and Democratic Congressmen, their spouses, and staff members who traveled with the delegation to support the mission.
 - Acted as liaison to officials and representatives of foreign countries to coordinate logistics, discuss protocols for official meetings, and acquire knowledge of their cultural practices.
 - Managed communications with key contacts in the U. S. military and U. S. State Department on details relating to travel arrangements, lodging, and accommodations for meetings and events.
 - Traveled with the delegation as the Chairman's primary staff support, maintaining the schedule, documentation, and official correspondence relating to the delegation visits.

EDUCATION

UNIVERSITY OF MARYLAND, College Park, Maryland Bachelor of Arts in Government and Politics, 1999

MONTGOMERY COLLEGE, Rockville, Maryland Associate of Arts, 1997

ACADEMIC HONORS

UNIVERSITY OF MARYLAND, College Park, Maryland Full Foreign Studies Academic Scholarship – Republic of Vietnam, 1998.

LEADERSHIP TRAINING

REPUBLICAN NATIONAL COMMITTEE, Washington, D. C. CAMPAIGN MANAGEMENT COLLEGE Certificate, 2004

BOARD APPOINTMENTS

- Fort King Heritage Association, Ocala, Florida, Board of Directors, 2010 — 2011
- Ocala Honor Flight Board, Ocala, Florida, Member, 2009 — 2011
- Florida Republican Executive Committee, Marion County, Florida, Elected Member, 2007 — 2011
- Alumni Board of Governors, Montgomery College, Elected Member, 2004 — 2006

CIVIC HONORS & AWARDS

- Special Award of Appreciation, Ocala Honor Flight, 2010
- Congressional Staffer of the Year, Air Force Association of Florida, 2008

COMMUNITY INVOLVEMENT

- Chairman, Leon County for Donald Trump for President, 2016
- Manager, Donald Trump for President North Florida Office, 2016
- Treasurer, Mary Thomas for Congress campaign, 2015-2016
- Chamber of Commerce Emerging Leaders, Ocala, Florida, Member, 2007 — 2011
- Friends of the Ocala Symphony, Ocala, Florida, Member, 2007 — 2011
- Friends of the Ocala Library, Ocala, Florida, Member, 2007 — 2011
- Kiwanis Club, Ocala, Florida, Member, 2007 — 2011
- Judy Plumley (R) for Oneida County Surrogate Court Judge, Rome, New York, 2005
- U.S. Rep. Connie Morella (R) for Congress Campaign, Bethesda, Maryland, 2002
- AmeriCorps, Prince Georges County, MD Public Schools, Reading Mentor, 1998 — 1999
- Bob Dole for President, Germantown, Maryland, 1996

COUNTRIES VISITED

- Extensive international travel, representing both the U.S. Government and State of Florida including: American Samoa, Australia, Canada, China, Dominican Republic, Fiji, Germany, Haiti, Italy, The Marshall Islands, New Zealand, Saint Martin, South Africa, Vietnam, and the Virgin Islands.

SLAWOMIR "STEVE" KOPEC

(b) (6)

OBJECTIVE: *A SKILLED TEAM LEADER WITH STAFF AND OFFICE MANAGEMENT EXPERIENCE LOOKING FOR AN OPPORTUNITY TO SERVE IN THE FEDERAL GOVERNMENT.*

SKILLS: TEAM BUILDING, OFFICE MANAGEMENT, ORGANIZING EVENTS, CUSTOMER SERVICE, MANAGING SCHEDULES AND A COMMITMENT TO IMPROVING THE COMMUNITY.

WORK EXPERIENCE:

AGATA & VALENTINA FOOD CORP - MAINTENANCE MANAGER

2015-2016

Oversaw and managed the maintenance of multiple refrigeration units.

- Handled repair and maintenance schedule and coordinated with various part and food vendors to ensure that food was delivered to correct refrigeration units and vendors efficiently repaired refrigeration units to maximize time

Maintained the various food and preparation facilities throughout New York.

- Oversaw the quality control aspect to maintain the highest standards when it came to coordination of refrigeration repairs and the routine maintenance of air conditioning units

Managed the fleet of delivery vehicles to ensure they were in the best condition possible.

- Managed the repair and maintenance schedule with a team of vendors and mechanics

Coordinated various repair and improvement projects between facilities.

- Oversaw and coordinated between multiple vendors, food delivery schedules and government officials to ensure large-scale projects were managed efficiently and legally

Kept in constant communication with management and any vendors in order to ensure budgets weren't exceeded and delivery schedules were on time.

- Implemented weekly meetings with management and outside vendors to maximize efficiency and to ensure that all levels of the company were on the same page in regards to improvement and repair projects.

HOUSING AND ESTATE MANAGER

2015-2015

Managed the day to day of a residential estate in Alpine, New Jersey.

- A "First in, Last out", mentality was needed to effectively oversee a large estate with so many moving parts.

Coordinated between multiple vendors to ensure efficiency of remodeling and improvement construction projects.

- Organized weekly meetings between estate staff, vendors, local officials and construction crews to maximize communication and efficiency.

Maintained and organized the master calendar of the estate.

- Oversaw the scheduling and management of the various repair and improvement projects throughout the estate. This was vital due to multiple private events being held there a week as well as making sure that projects did not affect each other or were duplicative in their end result.

Managed the estate staff, payroll and coordinated their schedules.

- Managed 2 dozen full-time staff members as well as hundreds of outside vendors and contractors during their time on the estate.
- Created work schedules for the full-time staff in conjunction with any projects or private events
- Oversaw the payroll process in order to ensure pay was correct and out on time

STEVE'S TOOLS IN MOTION - OWNER

2001 – 2012

Owned and operated a small business for over a decade

- Oversaw the bookkeeping of local, state, payroll and federal taxes
- Worked with large companies and corporations to ensure our tools were delivered and priced effectively
- Managed the contract process for large-scale orders

Managed a staff of 1 dozen full-time employees and dozens of part-time employees

- Managed the payroll and on-boarding process for all new employee's
- Created and maintained a bi-weekly schedule for all employee's
- Oversaw payroll for the company
- Held weekly meetings with management level staff to ensure communication and coordination of the business was as efficient and effective as it could be
- Organized monthly all staff meetings to listen to and work with anyone that had ideas and comments that could improve the business and maximize efficiency.

Spoke with customers and worked within the community on a daily basis

- Was the end point for all customer service issues
- Created a positive, customer-service oriented work environment
- Work with the community to give back through sponsoring events and charity drives

Kelly Kunding

(b) (6)

REPUBLICAN NATIONAL COMMITTEE

Washington, DC

Regional Political Trainer, June 2017 – Present

- Conduct political trainings pertaining to the Republican Leadership Initiative, GOTV, voter registration, petitioning, etc.
- Work directly with Regional Political Directors, State Directors and Regional Field Directors to execute political trainings within their states and territories
- Plan and facilitate the RNC's Campaign Management College and Campaign Finance College

OFFICE OF PRESIDENTIAL ADVANCE, EXECUTIVE OFFICE OF THE PRESIDENT

Washington, DC

Trip Coordinator, January 2017 – June 2017

- Composed line by lines for assigned trips
- Planned and executed motorcades, manifests and Presidential movements
- Scheduled travel for employees in the Office of Presidential Advance
- Constructed a budget for each assigned trip
- Served as an Advance Site for local Presidential events

58th PRESIDENTIAL INAUGURAL COMMITTEE

Washington, DC

Public Events Staff Assistant, December 2016 – January 2017

- Managed the schedule for the Director of Public Events
- Composed expense reports, contract approvals, presentations and briefs for the Director of Public Events
- Assisted with new staff approvals and the integration process for new hires

KELLY AYOTTE FOR NEW HAMPSHIRE

Manchester, NH

Hillsborough County Field Director, April 2016 – November 2016

- Planned and facilitated parades, meet and greets, rallies and political events
- Prepared oral and written briefs for the candidate
- Recruited volunteers for weekly phone banks, canvassing and events with the candidate
- Spoke on behalf of the candidate at local meetings and functions
- Managed distribution of collateral

MARCO RUBIO FOR PRESIDENT

Washington, DC

Political Staff Assistant, August 2015 – March 2016

- Managed the schedule of the Deputy Campaign Manager and the Political Director
- Scheduled and coordinated all telephone town halls, conference calls and automated phone messages
- Composed and tracked call sheets for the candidate, the Deputy Campaign Manager and Political Director
- Oversaw the campaign's nationwide Volunteer Connect (phone from home) program
- Organized local volunteer efforts, including phone banks from headquarters and Northern Virginia canvassing
- Assisted with ballot access efforts in Illinois and Virginia
- Served as point of contact on expense reports, new staff approvals, mail and phone approvals

THE ITALIAN STORE

Arlington, VA

Manager / Event Coordinator, May 2015 – August 2015

Server, December 2011 – January 2015

- Managed all phases of a gourmet food emporium

EDUCATION

Virginia Tech

Blacksburg, VA

B.A., Public Relations, May 2015

EPA-17-0558-B-000099

MATTHEW Z. LEOPOLD

(b) (6)

EXPERIENCE

Carlton Fields Jorden Burt, P.A.

Tallahassee, FL

Of Counsel

Mar 2015 – Present

- Attorney focusing in environment, energy, water law, and litigation. Leveraging 10 years of collective state and federal government experience to assist clients with legal disputes, regulatory concerns, and public policy challenges across a wide range of issues.
- Specialize in federal and state administrative cases, federal and state enforcement actions, natural resource matters, and pollution cleanup issues, and electric utilities.
- **Florida v. Georgia:** currently representing the State of Florida in an Original Action before the U.S. Supreme Court seeking an equitable apportionment of the waters of the Apalachicola-Chattahoochee-Flint River Basin; directed numerous expert and fact witnesses through discovery, with millions of documents exchanged between the parties; appeared in a multi-week trial in Portland, Maine before a special master, from October 31 to December 1, 2016.

Florida Department of Environmental Protection

Tallahassee, FL

General Counsel

Mar 2013 – Feb 2015

- **Management:** chief lawyer for Florida's principal environmental agency with approximately 4,000 employees; responsible for managing an office of 40 lawyers and 35 support staff that conduct enforcement of environmental laws, defensive litigation, rulemaking, legislation, client counseling, real estate transactions, and other matters; \$12 million budget; responsible for and managing numerous outside counsel and experts handling complex, high profile environmental litigation.
- **Supporting the Secretary, Governor Rick Scott, and Florida Cabinet:** acted as the primary attorney to the Department Secretary and advised the Office of Governor Rick Scott on matters within the Department's jurisdiction, including regulatory requirements and state policy for air, water, waste, and public lands; reviewed and drafted final orders issued by the Secretary, resolving challenges to Department-issued permits; acted in a quasi-judicial capacity on pending administrative challenges to Department actions; advised the Cabinet, including the Florida Attorney General's office, on issues related to state lands and litigation, including the Turkey Point Nuclear Power Plant siting project.
- **Litigation:** directed Department policy on pending matters in state and federal courts, and administrative bodies, with a focus on water law; primarily responsible for legal strategy in the Everglades cases; personally argued before the U.S. Court of Appeals for the 11th Circuit in *U.S. v. South Florida Water Management District*; reviewed and drafted appellate briefs and conducted oral argument in Florida courts.

United States Department of Justice Environment and Natural Resources Division

Washington, DC

Attorney, Law and Policy Section

Jan 2007 – Feb 2013

- **BP Deepwater Horizon oil spill civil trial team:** responsible for case development in *U.S. v. BP Exploration and Production*, including written discovery, developing expert reports, defending and taking expert and fact depositions, pretrial briefing of summary judgment and *Daubert* motions, direct and cross examination development for trial.

MATTHEW Z. LEOPOLD

(b) (6)

- **Border Fence Land Acquisition Project:** handled several eminent domain cases to acquire lands for the U.S./Mexico border fence in Texas; assisted in defending a challenge to a border fence environmental law waiver exercised by the Secretary of Homeland Security.
- **Other litigation experience:** defensive cases challenging the Gulf of Mexico deepwater drilling moratorium; lead attorney on an Endangered Species Act case; member of a Superfund litigation team; reviewed Clean Water Act and Clean Air Act citizen suits working with litigants to address U.S. concerns; drafted successful appellate briefs and oppositions to certiorari.
- **Legal advice to client federal agencies:** helped lead an interagency group of lawyers addressing legal questions arising in the BP Deepwater Horizon oil spill response; drafted a memo to the Secretary of the Interior on oil shale matters; worked with EPA to craft a CERCLA Order to TVA to address the Kingston coal ash spill; represented DOJ to U.S. Coral Reef Task Force; reviewed environmental executive orders and policy initiatives for legal issues; drafted memos on oil spill matters; assisted the Interagency Oceans Policy Task Force and represented DOJ to the National Oceans Council.
- **Legislative, regulatory, and international work:** reviewed and commented on environmental legislation, client agency congressional testimony, and proposed rulemakings affecting DOJ interests; drafted legislation, such as proposed amendments the Oil Pollution Act and the Outer Continental Shelf Lands Act; worked with the State Department to address DOJ concerns in proposed international agreements on vessel pollution, fisheries, offshore drilling, and other matters.
- **DOJ Office of Legislative Affairs:** performed a six-month detail to the Office of Legislative Affairs to assist in responding to congressional oversight requests to the administration after the Democratic takeover of Congress in 2006.
- **Supporting the Assistant Attorney General:** drafted congressional testimony, speeches, talking points, briefing materials, and reports for the Assistant Attorney General; assisted in settlement approvals; coordinated FOIA responses.

Florida Washington Office *Federal Policy Advisor – Environment*

Washington, DC
Apr 2005 – Dec 2006

- Managed the State of Florida's environmental, energy, and agricultural policy on the federal level, working with the Florida Governor and Congressional Delegation, state agencies, and federal agencies; represented Department of Environmental Protection and Water Management Districts.
- Regularly briefed members of Congress and their staff on the federal-state partnership to restore the Everglades; participated in discussions regarding the amendment of the Clean Water Act consent decree that addresses phosphorus pollution from South Florida agriculture.

White House Office of Faith-Based and Community Initiatives *Legal Extern*

Washington, DC
May 2004 – Aug 2004

- Compiled weekly reports on agency implementation of Administration goals for the Initiative.
- Prepared speech materials for the Director and for the Associate Director for Legal Affairs.

MATTHEW Z. LEOPOLD

(b) (6)

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|---------------------|--|-----------------|
| EDUCATION | Florida State University College of Law J.D. <i>cum laude</i> (December 2004); Book Award, Statutory Interpretation | Tallahassee, FL |
| Activities | Research and Writing Editor, <i>FSU Journal of Transnational Law and Policy</i> Member, <i>FSU Business Review</i> Board Member, Legal Aid Foundation of the Tallahassee Bar Association Representative, FSU Congress of Graduate Students | |
| | University of Florida B.A. with Honors (August 1999); Major, History; Minor, Philosophy | Gainesville, FL |
| RECOGNITION | Appointed by U.S. Senator Marco Rubio to Florida Federal Judicial Nominating Commission (2015-2017) <i>Florida Trend</i> Magazine, Florida Legal Elite (2015) Assistant Attorney General's Award for Excellence for the Deepwater Horizon Litigation Team (2011) Assistant Attorney General's Award for Excellence for Providing Legal Advice Related to the Border Fence Land Acquisition Project (2008) | |
| AFFILIATIONS | The Florida Bar (June 2005); U.S. Supreme Court Bar; Federal Court Bars for the 11th Circuit Court of Appeals, Middle and Southern Districts of Florida; Environmental Law Institute; The Federalist Society; James Madison Institute Leaders Fellowship (2015-2016); Connect Florida, Statewide Leadership Institute | |

DAISY C. LETENDRE

(b) (6)

EXPERIENCE

U.S. Senator Jim Inhofe (R-Okla.)

Washington, D.C.

U.S. Senate Majority Committee on Environment and Public Works

Communications Director

November 2016 – Present

- Serving as primary on-record spokesperson for Sen. Inhofe
- Overseeing messaging and press strategy for personal office and EPW Committee, staffing interviews and backgrounders
- Writing, editing and/or clearing all press content including releases, social media, op-eds, floor speeches and e-newsletters
- Initial lead press office contact with other Congressional offices, leadership offices and outside groups
- Lead project manager in the press shop for both EPW and Inhofe annual reports

Press Secretary

July 2016 – November 2016

- Writing and distributing press content including releases, statements, media advisories, ICYMI memos, and talking points
- Developing relationships with national and Hill press, EPW beat reporters, Oklahoma print, radio, and TV contacts
- Arranging off-the-record briefings prior to EPW hearings, working with policy staff to draft off-the record materials

U.S. Senate Majority Committee on Environment and Public Works

Washington, D.C.

Digital Director

July 2015 – July 2016

- Managing committee website, social media, and online presence for the committee and the Chairman
- Developing aggressive and comprehensive online communications strategies for major legislation, hearings and events
- Executing all digital outreach on committee objectives, including coordinating with outside groups and other members
- Curating content for messaging memos, digital material, and graphics for use by the entire Senate Republican Conference
- Drafting op-eds, talking points, speeches, statements and event presentations for the Chairman on a wide portfolio of issues

Communications Assistant

February 2015 – July 2015

- Drafting press releases, media advisories and ICYMI memos
- Performing day-to-day press tasks as pertaining to hearings, press conferences, mark-ups, and media appearances
- Formatting and designing layouts for committee reports, assisting with the writing and editing of report executive summaries

Generation Opportunity

Arlington, VA

Communications and Writing Associate

February 2014 – February 2015

- lead writer producing creative written content and opinion pieces based on a monthly editorial calendar
- Fostering relationships with state and national opinion editors and members of the media
- Generating a daily internal email to streamline org-wide messaging, synthesizing news, and highlighting earned media
- Coordinating with regional Press Secretaries and State Directors to develop state-specific media strategies

The Cato Institute

Washington, D.C.

Government Affairs Intern

September 2013 – December 2013

- Preparing congressional notifications detailing Cato policy positions and announcing events and conferences
- Conducting research for Cato scholars and the government affairs team on domestic and foreign policy

EDUCATION

Trinity College

Hartford, CT

Bachelor of Arts with Honors in Political Science, Minor in Philosophy

May 2013

- Concentration in Political Philosophy and American Government
- Honors Thesis: "Federalism and the Modern Presidency from Eisenhower to Obama: *If All Men Were Angels*"

LEADERSHIP & ACTIVITIES

Northfield Mount Hermon School – Class Gift Chair, Class Secretary

May 2009 – Present

DC Triathlon Club – Member and Masters Swim Program Coach

January 2015 – Present

Off The Bench Baseball (.com) – Contributing Writer

November 2015 – Present

Yale University – Guest Lecturer

June 2013

Teaching Assistant – History of Political Thought, Early Modern Political Thought

September 2012 – May 2013

Trinity Tripod – Contributing Writer

January 2013 – May 2013

Trinity College Swimming and Diving – Captain, Entertainment Chair

September 2009 – May 2013

- Susan B. Scott Award Winner, Brian Foy Captains Award Winner

SKILLS

Writing, Editing, Research, Microsoft Office, Photoshop, InDesign, Adobe Creative Cloud, Social Media, Meltwater, Public Speaking, Digital Marketing, Qualitative Data Analysis, (b) (6)

Emily Lindley

(b) (6)

Education

Baylor University
Waco, Texas
Bachelor of Arts, May, 2003
Social Work

Experience

Texas Commission on Environmental Quality – Special Assistant to the Deputy Executive Director

June 2014 – Currently

Serve as a Special Assistant to the Deputy ED who oversees agency day to day operations. Develop strategies and implement operating procedures for the agency. Oversee and help develop the agency's Legislative Appropriations Request and the Agency's annual operating budget of over \$400 million. Assist in personnel matters, contract procurement procedures, federal and state legislative implementation, and coordination of responses to media inquiries.

Texas Commission on Environmental Quality – Special Assistant to the Deputy Director, Office of Water

December 2013 – May 2014

Served as a Special Assistant to the Deputy Director for the Office of Water who oversees water permitting, water quality planning, and water supply related functions including Utilities and Districts for the state of Texas. Proactively worked with stakeholders to implement the Office of Water programs.

Texas Commission on Environmental Quality – Government Relations Liaison

November 2010 – November 2013

Coordinated agency responses to congressional and state legislative inquiries, constituent issues, legislative initiatives, and interim committee studies affecting the agency. Also coordinated the agency's testimony and participation during legislative hearings. Ensured that the Legislature was informed of the TCEQ's initiatives and activities on statewide and local levels. Created and maintained legislative bill tracking lists including implementation timelines for passed legislation.

Texas Commission on Environmental Quality – Office of Public Assistance, Program Specialist

January 2008 – November 2010

Provided compliance and technical assistance to the public, elected officials, industry, agency staff, and other governmental agencies regarding permitting issues. Evaluated and assisted in the preparation of responses to complex permitting questions and rule interpretations. Composed, edited, and prepared correspondence for the Commissioners and Executive Director to legislative members and the public.

Lilly & Company – Account Manager

August 2006 – December 2007

Assisted in client correspondence and implementing the company's fundraising, consulting, and grassroots advocacy techniques for statewide and federal campaigns. Coordinated and attended meetings between donors and federal and statewide candidates. Maintained databases and call lists for clients. Additional responsibilities included preparing invoices and serving as the liaison to multiple campaign accountants and treasurers for state and federal campaigns.

Texas Secretary of State – Executive Assistant/Scheduler

January 2005 – July 2006

Provided administrative support and technical assistance for the Secretary and Deputy Secretary. Coordinated logistics of events and speaking engagements throughout the state and at international venues for Secretary and Deputy Secretary. Maintained up to date state requirements and timeline database to be completed by the Border Affairs Division as set by the Texas Legislature.

Emily Lindley

(b) (6)

Office of the Governor, Texas – Scheduler

July 2004 – January 2005

Prepared Governor Perry's daily schedule and briefs for Governor Perry, including confidential information. Set events and Governor's involvement at each event. Maintained working relationship with the First Lady's Office to coordinate events and schedules. Coordinated meetings on behalf of the Governor and photo opportunities.

Rise School of Austin – Assistant Teacher

August 2003 – July 2004

Served as an assistant teacher at the Rise School of Austin.

Professional Training

Graduate of the Governor's Executive Development Program (Class of 2016)

Completed the Dispute Resolution Center of Austin's 40 hour Basic Mediation Training

Volunteer Experience

Meals on Wheels – Weekly Driver

Austin Women's Symphony – Member/Volunteer

Baylor Women's League of Austin – Member

Young Texans Against Cancer (YTAC) Austin, former Membership Chair and former Treasurer

References

Academic, work related, clerical, and/or personal will be furnished upon request.

Peter D. Lopez

(b) (6)

Education

M.P.A., State University of New York at Albany, Rockefeller Institute of Public Policy. G.P.A. 3.75.

B.A., Magna Cum Laude, State University of New York at Albany, Major: Public Affairs Minor: Environmental Studies G.P.A. 3.57.

A.A.S., Cum Laude, State University of New York at Cobleskill, Major: Liberal Arts/Science. G.P.A. 3.15.

Maintained gainful employment during academic year to finance education in full.

Professional Employment

Member, NYS Assembly (January 2006 to Present)

- Elected to six consecutive terms in the New York State Assembly to represent a seven county region including the Mid-Hudson, Northern Catskills, Southern Tier and Capital District.
- Collaborate in developing and passing the state budget, and legislation to promote growth, opportunity and quality of life for New Yorkers. Serve in leadership roles in a broad array of caucuses, task forces and committees.
- Actively and creatively problem-solve in order to respond to constituent concerns. Promote grassroots engagement with public, not-for profit and private sector interests to improve agricultural, environmental, energy, economic development, educational opportunities and more in New York State.

Schoharie County Clerk (January 2004 to December 2006)

- Managed a combination of union and appointed staff to operate the motor vehicle department, records filing service and archival storage of agency records. Focused on use of computer technologies to promote cost-effective service while emphasizing superior customer relations.

Executive Assistant to NYS Senator John J. Bonacic (November 2002 to November 2003)

- Directed and assisted staff in carrying out a wide variety of tasks including bill development, budget negotiation and constituent services.

District Office Director to NYS Assembly Minority Leader, John J. Faso. (February 1999 to November 2002)

- Served in a supervisory capacity to support Minority Leader Faso in responding to the needs and priorities of the people of the 126th Assembly District. Duties were similar to those exercised as Executive Assistant to Senator Bonacic, emphasizing intensive hands-on support of special projects.

Associate Director, NYS Standing Committee on Agriculture, Senator John R. Kuhl, Jr., Chairman (January 1987 to February 1989)

- Developed legislative and budgetary initiatives which supported agriculture and agribusiness in New York State. Developed targeted initiatives, prepared written reports for publication, set program agendas for the Agricultural Committee, made public presentations, coordinated public hearings and meetings, and provided technical assistance on agricultural as well as broader rural development issues.

Peter D. Lopez (Page 2 of 2)

Policy Research Director, NYS Legislative Commission on Rural Resources, Senator Charles D. Cook, Chairman (April 1983 to January 1987):

- Served the Commission in a capacity similar to the Assistant Director position. Emphasis on coordinating program staff efforts to develop legislative initiatives such as the NYS Rural Public Transportation Coordination Assistance Program (RPTCAP).

Supervisor, Town of Schoharie, New York / Member, Schoharie County Board of Supervisors (January 1990 to December 1993)

- Provided direction for Town and County governmental functions. Responsible for budget preparation and management, staff performance / review, and responding to constituent concerns. Served as chair and/or member of the following: Schoharie Youth Recreation Commission; Central Bridge Water District Board; Schoharie County Health, Social Services, Flood Control, and Finance Committees; Barton Hill Aquifer Protection Committee; Schoharie County Board of Health; Committee on Runaway/Troubled Youth; Community Services Board (Mental Health/Substances Abuse); Tri-town Assessor's Committee.
- Promoted long-range planning initiatives, specifically in the areas of land-use, economic development, and recreation. Developed grant proposals for special projects and assisted in their administration.
- Assessed statewide local roads / mass transit programs and policies as a member of Transportation Advisory Committee for the New York State Association of Counties.
- Served as member of the New York State Association of Towns Legislative Committee. Assisted the Executive Secretary and Counsel in the review of Senate, Assembly and Executive Branch initiatives affecting town governments across New York State.

Councilman, Town of Schoharie, New York (January 1988 to December 1990)

Trustee, Village of Schoharie, New York (April 1984 to April 1988)

Achievements and Awards

- City & State Magazine and NYS GOP Rising Star
- 2009 NYS Conservative Party Legislator of the Year
- SCOPE 2015 NYS Legislator of the Year Award and David Williams Award for Preservation of Freedom
- Distinguished Alumni Awards from Schoharie CSD, SUNY Cobleskill and SUNY Albany and State University of NY's Golden Anniversary Chancellor's Recognition Award
- Eagle Scout and National Eagle Scout Association Outstanding Eagle Scout,
- NY State Masons' General Douglas MacArthur Award for Service to Youth,
- Schoharie County Chamber of Commerce's Leader of the Year Award
- Forty Under Forty Rising Latino Stars Award
- Centro Civico "Corazon" Achievement Award for public service,
- Times Journal Star of the Year Award for recovery from the devastation of Hurricanes Irene and Lee.

CHRISTOPHER M. LYON

(b) (6)

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- A results-oriented leader with a more than 20-year track record of building nimble, innovative and loyal teams that break through walls to win at the highest national level.
 - A talented writer with experience producing diverse written products, including speeches, press releases, rapid-response documents, advertising copy, news reports, digital content, policy papers, investigative studies and questionnaire responses.
 - A quick and creative innovative thinker with the demonstrated ability to see the most effective way to engage the client or consumer.
 - A thoughtful, entertaining and persuasive communicator with experience making a case on television, radio, in small groups and with newsmakers and reporters.
 - A “devastatingly effective” researcher, according to the New Jersey *Star-Ledger*, who wrote the memorandum on the Massachusetts furlough program that helped George H.W. Bush win the presidency and was an invaluable member of the hard-charging team that enabled Rudy Giuliani to become mayor of New York City.

EXPERIENCE:

INFOMENTUM LLC
Albany, New York

January 2005 to present;
January 1994 to July 2002

President

Director of consulting group specializing in strategy, communications, media relations, policy development, research and direct-mail.

Key Accomplishments:

- Served as research director for MGM’s successful eight-week \$45 million statewide ballot initiative campaign in Maryland to expand gaming opportunities. Reported to the director and worked with the rest of the senior staff on an around-the-clock basis. As research director, ran the rapid response team, backed up the media team and formulated the longer form rebuttal strategy.

(more)

- Created, wrote and edited a political news website that regularly beat the *New York Times* and the other major New York dailies in breaking new stories. Almost immediately the site became the talk of the political press, local politicians, their staffers and the lobbying community. Special features included the weekly “Throop’s Scoop” column (named after Enos Throop, a former New York governor) and the “Political Stock Market,” a fun end of the week “buy, hold and sell” rating of the week’s newsmakers.
- Served as a consultant to the New York Republican State Committee. Reported directly to the state party chairman and executive director. Assisted in creating successful messages for Governor George Pataki, Attorney General Dennis Vacco and scores of local candidates. As part of that effort, worked closely with the New York City and suburban media, the *Albany Times-Union* and other local newspapers.
- Served as a research and policy consultant for Jersey City, New Jersey Mayor Bret Schundler’s gubernatorial campaign. Reported directly to the campaign manager and the general consultant. Directed a team and worked with reporters at the *New York Times*, the *Daily News*, *New York Post*, *Philadelphia Inquirer*, *New Jersey Star-Ledger* and the Gannet New Jersey newspapers to develop stories that drove the acting-governor out of the primary – and then created the policy matrix contrast with the party establishment’s replacement candidate, that brought Schundler from 20-points down in the polls to a 16-point victory on primary day.
- Served as a strategic consultant on a U.S. Senate campaign in New Jersey. Reported directly to the campaign manager and the general consultant. Developed information and worked with reporters in the state’s media markets to uncover wrongdoing and get stories printed that led the local U.S. Attorney to initiate a federal criminal investigation against the incumbent opponent during the campaign. Almost drove the incumbent out of the race. With senior staff of campaign, brought information uncovered on the incumbent to the White House to appeal for additional financial support.
- Created an effective mail program for one of only two challenger candidates for the Legislature in Massachusetts to defeat an incumbent opponent. Reported directly to the candidate and the campaign’s senior staff.
- Appeared as a guest on MSNBC – opposite former Dukakis campaign manager Susan Estridge – and America’s Talk Channel – opposite Democratic consultant Hank Sheinkopf. Also quoted in several newspapers and television and radio reports.

(more)

- The *New York Times* (10/6/06) said, “[Lyon] is sought-after, reviled and according to foes and friends alike, good at what he does.” The *New Jersey Star-Ledger* (9/30/06) said, “[Lyon] has a reputation as a highly skilled and devastatingly effective researcher.”

NEW YORK STATE ASSEMBLY
Albany, New York

August 2002 to December 2004

Director of Metropolitan Services

Directed Assembly Minority Leader’s operations in the New York City metropolitan area. Reported directly to the chief of staff. Managed staff of five people. Responsibilities included: media outreach, advising on legislative strategy, policy development, community outreach and raising the visibility of the Conference.

GIULIANI FOR NEW YORK
New York, New York

November 1992 to January 1994

Political Director

Directed political strategy for the first successful Republican mayoral campaign in New York in 28 years. Reported directly to the campaign manager and the general consultant. Worked collaboratively with the other members of the senior staff. Managed a staff of 25 people who worked 24-hours-a-day. Ran the campaign’s rapid response team that provided information to the political, policy, research and media arms of the campaign. Engaged reporters at the *New York Times*, *New York Post*, *Daily News* and *Newsday* to shape and execute the campaign’s message. Drafted a 400-plus page vulnerability study and edited and wrote a two-volume debate policy preparation book. Wrote a path-breaking field operations memo calling for neighborhood field offices that became the backbone of the campaign’s street operation essential to victory. Also served as political director for the post-election transition team.

REPUBLICAN NATIONAL COMMITTEE
Washington, District of Columbia

March 1991 to November 1992

Research Consultant

Directed the in-the-field information gathering operations in Albany, New York and Little Rock, Arkansas in preparation for the presidential election. Reported directly to the RNC’s research director. In Arkansas, uncovered the Whitewater and Gennifer Flowers issues. During the presidential campaign wrote press releases and drafted memos on the positions taken by the Democratic nominee and wrote commentaries of his record as governor of Arkansas. Also served as information liaison to the national media as part of the team at the Republican Media Center set up at the Democratic National Convention in New York City.

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FRIENDS OF PHIL GRAMM
Dallas, Texas

January 1990 to December 1990

Research Director

Developed the campaign's research program and worked with the Texas media to implement the campaign's message. Reported directly to the campaign manager. Managed a paid staff of 20 people. Produced a 300-plus page book detailing the policy, personal and professional foibles of the opponent.

FRIENDS OF GIULIANI
New York, New York

June 1989 to November 1989

Research Director

Directed the campaign's nationally acclaimed research program. Reported to the campaign manager and general consultant. Wrote memoranda on issues ranging from campaign strategy to ethnic concerns; from personal finances and conflicts-of-interests to issues of urban policy. Worked with the New York media to move negative stories on the opponent that brought Rudy Giuliani from 28 points down in the polls in September to within 1.4% of victory in the general election.

REPUBLICAN NATIONAL COMMITTEE
Washington, District of Columbia

April 1988 to June 1989

Senior Analyst, Opposition Research

Wrote press releases and drafted memoranda on state-based issues – including the Willie Horton case, the Boston Harbor debacle and the Pledge of Allegiance issue in Brookline – of the Democratic nominee. Worked with a team of six other senior analysts. Reported directly to the research director. Also produced a 30-minute video analysis of Michael Dukakis' ability to communicate on television. Traveled to the Democratic National Convention in Atlanta and the Republican National Convention in New Orleans to serve as a liaison to the national media.

GEORGE BUSH FOR PRESIDENT
Washington, District of Columbia

March 1987 to April 1988

Research Analyst

Wrote memoranda for the Vice President and national senior staff analyzing the issue positions of the opposition candidates. Responsible for producing opposition research during the Republican primaries. Also served as press secretary for the Iowa caucuses, media liaison for the
(more)

New Hampshire primary and surrogate scheduler for the South Carolina primary. During the summer, directed the campaign's intern program.

EDUCATION:

BOSTON COLLEGE, Chestnut Hill, Massachusetts
Bachelor of Arts, 1986, Member of the College of Arts & Sciences Honors Program

COLUMBIA UNIVERSITY, New York, New York
2002-2004, Graduate School of Journalism

References Available Upon Request

Professional Experience**Hess Corporation****Manager, Government Affairs & HessPAC****Washington, D.C.****June 2015-Present**

- Serve as Hess' sole point of contact with the United States Congress in order to advocate on behalf of the company's legislative and regulatory priorities.
- Coordinate with senior executives to identify corporate priorities to effectively mitigate against legislative and regulatory risks to the company's license to operate.
- Represent Hess at relevant trade associations to initiate coalition building with like-minded companies to advance key industry initiatives.
- Launched Hess Corporation's political action committee, HessPAC, to enhance the political awareness of the company's employees.
- Develop and execute a strategic outreach and communications plan to recruit new HessPAC members.

BP America**Director, Communications & External Affairs****Washington, D.C.****March 2015 – June 2015**

- Maintained responsibility for all initiatives created while serving as Associate Director of US Federal Government Affairs, while continuing to execute the responsibilities as outlined below.
- Managed BP's relationships with Members of the U.S. House of Representatives and advocated Congress on the company's legislative and regulatory priorities for all business units.

Associate Director, Communications & External Affairs**November 2011-March 2015**

- Engaged on a day-to-day basis with key Congressional stakeholders to create opportunities and mitigate risks for BP's downstream business unit.
- Coordinated with Regulatory Affairs team to engage relevant regulatory agencies.
- Utilized sponsorships, trade associations, PAC contributions and community engagements in a strategic way to maximize value for the company.

United States Senator Kay Bailey Hutchison (R-TX)**Legislative Assistant****Washington, D.C.****January 2009-November 2011**

- Served as senior staff and advised the Senator on a legislative portfolio consisting of energy, environment, agriculture, natural resources, and made policy recommendations to the Senator.
- Collaborated with Senate Leadership and the pertinent committees.
- Prepared talking points for Senator Hutchison's floor speeches, press interviews and speaking engagements, based on thorough research and firsthand knowledge of policy issues.
- Prepared Senator Hutchison for meetings by writing event briefs for the Senator's reference.
- Met with Mayors, City Managers and local officials on behalf Senator Hutchison to discuss local appropriation and grant requests as well as the legislative agenda of Texas localities.

United States Small Business Administration (Presidential Appointee)**Washington, D.C.**

- Appointed by President George W. Bush to serve at the U.S. Small Business Administration (SBA).

Policy Analyst**Office of Policy and Strategic Planning****January 2008-January 2009**

- Advised the Administrator on the development of SBA's policies in the areas of energy, internal trade, health care and economic development.
- Attended weekly briefings with the National Economic Council to provide updates and offer input on Administration policies.
- Provide briefing material to White House staff; this information was used in press releases, website content and speeches by President Bush.
- Reviewed, commented and approved all SBA documents to ensure compliance with the administration's priorities.

OWEN McDONOUGH, PhD

(b) (6)

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| PROFILE | Experienced, passionate, and highly personable aquatic ecology PhD and environmental policy analyst with expertise in the Clean Water Act and jurisdictional waters of the United States, stormwater and floodplain management, wetland delineation, ecosystem restoration / mitigation, water quality trading, and the Endangered Species Act |
| OBJECTIVE | I strive to collaboratively and creatively apply my scientific and policy expertise to address pressing environmental challenges. |
| EDUCATION | PhD, Wetland & Stream Ecology (2013) University of Maryland , College Park, MD GPA 3.90, Darwin Fellow, Garden Club of America Scholar BS, Ecology (2005) The College of William & Mary , Williamsburg, VA GPA 3.90, Phi Beta Kappa, <i>summa cum laude</i> |
| SKILLS | Environmental science and policy, scientific research, watershed management, freshwater ecology, ecosystem restoration, statistical analysis and data analytics (R, MatLab, Excel), water quality monitoring, biogeochemistry, soil science, Microsoft Office, PC and Mac operating systems, excellent written and oral communications |
| EXPERIENCE | Senior Program Mgr., Environmental Policy, National Association of Home Builders 2014 - Present, Washington, DC <ul style="list-style-type: none">Engage with federal agency staff (EPA, USACE, FEMA, HUD, FWS, CEQ) to advocate for environmental policies that both protect natural resources and promote responsible land developmentAnalyze policy, regulation, and legislation related to the Clean Water Act, National Flood Insurance Act, and Endangered Species Act, and assess impacts on the residential construction industryManage technical assistance programs for approximately 750 state and local home building associations on key environmental issues; my recent advocacy efforts resulted in more than \$100 million in annual savings to the residential construction industryMember: Waters Advocacy Coalition; Federal Water Quality Coalition; NAHB Environmental Issues Committee Post - Doctoral Fellow, US EPA Office of Wetlands, Oceans, & Watersheds 2013 - 2014, Washington, DC <ul style="list-style-type: none">Supported EPA's Healthy Watersheds Initiative, a non-regulatory program engaging with local, state, and federal partners to promote a proactive approach to meet the goals of the |

Clean Water Act by identifying and protecting our nation's healthy watersheds and the ecosystem services they support

- Member: Chesapeake Bay Program Maintain Healthy Watersheds Goal Implementation Team; Office of Science & Technology Biological Condition Gradient Team

Graduate Research Associate, University of Maryland

2006 - 2012, College Park, MD

- Successfully proposed, implemented, and defended my doctoral dissertation evaluating hydrologic and ecologic connectivity between isolated wetlands, ephemeral streams, and downstream navigable waters
- Mentored undergraduate interns in the environmental sciences
- Presented research at local and national conferences (e.g., Society of Freshwater Scientists, Society of Wetland Scientists, American Geophysical Union); published research in peer reviewed journals
- Teaching Assistant: Principles of Biology & Ecology; Stream Restoration

Stormwater Inspector, Harris County Department of Public Infrastructure

2005 - 2006, Houston, TX

- Inspected residential and commercial development in the nation's fourth largest metropolitan area for compliance with local, state, and federal stormwater management regulations
- Worked with builders and developers to design and implement on-site stormwater management plans and install / maintain sediment controls to mitigate stormwater pollution
- Evaluated efficiency of stormwater best management practices to mitigate water quality impairment

PUBLICATIONS

McDonough, O.T. 2015. Taking Notice of WOTUS: A Home Building Industry Perspective. *Environmental Law Institute National Wetlands Newsletter* 37(4): 10-11.

McDonough, O.T., M.W. Lang, J.D. Hosen, and M.A. Palmer. 2015. Surface hydrologic connectivity between Delmarva Bay wetlands and nearby streams along a gradient of agricultural alteration. *Wetlands* 35: 41-53.

Palmer, M.A., and **O.T. McDonough**. 2013. Ecological restoration to conserve and recover river ecosystem services. In: *River Conservation: Challenges and Opportunities* (S. Sabater and A. Eloise, eds). BBVA Foundation.

Lang, M.W., **O.T. McDonough**, G.W. McCarty, R.A. Oesterling, and B. Wilen. 2012. Enhanced detection of wetland-stream connectivity using LiDAR: Implications for improved wetland conservation and management. *Wetlands* 32: 461-473.

McDonough, O.T., J.D. Hosen, and M.A. Palmer. 2011. Temporary streams: the hydrology, geography, and ecology of non-perennially flowing waters. In: *River Ecosystems: Dynamics, Management and Conservation* (H.S. Elliot and L.E. Martin, eds). Nova Science Pub., Inc., Hauppauge, NY.

Forrest W. McMurray

(b) (6)

OBJECTIVE

To utilize my previous campaign and work experience to be a part of a team and gain valuable knowledge in the political process.

HIGHLIGHTS OF QUALIFICATIONS

- Fast to learn and listen to others
- Excellent independent and team worker
- Problem-solving skills and attention to detail
- Positive attitude and hard-working
- Excellent people skills

EDUCATION

University of Oklahoma, Norman, OK Graduated May 2016

Bachelor of Arts in Political Science

Minor in Constitutional Studies

PROFESSIONAL EXPERIENCE

Campaign Account Specialist, Tulsa, OK February 2017-Current

Tulsa Area United Way

- Managed volunteers with campaign assignments in analyzing, organizing, and executing campaign effort.
- Served as liaison between Workplace Campaign and Marketing/Communications in development of campaign branding.
- *Develop* and execute yearly work plans for assigned campaign responsibilities.

Loaned Executive, Tulsa, OK August 2016-November 2016

Tulsa Area United Way

- *Fundraised* over \$405,000 within twelve weeks.
- Developed new accounts and managed over 300 existing company-giving campaigns.
- Acquired marketing experience through specialized training including modern marketing platforms.
- Gained experience in developing complex procedural elements of market rollout plans.

Field Representative, Tulsa, OK January 2014- July 2014

Friends of John Doak for State Insurance Commissioner Campaign

- *Planned and coordinated* speaking engagements for the candidate
- Attended and assisted in organizing events, fundraisers, and rallies
- Worked on campaign operations such as door knocking, cold calling, and fundraising
- Planned and coordinated speaking engagements for the candidate

Board Member, Oklahoma May 2012-May 2014

"Freedom Rises" Oklahoma Statewide Political Event

- An event to bring Col. Oliver North to Tulsa

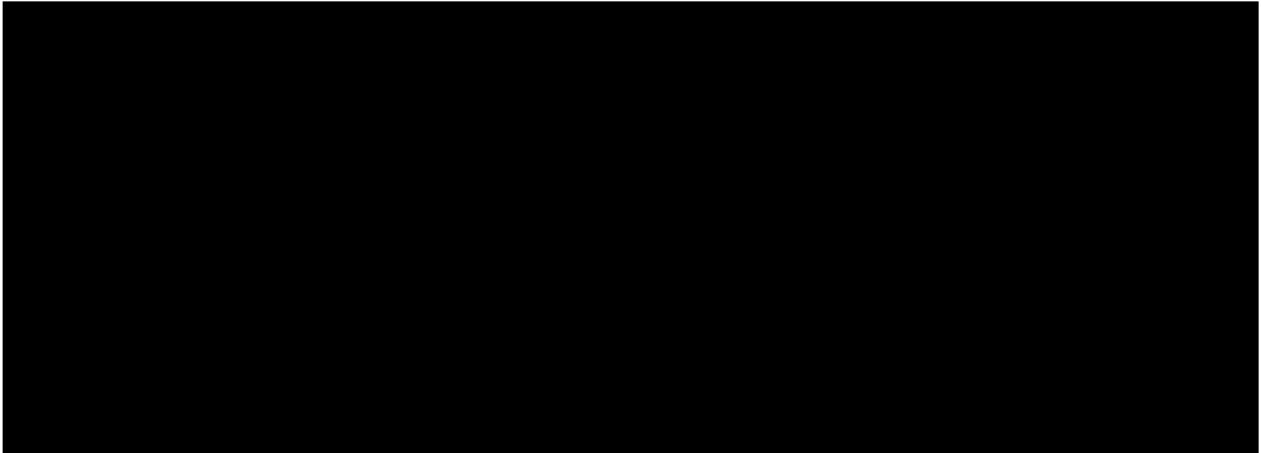
- Recruited sponsors for event
- Managed speaker relations in preparation of event
- Coordinated with board members and staff on day of event

Personal Aide, Tulsa, OK May 2012-September 2012

Bill Christiansen, Tulsa Mayoral Candidate

- Created a media release and press packet
- Worked as candidate's shadow for speaking engagements, events, and fundraisers.
- Planned and coordinated speaking events for the candidate
- Designed, packaged, and implemented the delivery of an effective direct mailer

REFERENCES



MADELINE G. MORRIS

(b) (6)

PROFESSIONAL EXPERIENCE**Koch Companies Public Sector***Federal Affairs Specialist*Washington, DC
December 2012-Present

- Manage calendars for Vice President and multiple lobbyists, including meetings with Members of Congress and business leaders
- Backup assistant and Washington DC assistant to President of Public Affairs
- Coordinate all travel arrangements and expense reports for Vice President and multiple lobbyists
- Administer process for complying with federal lobbying activity reporting requirements, including drafting and submitting LD2
- Oversee payment request and contracts for outside consultants
- Manage charitable giving for Federal Affairs with budget of over \$2 million, working with various charities to maximize value at all charitable events
- Research energy and federal budget issues, and create graphs and presentations for internal and external use
- Monitor Congressional hearings and floor activity, as well as track various legislation

House of Representatives, Congressman Rehberg (MT)*Scheduler/Executive Assistant*Washington, DC
November 2010 – December 2012

- Maintained daily calendar, as well as provided a daily briefing with a detailed agenda of all meetings and events
- Coordinated meetings in DC as well as in the district
- Strategized and formulated logistics for all district work periods; largest involving 30 cities, 100 events, and 4 different states
- Coordinated all travel logistics for the Member including transportation and accommodations
- Acted as primary liaison to Members, Constituents, Committee Staff, the White House, and Government Agencies
- Reviewed, prioritized and responded to Member's mail and invitations, averaging 60+ meeting requests weekly

Rehberg for Senate Campaign*Scheduler/Volunteer*Washington, DC/Missoula, MT
February 2010 – November 2012

- Coordinated all PAC and national fundraising calls and events
- Participated in grassroots efforts of phone banking, door knocking, and canvassing
- Planned and organized statewide bus tours; including 32 rallies with local and statewide candidates
- Scheduled events for Surrogates including: Gov. Christie, Sen. McCain, Sen. Thune, and Sen. Barrasso

House of Representatives, Congressman Rehberg (MT)*Staff Assistant*Washington, DC
November 2009- November 2010

- Managed front office, answered all calls, and greeted meetings for all staff
- Sorted and distributed all constituent correspondence, averaging 1000+ correspondences weekly
- Coordinated and oversaw Congressional Internship Program for Washington, DC Office

United States Senate, Sergeant of Arms*Doorkeeper*Washington, DC
July 2009 – October 2009

- Enforced decorum and procedure of the Senate galleries and locations across the Capitol Complex
- Responded to daily public and business visitor's inquiries concerning Senate protocol and their daily business.
- Interacted with and managed large crowds of visitors to the Senate as well as during Capitol protest and security threats

United States Senate, Republican Cloakroom*Intern*Washington, DC
June 2009-July 2009

- Supervised, managed, and mentored over 20 Pages supporting Republican Cloakroom
- Trained Pages about the Senate Floor practices, procedure, and the responsibility and duty of being a Senate Page

VOLUNTEERISM**Montana State Society***Board Member*Washington, DC
May 2011 – Present

- Organize and coordinate several annual events and monthly meetings for Montanans living in the DC area

USO*USO Airport Lounge Volunteer*Washington, DC
Bi-Weekly, July 2011- August 2016

- Staff Reagan National USO Lounge providing support for military service members and family

EDUCATION**Gonzaga University, Spokane, WA***Bachelor of Arts, Major: Philosophy*

2007

Objective

Talented Professional is eager to accept accountability and to advance specific causes in any and every way utilizing knowledge, skills and acquired experience to achieve unprecedented results.

Experience

Presidential Inaugural Committee – Public Liaison’s Office Washington, D.C. – December, 2016 to Present

Being the main point of contact for multiple local governments and state political parties across the country in advance of the Presidential Inauguration.

Nevada & Arizona* State Director, Donald J. Trump for President Nevada & Arizona — August, 2015 – November, 2016

Summary:

- Oversee and manage the daily operations of 6 offices in 2 states
 - Managed over 2 dozen campaign staff and hundreds of volunteers
 - Develop and implement caucus and delegate trainings
 - Manage statewide media plans for the Trump campaign, Trump family and surrogates
 - Designed a statewide caucus and precinct field plan and website for Get Out to Caucus efforts
- * Arizona for primary only

Accomplishments

- Won the Nevada caucuses by 22 points (record turnout statewide)
- Won the Arizona primary by 22.2 points (record turnout statewide)
- Notable Campaign Endorsements: Arizona State Treasurer Jeff DeWit, Maricopa County Sheriff Joe Arpaio, Governor Jan Brewer

President, Rebel Public Affairs Las Vegas, Nevada — 2014 – August, 2015

Consulting various political candidates, politicians, and organizations on how to properly fundraise, develop creative materials, create and implement marketing campaigns, adequately use current and new forms of technology to advance messaging.

Deputy State Director, Americans for Prosperity-Foundation Nevada Las Vegas, Nevada — January, 2011 - July, 2014

Summary:

- Oversee daily operations within the state office and formulate and implement agreed to goals.

- Work with staff to create and implement a statewide legislative action plan and assist on national issues of importance.
- Lead the staff by developing and generating a seven-figure state budget through fundraising activities to sustain state chapter
- Represent the organization as a spokesperson for the state through consistent branding.
- Write and edit creative copy for marketing materials, mail and door pieces.

Accomplishments

- Hired and trained over 20 staff members in Nevada
- Traveled the country hiring and training new staff for new state chapters
- Developed multiple multi-year marketing campaigns for various state chapters
- Member of national team that developed training, and organizational materials for other state chapters
- Assisted in the development and implementation of a new Chief Technology Officer position
- Co-founded Nevada chapter
- Built membership from zero to over 65,000

Education

University of Nevada-Las Vegas **History (Bachelors) — 2008 - 2013**

- College Republican Debate Team
- Pi Kappa Alpha Fraternity - Vice President & President

Skills & Awards

Skills

- Public speaking
- Recruitment and training of staff
- Community organizing
- Marketing
- Creative content creation
- Copywriting

Awards

The American Association of Political Consultants "The Pollie Awards":

- Door Hanger – Gold "Rubber Stamp Super Door Hanger"
- Best Use of Talking Mail – Gold "I'll Plant the Flag"
- Best Use of Social Pressure – Silver "Lost Jobs"
- For Membership Organization – Silver "I Am AFP Testimony"
- Best Use of Humor – Silver "Fire Acrobats"
- Most Original/Innovative Collateral Material – Bronze "Freedom Day"

References

Available upon request.

CHRISTIAN R. PALICH

(b) (6)

EDUCATION

Kent State University

Kent, Ohio

Major: B.A. Political Science; Minor: History

Degree Conferred 2009

PROFESSIONAL EXPERIENCE

President, Ohio Coal Association

9/2014-Present

- President of large, state-wide trade association representing members in the coal mining energy industry sector. Advocates Association interests before state and federal lawmakers, regulatory agencies, executive agencies, media, industry groups and communities.
- Represents Association in matters involving legislative, policy or regulatory issues affecting the coal industry and/or broader energy industry. Actively promotes Association interests in print, television, and radio media. Has appeared on Fox News and Fox Business as well as state wide television.
- Drafts articles, press releases and op-eds on issues affecting Association members. Provides live interviews to local, state and national press outlets and presents testimony to regulatory and legislative bodies on issues affecting Association members and the energy industry.
- Manages annual budget of \$500k. Establishes operating, marketing and fundraising targets; recruits, trains and supervises Association staff; liaises with legal counsel on issues affecting the Association.
- Coordinates meetings with public officials, industry conferences and Association events. Procures commitments from high-profile public officials to speak at Association events and to meet with Association members.
- Managed Ohio Coal Political Action Committee both on budget, fundraising, and political strategy of financial support for both State and Federal candidates.
- Honored in January 2016 as one of "20 People to Know in Energy" by Columbus Business Journal.

Manager, Government Affairs, Ohio Coal Association

9/2013-9/2014

- Communicated Association and coal mining industry concerns and issues before state and federal lawmakers, regulatory agencies and executive agencies.
- Served as point of contact for media outlets, including local, state and national media. Provided on-the-record, live interviews to several national media establishments.
- Monitored daily updates and status of complex energy legislation, rulemakings and budget issues affecting Association members and/or the broader energy industry. Prepared white papers and position statements for use in advocating Association and industry interests.

Political Director, Bill Johnson for Congress (OH-6)

5/2012-7/2013

- Served as Political Director for U.S. Congressman Bill Johnson whose district includes Ohio's crucial energy corridor (mining, oil and gas).

- Senior Staff on Congressman Johnson's successful re-election campaign, which was specially targeted by the Democratic National Committee as a "Red to Blue" race. Oversaw campaign strategy which resulted in an increased margin-of-victory in the District over previous reelection campaign.
- Developed and executed strategy for targeted campaigning and accompanied Congressman Johnson to all campaign events.
- Researched issues critical to the District constituency and prepared talking points and speeches on various topics, policies and legislation.

District/Outreach Director, Congressman Bill Johnson (OH-6) *1/2011-5/2012*

- Served in capacity as both District Director and Outreach Director for Congressman Bill Johnson. Acted as surrogate for Congressman at campaign and community events, including speaking commitments and community events.
- Served as District Policy Liaison and coordinated with Congressman Johnson's Washington D.C. Office on critical District and policy issues. Educated District Staff on important policy and legislative issues and coordinated communication strategies with constituents. Assisted in the formulation and execution of an effective communication strategy for the overall District and constituents.

Transition Director, Congressman-Elect Bill Johnson (OH-6) *11/2010-1/2011*

- Served as Transition Director after Congressman-Elect Bill Johnson's successful campaign.
- Determined strategic placement of Congressional field offices and managed the transition from campaign organization to Congressional Office for the first-time Congressman.
- Coordinated and scheduled all transition meetings and activities.

Political Director, Bill Johnson for Congress (OH-6) *12/2009-11/2010*

- Served as Political Director during Bill Johnson's successful, inaugural candidacy for the U.S. House of Representatives against well-known incumbent, Charlie Wilson.
- Maintained busy campaign schedule, coordinated all travel and logistics and accompanied candidate to campaign events.
- Served as surrogate for Candidate Johnson at numerous campaign and community events including many speaking engagements.
- Participated in the development of general campaign strategy and constituency outreach.
- Coordinated with campaign Communications team and advisors in managing constituent messaging, preparing for debates and developing policy talking points.

REFERENCES

Available upon request.

AARON E. RINGEL

(b) (6)

PROFESSIONAL EXPERIENCE

Congressman Richard Hudson (NC-08)

Deputy Chief of Staff (February 2017 - Present) Washington, D.C.

- ♦ Chief policy advisor on legislative matters, with specific focus on handling all work related to Rep. Hudson's position on the House Committee on Energy and Commerce, Subcommittees on Energy and Environment
- ♦ Crafted detailed legislative strategy and managed all legislative staff in the execution of the Congressman's work related to his position as Deputy Republican Whip and member of the House Steering Committee

Congressman Mike Pompeo (KS-04)

Legislative Director (April 2014 - February 2017) Washington, D.C.

- ♦ Chief policy advisor on legislative matters, with specific focus on handling all work related to Rep. Pompeo's position on the House Committee on Energy and Commerce, Subcommittees on Energy and Power and Communication and Technology
- ♦ Crafted legislative strategy that led to the Congressman's "Natural Gas Pipeline Permitting Reform Act" being passed by a bi-partisan majority of the House within the first month of the 114th Congress
- ♦ Directly manage legislative staff to execute the Congressman's agenda and work related to his position on the House Permanent Select Committee Intelligence

Congressman Michael Grimm (NY-13/11)

Legislative Director (January 2011 - April 2014) Washington, D.C.

- ♦ Chief policy advisor on all legislative matters with specific focus on Energy, Environment, Labor, Transportation, Taxes, Trade, and Appropriations as well as Rep. Grimm's work as co-chair of the Congressional Maritime and Public Transportation Caucuses
- ♦ Successfully crafted and executed bi-partisan legislative campaign that led to passage of "The New York City Natural Gas Supply Enhancement Act" in the House and Senate, which the President signed into law
- ♦ Designed and implemented a legislative strategy for the 112th Congress that directly led to the Congressman passing and having signed into law the most legislation of any first term member of Congress

Michael Grimm for Congress

Campaign Manager, NY-13 (2010) & NY-11 (2012) Staten Island, N.Y.

- ♦ Managed primary and general election campaigns to elect Michael Grimm (R) to New York's 13th & 11th Congressional District and his successful re-election in 2012
- ♦ Directed all aspects of the campaign, including over \$1.5 million in expenditures for both 2010 & 2012, staff hiring and oversight, volunteer training, policy development, fundraising, strategy and preparation for all direct mail, research, budgeting, internal/external polling, and voter outreach
- ♦ Won both a contested primary and general election versus a well-funded incumbent and challenger

Dynetics, Inc.

Congressional Analyst (July 2009 – June 2010) Arlington, V.A.

- ◆ Produced and guided Congressional engagement and funding strategies for DoD clients with program appropriations totaling over \$8 billion in the FY10 defense spending bill
- ◆ Leveraged professional contacts on Capitol Hill to obtain both information and Congressional products regarding current and future legislation impacting client programs

Congressman Vito Fossella (NY-13)

Legislative Assistant (January 2008 – January 2009) Washington, D.C.

- ◆ Provided analysis and legislative guidance on issue relating to Energy, Climate Change, Environment Defense, International Relations, Homeland Security, and Intelligence
- ◆ Lead all work related to Rep. Fossella's position on the House Committee on Energy and Commerce related to oil and natural gas supply and distribution

Bracewell & Giuliani LLP

Legislative Assistant, Government Relations (May 2006 – January 2008) Washington, D.C.

- ◆ Provided detailed legislative planning and research regarding energy, oil and gas supply and generation, climate change, environment, and appropriations
- ◆ Composed op-ed pieces, coalition newsletters, press research, media monitoring and web site development for several major oil and gas as well as electric generation coalitions

United States Marine Corps Reserve (May 2001 – January 2009)

Platoon Sergeant, Surgical Company Bravo, Bolling Air Force Base, Washington, D.C.

- ◆ Established and organized the training of a combined Navy/Marine Corps Platoon while also ensuring proper leadership growth and development of the Marines under my command

Arabic Linguist, C Company 2nd Military Police Battalion Ar Ramadi, Iraq

- ◆ Responsible for ensuring mission success by conducting Company and Platoon level liaison support with Iraqi citizens and foreign contractors on a daily basis
- ◆ Conducted meetings between U.S./Iraqi Police training teams and local military and civilian leadership

EDUCATION

M.A., U.S. Foreign Policy (U.S. Energy Security) *magna cum laude* (December 2008)

American University, School of International Service, Washington, D.C.

B.A., Political Science & International Studies (May 2004)

The University of Kansas, Lawrence, K.S.

A.A., Political Science (May 2000)

New Mexico Military Institute, Roswell, N.M.

AWARDS AND SKILLS

- ◆ Received a Fulbright grant to lecture on American campaign strategy at Masaryk University, Brno, Czech Republic (2010)
- ◆ Certificate of Commendation (U.S. Marine Corps)
- ◆ Able to read/write/converse in Arabic (Iraqi dialect) and Hebrew

Christian Rodrick

(b) (6)

Education

The University of Massachusetts Amherst
Bachelor of Science - Political Science

Fall, 2011- Spring, 2015

Employment

Innovative Federal Strategies, LLC

Washington, DC

Legislative Associate

March 2017- Present

- Oversaw Innovative Federal Strategies domestic client portfolio.
- Drafted testimony for clients appearing before congressional committee hearings.
- Prepared clients and staff for upcoming meetings with Congress and federal agencies.
- Kept clients up-to-date on pertinent legislative actions and grant opportunities by preparing weekly and monthly legislative memos.

Office of Congressman Mike Pompeo

Washington, DC

Legislative Correspondent

May 2016- March 2017

- Managed the Congressman's Education, Immigration, Family Values/Abortion and Social Security legislative portfolios.
- Coordinated legislative correspondence with constituents from Kansas's fourth congressional district.
- Wrote letters for numerous issue sets including Energy and Commerce, Health, Immigration and Education.
- Collaborated with the Congressman's communications team to draft Press Releases and Social Media.

Office of Congressman Mike Pompeo

Washington, D.C

Staff Assistant

July 2015- April 2016

- Monitored all constituent activity in Washington, DC, including tour and flag requests.
- Handled mail processing duties using Intranet Quorum (IQ) database.
- Supported scheduling and communications teams during staff transition periods.

Competitive Enterprise Institute

Washington, DC

Policy Intern, Center for Advancing Capitalism

June 2015- July 2015

- Conducted and compiled research in clear, concise formats for CEI scholars and writers.
- Prepared and kept notes for all Center for Advancing Capitalism meetings.

Office of Massachusetts Governor Charlie Baker

Springfield, MA

Administrative Intern

January 2015- June 2015

- Received incoming casework and contacted department heads to ensure rapid solutions for constituents.
- Facilitated a solution based, positive image of the Governor in the western half of the state.

The University of Massachusetts Annual Fund

Amherst, MA

Student Ambassador

January 2015- June 2015

- Fundraised on behalf of the University of Massachusetts Annual Fund.
- Updated and maintained records of alumni donors and parents of first year students.

Organization Involvement

Charles Koch Institute

Koch Internship Program

June 2015- July 2015

- Participated in the Summer Koch Internship program.
- Studied classic liberalism, free market economics, Market Based Management and policy activism.

Tau Kappa Epsilon Fraternity

Founder, Vice President

- Founded the Epsilon Delta Chapter of Tau Kappa Epsilon and managed its growth as Vice President.

UMass Amherst Rugby Football Club

August 2012- December 2013

- Member of the Men's D1AA Rugby Football club.

Dave Ross

(b) (6)

EXPERIENCE

Wisconsin Department of Justice, June 2016 to present (Director; Assistant Attorney General)
Madison, WI

- Director of the Environmental Protection Unit.
- Responsible for managing the environmental litigation unit of the Wisconsin Department of Justice, which prosecutes violations of Wisconsin's environmental and natural resources laws and defends administrative decisions and rules issued by the Wisconsin Department of Natural Resources.
- Representative matters include: establishing enforcement priorities for the environmental protection unit; developing professional growth and training objectives for unit members; managing all human and technical resource matters for the unit; working with the Department of Natural Resources to modernize case management and referral processes; developing case assessment protocols to promote uniformity and consistency in prosecutions; determining objectives and success criteria for all new prosecutions; negotiating resolutions of complex agency defense matters; serving on environmental crimes task force with various federal and state agencies; advising the Wisconsin Solicitor General on federal environmental and natural resource litigation strategy; coordinating multi-state litigation challenging rule promulgated by U.S. Environmental Protection Agency expanding scope of the federal Clean Water Act; and serving as technical advisor to the legal work group of the Assumable Waters Subcommittee of the National Advisory Council for Environmental Policy and Technology.

Wyoming Attorney General's Office, 2014-2016 (Senior Assistant Attorney General)
Cheyenne, WY

- Member of Water and Natural Resources Division.
- Responsible for representing the Water Quality Division of the Wyoming Department of Environmental Quality in all environmental and natural resources legal matters.
- Selected to represent the State of Wyoming on the Assumable Waters Subcommittee of the National Advisory Council for Environmental Policy and Technology.
- Representative matters included: water quality standard development; enforcement counseling; evaluation and litigation of proposed federal regulations affecting groundwater and surface water programs; counseling related to total maximum daily loads, use attainability analyses, water quality permitting, data quality programs, and nonpoint source projects; development of public records and related policies; enforcement and evidence training; legislative analysis; and rulemaking.

Sedgwick LLP, 2013-2014 (Partner)
Washington, DC

- Member of Land Use and Natural Resources Group.
- Practice focused on Clean Water Act, Endangered Species Act, National Environmental Policy Act, federal wildlife, wetlands, storm water regulation and control, and project permitting.
- Representative matters included: peer review evaluation of offshore wind development projects for the U.S. Department of Energy; advising developers on the creation of wetland mitigation and endangered species conservation banks; managing a litigation team defending the issuance of an Endangered Species Act incidental take permit to a wind energy facility; advising developers on wetland permitting strategies; providing storm water compliance counseling to large national homebuilders; and performing environmental due diligence for investors in wind energy projects.

Crowell & Moring LLP, 2003-2013 (Counsel)
Washington, DC

- Member of Environment, Energy and Resources Group.
- Practice focused on Clean Water Act, Clean Air Act, National Environmental Policy Act, federal wildlife, wetlands, storm water regulation and control, contaminated sites and hazardous material regulation (CERCLA/RCRA), and environmental aspects of transactions.
- Representative matters included: endangered species and wetland permitting counseling for renewable energy developers; legislative and regulatory Clean Water Act counseling for natural resources-based trade associations; managing NSR/PSD Clean Air Act litigation for cement manufacturer; litigation involving water quality standards for Florida, Chesapeake Bay, and Mississippi River Basin; strategic permitting counseling for mining companies and other large-scale project developers, focusing on Clean Water Act, Endangered Species Act, and National Environmental Policy Act compliance; species conservation and wetland mitigation bank development; counseling related to Clean Water Act and Rivers and Harbors Act jurisdiction; nationwide enforcement defense of major homebuilders involving storm water compliance; counseling related to state assumption of Clean Water Act regulatory programs; development of new source performance standards under the Clean Air Act; defense of RCRA enforcement actions; management of National Environmental Policy Act litigation for project developers; and evaluating environmental compliance audits as a third-party neutral in federal enforcement action.

Kelley Drye & Warren LLP, 2002-2003 (Associate)
Washington, DC

- Member of Environmental Group.
- Practice focused on contaminated site remediation, brownfield transactions, due diligence, RCRA compliance counseling, CERCLA litigation, and Endangered Species Act counseling.

Foley & Lardner LLP, 2001-2002 (Associate)
Milwaukee, WI

- Member of Regulatory Department.
- Practice focused on Clean Water Act counseling and wetland permitting, contaminated sediment remediation and litigation, brownfield redevelopment, and environmental due diligence.

Sharon Planning Commission, 1999-2000 (Clerk)
Sharon, VT

- Evaluated subdivision plans, revised subdivision ordinance, drafted zoning regulation, provided testimony at state environmental board hearings, and kept records of public hearings.

Professor Stephen J. Dycus, 1999-2000 (Research Assistant – Vermont Law School)
South Royalton, VT

- Research focused on water rights, public trust doctrine, institutional controls, national security issues, and nuclear waste disposal.

Ross Environmental Consulting, 1994-1998 (Principal)
San Diego, CA

- Provided project management and environmental consulting services to the City of San Diego, Western Consortium for Public Health, and Montgomery Watson.
- Focused on designing, installing, and testing wastewater reclamation and repurification technologies (wastewater to drinking water), including drafting and implementing experimental plans, analyzing and modeling data, installing and operating equipment, performing public health studies, developing economic analyses of comparative water supplies and treatment systems, participating in technical advisory committee meetings, and drafting technical reports.

EDUCATION

Vermont Law School, J.D., 2001 – *magna cum laude*

- Dean's Scholar
- Class Rank 4/170
- *Vermont Law Review* – Editor-in-Chief

Vermont Law School, Master of Studies in Environmental Law, 2001 – *summa cum laude*

University of Wisconsin – Madison, B.A. – International Relations, 1994

University of Wisconsin – Madison, Certificate in Environmental Studies, 1994

PROFESSIONAL AFFILIATIONS

Admitted to practice law in the District of Columbia, Wisconsin and Wyoming. Member of the Environmental, Energy, and/or Natural Resources Law Sections of the American Bar Association (ABA-SEER), District of Columbia Bar Association, Wisconsin Bar Association, and Wyoming Bar Association.

SPEECHES AND PUBLICATIONS

- Presenter, “An Update on the Nutrient Wars: Cooperative Federalism or Continued Conflict?” Wisconsin Department of Natural Resources Continuing Legal Education Seminar (October 2016).
- Instructor, Energy, Environmental and Natural Resources Law Clinic, Center for Law and Energy Resources in the Rockies, University of Wyoming College of Law (Fall 2014; Spring and Fall 2015).
- Presenter, “Application of Federal Wildlife Laws to Wind Energy Projects,” Federal Wildlife Course, University of Wyoming College of Law (April 2015).
- Presenter, “Federal Regulatory Developments in 2014: Implications for Oil and Gas,” Oil & Gas Development and Water Issues Conference Hosted by the American Institute of Professional Geologists and the American Ground Water Trust (March 2014).
- Presenter, “Challenging Agency Use of Environmental Models,” American Farm Bureau Federation, Legal Information and Networking Committee Annual Conference (September 2012).
- Presenter, “Endangered Species Act: Section 10 Incidental Take Permits,” Special Institute on Federal Regulation of Cultural Resources, Wildlife, and Waters of the U.S. Sponsored by the Rocky Mountain Mineral Law Foundation (April 2012).
- Presenter, “Clean Water Act,” Coal Law Short Course Sponsored by the Energy & Mineral Law Foundation at West Virginia Law School (August 2010).
- Presenter, “Regulatory Programs Affecting Coal Mining,” Coal Law Short Course Sponsored by the Energy & Mineral Law Foundation at West Virginia Law School (August 2010).
- Coauthor, “Nanotechnology and the National Environmental Policy Act,” *Nanotechnology: Environmental Law, Policy and Business Considerations*, Ch. 12 (2010).
- Coauthor, “Clean Water Act Compliance and Enforcement: EPA Targets the Coal Industry,” *Energy & Mineral Law Institute*, Vol. 29, Ch. 7 (2008).

- Coauthor, “When Sacred Sites Are Deemed More Precious Than Gold: The Glamis Gold Ltd. Claim For Compensation Under NAFTA,” *Rocky Mountain Mineral Law Institute*, Vol. 58, Ch. 27 (2008).
- Coauthor, “Clean Water Act Enforcement Settlement May Be Harbinger For Rest Of Coal Industry,” *Crowell & Moring Mining Law Monitor*, Vol. 24, No. 1 (Summer 2008).
- Presenter, “Enforcement Initiatives (and how to respond if you become the initiative),” Current Issues in Storm Water Regulation, Lorman Education Seminars (September and December 2005).
- Presenter, “Regulatory Runoff: Responding to a Storm Water Enforcement Action,” National Stone, Sand & Gravel Association Environment, Safety & Health Forum (October 2005).
- Coauthor, “Nonpoint Source Pollution Control,” in *The Clean Water Act Handbook*, Ch. 9 (2nd Ed. 2003).
- Coauthor, “A State of Change: Wisconsin Implements Wetland Mitigation Rules and Hastily Crafts Post *SWANCC* Wetland Protection Laws,” *ABA SEER Water Quality and Wetlands Committee Newsletter*, Vol. 4, No. 1 (December 2001).
- Author, “Yucca Mountain and Reversing the Irreversible: The Need for Monitored Retrievable Storage in a Permanent Repository,” *Vermont Law Review*, Vol. 25, No. 3 (Spring 2001).

Jeff M. Sands

(b) (6)

PROFESSIONAL ACHIEVEMENTS

Manager, Federal Government and Industry Relations, Syngenta, Washington, DC 2015-2017

- Drive federal policy that facilitates grower choice and adoption of biotechnology and crop protection tools that allows them to maximize production while preserving valuable natural resources on their operations
- Design and execute Capitol Hill strategy to preserve Syngenta freedom to operate during ChemChina acquisition and time of heightened anti-trust concern from legislators and external stakeholders
- Represent Syngenta and personal interests on various boards, steering committees, and issue-based coalitions such as the Agricultural Biotechnology Alliance, Agricultural Retailers Association, and the National Cotton Council
- Engage with Syngenta business unit heads and outside stakeholders to ensure business focus of policy pursuits such as Farm Bill, FIFRA, PRIA, USDA PART 340, and GM Disclosure
- Correspond with members of the press to communicate Syngenta perspective on pending/passed legislation and agency activity
- **Director, SyngentaPAC , \$250,000 per cycle** lead and coordinate Syngenta political strategy including budgeting for candidate support, communications, grassroots, and hosting and attending events

Director of Public Policy, Agricultural Retailers Association, Washington, DC 2012-2015

- Represent 10,000 plus individuals in the agricultural retail industry on their policy concerns relating to USDA farm programs, Precision Agriculture including UAV usage, tax, trade, financial services, conservation, energy, rural development and environmental policy
- Work to build personal relationships with Members of Congress, staff, and agency officials in helping to continually improve association influence
- Engage and support to state agricultural retail associations representing similar interests across the country
- Contribute written legislative perspectives for ARA bi-monthly newsletter, website policy updates, and various national publications
- Coordinate issue briefings and accompany membership on meetings with legislators, staff, and agency officials during fly-ins and other DC based activities
- Design and implement ARAPAC strategy including but not limited to: contributions, planning and attending events, co-creation of the ARAPAC's Chairman's Club, and planning of the ARAPAC Golf Tournament

Agricultural Assistant, Congressman Tom Marino, US House of Representatives, Washington, DC 2011-2012

- Assisted in position development on legislation regarding Agriculture, Homeland Security, and Ways and Means issues
- Gathered and organized appropriate information and attended committee meetings and hearings with Member
- Traveled to the Congressional District to speak with constituents on behalf of member concerning proposed agricultural legislation namely dairy policy and Farm Bill
- Participated in meetings with private and public sector entities on behalf of Representative to hear and collect constituent concerns

EDUCATION

Valdosta State University, Masters in Public Administration

December 2011

Valdosta State University, B.F.A Organizational Communication

May 2009

Abraham Baldwin Agricultural College A.A.S.-Environmental Horticulture

May 2006

RELATED ACTIVITIES

- President, Agribusiness Club of Washington, DC
- Chairman, Federal Government Relations Working Group, BIO
- Member, Steering Committee of Marino for Congress (PA-10)
- Director, Agricultural Retailers Association and National Ag Aviation Association Board

JUSTIN J. SCHWAB

(b) (6)

EXPERIENCE

Private Practice

Baker & Hostetler, LLP (Washington, D.C.)

Associate, January 2013–present

Appellate

- Research and draft merits and *amicus* briefs before United States Supreme Court
- Research and draft merits briefs before D.C., First, Second, Fifth, Seventh, Eighth, Ninth, and Eleventh Circuits
- Advise partners on emerging issues in Circuit and Supreme Court litigation

Environmental

- Analyze Clean Air Act regulations, with particular focus on Clean Power Plan
- Assist partners advising clients on regulatory developments and compliance strategies
- Assist in defending major industrial and energy projects against district- and appeals-court citizen suits
- Research and draft comments on proposed environmental regulations
- Experience with Clean Water Act, NEPA, RCRA, Endangered Species Act

Judicial Clerkships

Hon. Christine M. Durham, Utah Supreme Court

Law Clerk (January–December 2012)

Hon. Richard C. Wesley, United States Court of Appeals for the Second Circuit

Law Clerk (August 2010–August 2011)

EDUCATION

Yale Law School

J.D., 2010

Yale Journal of Law & the Humanities: Editor (2007–2009); Editor-in-Chief (2009–2010)

Yale Journal on Regulation: Editor (2007–2010)

University of California, Berkeley

Ph.D. (Classics), 2011

Cornell University

B.A. with distinction in all subjects, 2001

Dean's Scholar

BAR ADMISSIONS

New York, 2011

District of Columbia, 2013

INTERESTS

(b) (6)

CATHERINE SEABAUGH

(b) (6)

EDUCATION

Bachelor of Arts: Major in Political Science – Pre Law, Minors in English and Marketing
Louisiana Tech University 2013-2017
Cum Laude Graduate - 3.6 GPA

ACTIVITIES

College Republicans
Chairman (2015-2017)

Louisiana Federation of College Republicans
•Public Relations Director (2015-2016)
•Northern Vice Chairman (2016-2017)

Student Government Association
•Liberal Arts Senator (2015-2016)
•Senior Class Senator (2016-2017)
•SGA Representative on the University Behavioral Standards Committee (2015-2017)

Louisiana Tech Cheerleading
Varsity team (2013-2015)

Kappa Delta Sorority
•Art and Spirit Chairman (2013-2014)
•Historian (2014-2015)
•Health and Wellness Chairman (2015-2017)

Louisiana Tech Student Recruiter for Admissions (2013-2017)

Campaigns
• Mike Johnson for Congress - Super PAC (2016)
• David Vitter for Governor (2015)
• Alan Seabaugh for State Representative (2015)
• John Fleming for Senate (2016)

SKILLS

Writing, technology, communication, positive attitude, determination, problem solving, team player, creativity, critical thinking

References available upon request.

WORK EXPERIENCE

Staff Assistant

Congressman Mike Johnson | June 2017 - Present

- Administrative tasks, such as taking constituent phone calls, scheduling and guiding Capitol tours, and handling flag requests
- Assisting legislative staff with tasks such as writing numerous constituent response letters, editing letters written by other staffers, writing memos, conducting legislative research
- Intern coordinator

Student Assistant for Campus Tours

Louisiana Tech University | August 2016-May 2017

- Scheduled campus tours for prospective students and coordinated arrangements for tour guides
- Spoke to groups of students visiting campus about admissions criteria and assisted the Admissions staff with recruiting needs

Admissions Office Student Worker

Louisiana Tech University | September 2013 - May 2016

- Hosted guests and visitors on campus
- Answered phone calls and questions
- Directed tours to prospective students

Gamma Chi - Recruitment Counselor

Louisiana Tech Panhellenic | Summer 2016

- Served on Panhellenic National Council for sororities and helped prepare for, organize, and run recruitment
- In charge of the girls going through recruitment, served as guidance counselor to them and coordinated their schedules

Courthouse Assistant

Caddo Parish Courthouse | Summer 2015

- Worked under Judicial Administrator, Judge Roy Brun
- Reception, administrative tasks, assisted judges and other officials
- Helped with the jury selection processes and data entry

Capitol Hill Intern

Senator David Vitter | Summer 2014

- Administered tours of the United States Capitol and Senate Buildings
- Answered phone calls, sorted emails, helped the Senator quickly respond to constituents needs
- Researched and wrote reviews of legislation to be discussed by the Senate, which was then sent out to constituents via email

AWARDS

Outstanding Student Academic Scholarship Recipient (2013-2017)
Order of Omega Honor Society (2015-2017)
Academic Award Recipient through Kappa Delta Sorority (2017)
University President's List Honor Student for Academics (2013-2017)
Louisiana Tech Homecoming Court (2016)
Named "Who's Who" Among Students by Louisiana Tech University (2017)

Kaitlyn Shimmin

(b) (6)

EDUCATION

Master of Science in Environment Science and Society, University College London

Sept. 2012

Bachelor of Arts in Politics; Minor in Earth Sciences, University of California, Santa Cruz

June 2008

EXPERIENCE

58th Presidential Inaugural Committee: Public Events

Jan. 2017

Volunteer Director- White House Zone

Washington, DC

- Scheduled, vetted, and assigned proper US Secret Service credentials for over 3,500 volunteers for Inaugural activities
- Manned Presidential viewing stand for Parade and seated VVIP guests (Trump/Pence family, friends, cabinet members)
- Served as the PIC point of contact for Secret Service, DC Metro, and US Park Police covering the White House

Republican Party of Florida

April 2014- Dec. 2016

Deputy State Director

Tampa, FL

- Managed staff and political relationships in 22 counties in key battleground state
- Used advanced Excel knowledge to design systems to track goals, voter contact, employee paperwork and budgets
- Created content and conducted trainings for staff, grassroots volunteers, activists and stakeholders
- Developed monthly budgets for field expenses, trainings and voter contact then strictly adhered to them
- Assisted in planning large scale events with national news coverage such as the Sunshine Summit, State Party Conventions, GOP Presidential Debates and Trump Rallies

Northeast Regional Director

Jacksonville, FL

- Worked with local elected officials and business leaders to cultivate allies for the Governor's agenda
- Oversaw six field directors and over 100 field coordinators for Gov. Scott's re-election campaign
- Won 13 out of 14 counties with 58%; 17.8 points over Republican voter registration

Karl Rove & Company

Jan. 2013- April 2014

Associate

Washington, DC

- Managed the daily schedule for the company President, Karl Rove, former White House Deputy Chief of Staff and Senior Advisor to President George W. Bush
- Worked with high-profile national news outlets to schedule media appearances
- Drafted substantive talking points, memos, and materials in preparation for media appearances, speeches, and meetings
- Prepared call lists in order to track donor contacts and commitments
- Conducted historical research for *The Triumph of William McKinley; Why the Election of 1896 Still Matters*
- Managed confidential data base of donors, political and media contacts and mass email distribution lists

San Diego Republican Party

Oct. 2012- Nov. 2012

Director of Get Out The Vote (GOTV) Logistics

San Diego, CA

- Supervised team of six to develop and execute a plan for election weekend
- Developed an incentive-based program to encourage exceptional performance as well as ensure walker retention
- Organized logistics for food, transport, and precinct materials for over 300 paid precinct walkers in five target races

John Campbell for Congress

Sept. 2009- Sept. 2011

Campaign Manager

Irvine, CA

- Managed day-to-day operations of John Campbell's successful Congressional re-election campaign
- Created and edited video and mail pieces to highlight the Congressman's activities and engage constituents
- Developed and maintained relationships with key elected officials, community leaders, Republican Party officials, grassroots supporters, campaign donors, and other local and statewide campaigns

U.S. House of Representatives, Rep. John Campbell

Sept. 2009- Sept. 2011

Constituent Services Representative

Newport Beach, CA

- Served as a liaison between constituents and USCIS, Department of State, FBI and Homeland Security
- Represented Congressman Campbell at local community meetings and events

Republican National Committee Victory 2008

July 2008- Nov. 2008

Regional Field Representative

Portland, OR & Las Vegas, NV
EPA-17-0558-B-000133

(7)

Aggravated Assault on a Peace Officer - New City.

(b) (6)

Is well known for motivational speaking and training and has been tapped by organizations throughout the years as a leader and individual known to motivate and inspire others. Strong in developing "real-world people skills" in others. Work experience demonstrates outstanding interpersonal skills, public speaking, organization and motivation in a variety of fields.

- Leadership/communication skills
- Project management
- Change management
- Business operations organization
- Budgeting expertise
- Customer-oriented
- Crisis management
- Public relations
- Media relations
- Service quality improvement
- Staff motivation
- Strategic planning
- Conflict resolution
- Negotiations expert

- Introduced and instituted Lean Six Sigma practices into a government agency for the first time in state history.
- Instituted a Core Work Analysis effort resulting in the re-alignment and flattening of the WI-DNR's organizational structure, saving state taxpayers hundreds of thousands of dollars per year.
- Lead the WI-DNR through a culture management exercise; resulting in Performance Management systems being instituted and significant moves of the needle regarding Customer Service Ethic in government service.
- Mediated and resolved several long-term conflicts between different stakeholders resulting in settlements of divisive lawsuits.
- Introduced and implemented use of social media to better connect with our citizens on important matters of wildlife management, outdoor recreation opportunities, and environmental regulation.
- Instilled requirements that new environmental regulating staff avail themselves of opportunities to learn from manufacturers and other producers by visiting with them regularly to learn their business practices and better understand the impact our regulations

University of Wisconsin Whitewater

Attended and studied general courses relating to literature, physical science, communications.

References

(b) (6)



Professional Affiliations / Accolades

U.S. Army War College Seminar: June 2015
 University of Wisconsin-Stevens Point College of Natural Resources Board: 2012-Current
 Midwest Association of Fish and Wildlife Agencies: 2011-Current
 Environmental Council of the States (member): 2011-Current
 Freddie Mac Board of Directors, (appointee of President G. W. Bush): 2001-2004
 Wisconsin Natural Resources Board of Directors, (appointee of Gov Tommy Thompson): 1999-2002
 Racine/Kenosha Builders Association President: 1998 and 1999
 Wisconsin Builders Association Board of Directors: 1996-2005
 National Association of Home Builders Board of Directors: 1999-2006
 Wisconsin Association of Health Underwriters Board of Directors: 2003-2007
 Forward Wisconsin Board of Directors: 2004-2007
 Wisconsin Housing and Economic Development Authority (WHEDA) Board of Directors: 2003-2005
 Racine County Girl Scouts Board of Directors: 2002-2003
 Womens Resource Center of Racine Board (2009-2012)
 Built 3 homes for the City of Racine Community Policing Projects (1997 and 1999)
 Racine Kiwanis Member: (2003-2005)
 Racine Area Manufacturers and Commerce Member (1998-2005)
 Racine County Combined Corrections Community Relations Board (2003-2007)
 Southern Oaks Girls School Community Relations Board (2003-2007)
 Wisconsin Coalition Against Sexual Assault Legislator of the Year (2006)
 Metropolitan Milwaukee Association of Commerce's Legislator of the Year (2005-2006)
 Wisconsin Alliance of Cities Senator of the Year (2004)
 American Cancer Society's Legislator of the Year (2004)
 Wisconsin Grocers' Association's Friend of Grocers Award (2003)
 Milwaukee Magazine's "Rising Star in the Legislature" (2003)
 Metropolitan Builders Association of Milwaukee's Builder of the Year (1997-1999)
 Racine/Kenosha Builders Association Builder of the Year (1997)
 City of Racine's Outstanding Community Service Award (2000)
 Republican Party of Wisconsin Treasurer (2007-2010)

PROFESSIONAL EXPERIENCE:

2014-present—Principal, The Sugiyama Group

Consulting with pro bono clients on environmental regulatory and legislative issues. This includes, comments on EPA proposed rules and guidance, helping develop legislation and legislative strategies. (Currently not a registered lobbyist.)

2012-2014—Counsel, Troutman and Sanders LLP

Develop practice coordinate with Headquarters and regional offices. Have been predominantly working on Clean Air Act and Clean Water Act issues which have included litigation, commenting on proposed EPA regulations, commenting and proposing legislation.

**2009-2012—Chief Minority Counsel
Senate Committee on Environment and Public Works (EPW)**

Provide legal and policy advice to the Ranking Member and the EPW minority staff. Coordinate and oversight legal issues within the Committee's jurisdiction (EPA and all associated statutes as well as administrative law). Prepare and review legislation, prepare hearing statements, provide advice on administrative law issues and be the minority staff's resource on administrative law. Focus on EPA oversight issues and coordinate with other senators' offices.

**2007-2009—ATTORNEY-ADVISOR
Counsel to Assistant Administrator for Air and Radiation, Office of Air and Radiation, U.S. Environmental Protection Agency, Washington, D.C.**

Provide legal and policy advice on a variety of Clean Air Act regulatory and permitting issues. Major issues included: global warming/climate change, PSD, NAAQS standards, NSPS and MACT standards, peer review, GHG auto standards, evaluate SIPs for nonattainment areas. Developed Endangered Species Act consultation policy with Interior and NOAA. Worked as the Assistant Administrator's lead on Yucca Mountain environmental standards. Provided administrative law advice including, FOIA, information collection, small business, regulatory development. Analyze issues, coordinate with the program offices and represent the Assistant Administrator as delegated.

**1994 -2006— PARTNER
Dorsey & Whitney LLP, Washington, D.C.**

Practice area is environmental law (Clean Air Act, Clean Water Act, TSCA, and RCRA). Significant areas of activity include compliance counseling and auditing, permitting, due diligence reviews of major transactions, regulatory development, legislation and enforcement defense. Clients include major industrial companies (steel, refining, utility) and trade associations. Managing Partner of Washington Office in charge of non-legal staff headed by an administrative officer, responsible for recruiting legal staff, coordinating local office issues with Headquarters (Minneapolis) and other offices (12 regional offices). Work with attorneys and partners on practice development and conflicts. Was not relieved of any responsibilities associated with personal legal practice, e.g., practice growth and billing requirements.

1986-1994--PARTNER (1990)

Pillsbury Madison & Sutro, (Pillsbury Winthrop) Washington, D.C.

Practice area is environmental law (Clean Air Act, Clean Water Act, TSCA, and RCRA). Significant areas of activity include compliance counseling and auditing, permitting, due diligence reviews of major transactions, regulatory development, legislation and enforcement defense. Member of Washington Office management committee (3-members).

1984-1986--SUPERVISOR

Office of Policy Analysis and Review, Office of Air and Radiation (OAR) U.S. Environmental Protection Agency (EPA), Washington, D.C.

Supervisor for a staff of policy analysts. Major responsibilities: development of lead phase-down and tall stacks regulations, review major SIP revisions and NAAQS development, responsible for air issues related to Superfund, hazardous waste disposal, drinking water, ocean incineration, the Toxic Substances Control Act, and the Clean Water Act. EPA representative to Surgeon General's Inter-Agency Advisory Committee on Smoking and Health.

1982-1984--POLICY ANALYST

Office of Policy, Planning and Evaluation, EPA

Provided legal and policy expertise on a broad range of air pollution and energy issues. Was the in-house expert on the Clean Air Act and on EPA Acid Rain Task Force. As part of the integrated Environmental Management Program developed toxic air pollutant control plans for major cities.

1979-1982--POLICY ANALYST

Office of Air and Radiation (OAR), EPA On the policy staff of the Assistant Administrator.

Issues handled included: Clean Air Act legal authority to deal with acid rain, the air quality impacts of coal-fired power plants, and energy emergency waivers. Responsible for administering the OAR peer review process.

1976-1979--ATTORNEY-ADVISOR

Office of Enforcement, Mobile Source Enforcement Division, EPA

Attorney, State and Local Control Programs Section. Task force leader, Fuel and Additive Waiver Task Force. Developed EPA decisions to ban the use of MMT and to allow the use of Gasohol and other fuel additives. Responsible for developing enforcement strategies.

Attorney, Fuels Section. Responsible for regulatory development and enforcement of the lead phase down program. Also responsible for the enforcement of the fuel additive registration program.

EDUCATION:

1976 J.D., University of Maryland

1970-73 Graduate Studies in Chemistry, Drake University, Des Moines, Iowa

1970 B.A., Drake University, Des Moines, Iowa. Major: Chemistry

AWARDS:

EPA Superior Performance Award: 2008

EPA Silver Medal: 1983, 1984

Cash Awards: 1978, 1983, 1985

Outstanding Performance Evaluation: 1981-1985

Maryland Law Review: 1973

MEMBERSHIPS:

Bar: Maryland, 1976; D.C., 1989

Air and Waste Management Association

American Association for the Advancement of Science

Editorial Advisory Board, "Environmental Forum" (former member)

Member, EPA Clean Air Act Advisory Committee, 1991-1993

REFERENCES:

References will be supplied upon request.



Patrick D. Traylor

Partner

Washington, D.C. & Houston

Biography

Companies around the world have turned to Patrick for twenty years to represent them in environmental enforcement cases and to conduct their most sensitive internal environmental compliance investigations. Thorough preparation, efficient case management, straight talk, an even temperament, and above all, a focus on his clients' business objectives cause his clients to value Patrick as a trusted advisor. In addition to his courtroom experience in federal district and appellate courts, his clients find that he is particularly skilled at fashioning negotiated settlements where litigation risks prove economically unattractive.

In addition to his litigation practice, companies in the energy sector turn to Patrick to secure project-critical air quality permits for the construction and expansion of their most complex facilities. He has supported tens of billions of dollars' worth of projects at refineries, petrochemical and fertilizer plants, LNG export terminals, coal- and gas-fired power plants, coal mines, and bulk materials terminals. Clients value Patrick's team-based approach to integrating business, technical, and legal perspectives to develop effective and innovative permitting and project development strategies. This permitting practice provides the depth of experience that is crucial to representing companies effectively and creatively in environmental litigation.



Professional Experience

Hogan Lovells US LLP, Partner,
2004–present

Hogan & Hartson LLP, Associate, 1997–2003

American Chemistry Council, Law Clerk, 1995–
1996

U.S. Department of Justice, Environmental En-
forcement Section, Law Clerk, 1995

U.S. Attorney's Office, Southern District of Tex-
as, Environmental Crimes Section, Law Clerk,
1994

U.S. EPA Region 6, Office of Regional Counsel,
Law Clerk, 1993

Education

LL.M., The George Washington University Law
School, 1996

J.D., South Texas College of Law Houston, 1994

B.A., Texas A&M University, 1991

Phone

(b) (6)

Email

(b) (6)

Representative Experience

- Represented a bulk chemicals terminal operator in Texas in an EPA enforcement case under the Clean Air Act
- Represented a heavy-duty diesel engine manufacturer in Detroit in an EPA enforcement case under the Clean Air Act
- Represented a West Virginia wind energy facility in a U.S. Fish & Wildlife Service criminal enforcement case under the Migratory Bird Treaty Act
- Represented a coal-fired power plant owner in New Mexico in an EPA and citizen enforcement case under the Clean Air Act
- Represented a gas-fired power plant operator in Texas in an internal investigation under the Clean Air Act
- Represented a surface coal mine operator in New Mexico in a citizen suit case under the Endangered Species Act
- Represented a chemical manufacturer in Texas in an investigation under the Clean Air Act
- Represented a Wyoming wind facility in a U.S. Fish & Wildlife Service criminal investigation under the Bald & Golden Eagle Protection Act
- Represented a Maryland wind facility in a citizen suit case under the Endangered Species Act
- Represented a surface coal mine operator in New Mexico in a citizen suit case under the National Environmental Policy Act
- Represented an underground coal mine operator in New Mexico in a citizen suit case under RCRA
- Represented a coal-fired power plant operator in North Dakota in an EPA and North Dakota enforcement case under the Clean Air Act

- Represented importers of tropical hardwoods in citizen suit cases under the Convention for the Import of Threatened and Endangered Species

- Represented numerous coal-fired power system operators across the country in EPA, state, and citizen suit enforcement cases under the Clean Air Act

- Represented automobile and engine manufacturers in EPA enforcement cases under the Clean Air Act

- Represented coal-fired power plant operators in judicial challenges to their Clean Air Act construction permits

- Represented a pork processing company in Virginia in an EPA enforcement case under the Clean Water Act

Represented a hazardous waste disposal company in a CERCLA cost reimbursement action against EPA

Bar admissions

District of Columbia

Texas

Court admissions

Supreme Court of the United States

U.S. Court of Appeals, D.C. Circuit

U.S. Court of Appeals, Tenth Circuit

U.S. Court of International Trade

U.S. District Court, District of Colorado

U.S. District Court, District of Columbia

U.S. District Court, District of New Mexico

U.S. District Court, District of North Dakota

U.S. District Court, Southern District of Texas

Curriculum Vitae

(b) (6)

EXPERIENCE

1998 to Present

Attorney, Shareholder/Director & Managing Partner - Latham, Stall, Wagner, Steele & Lehman, P.C.

Founding/Managing Partner in 25+ attorney law firm with offices in Tulsa and Oklahoma City. My practice concentrated on representing and advising businesses of all sizes in transactions, litigation and administrative matters. In addition to my managerial duties, my practice areas included civil rights, partnerships, corporate and securities law, business transactions, bankruptcy, energy and environment, healthcare, employment, class actions, corporation commission matters and other general commercial matters.

1995 to 1998

Attorney - Feldman, Franden, Woodard & Farris

Associate attorney specializing in commercial law, including financial institutions, energy and environmental matters, defense of professionals including physicians, nursing homes, lawyers and financial institutions. Work included litigation, administrative and transactional matters.

1992-1995

Attorney - Best & Sharp

Associate research attorney for the firm after being promoted from legal intern after successfully passing state bar exam. My focus was defense of civil rights cases and representing physicians in malpractice actions.

EDUCATION

University of Tulsa, College of Law Juris Doctor 1993
University of Oklahoma Bachelor of Arts, Public Administration 1988

MEMBERSHIP

Federal Bar Association, Oklahoma Bar Association, Arkansas Bar Association, American Bar Association, Defense Research Institute, American Association, Cherokee County Bar Association, Tulsa County Bar Association, Founding Board Member of Maverick PAC - Oklahoma Chapter, Board Member - Oklahoma Strong Leadership PAC, Young President's Organization - Strategic Partner, University of Oklahoma Alumni Association; Sooner Club, University of Tulsa Alumni Association, Golden Hurricane Club, Fellowship Bible Church and Republican Party of Oklahoma. Named to the List of SuperLawyers 2008-2016. Peer reviewed as Preeminent for being in Top 5% of all lawyers for Competence and Ethics by Martindale-Hubbell. Named as a "Best Lawyers in Oklahoma."

LICENSES & ADMISSIONS

United States Supreme Court
Oklahoma Supreme Court
Arkansas Supreme Court
United States Court of Appeals - 10th Circuit
United States Court of Appeals - 9th Circuit
United States District Court - Northern District of Oklahoma
United States District Court - Eastern District of Oklahoma
United States District Court - Western District of Oklahoma
United States District Court - Eastern District of Arkansas
United States District Court - Western District of Arkansas
United States District Court - District of Kansas
United States District Court - District of Middle Tennessee
United States District Court - Southern District of Mississippi

References upon request.

William L. Wehrum, Jr.

(b) (6)

Partner and Head of the Administrative Law Group, Hunton & Williams LLP

Hunton & Williams LLP, Washington, DC

2007 to present.

Bill's practice focuses on air quality issues, including regulatory development and related litigation; compliance counseling and enforcement defense; legislative advice; and permitting. Bill is the head of Hunton's Administrative Law Group, which includes the Nation's premier environmental law practice.

Acting Assistant Administrator for Air and Radiation

U.S. Environmental Protection Agency, Washington, DC

2005 to 2007

As EPA's senior official on air issues, Bill was responsible for all aspects of the Agency's air program – including stationary source regulations and permitting, motor vehicle and fuel standards, and a wide range of other Clean Air Act provisions.

Principal Deputy Assistant Administrator and Counsel to the Assistant Administrator for Air and Radiation

U.S. Environmental Protection Agency, Washington, DC

2001 to 2005

Associate Attorney

Latham & Watkins and Swidler & Berlin, Washington, DC

1994 to 2001

BF₃ Production Team Leader and Supervisor – Health, Safety, and Environmental

Allied-Signal, Inc., Wilmington, DE

1988 to 1994

Environmental Engineer

Allied-Signal, Inc., Morristown, NJ

1986 to 1988

Education

JD, Widener University 1993

BS Chemical Engineering, Purdue University 1986

Professional Memberships/Certifications

Member of the DC Bar and numerous federal district and circuit courts.

Professional Engineer, State of Delaware (inactive).

Andrew R. Wheeler

(b) (6)

MANAGEMENT & POLICY EXPERIENCE

Trump Campaign

Policy Staff

July 2016 –

- One of Two Policy Staff responsible for environmental, energy, agriculture, transportation and telecommunications policy.
- Drafted talking points for speeches, debate preparation, and policy documents.

FaegreBD Consulting

Washington, D.C.

Principal, Team Leader *Energy and Environment Practice*

2009 – present

- Serves as a Principal in a national consulting and government relations firm, assisting clients with federal regulatory and legislative issues. Provided business consulting, conducted federal affairs assessments and direct advocacy services for clients.
- Worked on behalf of business clients, local governmental bodies and not-for-profit organizations.
- Focused extensively on national energy, climate change, and environmental issues including fuels policies, air regulations, and coal issues.
- Team Leader of nine person team since 2014.
- *Of Counsel* with Faegre Baker Daniels Law Firm.
- Co-Chair of firm-wide Energy and Natural Resources Industry Team (50+ attorneys) since 2016.

U.S. Senate Committee on Environment and Public Works (EPW)

Washington, D.C.

Staff Director and Chief Counsel

2002 – 2009

- Served as both Staff Director (Chief of Staff) and Chief Counsel for one of eight authorizing committees in the United States Senate formulating and executing the legislative, oversight, policy and public outreach agenda
 - Jurisdiction encompasses seven Cabinet level departments and agencies
 - Responsible for the Senate confirmation of 52 Presidential nominated positions
 - Policy and procedural advice to members of the Committee and other Senators
 - Chief Liaison to Senate and House Leadership and the White House
- Direct Management:
 - Multi-layered policy and communication/public affairs organization
 - Develop and implement long-term strategic plan while also responding to time sensitive demands
 - More than 30 professional staff, additional responsibility of budget and administration of 60 staff
- Legislative, Policy and Subject Matter Responsibilities:
 - Managed the Senate floor debate and strategy for the Lieberman/Warner Climate Change Bill and other greenhouse gas and carbon constraint technology related legislation
 - Highway Bill reauthorization with over \$286 Billion in transportation funding in 2005.
 - Power plant multi-emissions (Clear Skies Act) and Clean Air Interstate Rule (CAIR)
 - Energy Policy Act of 2005 and 2007 with emphasis on Renewable Fuels Standard (RFS)
 - Policy and legislation involving offshore oil reserves, alternative fueled vehicles, biofuels, oil shell, tar sands, and the renewable portfolio standard
 - Work extensively with regulatory agencies such as the Environmental Protection Agency, Nuclear Regulatory Commission, and the Departments of Energy, Transportation, and Interior
- Public Communication:
 - Deliver public policy speeches to various organizations including the American Bar Association and various trade associations and industry conventions

- Conduct press interviews and media availabilities including on camera interviews
- Advocacy of Committee positions within Congress, the Administration and the general public
- Recognized by *National Journal* as one of the Top Congressional Staff Leaders for 2005

U.S. Senate Subcommittee for Clean Air, Wetlands and Nuclear Safety

Washington, D.C.

Staff Director and Counsel

1997-2002

- Served under the Chairmanships of both Senators Jim Inhofe (R-OK) and George Voinovich (R-OH)
 - Drafted and negotiated legislation involving clean air issues, nuclear energy, and FEMA
 - Developed policy on full range of energy and environmental issues
 - Organized over 30 Subcommittee oversight and legislative hearings
- Represented the Subcommittee to constituents, outside lobbyists, and Executive Branch employees
- Guided numerous bills through the Committee, Senate floor, and conference committee process

Office of United States Senator James Inhofe

Washington, D.C.

General Counsel

1995-1996

- Lead staff person for Commerce and Judiciary issues
- Managed Senator's agenda on environmental issues before the Environmental and Public Works Committee (Superfund, Safe Drinking Water Act, Clean Air Act, and Resources Conservation Recovery Act)

United States Environmental Protection Agency (EPA)

Washington, D.C.

Special Assistant, Information Management Division,

1991-1995

Office of Pollution Prevention and Toxics

- Reported to Division Director and worked as liaison to other Divisions and Offices within the EPA and outside constituent groups
- Coordinated Division working groups and special projects including annual conference attended by over 500 participants
- Worked on the Toxic Release Inventory and the Toxic Substances Control Act

A C T I V I T I E S & A W A R D S

- National Energy Resources Organization, former President and Chairman
- Washington Coal Club, President 2016
- John C. Stennis Congressional Staff Fellow – 106th Congress
- EPA Bronze Medal – 1993, twice in 1994
- Phi Delta Phi
- Alpha Chi Sigma, President – 1986
- Eagle Scout

E D U C A T I O N

George Mason University School of Business, M.B.A.

May, 1998

Washington University School of Law, J.D.

May, 1990

Member - District of Columbia Bar

Case Western Reserve University, B.A.

May, 1987

Major: English, Biology

I have 17 years of experience providing strategic counsel to corporations, nonprofit entities, government officials, and political candidates, on the full range of political law issues including, the regulatory process, campaign finance, election law, government ethics, personal financial disclosure, lobbying reporting and disclosure, and tax. I have been responsible for significant policy and regulatory matters both as a senior level appointee at independent federal agency (GS-15 XS) and in my current position in private practice.

EXPERIENCE

CLARK HILL PLC, Washington, D.C.

Senior Attorney, September 2012 – Present

- Counsel corporations, nonprofit organizations, and federal and state government officials, including Members of Congress and congressional candidates, state attorneys general, and other state and local officeholders on political law compliance with all aspects of the political appointment process and legal issues regulating elections of public officials; including executive and congressional gifts and ethics rules, federal statutes and regulations governing conflicts, federal and state campaign finance laws, Foreign Agents Registration Act, and Lobbying Disclosure Act.
- Provide strategic counsel to financial service industry companies and professionals regarding pay-to-play rules, including SEC Rule 206(4)-5, MSRB Rule G-37, and state and local pay-to-play laws.
- Create and advise nonprofit organizations, including 501(c)(3) charitable organizations, 501(c)(4) social welfare organizations, trade associations, and political organizations on IRS issues related to lobbying and political activities, regulatory advocacy and compliance, corporate governance, and IRS disclosure requirements.
- Represent and defend clients before government agencies, including the Federal Election Commission, Merit Systems Protection Board, and state agencies.
- Create and administer "Super PACs" that support and/or oppose federal and state candidates and represent such entities before the Federal Election Commission (FEC) and state elections agencies.

FEDERAL ELECTION COMMISSION (FEC)

OFFICE OF COMMISSIONER DONALD F. MCGAHN II, (GS-15 XS), Washington, D.C. ✓

Counsel, April 2009 - August 2012

- Served as Counsel to Republican Commissioner providing advice and assistance on a wide range of agency matters requiring extensive knowledge of Federal Election Campaign Act of 1971, as amended, and FEC regulations, as well as working knowledge of, *inter alia*, administrative law, the Freedom of Information Act, and executive branch ethics rules.
- Assisted in the formulation of agency regulations, including drafting and editing regulations, drafting and reviewing notices of proposed rulemakings, reviewing public comment, and drafting final regulations, including response to public comments. Also assisted in the preparation of matters for hearings before the FEC.
- Drafted responses to advisory opinion requests; provided advice on agency enforcement matters; assisted in drafting of legal Statements of Reasons on behalf of Commissioners.
- Served as Commissioner's representative on internal agency administration committees, including budget, finance and personnel, advisory committee issues and internal agency procedures.
- Researched and provided counsel on executive branch ethics questions governed by US laws and regulations and assisted in the review of personal financial disclosure and certification of financial disclosure reports.

NATIONAL REPUBLICAN CONGRESSIONAL COMMITTEE (NRCC), Washington, D.C. ✓

Chief Counsel, January 2007 - June 2008; General Counsel, July 2008 - March 2009

- Served as General Counsel to the national party committee under the leadership of Rep. Tom Cole (OK-04) and advised Members of Congress and candidates on a variety of legal issues, including campaign finance, congressional ethics rules, defamation, copyright, internal policies and procedures, and employment issues.
- Reviewed and approved public communications, including television and radio ads, direct mail fundraising programs, automated and live phone programs, polling research, press releases, and web videos.
- Worked closely with Executive Committee officials in managing internal investigation by national law firm, and served as point of contact for PricewaterhouseCoopers' auditors in conducting forensic audit.
- Negotiated all vendor contracts, including service agreements, leases, and list agreements.

DCI GROUP, LLC, Washington, D.C.

Associate Counsel, March - August 2006; *Deputy General Counsel*, August 2006- January 2007

- Provided counsel to public affairs firm employees, managing members, and partners on wide variety of issues, including executive and congressional ethics rules, campaign finance and lobbying compliance; FARA compliance; and nonprofit tax compliance.
- Advised managing members and partners on numerous corporate governance issues; developed labor and employment policies and internal compliance procedures for employees and consultants.
- Negotiated and drafted retainer agreements and contracts for clients and consultants; oversaw and reviewed vendor contracts, leases, list agreements and purchase agreements.
- Managed outside counsel on a range of litigation and non-profit matters.

AKIN GUMP STRAUSS HAUER & FELD LLP, Washington, D.C.

Staff Attorney, Public Law and Policy, May 2002 - December 2003; *Associate*, January 2004 - February 2006

- Advised senior executives of Fortune 500 and 100 corporations, trade associations, and non-profit entities on executive and congressional ethics and gifts rules, federal and state campaign finance laws, federal and state pay-to-play laws, Foreign Agents Registration Act, lobbying disclosure, and IRS rules regarding political and lobbying activities by charitable and other nonprofit organizations.
- Advised senior agency officials on government ethics and personal financial disclosure.
- Established and administered corporate PACs, including preparing and filing campaign finance reports.

REPUBLICAN NATIONAL COMMITTEE, Washington, D.C.

Deputy Redistricting Counsel, April 2001 - April 2002

- Built relationships with state party committees and legislators; advised on creation of redistricting maps and litigation.
- Supplemented and maintained extensive redistricting litigation database.

NATIONAL REPUBLICAN CONGRESSIONAL COMMITTEE (NRCC), Washington, D.C.

Deputy Counsel, October 2000 - March 2001; *Law Clerk*, January - September 2000; *Staff Assistant*, March - December 1999

- Drafted complaints and responses to FEC on behalf of national party committee and congressional candidates.
- Prepared nationwide legal advisories on campaign finance issues, such as campaign use of the Internet and compliance with Federal Communications Commission (FCC) rules.

EDUCATION

THE CATHOLIC UNIVERSITY OF AMERICA, COLUMBUS SCHOOL OF LAW, Washington, D.C.

Juris Doctor, 1997-2000

EMMANUEL COLLEGE, Franklin Springs, GA

Bachelor of Arts, *Cum Laude*, 1991-1995

PROFESSIONAL AFFILIATIONS & BAR ADMISSIONS

- The Federalist Society
- Republican National Lawyers Association
- Women in Government Relations
- Bar of Commonwealth of Virginia, 2000; Bar of District of Columbia, 2004

RICHARD YAMADA

(b) (6)

EDUCATION

CORNELL UNIVERSITY, Ithaca, NY

Ph.D. Applied Mathematics

August 2007

M.S. Applied Mathematics

May 2006

YALE UNIVERSITY, New Haven, CT

B.S., Dual Degrees in Mathematics and Physics

May 2000

PROFESSIONAL EXPERIENCE

Professional Staff Member (January 2013 - Present)

House Committee on Science, Space, and Technology - Chairman Lamar Smith

- Creating and negotiating legislation related to basic R&D and environmental science policy
- Coordinating and organizing Committee policy hearings (25 to date) on R&D and environment issues
- Overseeing legislation and hearings to support and coordinate sound science in Federal R&D
- Writing oversight letters to the National Science Foundation, Office of Science Technology Policy, Environmental Protection Agency, Department of Agriculture, Department of Transportation, and Office of Management and Budget
- Managed successful House passage of legislation that would require EPA to use sound and transparent science when promulgating regulations (H.R. 1029 and H.R. 1030)

Research Scholar (September 2012 - December 2012)

Institute for Genome Sciences and Policy, Duke University

- Conducted research on policy issues connected to patenting DNA sequencing technologies
- Analyzed policy issues on technologies offering interpretation of genomic data as a service

Legislative Fellow and Advisor (September 2011 - August 2012)

Office of Senator John Boozman

- Competitively selected legislative fellow, sponsored by the American Mathematical Society
- Responsible for writing issue and policy memos, along with writing and answering constituent mail
- Planned and implemented events in Washington D.C. and in the state of Arkansas
- Negotiated and monitored all legislation related to the Space and Science Subcommittee

Assistant Professor of Mathematics (September 2007 - August 2011)

Department of Mathematics, University of Michigan

- Research in transcription regulation and its role on physiological processes
- Led interdisciplinary project to understand the role of Goodwin model in synthetic clocks
- Planned and organized mini-symposia at 2 national conferences in applied mathematics
- Served as project liaison in a research team collaboration with Pfizer Inc.
- Organized and coordinated departmental mathematical-biology seminars
- Mentor and research supervisor to 13 undergraduate students
- Developed and lectured 11 undergraduate and graduate courses in pure and applied mathematics

Research and Teaching Fellow (August 2001 - August 2007)

Center for Applied Mathematics, Cornell University

- Coordinated an inter-departmental collaboration with experimental biologists, computer scientists and mathematicians on a long term project on transcription dynamics
- Project leader of a 3 person team on a consulting engagement for \$120M Textron Golf Division.
- Organizer and coordinator of the Graduate Applied Mathematics Seminar

HONORS AND AWARDS

- Finalist, Michigan Society of Fellows, University of Michigan, 2008
- Outstanding Teaching Assistant, Department of Computer Science, Cornell University, 2007
- National Science Foundation IGERT Fellowship, Cornell University, 2004-2006
- Distinction in the Major of Mathematics, Yale University, 2000
- John Alan Lewis Mathematics Prize, Yale University, 1999

PROFESSIONAL

- Author and co-author of 8 articles in top peer-reviewed scientific journals
- 30 scientific presentations at national and international seminars, conferences, and colloquia

Professional references and writing samples available on request.

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|---|--|--|--|--|--|------------------------------------|--|---|--|
| 1. Name (Last, First, Middle) ABBOUD, MICHAEL C | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 08/13/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number SPECIAL ASSISTANT FOR THE OFFICE OF PUBLIC AFFAIRS A0G00000 0017050 | | | | | | 15. TO: Position Title and Number PRESS SECRETARY A0G00000 0017055 | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | | 10. Grade or Level 00 | | 11. Step or Rate 00 | | 12. Total Salary 77593 | | 13. Pay Basis PA | |
| 16. Pay Plan AD | | 17. Occ. Code 0301 | | 18. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 77593 | | 21. Pay Basis PA | |
| 12A. Basic Pay 61049 | | 12B. Locality Adj. 16544 | | 12C. Adj. Basic Pay 77593 | | 12D. Other Pay 0 | | 20A. Basic Pay 61049 | | 20B. Locality Adj. 16544 | |
| | | | | 20C. Adj. Basic Pay 77593 | | 20D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NON-COMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171582704 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/24/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|------------------------------------|--|--|--|---|--|------------------------------------|--|---|--|
| 1. Name (Last, First, Middle) ABBOUD, MICHAEL C | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/09/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L.95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number | | | | | | 15. TO: Position Title and Number SPECIAL ASSISTANT FOR THE OFFICE OF PUBLIC AFFAIRS A0G00000 0017050 | | | | | |
| 8. Pay Plan | | 9. Occ. Code | | 10. Grade or Level | | 11. Step or Rate | | 12. Total Salary | | 13. Pay Basis | |
| AD | | 0301 | | 00 | | 00 | | 77593 | | PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 61049 | | 20B. Locality Adj. 16544 | |
| | | | | | | | | 20C. Adj. Basic Pay 77593 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED ??????? . CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING APPOINTMENT IS INDEFINITE. | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171119676 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/20/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | |
|--|--|-------------------------------------|--------------------|---|--|------------------------|-----------------------------|------------------------------------|--|--|------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) ASHBEE, BLAKE MANNING | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/29/2017 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number CHIEF OF STAFF TO THE REGION 4 ADMIN T0000000 0018001 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization REGION 4 ATLANTA,GEORGIA | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA (b) (6) Date (Leave) | | | | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 13-0280-121 | | | | 39. Duty Station (City - County - State or Overseas Location) ATLANTA,FULTON,GEORGIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 10/30/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171944212 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/31/2017 | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|--------------------|--|---|----------------------------------|-----------------------------|--|---------------------------------|---|--------------------------|-------------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) BAPTIST, ERIK C | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/18/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FROM 1652 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SENIOR DEPUTY GENERAL COUNSEL C0000000 0017028 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan ES | 17. Occ. Code 0905 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | | 21. Pay Basis PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF GENERAL COUNSEL WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170618. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED 06/19/17. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170862864 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/18/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--|---------------------------------|---|---------------------|---|------------------------------------|--|--|--|
| 1. Name (Last, First, Middle) BEACH, CHRISTOPHER E. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 01/21/2018 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPEECH WRITER A0G00000 AD18008 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 164200 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 131320 | | 12B. Locality Adj. 32880 | | 12C. Adj. Basic Pay 164200 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 01-22-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172451274 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 01/22/2018 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--|---------------------------------|---|---------------------|-----------------------------|---|--|--|--|
| 1. Name (Last, First, Middle) BECK, NANCY B | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/30/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEP ASST ADMINISTRATOR FOR TOXICS M0000000 0017027 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 127864 | | 12B. Locality Adj. 34036 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF CHEMICAL SAFETY & POLL PREV ASST ADMR FOR CHEM SAFETY&PLTN PREV WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None 3 - 10-Point/Disability 5 - 10-Point/Other - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 21 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 05-01-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170722859 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/01/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|----------------------|---|------------------------|---|---------------------|---|-----------------------|--|------------------------|---|---------------------|
| 1. Name (Last, First, Middle) BECK, NANCY B | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/16/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number DEP ASST ADMINISTRATOR FOR TOXICS M0000000 0017027 | | | | 15. TO: Position Title and Number DEP ASST ADMINISTRATOR FOR CHEMICAL SAFETY AND POLLUTION PREVEN M0000000 0017056 | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA |
| 12A. Basic Pay 127864 | | 12B. Locality Adj. 34036 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 127864 | | 20B. Locality Adj. 34036 | |
| | | | | | | | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF CHEMICAL SAFETY & POLL PREVENTION ASST ADMR FOR CHEM SAFETY&PLTN PREVENTION WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF CHEMICAL SAFETY & POLL PREVENTION ASST ADMR FOR CHEM SAFETY&PLTN PREVENTION WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 21 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTION | | | | | | 50. Signature/Authentication and Title of Approving Official 171255619 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/13/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | |
|---|--|--|---|---------------------------------|---|--|-----------------------------|---|------------------------------------|--|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) BENEVENTO, DOUGLAS H | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/15/2017 | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DTD 10-04-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR X0000000 0000103 | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization REGION 8 DENVER,COLORADO | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 08-0600-031 | | | 39. Duty Station (City - County - State or Overseas Location) DENVER,DENVER,COLORADO | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20171015. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED ?????? THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171788610 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 09/22/2017 | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|---|-----------------------------|--|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) BENNETT, ELIZABETH T | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/21/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3315 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR FOR OCIR A0F00000 0017004 | | | | | 15. TO: Position Title and Number DEP ASSOC ADMIN FOR CONGRESSIONAL AND INTERGOVERNMENTAL RELATIONS A0F00000 0017012 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 149337 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 05 | 20. Total Salary/Award 149337 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 117496 | | 12B. Locality Adj. 31841 | | 12C. Adj. Basic Pay 149337 | | 12D. Other Pay 0 | | 20A. Basic Pay 117496 | | 20B. Locality Adj. 31841 | | 20C. Adj. Basic Pay 149337 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONCRITICAL-SENSITI | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170948441 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/24/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | |
|--|--|--|-------------------------------|---|----------------------------|--|--------------------------|--|---|--|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) BENNETT, ELIZABETH T | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 08/13/2017 | | | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.33SCH C, | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number DEP ASSOC ADMIN FOR CONGRESSIONAL AND INTERGOVERNMENTAL RELATIONS A0F00000 0017012 | | | | 15. TO: Position Title and Number ASSOCIATE ADMINISTRATOR FOR THE OFFIC OF PUBLIC ENFORCEMENT & ENV. EDUCATIO A0H00000 0017030 | | | | | | | | | |
| 8. Pay Plan GS | | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 05 | 12. Total Salary 149337 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | |
| 12A. Basic Pay 117496 | | 12B. Locality Adj. 31841 | 12C. Adj. Basic Pay 149337 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFC OF PUBLIC ENGAGMNT & ENVRNMNTL EDUC WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONCRITICAL-SENSITI | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 FROZEN SERVICE NONE THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171556655 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/23/2017 | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--------------|---|------------------|--|--|---------------------------|------------------------------------|---|--|---|---|-------------------------------------|--|----------------------------|--|
| 1. Name (Last, First, Middle) BLOCK, MOLLY E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/03/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3318 SCH | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number PRESS SECRETARY A0GF0000 0003606 | | | | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 12 | 19. Step or Rate 05 | 20. Total Salary/Award 90350 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 71086 | | 20B. Locality Adj. 19264 | | 20C. Adj. Basic Pay 90350 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS OFFICE OF PRESS SECRETARY WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) None 3 - 10-Point/Disability 5 - 10-Point/Other 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| KF FERS-FRAE & FICA | | | | | 31. Service Comp. Date (Leave) (b) (6) | | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ??????? . CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVE CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171961934 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/04/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|---|---|--|--|------------------------|-----------------------------|---|------------------------------------|--|--|
| 1. Name (Last, First, Middle) BODINE, SUSAN PARKER | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/21/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZKM | | 5-D. Legal Authority LAW, E.O.,OR REG AUTH PRES AP | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number ASSISTANT ADMINISTRATOR FOR ENFORCEMENT AND COMP ASSURANCE B0000000 PAS0001 | | | | | | |
| 8. Pay Plan EX | | 9. Occ. Code 0340 | | 10. Grade or Level 00 | | 11. Step or Rate 00 | | 20. Total Salary/Award 155500 | | 21. Pay Basis PA | |
| 12A. Basic Pay 155500 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 155500 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR FOR ENF&COMPL ASSURANCE WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) None 3 - 10-Point/Disability 5 - 10-Point/Other 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant T | | | |
| 30. Retirement Plan K FERS & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 12-21-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 6 SENATE CONFIRMATION THIS POSITION IS DESIGNATED FOR DRUG TESTING FROZEN SERVICE NONE | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180017315 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/21/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|----------------------|-------------------------------------|------------------------|--|---|--|---|------------------------------------|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) BOLEN, BRITTANY A | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/16/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 002 | | 5-B. Nature of Action CORRECTION | | | 6-A. Code 546 | | 6-B. Nature of Action CONV TO SES NONCAREER APPT | | | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code V4L | | 6-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code AWM | | 6-F. Legal Authority 07-13-17 | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR DEPUTY ASSOC ADMIN FOR POLICY AA000000 0017011 | | | | | 15. TO: Position Title and Number SENIOR DEPUTY ASSOC ADMIN FOR POLICY AA000000 0017024 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 05 | 12. Total Salary 149337 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 151700 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 117496 | | 12B. Locality Adj. 31841 | | 12C. Adj. Basic Pay 149337 | | 12D. Other Pay 0 | | 20A. Basic Pay 151700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 151700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR OFFICE OF POLICY WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR OFFICE OF POLICY WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CORRECTS ITEM NUMBER 31 FROM 03-26-17 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171581755 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/21/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | |
|---|--|--------------------------------------|---|--|--|------------------------|-----------------------------|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) BOLEN, BRITTANY A | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/26/2017 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SENIOR DEPUTY ASSOC ADMIN FOR POLICY AA000000 0017011 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 149337 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 117496 | | 20B. Locality Adj. 31841 | | 20C. Adj. Basic Pay 149337 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR OFFICE OF POLICY WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None 3 - 10-Point/Disability 5 - 10-Point/Other - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 03-27-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DIES BIT CIBFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170620790 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/13/2017 | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|---|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) BOLEN, BRITTANY A | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/14/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C 3316 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR DEPUTY ASSOC ADMIN FOR POLICY AA000000 0017011 | | | | | 15. TO: Position Title and Number SENIOR DEPUTY ASSOC ADMIN FOR POLICY AA000000 0017011 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 149337 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 05 | 20. Total Salary/Award 149337 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 117496 | | 12B. Locality Adj. 31841 | | 12C. Adj. Basic Pay 149337 | | 12D. Other Pay 0 | | 20A. Basic Pay 117496 | | 20B. Locality Adj. 31841 | | 20C. Adj. Basic Pay 149337 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR OFFICE OF POLICY WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR OFFICE OF POLICY WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170921192 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/18/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--------------|--|------------------|--|---------------|--|-----------------------|---|------------------------|---------------------------------|---------------------|
| 1. Name (Last, First, Middle) BOLEN, DERRICK | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/22/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3318 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number SPECIAL ASSISTANT A0000000 0018003 | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 07 | 19. Step or Rate 07 | 20. Total Salary/Award 53932 | 21. Pay Basis PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 42433 | | 20B. Locality Adj. 11499 | |
| | | | | | | | | 20C. Adj. Basic Pay 53932 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ?????? CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171941251 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/19/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|--|------------------------|--|--|--|-----------------------------|--|---------------------------------|---|---------------------|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) BOLEN, DERRICK | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/03/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3325 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SPECIAL ASSISTANT A0000000 0018003 | | | | | 15. TO: Position Title and Number SPECIAL ASSISTANT M0000000 0018006 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 07 | 11. Step or Rate 07 | 12. Total Salary 53932 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 07 | 19. Step or Rate 07 | 20. Total Salary/Award 53932 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 42433 | | 12B. Locality Adj. 11499 | | 12C. Adj. Basic Pay 53932 | | 12D. Other Pay 0 | | 20A. Basic Pay 42433 | | 20B. Locality Adj. 11499 | | 20C. Adj. Basic Pay 53932 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR FOR CHEM SAFETY&PLTN PREV WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) None 3 - 10-Point/Disability 5 - 10-Point/Other 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 172293699 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/03/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--|---------------------------------|--|---------------------|---|--|--|--|--|
| 1. Name (Last, First, Middle) BOWMAN, ELIZABETH S | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/26/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEP ASSOC ADMIN FOR PUBLIC AFFAIRS A0G00000 0017015 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 130692 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 102826 | | 12B. Locality Adj. 27866 | | 12C. Adj. Basic Pay 130692 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. DUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 03-26-17 CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170633719 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/27/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---------------------|---|-----------------------|------------------------------------|------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) BOWMAN, ELIZABETH S | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/14/2017 | | | | | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3313 AGE | | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number DEP ASSOC ADMIN FOR PUBLIC AFFAIRS A0G00000 0017015 | | | | | | 15. TO: Position Title and Number DEP ASSOC ADMIN FOR PUBLIC AFFAIRS A0G00000 0017004 | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 130692 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 14 | 19. Step or Rate 06 | 20. Total Salary/Award 130692 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 102826 | | 12B. Locality Adj. 27866 | | 12C. Adj. Basic Pay 130692 | | 12D. Other Pay 0 | | 20A. Basic Pay 102826 | | 20B. Locality Adj. 27866 | | 20C. Adj. Basic Pay 130692 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOIMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170893972 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/15/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|---------------------|--|-----------------------|---|------------------------|--|---------------------|
| 1. Name (Last, First, Middle) BOWMAN, ELIZABETH S | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 08/13/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority NON CAREER OPM FORM1652 80917 | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number DEP ASSOC ADMIN FOR PUBLIC AFFAIRS A0G00000 0017004 | | | | 15. TO: Position Title and Number ASSOCIATE ADMINISTRATOR FOR PUBLIC AFFAIRS A0G00000 0S04001 | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 14 | 11. Step or Rate 06 | 12. Total Salary 130692 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA |
| 12A. Basic Pay 102826 | | 12B. Locality Adj. 27866 | | 12C. Adj. Basic Pay 130692 | | 12D. Other Pay 0 | | 20A. Basic Pay 161900 | | 20B. Locality Adj. 0 | |
| | | | | | | | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170813. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NON-COMPETITIVELY | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171580091 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/24/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|--|--------------------|--|--|----------------------------------|--|--------------------------|---------------------------------|---|--------------------------|-------------------------------|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) BURKE, MARCELLA | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 11/12/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C. 213.33 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY GENERAL COUNSEL C0000000 0017035 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan GS | 17. Occ. Code 0000 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF GENERAL COUNSEL WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) None 3 - 10-Point/Disability 5 - 10-Point/Other 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 5 | | 44. POSITION SENSITIVITY | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 11132017. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY THIS POSITION IS DESIGNED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 172143054 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/16/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|--|--------------------|--|--|----------------------------------|-----------------------------|------------------------------------|---|--|--------------------------|-------------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) CHANCELLOR, ERIN E. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 01/28/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3327 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SENIOR COUNSEL TO THE ASST ADMIN FOR LAND AND EMERGENCY MGT K0000000 0018010 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan GS | 17. Occ. Code 0905 | 18. Grade or Level 15 | 19. Step or Rate 03 | 20. Total Salary/Award 143774 | | 21. Pay Basis PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 112131 | | 20B. Locality Adj. 31643 | | 20C. Adj. Basic Pay 143774 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None 3 - 10-Point/Disability 5 - 10-Point/Other - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. REGULARITY (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 5 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 012918 . CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172379105 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/12/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|---------------------|---|-----------------------|------------------------------------|------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) CHMIELEWSKI, KEVIN DAVID | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/14/2017 | | | | | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C 3317 | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | |
| 7. FROM: Position Title and Number DIRECTOR OF SCHEDULING AND ADVANCE A0000000 0017023 | | | | 15. TO: Position Title and Number DIRECTOR OF SCHEDULING AND ADVANCE A0000000 0017023 | | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 115755 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 14 | 19. Step or Rate 02 | 20. Total Salary/Award 115755 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 91074 | | 12B. Locality Adj. 24681 | | 12C. Adj. Basic Pay 115755 | | 12D. Other Pay 0 | | 20A. Basic Pay 91074 | | 20B. Locality Adj. 24681 | | 20C. Adj. Basic Pay 115755 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 04 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170921379 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/18/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|-------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|--|--|--|
| 1. Name (Last, First, Middle) CHMIELEWSKI, KEVIN DAVID | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/23/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DIRECTOR OF SCHEDULING AND ADVANCE A0000000 0017023 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 115755 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 91074 | | 12B. Locality Adj. 24681 | | 12C. Adj. Basic Pay 115755 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 04/24/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170696199 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/24/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|---|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) CHMIELEWSKI, KEVIN DAVID | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/16/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority 07-13-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DIRECTOR OF SCHEDULING AND ADVANCE A0000000 0017023 | | | | | 15. TO: Position Title and Number DIRECTOR OF SCHEDULING AND ADVANCE A0000000 0013007 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 14 | 11. Step or Rate 02 | 12. Total Salary 115755 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 140000 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 91074 | | 12B. Locality Adj. 24681 | | 12C. Adj. Basic Pay 115755 | | 12D. Other Pay 0 | | 20A. Basic Pay 140000 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 140000 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 04 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170716. CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 FROZEN SERVICE NONE THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171317215 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/20/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | |
|--|--|--|---|--|--|------------------------|-----------------------------|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) COOK, STEVEN D. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 02/18/2018 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 01/26/18 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY ASSISTANT ADMINISTRATOR FOR LAND AND EMERGENCY MGT K0000000 0000690 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20180218. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED APPOINTMENT AFFIDAVIT EXECUTED 02-20-18. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. OPF MAINTAINED BY U.S. EPA, HRMD, MD C639-02, RTP, NC 27711 | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172379497 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 02/18/2018 | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|---|--|--|------------------------|-----------------------------|---|---|--|--------------------|------------------------------|------------------------|---------------------|---------------|
| 1. Name (Last, First, Middle) CORY, KATHERINE PRESTON | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/18/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3311 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPEC ASST TO THE SENIOR DEP ASSOC ADMIN FOR INTERGOVERNMENTAL RELATIONS A0FB0000 0017019 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan | 17. Occ. Code | 18. Grade or Level | 19. Step or Rate | 20. Total Salary/Award | | 21. Pay Basis |
| | | | | | | | | | GS | 0301 | 07 | 01 | 44941 | | PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 35359 | | 20B. Locality Adj. 9582 | | 20C. Adj. Basic Pay 44941 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. (b) (6) | | 42. | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks (b) (6) | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170878399 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/10/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|---|------------------------|--|--|---|-----------------------------|--|---|------------------------------------|--|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) CORY, KATHERINE PRESTON | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 02/18/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SPEC ASST TO THE SENIOR DEP ASSOC ADMIN FOR INTERGOVERNMENTAL RELATIONS A0FB0000 0017019 | | | | | 15. TO: Position Title and Number DIRECTOR OF INTERGOVERNMENTAL AFFAIRS A0FB0000 A030109 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 07 | 11. Step or Rate 01 | 12. Total Salary 45972 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 56233 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 35854 | | 12B. Locality Adj. 10118 | | 12C. Adj. Basic Pay 45972 | | 12D. Other Pay 0 | | 20A. Basic Pay 43857 | | 20B. Locality Adj. 12376 | | 20C. Adj. Basic Pay 56233 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180474343 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 02/18/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|---|--------------------|--|--|--|-----------------------------|------------------------------------|---------------------------------|---|------------------------|---------------------------------|---------------------|---------------------|--|
| 1. Name (Last, First, Middle) DANIELL, KELSI LENE | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 11/19/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C 213.3318 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number PRESS SECRETARY A0G00000 0018004 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 12 | 19. Step or Rate 01 | 20. Total Salary/Award 79720 | 21. Pay Basis PA | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 62722 | | 20B. Locality Adj. 16998 | | 20C. Adj. Basic Pay 79720 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ?????? CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171959427 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/20/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | |
|--|--|--|---|--|--|------------------------|---|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) DARWIN, HENRY R | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/10/2017 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 06-15-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number ASSISTANT DEPUTY ADMINISTRATOR A0000000 0017019 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | |
| 45. Remarks TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED APPOINTMENT AFFIDAVIT EXECUTED ??????? . THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170710. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 170903290 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/11/2017 | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--------------|--|------------------|--|---|----------------------------------|-----------------------------|------------------------------------|---|--|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) DARWIN, VERONICA G | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/10/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3319 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMIN FOR LAND AND EMERGENCY MANAGEMENT A0000000 0000004 | | | | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 14 | 19. Step or Rate 01 | 20. Total Salary/Award 112021 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 88136 | | 20B. Locality Adj. 23885 | | 20C. Adj. Basic Pay 112021 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED ??????? CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170949736 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/11/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|--|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) DAVIS, PATRICK J. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/21/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 571 | | 5-B. Nature of Action CONV TO EXC APPT NTE 09-16-20 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y9K | | 5-D. Legal Authority SCH C, 213.3302(A) | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR A0000000 0S17006 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR A0000000 0S17006 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 10 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan 2 FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks PREVIOUS RETIREMENT COVERAGE; NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 CREDITABLE MILITARY SERVICE: (b) (6) REASON FOR TEMPORARY APPOINTMENT TEMPORARY TRANSITIONAL SCH. C. EMPLOYEE FROZEN SERVICE NONE POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170955017 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/25/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|---|--|---|--|--|--|---|--|---|--|
| 1. Name (Last, First, Middle) DAVIS, PATRICK J. | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/15/2017 | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number DEP ASST ADMIN FOR OLEM K0000000 0017035 | | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE REGIONAL ADMINISTRATOR FOR PUBLIC ENGAGE X0000000 0017070 | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | | 10. Grade or Level 00 | | 11. Step or Rate 00 | | 12. Total Salary 161900 | | 13. Pay Basis PA | |
| 16. Pay Plan AD | | 17. Occ. Code 0301 | | 18. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 161900 | | 21. Pay Basis PA | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | |
| 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | | 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT WASHINGTON,DC | | | | 22. Name and Location of Position's Organization REGION 8 DENVER,COLORADO | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 08-0600-031 | | | | 39. Duty Station (City - County - State or Overseas Location) DENVER,DENVER,COLORADO | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171942048 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/19/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|---------------------|---|-----------------------|---|------------------------|--|---------------------|
| 1. Name (Last, First, Middle) DAVIS, PATRICK J. | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/04/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR A0000000 0S17006 | | | | 15. TO: Position Title and Number DEP ASST ADMIN FOR OLEM K0000000 0017035 | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 10 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | |
| | | | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT FROZEN SERVICE NONE | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170990412 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/01/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--------------|-------------------------------------|------------------|--|---------------|--|---------------|------------------------------------|------------------|---|---------------|---------------------|--|----------------|--|
| 1. Name (Last, First, Middle) DIXON, SEAN THOMAS | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/11/2018 | | | | | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE REGIONAL ADM. Q0000000 AD18009 | | | | | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan | 17. Occ. Code | 18. Grade or Level | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis | | | | |
| | | | | | | AD | 0301 | 00 | 00 | 109266 | PA | | | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay | |
| | | | | | | | | 85712 | | 23554 | | 109266 | | 0 | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 1 BOSTON, MASSACHUSETTS | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 25-0120-025 | | | | 39. Duty Station (City - County - State or Overseas Location) BOSTON, SUFFOLK, MASSACHUSETTS | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 03-12-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. OPF MAINTAINED BY U.S. EPA, HRMD, MD C639-02, RTP, NC 27711 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180527187 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3318 | | 49. Approval Date 03/11/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|-------------------------------------|--------------------|--|---|----------------------------------|-----------------------------|--|---------------------------------|---|--------------------------|------------------------------|---------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) DOMINGUEZ, ALEXANDER JAVIER | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/21/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number POLICY ANALYST TO THE SENIOR ADV TO ADMIN FOR AIR AND RADIATION A0000000 0017036 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 54972 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 43251 | | 20B. Locality Adj. 11721 | | 20C. Adj. Basic Pay 54972 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05/22/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 FROZEN SERVICE NONE THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170821023 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/05/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|--|--|-----------------------------|--|---------------------------------|---|--|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) DOMINGUEZ, ALEXANDER JAVIER | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/29/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number POLICY ANALYST TO THE SENIOR ADV TO ADMIN FOR AIR AND RADIATION A0000000 0017036 | | | | | 15. TO: Position Title and Number POLICY ANALYST TO THE DEP ASST ADMIN FOR AIR AND RADIATION L0000000 0018004 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 54972 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 60470 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 43251 | | 12B. Locality Adj. 11721 | | 12C. Adj. Basic Pay 54972 | | 12D. Other Pay 0 | | 20A. Basic Pay 47577 | | 20B. Locality Adj. 12893 | | 20C. Adj. Basic Pay 60470 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR AIR & RADIATION WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 172004013 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/01/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|---|---|--|------------------------|-----------------------------|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|--|
| 1. Name (Last, First, Middle) DUNN, ALEXANDRA D. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 01/07/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 11-09-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR Q0000000 0000002 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 1 BOSTON, MASSACHUSETTS | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 25-0120-025 | | | | 39. Duty Station (City - County - State or Overseas Location) BOSTON, SUFFOLK, MASSACHUSETTS | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20180107. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED 010818 THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172427760 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 01/16/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|-------------------------------------|--------------------|--|---|---------------------------------------|-----------------------------|--|---|--|--------------------------|------------------------------|---------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) FALVO, NICHOLAS ANTHONY | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/07/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPECIAL ASST TO THE SENIOR ADVISOR A0000000 0017037 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 85035 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 66904 | | 20B. Locality Adj. 18131 | | 20C. Adj. Basic Pay 85035 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 05-08-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170820831 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/04/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---------------------|---|-----------------------|--|------------------------|---|---------------------|
| 1. Name (Last, First, Middle) FALVO, NICHOLAS ANTHONY | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/16/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3323 AGE | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number SPECIAL ASST TO THE SENIOR ADVISOR A0000000 0017037 | | | | 15. TO: Position Title and Number SPECIAL ASST TO THE SENIOR ADVISOR A0000000 0017028 | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 85035 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 12 | 19. Step or Rate 03 | 20. Total Salary/Award 85035 | 21. Pay Basis PA |
| 12A. Basic Pay 66904 | | 12B. Locality Adj. 18131 | | 12C. Adj. Basic Pay 85035 | | 12D. Other Pay 0 | | 20A. Basic Pay 66904 | | 20B. Locality Adj. 18131 | |
| | | | | 20C. Adj. Basic Pay 85035 | | 20D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT FROZEN SERVICE NONE | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171316512 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/20/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|---|--|---|--|---|--|--|--|---|--|
| 1. Name (Last, First, Middle) FEELEY, ROBERT A | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 09/10/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number | | | | | | 15. TO: Position Title and Number POLICY COUNSEL AA000000 0017057 | | | | | |
| 8. Pay Plan | | 9. Occ. Code | | 10. Grade or Level | | 11. Step or Rate | | 12. Total Salary | | 13. Pay Basis | |
| AD | | 0301 | | 00 | | 00 | | 101116 | | PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 79556 | | 20B. Locality Adj. 21560 | |
| | | | | | | | | 20C. Adj. Basic Pay 101116 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR OFFICE OF POLICY WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 09-11-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171490591 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/15/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | |
|---|--|---|--------------------------|--|---------------------------|--|--------------------------|--|--------------------------|---|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) FERGUSON, LINCOLN ROSS | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 09/03/2017 | | | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L 95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number SPEECH WRITER A0G00000 AD17003 | | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR OPERATIONS & IMPLMENTATION A0000000 AD17066 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 79720 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 100981 | 21. Pay Basis PA | |
| 12A. Basic Pay 62722 | | 12B. Locality Adj. 16998 | | 12C. Adj. Basic Pay 79720 | | 12D. Other Pay 0 | 20A. Basic Pay 100981 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 100981 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | |
| 23. Veterans Preference (b) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED FROZEN SERVICE NONE | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171662485 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 09/06/2017 | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|--|--------------------------------------|--------------------|---|---|----------------------------------|--|------------------------------------|---------------------------------|---|--------------------------|-------------------------------|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) FIELDS, JENIFER L | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/24/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number CHIEF OF STAFF S0000000 0018006 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 141261 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 114040 | | 20B. Locality Adj. 27221 | | 20C. Adj. Basic Pay 141261 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 3 PHILADELPHIA,PENNSYLVANI | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 42-6540-101 | | | | 39. Duty Station (City - County - State or Overseas Location) PHILADELPHIA,PHILADELPHIA,PENNSYLVANIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 12-26-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 172257266 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 01/02/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|--|-------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|--|--|--|--|
| 1. Name (Last, First, Middle) FORD, HAYLEY LYNNETTE | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/09/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY WHITE HOUSE LIAISON A0000000 0017019 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 101116 | | 13. Pay Basis PA | | | | | |
| 12A. Basic Pay 79556 | | 12B. Locality Adj. 21560 | | 12C. Adj. Basic Pay 101116 | | 12D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED ?????? CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170631874 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/15/2017 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|--|------------------------|--|---|---|-----------------------------|--|---|------------------------------------|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) FORD, HAYLEY LYNNETTE | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/14/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3315 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEPUTY WHITE HOUSE LIAISON A0000000 0017019 | | | | | 15. TO: Position Title and Number DEPUTY WHITE HOUSE LIAISON A0000000 0176001 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 101116 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 13 | 19. Step or Rate 03 | 20. Total Salary/Award 101116 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 79556 | | 12B. Locality Adj. 21560 | | 12C. Adj. Basic Pay 101116 | | 12D. Other Pay 0 | | 20A. Basic Pay 79556 | | 20B. Locality Adj. 21560 | | 20C. Adj. Basic Pay 101116 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170900132 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/16/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|---|-----------------------------|--|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) FORSGREN JR., DENNIS L | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/09/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 07-06-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEPUTY ASSISTANT ADMIN J0000000 0117046 | | | | | 15. TO: Position Title and Number DEP ASST ADMIN FOR WATER J0000000 0117021 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 172100 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 172100 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 172100 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ASST ADMR FOR WATER WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR WATER WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA (b)(6) | | | | | | (b)(6) | | | | | | | | | |
| 23. Veterans Preference <input type="checkbox"/> 1 - None <input type="checkbox"/> 2 - 5-Point <input type="checkbox"/> 3 - 10-Point/Disability <input type="checkbox"/> 4 - 10-Point/Compensable <input type="checkbox"/> 5 - 10-Point/Other <input type="checkbox"/> 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure <input type="checkbox"/> 0 <input type="checkbox"/> 1 - Permanent <input type="checkbox"/> 2 - Conditional <input type="checkbox"/> 3 - Indefinite | | 25. Agency Use <input type="checkbox"/> | | 26. Veterans Preference for RIF <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| 27. FEGLI <input type="checkbox"/> (b) (6) | | | | | | 28. Annuitant Indicator <input type="checkbox"/> 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant <input type="checkbox"/> 0 | | | | | |
| 30. Retirement Plan <input type="checkbox"/> | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule <input type="checkbox"/> F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied <input type="checkbox"/> 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category <input type="checkbox"/> E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT X | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170709. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: NONE (b) (6) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. (b) (6) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171226549 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/11/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--------------|--------------------------------------|------------------|--|---------------|---|-----------------------|------------------------------------|------------------------|---|---------------------|
| 1. Name (Last, First, Middle) FORSGREN JR., DENNIS L | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/18/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number DEPUTY ASSISTANT ADMIN J0000000 0117046 | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | |
| | | | | | | | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR WATER WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. REGULARITY (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 06-19-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170949658 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/24/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|--------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|--|--|--|
| 1. Name (Last, First, Middle) FOTOUHI, DAVID | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/26/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY GENERAL COUNSEL C0000000 0017021 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0905 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 161900 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 127864 | | 12B. Locality Adj. 34036 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF GENERAL COUNSEL WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTE CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170633325 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/27/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|---|--|-----------------------------|--|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) FOTOUHI, DAVID | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/16/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEPUTY GENERAL COUNSEL C0000000 0017021 | | | | | 15. TO: Position Title and Number DEPUTY GENERAL COUNSEL C0000000 0007005 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0905 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0905 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 172100 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 127864 | | 12B. Locality Adj. 34036 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 172100 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 172100 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF GENERAL COUNSEL WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF GENERAL COUNSEL WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. EEOGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170416. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY COVERED TO CAREER-CONDITIONAL OR CAREER APPT. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170804036 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/24/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|--|--|-------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|--|--|--|--|
| 1. Name (Last, First, Middle) FREIRE, JOHN PETER | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/24/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number ASSOC ADMIN FOR PUBLIC AFFAIRS A0G00000 0004001 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 140000 | | 13. Pay Basis PA | | | | | |
| 12A. Basic Pay 140000 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 140000 | | 12D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED CREDITABLE MILITARY SERVICE (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170656553 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/21/2017 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--------------------------------------|---|--|---|------------------------|-----------------------------|---|---------------------------------|--|--------------------------|------------------------------|---------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) FRYE, ROBERT A | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/23/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPECIAL ASST TO THE DEP ASSOC ADMIN FOR CONGRESSIONAL AFFAIRS A0F00000 0001177 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 56805 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 44693 | | 20B. Locality Adj. 12112 | | 20C. Adj. Basic Pay 56805 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 07-24-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. FROZEN SERVICE NONE THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171190379 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/05/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|---|--|--------------------------------------|--|--|--|------------------------------------|--|-----------------------------|--|--|--|-----------------------|--|------------------------------------|--|--|--|---------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) FRYE, ROBERT A | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/24/2018 | | | | | | | | | | | | | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | | | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.3325 | | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | | | | | | | |
| 7. FROM: Position Title and Number SPECIAL ASST TO THE DEP ASSOC ADMIN FOR CONGRESSIONAL AFFAIRS A0FB0000 0001177 | | | | | | 15. TO: Position Title and Number SPEC ADV TO THE ASSOC ADMIN FOR OCIR A0F00000 GS18019 | | | | | | | | | | | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | | 10. Grade or Level 00 | | 11. Step or Rate 00 | | 12. Total Salary 58108 | | 13. Pay Basis PA | | 16. Pay Plan GS | | 17. Occ. Code 0301 | | 18. Grade or Level 11 | | 19. Step or Rate 01 | | 20. Total Salary/Award 68036 | | 21. Pay Basis PA | |
| 12A. Basic Pay 45319 | | 12B. Locality Adj. 12789 | | 12C. Adj. Basic Pay 58108 | | 12D. Other Pay 0 | | 20A. Basic Pay 53062 | | 20B. Locality Adj. 14974 | | 20C. Adj. Basic Pay 68036 | | 20D. Other Pay 0 | | | | | | | | | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| (b) (6) | | | | | | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | | | 36. Appropriation Code | | | | | | 37. Bargaining Unit Status 8888 | | | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | | | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A TERM, CAREER OR CAREER- CONDITIONAL APPOINTMENT IN THE COMPETITIVE SERVICE. APPOINTMENT IS INDEFINITE. HEALTH BENEFITS COVERAGE CONTINUES. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. | | | | | | | | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | | | | | 50. Signature/Authentication and Title of Approving Official 181157895 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | | | 49. Approval Date 06/24/2018 | | | | | | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|---|--|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) GLENN III, ONIS | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 08/29/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority 08-29-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number REGIONAL ADMINISTRATOR T0000000 0017070 | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR T0000000 0000128 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization REGION 4 ATLANTA,GEORGIA | | | | | | 22. Name and Location of Position's Organization REGION 4 ATLANTA,GEORGIA | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) None 3 - 10-Point/Disability 5 - 10-Point/Other 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 13-0280-089 | | | | 39. Duty Station (City - County - State or Overseas Location) ATLANTA,DE KALB,GEORGIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170827. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 6 SENATE CONFIRMATION | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171624144 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/31/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|--|--------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|---------------------------------|--|--|--|
| 1. Name (Last, First, Middle) GLENN III, ONIS | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 08/28/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR T0000000 0017070 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 161900 | | 13. Pay Basis PA | | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization REGION 4 ATLANTA,GEORGIA | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 13-0280-089 | | | 39. Duty Station (City - County - State or Overseas Location) ATLANTA,DE KALB,GEORGIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 08-28-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED T CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 6 SENATE CONFIRMATION | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171445839 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/08/2017 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|--|--|--|---|--|---|----------------------------|------------------------------------|--|---|--|--|--|
| 1. Name (Last, First, Middle) GORDON JR., STEPHEN LAURENCE | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 09/17/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY DIRECTOR FOR PUBLIC ENGAGEMENT A0H00000 0017072 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 85035 | | 13. Pay Basis PA | | | | | |
| 12A. Basic Pay 66904 | | 12B. Locality Adj. 18131 | | 12C. Adj. Basic Pay 85035 | | 12D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFC OF PUBLIC ENGAGMNT & ENVRNMNTL EDUC WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 09/18/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171617072 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/30/2017 | | | | | | | | |

5-Part 50-316 2 - OPF Copy - Long-Term Record - DO NOT DESTROY EPA-17-0558-B-000202 Not Usable After 6/30/93
NSN 7540-01-333-6238

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | |
|---|--|---|--------------------|---|---|--|--|--|--|---|-------------------------------|--|----------------------------|----------------------------|
| 1. Name (Last, First, Middle) CORY, KATHERINE PRESTON | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/18/2017 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3311 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPEC ASST TO THE SENIOR DEP ASSOC ADMIN FOR INTERGOVERNMENTAL RELATIONS A0FB0000 0017019 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 07 | 19. Step or Rate 01 | 20. Total Salary/Award 44941 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 35359 | | 20B. Locality Adj. 9582 | | 20C. Adj. Basic Pay 44941 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | |
| 45. Remarks (b) (6) | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 170878399 / ELECTRONICALLY SIGNED BY: | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/10/2017 | | 51. Signature/Authentication and Title of Approving Official BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|--|--|-----------------------------|------------------------------------|---|--|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) GREAVES, HOLLY W | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/15/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZNM | | 5-D. Legal Authority LAW E.O. AUTH PRES APPT | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR BUDGETS AND AUDITS F0000000 0017016 | | | | | 15. TO: Position Title and Number CHIEF FINANCIAL OFFICER F0000000 EX05050 | | | | | | | | | | |
| 8. Pay Plan ES | 9. Occ. Code 0505 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 179700 | 13. Pay Basis PA | 16. Pay Plan EX | 17. Occ. Code 0505 | 18. Grade or Level 04 | 19. Step or Rate 00 | 20. Total Salary/Award 155500 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 179700 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 179700 | | 12D. Other Pay 0 | | 20A. Basic Pay 155500 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 155500 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE CHIEF FINANCIAL OFFICER WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE CHIEF FINANCIAL OFFICER WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI [Redacted] (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant T | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 03-15-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THIS POSITION IS DESIGNATED FOR DRUG TESTING 6 SENATE CONFIRMATION THE PAY RATE OF AN EMPLOYEE OCCUPYING A POSITION SUBJECT TO THE PAY FREEZE FOR CERTAIN SENIOR POLITICAL OFFICIALS SHALL BE BASED ON THE RATE OF PAY AND APPLICABLE PAY LIMITATIONS IN EFFECT ON DECEMBER 31, 2013 HEALTH BENEFITS COVERAGE CONTINUES. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180575703 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/15/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|--|--|-----------------------------|--|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) GREAVES, HOLLY W | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/09/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR A0000000 0017007 | | | | | 15. TO: Position Title and Number SPEC ADVISOR TO THE CHIEF FIN OFC FOR BUDGETS AND AUDITS F0000000 0017014 | | | | | | | | | | |
| 8. Pay Plan ES | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 179700 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0505 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 179700 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 179700 | | 12D. Other Pay 0 | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE CHIEF FINANCIAL OFFICER WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170121. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170746294 / ELECTRONICALLY SIGNED BY: | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/11/2017 | | SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|---|--|--|--|---|--|--|--|---|--|
| 1. Name (Last, First, Middle) GREAVES, HOLLY W | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/21/2017 | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number SPEC ADVISOR TO THE CHIEF FIN OFC FOR BUDGETS AND AUDITS F0000000 0017014 | | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR BUDGETS AND AUDITS F0000000 0017016 | | | | | |
| 8. Pay Plan ES | | 9. Occ. Code 0505 | | 10. Grade or Level 00 | | 11. Step or Rate 00 | | 12. Total Salary 179700 | | 13. Pay Basis PA | |
| 16. Pay Plan ES | | 17. Occ. Code 0505 | | 18. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 179700 | | 21. Pay Basis PA | |
| 12A. Basic Pay 179700 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 179700 | | 12D. Other Pay 0 | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | |
| | | | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization OFFICE OF THE CHIEF FINANCIAL OFFICER WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE CHIEF FINANCIAL OFFICER WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170121. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170948632 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/24/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|--|--|-----------------------------|------------------------------------|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) GREENWALT, SARAH A | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/01/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR WATER & CROSS CUTTING INITIATIVES A0000000 0017026 | | | | | 15. TO: Position Title and Number SENIOR COUNSEL TO THE ADMINISTRATOR A0000000 AD09050 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 13 | 11. Step or Rate 05 | 12. Total Salary 109900 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0905 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 164200 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 85712 | | 12B. Locality Adj. 24188 | | 12C. Adj. Basic Pay 109900 | | 12D. Other Pay 0 | | 20A. Basic Pay 136659 | | 20B. Locality Adj. 27541 | | 20C. Adj. Basic Pay 164200 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. HEALTH BENEFITS COVERAGE CONTINUES. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A CAREER-CONDITIONAL OR CAREER APPOINTMENT. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180569123 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/01/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|---------------------------------|--|---------------------|--|------------------------------------|---------------------------------|----------------------------------|---|--|--|---------------------|--|
| 1. Name (Last, First, Middle) GREENWALT, SARAH A | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/10/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR COUNSEL TO THE ADMINISTRATOR A0000000 AD09050 | | | | | 15. TO: Position Title and Number ATTORNEY-ADVISER TO THE ADMINISTRATOR A0000000 AD09051 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0905 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 164200 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0905 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 109900 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 136659 | | 12B. Locality Adj. 27541 | | 12C. Adj. Basic Pay 164200 | | 12D. Other Pay 0 | | 20A. Basic Pay 85712 | | 20B. Locality Adj. 24188 | | 20C. Adj. Basic Pay 109900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A CAREER-CONDITIONAL OR CAREER APPOINTMENT. HEALTH BENEFITS COVERAGE CONTINUES. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 180714490 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/10/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) GREENWALT, SARAH A | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/09/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3321 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR A0000000 0017022 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR WATER & CROSS CUTTING INITIATIVES A0000000 0017026 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 97956 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 13 | 19. Step or Rate 05 | 20. Total Salary/Award 107435 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 97956 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 97956 | | 12D. Other Pay 0 | | 20A. Basic Pay 84528 | | 20B. Locality Adj. 22907 | | 20C. Adj. Basic Pay 107435 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171236831 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/12/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|---|--|-----------------------|--|
| 1. Name (Last, First, Middle) GULLIFORD, JAMES B. | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/22/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority 1652 DATED 09/13/2017 | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR-REGION 7 W0000000 0000020 | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | | 10. Grade or Level | | 11. Step or Rate | | 12. Total Salary | | 13. Pay Basis | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 16. Pay Plan ES | | 17. Occ. Code 0340 | |
| 18. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 179700 | | 21. Pay Basis PA | | | | | |
| 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | 22. Name and Location of Position's Organization REGION 7 LENEXA, KANSAS | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 20-3080-091 | | | | 39. Duty Station (City - County - State or Overseas Location) LENEXA, JOHNSON, KANSAS | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY CRITICAL-SENSITIVE | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20171022. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED ?????? THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER TO BE NONCOMPETITIVELY CONVERTED TO | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171754938 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 09/20/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|---|--|-----------------------------|------------------------------------|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) GUNASEKARA, AMANDA M | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/29/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR POLICY ADVISOR TO THE ADMIN OAR A0000000 0170070 | | | | | 15. TO: Position Title and Number PRINCIPAL DEPUTY ASST ADMIN OAR L0000000 0018003 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 158123 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0000 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 124408 | | 12B. Locality Adj. 33715 | | 12C. Adj. Basic Pay 158123 | | 12D. Other Pay 0 | | 20A. Basic Pay 131320 | | 20B. Locality Adj. 30580 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR AIR & RADIATION WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code 2017 2018 B 11A ZZZME8 | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 172004223 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/01/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|--------------|--|---|--|--|------------------------|-----------------------|---|---------------------------------|--|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) HANSON, CATHERINE PAIGE | | | | | 2. Social Security Number (b) (6) | | (b) (6) | | 4. Effective Date 11/26/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C 213.3324, SCH | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPECIAL ADVISOR FOR BUDGETS AND AUDITS F0000000 0018007 | | | | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan GS | 17. Occ. Code 0501 | 18. Grade or Level 15 | 19. Step or Rate 06 | 20. Total Salary/Award 153730 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 120952 | | 20B. Locality Adj. 32778 | | 20C. Adj. Basic Pay 153730 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE CHIEF FINANCIAL OFFICER WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan K FERS & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 11/27/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. FROZEN SERVICE NONE POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVE CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172054605 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/27/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|---|--|--|------------------------|-----------------------------|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|--|
| 1. Name (Last, First, Middle) HARLOW, DAVID STUART | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/01/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 09-14-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SENIOR COUNSEL TO THE ASSISTANT ADMINISTRATOR FOR AIR AND RADIATION L0000000 0017028 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0905 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR AIR & RADIATION WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20171001. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED APPOINTMENT AFFIDAVIT EXECUTED 10/02/17. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171681310 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/02/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|-------------------------------------|--------------------|--|--|----------------------------------|-----------------------------|---|---------------------------------|---|--------------------------|------------------------------|---------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) HENDERSON, AUSTIN BRADY | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/07/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPEC ASST FOR SCHEDULING AND ADVANCE A0000000 0017035 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 54972 | | 21. Pay Basis PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 43251 | | 20B. Locality Adj. 11721 | | 20C. Adj. Basic Pay 54972 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 04 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05/08/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 FROZEN SERVICE NONE THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | 50. Signature/Authentication and Title of Approving Official 170820940 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/04/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|--|-------------------------------------|---|--|---|------------------------|-----------------------------|---|------------------------------------|--|--|--|
| 1. Name (Last, First, Middle) HEWITT, JAMES O | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/02/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPECIAL ADVISOR FOR PUBLIC AFFAIRS A0G00000 0017047 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 115755 | | 13. Pay Basis PA | | | | | |
| 12A. Basic Pay 91074 | | 12B. Locality Adj. 24681 | | 12C. Adj. Basic Pay 115755 | | 12D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. UC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED ?????? CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170994654 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/02/2017 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|---|------------------------|--|--|--|-----------------------------|--|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) HEWITT, JAMES O | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 08/13/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SPECIAL ADVISOR FOR PUBLIC AFFAIRS A0G00000 0017047 | | | | | 15. TO: Position Title and Number PRESS SECRETARY A0G00000 017055B | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 115755 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 115755 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 91074 | | 12B. Locality Adj. 24681 | | 12C. Adj. Basic Pay 115755 | | 12D. Other Pay 0 | | 20A. Basic Pay 91074 | | 20B. Locality Adj. 24681 | | 20C. Adj. Basic Pay 115755 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITELY | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171600176 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/28/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|--------------------|--|---|----------------------------------|--|--|---------------------------------|---|---|----------------------------------|---------------------|---------------------|--|
| 1. Name (Last, First, Middle) HLADICK, CHRISTOPHER WILLIAM | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/03/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 09/28/17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR REGION 10 Z0000000 0000226 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF REGIONAL ADMINISTRATOR R-10 SEATTLE, WASHINGTON | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None (b) (6) - 5-Point | | | | | 3 - 10-Point/Disability 4 - 10-Point/Compensable | | | 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | 24. Tenure 0 - None 1 - Permanent | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 53-1960-033 | | | | 39. Duty Station (City - County - State or Overseas Location) SEATTLE, KING, WASHINGTON | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 14 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20171203. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED APPOINTMENT AFFIDAVIT EXECUTED ??????? THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 171834587 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/29/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | |
|--|--|---|--------------------------|---|--|----------------------------------|-----------------------------|--|---------------------------------|---|-----------------------|------------------------------------|------------------------|--|--|---------------------|
| 1. Name (Last, First, Middle) HUPP, MILLAN | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/01/2018 | | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | |
| 7. FROM: Position Title and Number DIRECTOR OF SCHEDULING AND ADVANCE A0000000 0017025 | | | | | 15. TO: Position Title and Number DIR OF SCHEDULING & ADVANCE A0000000 AD03010 | | | | | | | | | | | |
| 8. Pay Plan GS | | 9. Occ. Code 0301 | 10. Grade or Level 11 | 11. Step or Rate 10 | 12. Total Salary 88450 | | 13. Pay Basis PA | | 16. Pay Plan AD | | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 114590 | | 21. Pay Basis PA |
| 12A. Basic Pay 68983 | | 12B. Locality Adj. 19467 | | 12C. Adj. Basic Pay 88450 | | 12D. Other Pay 0 | | 20A. Basic Pay 89370 | | 20B. Locality Adj. 25220 | | 20C. Adj. Basic Pay 114590 | | 20D. Other Pay 0 | | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. DUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A CAREER-CONDITIONAL OR CAREER APPOINTMENT. HEALTH BENEFITS COVERAGE CONTINUES. | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | 50. Signature/Authentication and Title of Approving Official 180569043 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | | 49. Approval Date 04/01/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|--|------------------------|--|---|---|-----------------------------|--|---|------------------------------------|--|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) HUPP, MILLAN | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/09/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3322 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEP DIR OF SCHEDULING AND ADVANCE A0000000 0017018 | | | | | 15. TO: Position Title and Number DIRECTOR OF SCHEDULING AND ADVANCE A0000000 0017025 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 66510 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 11 | 19. Step or Rate 10 | 20. Total Salary/Award 86460 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 52329 | | 12B. Locality Adj. 14181 | | 12C. Adj. Basic Pay 66510 | | 12D. Other Pay 0 | | 20A. Basic Pay 68025 | | 20B. Locality Adj. 18435 | | 20C. Adj. Basic Pay 86460 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171259751 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/13/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|--|--|---|------------------------------------|---------------------------------|---|--|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) HUPP, MILLAN | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/10/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DIR OF SCHEDULING & ADVANCE A0000000 AD03010 | | | | | 15. TO: Position Title and Number DIR OF SCHEDULING & ADVANCE A0000000 AD03011 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 114590 | 13. Pay Basis PA | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 88450 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 89370 | | 12B. Locality Adj. 25220 | | 12C. Adj. Basic Pay 114590 | | 12D. Other Pay 0 | | 20A. Basic Pay 68983 | | 20B. Locality Adj. 19467 | | 20C. Adj. Basic Pay 88450 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A CAREER-CONDITIONAL OR CAREER APPOINTMENT. HEALTH BENEFITS COVERAGE CONTINUES. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180714539 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/10/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | | |
|--|--|---|--------------------------|--|---------------------------|---|---------------------|---------------------------------|---|------------------------------------|--|------------------------------|------------------------|---------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) HUPP, SYDNEY MORGAN | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/11/2017 | | | | | | | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | |
| 7. FROM: Position Title and Number SPECIAL ASST. TO THE CHIEF OF STAFF A0000000 0000011 | | | | | | 15. TO: Position Title and Number EXECUTIVE SCHEDULER FOR THE OFFICE OF THE ADMINISTRATOR A0000000 0217044 | | | | | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 66510 | | 13. Pay Basis PA | | 16. Pay Plan AD | | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 66510 | | 21. Pay Basis PA | |
| 12A. Basic Pay 52329 | | 12B. Locality Adj. 14181 | | 12C. Adj. Basic Pay 66510 | | 12D. Other Pay 0 | | 20A. Basic Pay 52329 | | 20B. Locality Adj. 14181 | | 20C. Adj. Basic Pay 66510 | | 20D. Other Pay 0 | | | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY | | | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED | | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171017082 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/08/2017 | | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|--------------------|--|--|---------------------------------------|-----------------------------|------------------------------------|---------------------------------|---|--------------------------|-------------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) IDSAL, ANNE LEGENDRE | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/17/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority NONCAREER & OPM FORM 1652 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR V0000000 0000141 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | | 21. Pay Basis PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 6 DALLAS, TEXAS | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 48-1730-113 | | | | 39. Duty Station (City - County - State or Overseas Location) DALLAS, DALLAS, TEXAS | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20171217. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED APPOINTMENT AFFIDAVIT EXECUTED 121817 THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172325171 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/06/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|--------------------------------------|---|--|--|------------------------|-----------------------------|------------------------------------|---------------------------------|---|--------------------------|-------------------------------|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) KELLY, ALBERT C | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/23/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR A0000000 0017032 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 04-24-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170613947 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/24/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|--|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) KELLY, ALBERT C | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/04/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 5/23/17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR A0000000 0017032 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR A0000000 0017017 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 172100 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 172100 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 172100 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None 3 - 10-Point/Disability 5 - 10-Point/Other 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170604. FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170999736 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/05/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|--|---|-----------------------------|--------------------------------------|--|---|--|---------------------------------|---------------------|---------------------|--|---|--|-----------------------|-----------------------------|------------------------------------|--|---|--|----------------------------------|---------------------|---------------------|--|--|--|--|--|
| 1. Name (Last, First, Middle) KONKUS, JOHN A. | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/24/2017 | | | | | | | | | | | | | | | | | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | | | | | | | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | | | | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C 213.3319 | | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | | | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | | | | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR A0000000 0000020 | | | | | | 15. TO: Position Title and Number DEPUTY ASSOCIATE ADMINISTRATOR FOR PUBLIC AFFAIRS A0G00000 0017014 | | | | | | | | | | | | | | | | | | | | | |
| 8. Pay Plan GS | | 9. Occ. Code 0301 | | 10. Grade or Level 15 | | 11. Step or Rate 06 | | 12. Total Salary 153730 | | 13. Pay Basis PA | | 16. Pay Plan GS | | 17. Occ. Code 0301 | | 18. Grade or Level 15 | | 19. Step or Rate 04 | | 20. Total Salary/Award 144945 | | 21. Pay Basis PA | | | | | |
| 12A. Basic Pay 120952 | | | 12B. Locality Adj. 32778 | | | 12C. Adj. Basic Pay 153730 | | | 12D. Other Pay 0 | | | 20A. Basic Pay 114040 | | | 20B. Locality Adj. 30905 | | | 20C. Adj. Basic Pay 144945 | | | 20D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | | | | | |
| 27. FEGLI (b) (6) | | | | | | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | | 29. Pay Rate Determinant 0 | | | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | | | 31. Service Comp. Date (Leave) (b) (6) | | | | | | 32. Work Schedule F FULL-TIME | | | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | | | | | 36. Appropriation Code | | | | | | 37. Bargaining Unit Status 8888 | | | | | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | | | 41. VET STAT (b) (6) | | | | 42. EDUC LVL 13 | | | | 43. SUPV STAT 2 | | | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | | | | | 50. Signature/Authentication and Title of Approving Official 170947274 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | | | | | | |
| 47. Agency Code EP00 | | | | 48. Personnel Office ID 3216 | | | | 49. Approval Date 05/24/2017 | | | | | | | | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|--|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) KONKUS, JOHN A. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 08/20/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3318 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEPUTY ASSOCIATE ADMINISTRATOR FOR PUBLIC AFFAIRS A0G00000 0017014 | | | | | 15. TO: Position Title and Number DEPUTY ASSOCIATE ADMINISTRATOR FOR STATE AND REGIONAL AFFAIRS A0G00000 0017031 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 04 | 12. Total Salary 144945 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 04 | 20. Total Salary/Award 144945 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 114040 | | 12B. Locality Adj. 30905 | | 12C. Adj. Basic Pay 144945 | | 12D. Other Pay 0 | | 20A. Basic Pay 114040 | | 20B. Locality Adj. 30905 | | 20C. Adj. Basic Pay 144945 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171491530 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/15/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|--|--------------------|---|---|----------------------------------|--|--|---|--|--------------------------|------------------------------|---------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) KOPEC, SLAWOMIR | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/17/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C. 213.3322 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPECIAL ASSISTANT TO THE REGIONAL ADMINISTRATOR R0000000 GS18008 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 09 | 19. Step or Rate 06 | 20. Total Salary/Award 66215 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 50461 | | 20B. Locality Adj. 15754 | | 20C. Adj. Basic Pay 66215 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 2 NEW YORK, NEW YORK | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 36-4170-061 | | | | 39. Duty Station (City - County - State or Overseas Location) NEW YORK, NEW YORK, NEW YORK | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 04 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 12182017 CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 172257684 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/29/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|--|--|---|---|--|--|--|-----------------------------|------------------------------------|---|--|------------------------|---------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) KUNDINGER, KELLY | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 11/19/2017 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C 213.3318 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY DIR FOR SCHEDULING AND ADVANCE A0000000 0118002 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 11 | 19. Step or Rate 03 | 20. Total Salary/Award 70943 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 55817 | | 20B. Locality Adj. 15126 | | 20C. Adj. Basic Pay 70943 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 11/20/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171970811 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/20/2017 | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|--|---|---|--|--|------------------------|-----------------------------|---|---------------------------------|--|--------------------------|-------------------------------|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) LEOPOLD, MATTHEW ZANE | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 01/07/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZNM | | 5-D. Legal Authority LAW E.O., AUTH PRES APPT. | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number GENERAL COUNSEL C0000000 PAS0002 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan EX | 17. Occ. Code 0905 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 155500 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 155500 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 155500 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF GENERAL COUNSEL WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| Preference (b) (6) None 3 - 10-Point/Disability 5 - 10-Point/Other 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | T | | | | | |
| 30. Retirement Plan K FERS & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ????? . CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. FROZEN SERVICE NONE TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. THIS POSITION IS DESIGNATED FOR DRUG TESTING. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172458556 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/22/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--|---|--|----------------------------------|--|---|--|---------------------|--|
| 1. Name (Last, First, Middle) LETENDRE, DAISY CHASTAIN | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/25/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.,L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number SENIOR ADVISOR FOR POLICY AND STRATEGIC COMMUNICATION AA000000 0017043 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | | 18. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 97956 | | 21. Pay Basis PA | |
| 12A. Basic Pay 77070 | | 12B. Locality Adj. 20886 | | 12C. Adj. Basic Pay 97956 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR OFFICE OF POLICY WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 06/25/17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | 50. Signature/Authentication and Title of Approving Official 170861258 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/05/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--------------|-------------------------------------|------------------|---|---------------|--|---------------|------------------------------------|------------------|---|---------------|---------------------|--|----------------|--|
| 1. Name (Last, First, Middle) LINDLEY, EMILY K. | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/15/2018 | | | | | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number CHIEF OF STAFF TO THE REGION 6 ADMIN V0000000 A030114 | | | | | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan | 17. Occ. Code | 18. Grade or Level | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis | | | | |
| | | | | | | AD | 0301 | 00 | 00 | 124987 | PA | | | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay | |
| | | | | | | | | 101286 | | 23701 | | 124987 | | 0 | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 6 DALLAS, TEXAS | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other (b) (6) 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 48-1730-113 | | | | 39. Duty Station (City - County - State or Overseas Location) DALLAS, DALLAS, TEXAS | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 04-16-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A CAREER-CONDITIONAL OR CAREER APPOINTMENT. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180703661 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/15/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|--|---|---|--|------------------------|-----------------------------|---|---------------------------------|--|--------------------------|-------------------------------|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) LOPEZ, PETER DENTON | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/08/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 09-22-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR R0000000 0000011 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization REGION 2 NEW YORK, NEW YORK | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 36-4170-061 | | | | 39. Duty Station (City - County - State or Overseas Location) NEW YORK, NEW YORK, NEW YORK | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20171008. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: ERERED APPOINTMENT AFFIDAVIT EXECUTED ?????? . THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE 0000 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171785183 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 09/22/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|--|-------------------------------------|--|--|--|----------------------------------|--|---|--|---------------------|--|
| 1. Name (Last, First, Middle) LYON, CHRISTOPHER MARK | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/17/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number CHIEF OF STAFF TO THE REGION 2 ADMINISTRATOR R0000000 AD18007 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0000 | | 10. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 115652 | | 21. Pay Basis PA | |
| 12A. Basic Pay 88136 | | 12B. Locality Adj. 27516 | | 12C. Adj. Basic Pay 115652 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 2 NEW YORK, NEW YORK | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 36-4170-061 | | | | 39. Duty Station (City - County - State or Overseas Location) NEW YORK, NEW YORK, NEW YORK | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 14 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 12182017. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | 50. Signature/Authentication and Title of Approving Official 172340556 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/18/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|--|--|---|--|--|------------------------|--|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|--|
| 1. Name (Last, First, Middle) LYONS, TROY M | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/02/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority P.L. 95-190 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number ASSOCIATE ADMINISTRATOR FOR OCIR A0000000 0000956 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 163170 | 21. Pay Basis PA | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 163170 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 163170 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite 0 | | | 25. Agency Use | | 26. Veterans Preference for RIE (b) (6) | | | | | |
| 27. EGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. EDUC LVL 14 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170402. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: APPOINTMENT AFFIDAVIT EXECUTED ?????? . THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | 50. Signature/Authentication and Title of Approving Official 170632049 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/04/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|--|--|-------------------------------------|---|--|--|------------------------|-----------------------------|---|------------------------------------|--|--|
| 1. Name (Last, First, Middle) MCDONOUGH, OWEN T. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/01/2018 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SCI ADV TO THE ASSIST ADMIN FOR WATER J0000000 AD04010 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0401 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 152760 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 119139 | | 12B. Locality Adj. 33621 | | 12C. Adj. Basic Pay 152760 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR FOR WATER WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 32 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 21 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 04-02-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. OPF MAINTAINED BY U.S. EPA, HRMD, MD-C639-02, RTP, NC 27711 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180569021 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/01/2018 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|-------------------------------------|---|--|---|------------------------|-----------------------------|---|------------------------------------|--|--|
| 1. Name (Last, First, Middle) MCMURRAY, FORREST W | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/07/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPEC ASST FOR SCHEDULING AND ADVANCE A0000000 0170320 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 44941 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 35359 | | 12B. Locality Adj. 9582 | | 12C. Adj. Basic Pay 44941 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTE CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170791017 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/04/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|----------------------|--|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) MCMURRAY, FORREST W | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/16/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C,213.3324 AGEN | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SPEC ASST FOR SCHEDULING AND ADVANCE A0000000 0170320 | | | | | 15. TO: Position Title and Number SPEC ASST FOR SCHEDULING AND ADVANCE A0000000 0017027 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 44941 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 07 | 19. Step or Rate 01 | 20. Total Salary/Award 44941 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 35359 | | 12B. Locality Adj. 9582 | | 12C. Adj. Basic Pay 44941 | | 12D. Other Pay 0 | | 20A. Basic Pay 35359 | | 20B. Locality Adj. 9582 | | 20C. Adj. Basic Pay 44941 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. FROZEN SERVICE NONE APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171316319 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/20/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|--|--|---|--------------------------|--|---|----------------------------------|-----------------------------|--|---------------------------------|---|-----------------------|-------------------------------|--|---------------------------------|--|---------------------|
| 1. Name (Last, First, Middle) MCMURRAY, FORREST W | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 02/18/2018 | | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | |
| 7. FROM: Position Title and Number SPEC ASST FOR SCHEDULING AND ADVANCE A0000000 0017027 | | | | | 15. TO: Position Title and Number SENIOR ADVANCE ASSOCIATE A0000000 AD18011 | | | | | | | | | | | |
| 8. Pay Plan GS | | 9. Occ. Code 0301 | 10. Grade or Level 07 | 11. Step or Rate 01 | 12. Total Salary 45972 | | 13. Pay Basis PA | | 16. Pay Plan AD | | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 56233 | | 21. Pay Basis PA |
| 12A. Basic Pay 35854 | | 12B. Locality Adj. 10118 | | 12C. Adj. Basic Pay 45972 | | 12D. Other Pay 0 | | 20A. Basic Pay 43857 | | 20B. Locality Adj. 12376 | | 20C. Adj. Basic Pay 56233 | | 20D. Other Pay 0 | | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None (b) (6) - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. OPF MAINTAINED BY U.S. EPA, HRMD, MD C639-02, RTP, NC 27711 THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | 50. Signature/Authentication and Title of Approving Official 180483764 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 02/18/2018 | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|-------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|--|--|--|
| 1. Name (Last, First, Middle) MOLINA, MICHAEL D. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/13/2018 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SENIOR ADV TO THE DEPUTY ADMIN A0000000 AD03010 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 118410 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 92349 | | 12B. Locality Adj. 26061 | | 12C. Adj. Basic Pay 118410 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan K FERS & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05-14-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 FROZEN SERVICE NONE APPOINTMENT IS INDEFINITE. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A TERM, CAREER OR CAREER- CONDITIONAL APPOINTMENT IN THE COMPETITIVE SERVICE. | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180808822 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/13/2018 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|-------------------------------------|---|--|---|------------------------|-----------------------------|---|---------------------------------|--|--------------------------|------------------------------|---------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) MORRIS, MADELINE G | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/18/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number EXECUTIVE SCHEDULER FOR THE OFFICE OF THE ADMINISTRATOR A0000000 0017044 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 90350 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 71086 | | 20B. Locality Adj. 19264 | | 20C. Adj. Basic Pay 90350 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED ?????? CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171016828 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/08/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|--|------------------------|--|--|--|-----------------------------|------------------------------------|---|------------------------------------|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) MUNOZ, CHARLES D. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/02/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C. 213.3318 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number WHITE HOUSE LIAISON A0000000 0017012 | | | | | 15. TO: Position Title and Number WHITE HOUSE LIAISON A0000000 0009008 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0000 | 10. Grade or Level 15 | 11. Step or Rate 10 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 06 | 20. Total Salary/Award 153730 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 120952 | | 20B. Locality Adj. 32778 | | 20C. Adj. Basic Pay 153730 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170748766 / ELECTRONICALLY SIGNED BY: | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/12/2017 | | SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|---|---|--|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) MUNOZ, CHARLES D. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/27/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.3325 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number WHITE HOUSE LIAISON A0000000 0009008 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE REGIONAL ADMIN Y0000000 GS18020 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 06 | 12. Total Salary 157253 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 159194 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 122643 | | 12B. Locality Adj. 34610 | | 12C. Adj. Basic Pay 157253 | | 12D. Other Pay 0 | | 20A. Basic Pay 136659 | | 20B. Locality Adj. 22535 | | 20C. Adj. Basic Pay 159194 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 9 SAN FRANCISCO,CALIFORNIA | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. EGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 32-0120-003 | | | | 39. Duty Station (City - County - State or Overseas Location) LAS VEGAS,CLARK,NEVADA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A TERM, CAREER OR CAREER- CONDITIONAL APPOINTMENT IN THE COMPETITIVE SERVICE. APPOINTMENT IS INDEFINITE. HEALTH BENEFITS COVERAGE CONTINUES. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180882641 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/27/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--------------|--|------------------|---|--|--|------------------------------------|------------------------------------|---|---|----------------------------|--------------------------------------|--|----------------------------|--|
| 1. Name (Last, First, Middle) PALICH, CHRISTIAN ROBERT | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/30/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEP ASSOC ADMIN FOR CONGRESSIONAL REL A0FB0000 0017031 | | | | | | | | | | |
| 8. Pay Plan | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | 13. Pay Basis | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 136160 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 107128 | | 20B. Locality Adj. 29032 | | 20C. Adj. Basic Pay 136160 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 05/1/17 . CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170790495 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/20/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | | | | | | | | |
|--|--|-------------------------------------|-----------------------------|--------------------------------------|--|--|--|--|---------------------|---------------------|--|--|--|------------------------------------|-----------------------------|--------------------------|--|---|--|----------------------------------|---------------------|---------------------|--|
| 1. Name (Last, First, Middle) PALICH, CHRISTIAN ROBERT | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/14/2017 | | | | | | | | | | | | | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | | | | | | | | | | | | | |
| 5-A. Code 002 | | 5-B. Nature of Action CORRECTION | | | | 6-A. Code 570 | | 6-B. Nature of Action CONV TO EXC APPT | | | | | | | | | | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | | 6-C. Code Y7M | | 6-D. Legal Authority SCH C, 213.33SCH C, 213.3316 AGE | | | | | | | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | | | | | | | |
| 7. FROM: Position Title and Number DEP ASSOC ADMIN FOR CONGRESSIONAL REL A0FB0000 0017031 | | | | | | 15. TO: Position Title and Number DEP ASSOC ADMIN FOR CONGRESSIONAL REL A0FB0000 0017015 | | | | | | | | | | | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | | 10. Grade or Level 00 | | 11. Step or Rate 00 | | 12. Total Salary 136160 | | 13. Pay Basis PA | | 16. Pay Plan GS | | 17. Occ. Code 0301 | | 18. Grade or Level 15 | | 19. Step or Rate 02 | | 20. Total Salary/Award 136160 | | 21. Pay Basis PA | |
| 12A. Basic Pay 107128 | | | 12B. Locality Adj. 29032 | | | 12C. Adj. Basic Pay 136160 | | | 12D. Other Pay 0 | | | 20A. Basic Pay 107128 | | | 20B. Locality Adj. 29032 | | | 20C. Adj. Basic Pay 136160 | | | 20D. Other Pay 0 | | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | | 25. Agency Use | | 26. Veterans Preference for RIF | | | | | |
| 27. FEGLI (b) (6) | | | | | | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | | | 31. Service Comp. Date (Leave) (b) (6) | | | | | | 32. Work Schedule F FULL-TIME | | | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | | | | | 36. Appropriation Code | | | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | | | 41. VET STA (b) (6) | | 42. EDUC LVL 13 | | | | 43. SUPV STAT 2 | | | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | | | |
| 45. Remarks CORRECTS ITEM NUMBER COR FROM ITEM 31 FROM 05/14/17. | | | | | | | | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | | | | | 50. Signature/Authentication and Title of Approving Official 171683996 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | | | 49. Approval Date 09/07/2017 | | | | | | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) RINGEL, AARON E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/04/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3316 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEP ASSOC ADMIN FOR OCIR A0F00000 0017026 | | | | | 15. TO: Position Title and Number DEP ASSOC ADMIN FOR OCIR A0F00000 0017026 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 119489 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 14 | 19. Step or Rate 03 | 20. Total Salary/Award 119489 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 94012 | | 12B. Locality Adj. 25477 | | 12C. Adj. Basic Pay 119489 | | 12D. Other Pay 0 | | 20A. Basic Pay 94012 | | 20B. Locality Adj. 25477 | | 20C. Adj. Basic Pay 119489 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT APPOINTMENT IS INDEFINITE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170990729 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 06/01/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--------------------------|--|--|----------------------------------|-----------------------------|------------------------------------|---|--|--|
| 1. Name (Last, First, Middle) RINGEL, AARON E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/16/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEP ASSOC ADMIN FOR OCIR A0F00000 0017026 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 119489 | | 13. Pay Basis PA | | | | |
| 12A. Basic Pay 94012 | | 12B. Locality Adj. 25477 | | 12C. Adj. Basic Pay 119489 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STA (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 04-17-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170715428 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/04/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|--|--|-------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|--|--|--|--|
| 1. Name (Last, First, Middle) RODRICK, CHRISTIAN W | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/11/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPEC ASST TO THE DEP ADMIN FOR CONGRESSIONAL RELATIONS A0FB0000 0017021 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 62303 | | 13. Pay Basis PA | | | | | |
| 12A. Basic Pay 49019 | | 12B. Locality Adj. 13284 | | 12C. Adj. Basic Pay 62303 | | 12D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 06-12-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170895459 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/15/2017 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|--|--|--------------------------------------|--|--|--|--|--|-----------------------------|--|---|--|--|--|--------------------------|--|---|--|---------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) RODRICK, CHRISTIAN W | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/09/2017 | | | | | | | | | | | | | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | | | | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3320 AGE | | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | | | | | | | |
| 7. FROM: Position Title and Number SPEC ASST TO THE DEP ADMIN FOR CONGRESSIONAL RELATIONS A0FB0000 0017021 | | | | | | 15. TO: Position Title and Number SPEC ASST TO THE DEP ADMIN FOR CONGRESSIONAL RELATIONS A0FB0000 0017021 | | | | | | | | | | | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | | 10. Grade or Level 00 | | 11. Step or Rate 00 | | 12. Total Salary 62303 | | 13. Pay Basis PA | | 16. Pay Plan GS | | 17. Occ. Code 0301 | | 18. Grade or Level 09 | | 19. Step or Rate 05 | | 20. Total Salary/Award 62303 | | 21. Pay Basis PA | |
| 12A. Basic Pay 49019 | | 12B. Locality Adj. 13284 | | 12C. Adj. Basic Pay 62303 | | 12D. Other Pay 0 | | 20A. Basic Pay 49019 | | 20B. Locality Adj. 13284 | | 20C. Adj. Basic Pay 62303 | | 20D. Other Pay 0 | | | | | | | | | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | | 29. Pay Rate Determinant 0 | | | | | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | | | 31. Service Comp. Date (Leave) (b) (6) | | | | | | 32. Work Schedule F FULL-TIME | | | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | | | | | 36. Appropriation Code | | | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | | | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | | | | | 50. Signature/Authentication and Title of Approving Official 171236173 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/12/2017 | | | | | | | | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|---|---|--|--|------------------------|--|---|---------------------------------|--|--------------------------|-------------------------------|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) ROSS, DAVID PATRICK | | | | | 2. [REDACTED] (b) (6) | | 3. Date of Birth [REDACTED] (b) (6) | | 4. Effective Date 01/07/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZNM | | 5-D. Legal Authority LAW E.O. AUTH PRES APPT | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number ASSISTANT ADMINISTRATOR FOR WATER J0000000 PAS0005 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan EX | 17. Occ. Code 0000 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 155500 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 155500 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 155500 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR FOR WATER WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant T | | | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 01/08/18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. THIS POSITION IS SUBJECT TO DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180309065 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 01/17/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--|--|--|----------------------------------|--|---|--|-----------------------------|--|
| 1. Name (Last, First, Middle) SANDS, JEFFREY M | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/15/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR AGRICULTURE POLICY A0000000 0018002 | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | | 10. Grade or Level | | 11. Step or Rate | | 12. Total Salary | | 13. Pay Basis | |
| AD | | 0301 | | 00 | | 00 | | 161900 | | PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | |
| | | | | | | | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ?????? CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | 50. Signature/Authentication and Title of Approving Official 171902242 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/19/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|--|------------------------|--|--|--|-----------------------------|------------------------------------|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) SANDS, JEFFREY M | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/29/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3323 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR AGRICULTURE POLICY A0000000 0018002 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR AGRICULTURE POLICY A0000000 0018001 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 172004379 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/01/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|---|-----------------------------|------------------------------------|---|------------------------------------|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) SCHWAB J., JUSTIN | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/21/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 571 | | 5-B. Nature of Action CONV TO EXC APPT NTE 09-17-17 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y9K | | 5-D. Legal Authority SCH C, 213.3302(A) | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR A0000000 0017003 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR A0000000 0017003 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 10 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170948807 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/24/2017 | | | | | | | | | | | |

5-Part 50-316

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|-------------------------------------|--------------------|--|--|----------------------------------|-----------------------------|--|---------------------------------|---|--------------------------|------------------------------|---------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) SEABAUGH, CATHERINE T. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/27/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPECIAL ASSISTANT FOR SCHEDULING A0000000 A030106 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 41369 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 32264 | | 20B. Locality Adj. 9105 | | 20C. Adj. Basic Pay 41369 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 03-27-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. OPF MAINTAINED BY U.S. EPA, HRMD, MD-C639-02, RTP, NC 27711 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180528427 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3318 | | 49. Approval Date 03/27/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|--|---|-----------------------------|--|---------------------------------|---|---------------------|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) SHIMMIN, KAITLYN E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/16/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3325 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SPECIAL ASSISTANT TO THE OCIR A0F00000 0017027 | | | | | 15. TO: Position Title and Number SPECIAL ASSISTANT TO THE OCIR A0F00000 0017029 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 72648 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 11 | 19. Step or Rate 04 | 20. Total Salary/Award 73160 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 57158 | | 12B. Locality Adj. 15490 | | 12C. Adj. Basic Pay 72648 | | 12D. Other Pay 0 | | 20A. Basic Pay 57561 | | 20B. Locality Adj. 15599 | | 20C. Adj. Basic Pay 73160 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED FROZEN SERVICE NONE POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171308996 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/19/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|--|--------------------------------------|--|---------------------------------|--|---------------------|-----------------------------|---|--|--|--|--|
| 1. Name (Last, First, Middle) SHIMMIN, KAITLYN E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/23/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number SPECIAL ASSISTANT TO THE OCIR A0F00000 0017027 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary/Award 72648 | | 13. Pay Basis PA | | | | | |
| 12A. Basic Pay 57158 | | 12B. Locality Adj. 15490 | | 12C. Adj. Basic Pay 72648 | | 12D. Other Pay 0 | | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | 35. FLSA Category N E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | |
| 45. Remarks FROZEN SERVICE NONE APPOINTMENT AFFIDAVIT EXECUTED 04-23-17 CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170746533 / ELECTRONICALLY SIGNED BY: SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/24/2017 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|---|------------------------|--|--|--|-----------------------------|--|---------------------------------|---|--|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) SHIMMIN, KAITLYN E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/18/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.3325 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SPECIAL ASSISTANT TO THE OCIR A0F00000 0017029 | | | | | 15. TO: Position Title and Number SPECIAL ADVISOR A0F00000 GS18012 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 11 | 11. Step or Rate 04 | 12. Total Salary 74841 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 12 | 19. Step or Rate 01 | 20. Total Salary/Award 81548 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 58369 | | 12B. Locality Adj. 16472 | | 12C. Adj. Basic Pay 74841 | | 12D. Other Pay 0 | | 20A. Basic Pay 63600 | | 20B. Locality Adj. 17948 | | 20C. Adj. Basic Pay 81548 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. HEALTH BENEFITS COVERAGE CONTINUES. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A CAREER-CONDITIONAL OR CAREER APPOINTMENT. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180563407 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/18/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|--|---|--------------------------|---------------------------------|---|-----------------|----------------------------------|--|---------------------------------|---|------------------------------------|------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) SMITH LOVELL, WILLIAM DAVID | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/11/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C 213.3314 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number POLICY ASSISTANT A0000000 0017022 | | | | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 44941 | | 13. Pay Basis PA | | | | | | | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 35359 | | 20B. Locality Adj. 9582 | | 20C. Adj. Basic Pay 44941 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ?????? . CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 170903336 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/16/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--|--|--|----------------------------------|--|---|--|-----------------------------|--|
| 1. Name (Last, First, Middle) STEPP, CATHY L | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 09/03/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number | | | | 15. TO: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR W0000000 0017071 | | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0340 | | 18. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 155788 | | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 21012 | |
| | | | | | | | | 20C. Adj. Basic Pay 155788 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | 22. Name and Location of Position's Organization REGION 7 LENEXA, KANSAS | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 20-3080-091 | | | | 39. Duty Station (City - County - State or Overseas Location) LENEXA, JOHNSON, KANSAS | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 09 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 09-05-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | 50. Signature/Authentication and Title of Approving Official 171608318 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 08/29/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|--|--|-----------------------------|------------------------------------|---------------------------------|---|--|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) STEPP, CATHY L | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/01/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 570 | | 5-B. Nature of Action CONV TO EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3323 AGE | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR W0000000 0017071 | | | | | 15. TO: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR W0000000 0017033 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 155788 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0340 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 155788 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 21012 | | 12C. Adj. Basic Pay 155788 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 21012 | | 20C. Adj. Basic Pay 155788 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization REGION 7 LENEXA,KANSAS | | | | | | 22. Name and Location of Position's Organization REGION 7 LENEXA,KANSAS | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 20-3080-091 | | | | 39. Duty Station (City - County - State or Overseas Location) LENEXA,JOHNSON,KANSAS | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 09 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171834841 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/03/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|---|--|--|--|--|--|------------------------------------|--|---|--|
| 1. Name (Last, First, Middle) STEPP, CATHY L | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/31/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code AWM | | 5-F. Legal Authority 12-20-17 | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR W0000000 0017033 | | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR U0000000 ES00101 | | | | | |
| 8. Pay Plan GS | | 9. Occ. Code 0340 | | 10. Grade or Level 15 | | 11. Step or Rate 10 | | 12. Total Salary 155788 | | 13. Pay Basis PA | |
| 16. Pay Plan ES | | 17. Occ. Code 0340 | | 18. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 179700 | | 21. Pay Basis PA | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 21012 | | 12C. Adj. Basic Pay 155788 | | 12D. Other Pay 0 | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | |
| 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | | | | | | | | | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 7 LENEXA,KANSAS | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 5 CHICAGO,ILLINOIS | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 17-1670-031 | | | | 39. Duty Station (City - County - State or Overseas Location) CHICAGO,COOK,ILLINOIS | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 09 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20171231. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 172464460 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/26/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|--------------------|---|--|----------------------------------|-----------------------------|------------------------------------|---------------------------------|---|--------------------------|-------------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) STOKER, MICHAEL B. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/20/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number REGIONAL ADMINISTRATOR Y0000000 ES00031 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | | 21. Pay Basis PA |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 9 SAN FRANCISCO,CALIFORNIA | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 06-3290-075 | | | | 39. Duty Station (City - County - State or Overseas Location) SAN FRANCISCO,SAN FRANCISCO,CALIFORNIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED 05-21-18. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. VETERAN PREFERENCE IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180776729 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/20/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|----------------------|---|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) SUGIYAMA, GEORGE Y. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/21/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 590 | | 5-B. Nature of Action CONV TO PROV APPT NTE 09-17-17 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code DC1 | | 5-D. Legal Authority DC CODE TITLE II, SECTION 1725 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR A0000000 0017014 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR A0000000 0017014 | | | | | | | | | | |
| 8. Pay Plan GS | 9. Occ. Code 0301 | 10. Grade or Level 15 | 11. Step or Rate 10 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan GS | 17. Occ. Code 0301 | 18. Grade or Level 15 | 19. Step or Rate 10 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 134776 | | 20B. Locality Adj. 27124 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 1 REEMPLOYED CSRS ANNUIT | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks ANNUAL SALARY TO BE REDUCED BY THE AMOUNT OF YOUR RETIREMENT ANNUITY AND BY FUTURE COST OF LIVING INCREASES. FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS ON A PROVISIONAL BASIS. YOU ARE ELIGIBLE FOR RETIREMENT COVERAGE AND FOR HEALTH BENEFITS AND LIFE INSURANCE. IF YOUR PERFORMANCE IS SATISFACTORY, AND YOU MEET ALL LEGAL QUALIFICATIONS, AND OTHER APPLICABLE REQUIREMENTS, YOU MAY BE CONVERTED TO A NONTEMPORARY APPOINTMENT BEFORE THIS APPOINTMENT EXPIRES. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170948331 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/24/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|-------------------------------------|--------------------|--|--|----------------------------------|-----------------------------|------------------------------------|---------------------------------|---|--------------------------|-------------------------------|----------------------------------|---------------------|--|
| 1. Name (Last, First, Middle) THIEDE, KURT A. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 02/18/2018 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number CHIEF OF STAFF TO THE REGION 5 ADMIN U0000000 A030100 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 164200 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 136569 | | 20B. Locality Adj. 27631 | | 20C. Adj. Basic Pay 164200 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 5 CHICAGO, ILLINOIS | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 17-1670-031 | | | | 39. Duty Station (City - County - State or Overseas Location) CHICAGO, COOK, ILLINOIS | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 02-20-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. APPOINTMENT IS INDEFINITE. THE APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180483107 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 02/18/2018 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|---|--|--|---|-----------------------------|--------------------------|--|--|------------------------|----------------------------------|---------------------|---------------------|--|
| 1. Name (Last, First, Middle) TRAYLOR, PATRICK DALE | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/04/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 146 | | 5-B. Nature of Action SES NON-CAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEP ASST ADMIN FOR ENFORCEMENT AND COMPLIANCE ASSURANCE B0000000 0006005 | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 179700 | 21. Pay Basis PA | | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 179700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 179700 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR ENF&COMPL ASSURANCE WASHINGTON,DC | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170604. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED 06/05/17. THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170862617 / ELECTRONICALLY SIGNED BY: BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/05/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|---|------------------------|--|---|---|-----------------------------|------------------------------------|---------------------------------|---|---------------------|-------------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) WAGNER, KENNETH E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/16/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR REGIONAL AND STATE AFFAIRS A0FCC000 0017014 | | | | | 15. TO: Position Title and Number SENIOR ADVISOR TO THE ADMINISTRATOR FOR REGIONAL OPERATIONS A0000000 0017015 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 161900 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 172100 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay 172100 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 172100 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF INTERGOVERNMENTAL RELATIONS D REGIONAL OPERATIONS STAFF WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170416. EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 170804314 / ELECTRONICALLY SIGNED BY: | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/24/2017 | | SUZANNE L. ROBERTS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|---|--|--------------------------------------|--|--|--|--|--|------------------------------------|--|---|--|
| 1. Name (Last, First, Middle) WEHRUM, WILLIAM L. | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 11/12/2017 | | | |
| FIRST ACTION | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P. L. 95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number | | | | | | 15. TO: Position Title and Number SENIOR ADVISOR A0000000 0017055 | | | | | |
| 8. Pay Plan | | 9. Occ. Code | | 10. Grade or Level | | 11. Step or Rate | | 12. Total Salary | | 13. Pay Basis | |
| AD | | 0301 | | 00 | | 00 | | 158123 | | PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 124408 | | 20B. Locality Adj. 33715 | |
| | | | | | | | | 20C. Adj. Basic Pay 158123 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 11-13-17. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTMENT IS INDEFINITE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT THIS POSITION IS DESIGNATED FOR DRUG TESTING 6 SENATE CONFIRMATION | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 172055440 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/08/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | |
|--|--|---|---|--|--|------------------------|-----------------------------|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) WEHRUM, WILLIAM L. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 11/22/2017 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code ZKM | | 5-D. Legal Authority LAW, E.O.,OR REG AUTH PRES AP | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number ASSISTANT ADMINISTRATOR FOR AIR AND RADIATION L0000000 0020899 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan EX | 17. Occ. Code 0340 | 18. Grade or Level 05 | 19. Step or Rate 00 | 20. Total Salary/Award 151700 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 151700 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 151700 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR FOR AIR & RADIATION WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | |
| 45. Remarks CREDITABLE MILITARY SERVICE: (b) (6) APPOINTMENT AFFIDAVIT EXECUTED 11-22-17. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 6 SENATE CONFIRMATION FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172237607 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/28/2017 | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|---|--|---------------------------------|--|---------------------|---|------------------------------------|--|--|--|
| 1. Name (Last, First, Middle) WHEELER, ANDREW R. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 04/20/2018 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZNM | | 5-D. Legal Authority EXEC REORG #3 OF 1970 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY ADMINISTRATOR A0000000 EX18001 | | | | | | |
| 8. Pay Plan EX | | 9. Occ. Code 0340 | 10. Grade or Level 03 | 11. Step or Rate 00 | 12. Total Salary/Award 165300 | | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 165300 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 165300 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF THE ADMINISTRATOR WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) ~ None 3 - 10-Point/Disability 5 - 10-Point/Other ~ 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | 29. Pay Rate Determinant T | | | | |
| 30. Retirement Plan K FERS & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 04-20-18. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 6 SENATE CONFIRMATION FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711. THE PAY RATE OF AN EMPLOYEE OCCUPYING A POSITION SUBJECT TO THE PAY FREEZE FOR CERTAIN SENIOR POLITICAL OFFICIALS SHALL BE BASED ON THE RATE OF PAY AND APPLICABLE PAY LIMITATIONS IN EFFECT ON DECEMBER 31, 2013 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 180748477 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 04/20/2018 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|--|--|-------------------------------------|---|--|---|--|-----------------------------|------------------------------------|---|--|------------------------|----------------------------------|---------------------|---------------------|
| 1. Name (Last, First, Middle) WHITE, ELIZABETH BEACHAM | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 09/24/2017 | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DIRECTOR, OFFICE OF THE EXECUTIVE SECRETARIAT A0E00000 0017073 | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | 10. Grade or Level | 11. Step or Rate | 12. Total Salary | | 13. Pay Basis | 16. Pay Plan AD | 17. Occ. Code 0301 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 161900 | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 127864 | | 20B. Locality Adj. 34036 | | 20C. Adj. Basic Pay 161900 | | 20D. Other Pay 0 |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR OFFICE OF EXECUTIVE SECRETARIAT WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ??????. CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: NEVER COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. APPOINTMENT IS INDEFINITE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171690197 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 09/08/2017 | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|---|--|-------------------------------------|--|--|--|--|--|------------------------------------|--|---|--|
| 1. Name (Last, First, Middle) WILDEMAN, ANNA J. | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/27/2018 | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | | 6-C. Code | | 6-D. Legal Authority | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | |
| 7. FROM: Position Title and Number | | | | | | 15. TO: Position Title and Number ASSOC DEPUTY ASSIST ADMIN FOR WATER J00000000 AD03010 | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0340 | | 10. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 152760 | | 21. Pay Basis PA | |
| 12A. Basic Pay 119139 | | 12B. Locality Adj. 33621 | | 12C. Adj. Basic Pay 152760 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR FOR WATER WASHINGTON,DC | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTE CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO A TERM, CAREER OR CAREER- CONDITIONAL APPOINTMENT IN THE COMPETITIVE SERVICE. APPOINTMENT IS INDEFINITE. FROZEN SERVICE NONE OPF MAINTAINED BY US EPA, HRMD, MD-C639-02, RTP, NC 27711 | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180572745 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/27/2018 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | |
|--|--|-------------------------------------|--|--|--|----------------------------------|-----------------------------|------------------------------------|---|---------------------|--|
| 1. Name (Last, First, Middle) WOODS, CLINTON J | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/10/2017 | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | |
| 5-C. Code ZLM | | 5-D. Legal Authority P.L. 95-190 | | | 6-C. Code | | 6-D. Legal Authority | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | |
| 7. FROM: Position Title and Number | | | | | 15. TO: Position Title and Number DEPUTY ASSISTANT ADMINISTRATOR L0000000 0018005 | | | | | | |
| 8. Pay Plan AD | | 9. Occ. Code 0301 | | 10. Grade or Level 00 | | 19. Step or Rate 00 | | 20. Total Salary/Award 140552 | | 21. Pay Basis PA | |
| 12A. Basic Pay 110584 | | 12B. Locality Adj. 29968 | | 12C. Adj. Basic Pay 140552 | | 12D. Other Pay 0 | | | | | |
| 14. Name and Location of Position's Organization | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR FOR AIR & RADIATION WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | |
| POSITION DATA | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY HIGH RISK | | | |
| 45. Remarks APPOINTMENT AFFIDAVIT EXECUTED ????? . CREDITABLE MILITARY SERVICE: (b) (6) PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. THIS POSITION IS DESIGNATED FOR DRUG TESTING THIS APPOINTMENT DOES NOT CONFER ELIGIBILITY TO BE NONCOMPETITIVELY CONVERTED TO CAREER-CONDITIONAL OR CAREER APPOINTMENT POSITION IS AT THE FULL PERFORMANCE LEVEL OR BAND. | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 171948981 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/04/2017 | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

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|--|--|---|--|---|--|---|--|--|--|-----------------------------------|--|--|--|------------------------------|--|---------------------------------|--|---|--|--|--|----------------------------|--|
| 1. Name (Last, First, Middle) CORY, KATHERINE PRESTON | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 06/18/2017 | | | | | | | | | | | | | | | |
| FIRST ACTION | | | | | | SECOND ACTION | | | | | | | | | | | | | | | | | |
| 5-A. Code 170 | | 5-B. Nature of Action EXC APPT | | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | | | | | | | |
| 5-C. Code Y7M | | 5-D. Legal Authority SCH C, 213.33SCH C, 213.3311 | | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | | | | | | | |
| 7. FROM: Position Title and Number | | | | | | 15. TO: Position Title and Number SPEC ASST TO THE SENIOR DEP ASSOC ADMIN FOR INTERGOVERNMENTAL RELATIONS A0FB0000 0017019 | | | | | | | | | | | | | | | | | |
| 8. Pay Plan | | 9. Occ. Code | | 10. Grade or Level | | 11. Step or Rate | | 12. Total Salary | | 13. Pay Basis | | 16. Pay Plan GS | | 17. Occ. Code 0301 | | 18. Grade or Level 07 | | 19. Step or Rate 01 | | 20. Total Salary/Award 44941 | | 21. Pay Basis PA | |
| 12A. Basic Pay | | 12B. Locality Adj. | | 12C. Adj. Basic Pay | | 12D. Other Pay | | 20A. Basic Pay 35359 | | 20B. Locality Adj. 9582 | | 20C. Adj. Basic Pay 44941 | | 20D. Other Pay 0 | | | | | | | | | |
| 14. Name and Location of Position's Organization | | | | | | 22. Name and Location of Position's Organization OFFICE OF THE ADMINISTRATOR ASSOC ADMR FOR CONGRESS&INTERGOV RLNS OFFICE OF CONGRESSIONAL AFFAIRS WASHINGTON,DC | | | | | | | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | | | | | | | 24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KF FERS-FRAE & FICA | | | | | | 31. Service Comp. Date (Leave) (b) (6) | | | | | | 32. Work Schedule F FULL-TIME | | | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | | 35. FLSA Category N E - Exempt N - Nonexempt | | | | | | 36. Appropriation Code | | | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | | | | | | | | | |
| 45. Remarks (b) (6) | | | | | | | | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | | | | | 50. Signature/Authentication and Title of Approving Official 170878399 / ELECTRONICALLY SIGNED BY: | | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/10/2017 | | 51. Signature/Authentication and Title of Approving Official BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|--|-----------------------------|---|-------------------------------|---|--|---|------------------------------------|--|--|---|----------------------------|--------------------------------------|--|----------------------------|--|
| 1. Name (Last, First, Middle) YAMADA, YUJIRO RICHARD | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 07/09/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 546 | | 5-B. Nature of Action CONV TO SES NONCAREER APPT | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code V4L | | 5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code AWM | | 5-F. Legal Authority OPM FORM 1652 DATED 07-06-17 | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEPUTY ASSISTANT ADMINISTRATOR FOR RESEARCH AND DEVELOPMENT N0000000 0017041 | | | | | 15. TO: Position Title and Number DEPUTY ASSISTANT ADMINISTRATOR FOR RESEARCH AND DEVELOPMENT N0000000 0017020 | | | | | | | | | | |
| 8. Pay Plan AD | 9. Occ. Code 0301 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 107435 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate 00 | 20. Total Salary/Award 149337 | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 84528 | | 12B. Locality Adj. 22907 | | 12C. Adj. Basic Pay 107435 | | 12D. Other Pay 0 | | 20A. Basic Pay 149337 | | 20B. Locality Adj. 0 | | 20C. Adj. Basic Pay 149337 | | 20D. Other Pay 0 | |
| 14. Name and Location of Position's Organization ASST ADMR FOR RESEARCH & DEVELOPMENT WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR RESEARCH & DEVELOPMENT WASHINGTON,DC | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | |
| 30. Retirement Plan KR FERS-RAE & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 21 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY MODERATE RISK | | | | | | | |
| 45. Remarks SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20170709. CREDITABLE MILITARY SERVICE: (b) (6) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 THIS POSITION IS DESIGNATED FOR DRUG TESTING | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171226840 / ELECTRONICALLY SIGNED BY: | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 07/11/2017 | | BENJAMIN H. PEABODY ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |

| Region | Site Name | EPA ID | NPL | Party Name/ Affiliate | State |
|--------|--|--------------|-----|-----------------------|-------|
| 01 | SEAWAY BOAT | MED982547960 | N | DOW | ME |
| 02 | BARCELONETA LANDFILL | PRD980509129 | D | DOW/DuPont | PR |
| 02 | BAYONNE DRUM & BARREL CO | NJD009871401 | N | DuPont | NJ |
| 02 | CLOTHIER DISPOSAL | NYD000511576 | D | DuPont | NY |
| 02 | DUPONT /NECCO PARK | NYD980532162 | N | DuPont | NY |
| 02 | ENRX. INC. | NYD175762616 | N | DOW/DuPont | NY |
| 02 | ENVIROTEK | NYD021744537 | N | DOW | NY |
| 02 | HOPKINS FARM | NJD980532840 | D | DOW | NJ |
| 02 | MORGAN ORDINANCE DEPOT(AKATA/GILLESPIE | NJD986652519 | N | DuPont | NJ |
| 02 | NEWBURGH LANDFILL | NYD980534846 | N | DuPont | NY |
| 02 | NIAGARA COUNTY REFUSE | NYD000514257 | D | DuPont | NY |
| 02 | PFOHL BROTHERS LANDFILL | NYD980507495 | D | DuPont | NY |
| 02 | PIONEER SMELTING CO INC | NJD014786982 | N | DuPont | NJ |
| 02 | PORT REFINERY | NYD986954048 | N | DuPont | NY |
| 02 | PULVERIZING SERVICES | NJD980582142 | N | DOW/DuPont | NJ |
| 02 | RENORA, INC. | NJD070415005 | D | DuPont | NJ |
| 02 | SAYREVILLE LANDFILL | NJD980505754 | D | DOW | NJ |
| 02 | TABERNACLE DRUM DUMP | NJD980761357 | D | DOW/DuPont | NJ |
| 02 | WARWICK LANDFILL | NYD980506679 | D | DOW | NY |
| 02 | WIDE BEACH DEVELOPMENT | NYD980652259 | D | DOW/DuPont | NY |
| 03 | AMCHEM PROD INC | PAD002348324 | N | DOW | PA |
| 03 | BAHN WAREHOUSE | PAD987277977 | N | DuPont | PA |
| 03 | DIXIE CAVERNS COUNTY LANDFILL | VAD980552095 | D | DOW | VA |
| 03 | DUPONT EXPLOSIVES | PAD981939325 | N | DuPont | PA |
| 03 | EAST COAST TRAILER SALES | PA0000634659 | N | DuPont | PA |
| 03 | KENT COUNTY LANDFILL (HOUSTON) | DED980705727 | W | DuPont | DE |
| 03 | METCOA | PAD080719446 | N | DOW/DuPont | PA |
| 03 | MOYERS LANDFILL | PAD980508766 | D | DOW | PA |
| 03 | NEW CASTLE ABANDONED CONTAINER SITE | DED981736440 | N | DuPont | DE |
| 03 | ROHM AND HAAS CO. LANDFILL | PAD091637975 | R | DOW | PA |
| 03 | SEAFORD-ARBUTUS WELL FIELD | DED984075523 | N | DuPont | DE |
| 03 | SPELTER ZINC PLANT | WV0000634584 | N | DuPont | WV |
| 03 | WADE (ABM) | PAD980539407 | D | DOW/DuPont | PA |
| 03 | WELCOME JOY | WVD980693477 | N | DOW | WV |
| 03 | WILDCAT LANDFILL | DED980704951 | D | DuPont | DE |
| 04 | A.L. TAYLOR (VALLEY OF DRUMS) | KYD980500961 | D | DOW | KY |
| 04 | BAY DRUM | FLD088783865 | A | DuPont | FL |
| 04 | BCX FACILITY | FLD982109761 | N | DuPont | FL |
| 04 | BIOLOGICAL PROCESSORS OF ALABAMA | ALR000037929 | N | DOW | AL |
| 04 | CALDWELL SYSTEMS INC | NCD086871282 | N | DuPont | NC |
| 04 | CAROLINA STEEL DRUM CORP | SCD980559926 | N | DuPont | SC |
| 04 | CHEM SPRAY | FLD981020514 | N | DuPont | FL |
| 04 | DIXIE BARREL & DRUM COMPANY | TND034692632 | N | DOW | TN |
| 04 | DOCKERY PROPERTY | NCD980840342 | A | DOW/DuPont | NC |
| 04 | ELLIS ROAD/AMERICAN ELECTRIC CORP. | FLD981931827 | N | DuPont | FL |
| 04 | HOWE VALLEY LANDFILL | KYD980501191 | D | DOW | KY |
| 04 | LEE'S LANE LANDFILL | KYD980557052 | D | DOW/DuPont | KY |
| 04 | LWD INC | KYD088438817 | N | DuPont | KY |
| 04 | MCCLUSKEY'S FARM | GAD980729339 | N | DuPont | GA |
| 04 | MURPHY AVE DRUM SITE | GA0001913185 | N | DOW | GA |
| 04 | SEVEN OUT LLC TANK | GAN000407811 | N | DuPont | GA |
| 04 | US STEEL AGRICHEM/OLD BLUE CHEMICAL | SCD987591948 | N | DOW | SC |
| 04 | YELLOW WATER ROAD | FLD980844179 | D | DuPont | FL |
| 05 | AUTOMATIC CONTAINERS INCORPORATED | OHD980611321 | N | DuPont | OH |
| 05 | B & E LANDFILL | OHD980794648 | N | DuPont | OH |
| 05 | BERLIN & FARRO | MID000605717 | D | DOW/DuPont | MI |
| 05 | BOERKE | WID981189632 | N | DuPont | WI |
| 05 | BOHATY DRUMS | OHD987033743 | N | DOW | OH |

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|----|--|--------------|---|------------|----|
| 05 | BOWERS LANDFILL | OHD980509616 | D | DuPont | OH |
| 05 | CADIE AUTO SALVAGE | ILN000510522 | N | DOW/DuPont | IL |
| 05 | CALUMET CONTAINER | IND980500193 | N | DOW/DuPont | IN |
| 05 | CHEMICAL RECOVERY | OHD057001810 | N | DOW/DuPont | OH |
| 05 | CLAYTON CHEM CO | ILD066918327 | N | DOW | IL |
| 05 | CLIFF/DOW DUMP | MID980608970 | D | DOW | MI |
| 05 | COMMERCIAL OIL SERVICE INCORPORATION | OHD000816843 | N | DOW | OH |
| 05 | CONSERVATION CHEMICAL COMPANY | IND040888992 | N | DOW/DuPont | IN |
| 05 | DOW CHEM CO MICHIGAN DIV MIDLAND LOC | MID000724724 | N | DOW | MI |
| 05 | GRANVILLE SOLVENTS INC | OHD004495412 | N | DuPont | OH |
| 05 | GREAT LAKES PAVING/ASPHALT | IND980990816 | N | DOW | IN |
| 05 | GREINER'S LAGOONS | OHD980794622 | N | DuPont | OH |
| 05 | KINGS MILLS LEAD SITE | OHN000508990 | N | DuPont | OH |
| 05 | LASKIN/POPLAR OIL CO. | OHD061722211 | D | DOW | OH |
| 05 | MASTER METALS INCORPORATION | OHD097613871 | N | DuPont | OH |
| 05 | MOBILE TANK CAR SERVICE | OHD000720227 | N | DOW/DuPont | OH |
| 05 | NORTHWESTERN BARREL | WID981095995 | N | DuPont | WI |
| 05 | SPRINGVILLE MARSH | OHN000510507 | N | DOW | OH |
| 05 | STERLING MORTON HIGH SCHOOL | ILN000509091 | N | DuPont | IL |
| 05 | STICKNEY AVENUE LANDFILL AKA TOLEDO CITY LAN | OHD000605956 | N | DuPont | OH |
| 05 | THIRD SITE | IND984876177 | A | DOW | IN |
| 05 | THOMAS SOLVENT COMPANY | MID039993902 | N | DuPont | MI |
| 05 | TITABAWASSEE RIVER, SAGINAW RIVER & BAY | MID980994354 | N | DOW | MI |
| 05 | UNION CARBIDE CORPORATION SITE B LANDFILL | OHD980612147 | N | DOW | OH |
| 05 | US SCRAP | ILD980679484 | N | DuPont | IL |
| 06 | ARCHEM COMPANY, INC. | TXD042544270 | N | DuPont | TX |
| 06 | ARKANSAS WASTE-TO-ENERGY | ARD982286957 | N | DuPont | AR |
| 06 | BAILEY WASTE DISPOSAL | TXD980864649 | D | DOW/DuPont | TX |
| 06 | BAYOU SORREL | LAD980745541 | D | DOW | LA |
| 06 | BIO-ECOLOGY SYSTEMS, INC. | TXD980340889 | D | DOW/DuPont | TX |
| 06 | BRIO REFINING, INC. | TXD980625453 | D | DOW | TX |
| 06 | COMPASS INDUSTRIES (AVERY DRIVE) | OKD980620983 | D | DOW/DuPont | OK |
| 06 | D.L. MUD, INC. | LAD981058019 | D | DOW | LA |
| 06 | DIXIE OIL PROCESSORS, INC. | TXD089793046 | D | DOW | TX |
| 06 | GULF COAST VACUUM SERVICES | LAD980750137 | D | DOW | LA |
| 06 | GULF NUCLEAR | TX0000605258 | N | DOW/DuPont | TX |
| 06 | GULF NUCLEAR - TAVENOR SITE | TXN000605455 | N | DOW/DuPont | TX |
| 06 | HARRIS (FARLEY STREET) | TXD980745582 | D | DOW | TX |
| 06 | HILLSDALE DRUMS | LAD985214766 | N | DuPont | LA |
| 06 | MARCO OF IOTA | LAD980624514 | N | DuPont | LA |
| 06 | MOSLEY ROAD SANITARY LANDFILL | OKD980620868 | D | DOW | OK |
| 06 | ODESSA DRUM COMPANY INC | TXD008012254 | N | DOW/DuPont | TX |
| 06 | PAB OIL & CHEMICAL SERVICE, INC. | LAD980749139 | D | DOW | LA |
| 06 | PALMER BARGE LINE | TXD068104561 | D | DuPont | TX |
| 06 | PESSER CHEMICAL CO. | TXD980699656 | D | DuPont | TX |
| 06 | PORT NECHES PIPELINE FIRE | TXN000606841 | N | DOW | TX |
| 06 | ROGERS ROAD MUNICIPAL LANDFILL | ARD981055809 | D | DOW | AR |
| 06 | SAND SPRINGS PETROCHEMICAL COMPLEX | OKD980748446 | D | DuPont | OK |
| 06 | SOUTH 8TH STREET LANDFILL | ARD980496723 | D | DuPont | AR |
| 06 | STATE MARINE OF PORT ARTHUR | TXD099801102 | D | DuPont | TX |
| 06 | WEBSTER - GULF NUCLEAR | TX0000605420 | N | DOW/DuPont | TX |
| 07 | CONTAINER RECYCLING, INC - KANSAS CITY | KSD065764995 | N | DuPont | KS |
| 07 | DU PONT, E I DE NEMOURS & CO -FT MADISON | IAD005272398 | A | DuPont | IA |
| 07 | E.I. DU PONT DE NEMOURS & CO., INC. (COUNTY RO | IAD980685804 | D | DuPont | IA |
| 07 | FINDETT CORP. | MOD006333975 | R | DOW/DuPont | MO |
| 07 | GREAT LAKES CONTAINER CORP - ST LOUIS | MOD086827359 | N | DuPont | MO |
| 07 | OSAGE METAL COMPANY | KSD031295660 | N | DuPont | KS |
| 07 | PCB INC - KANSAS | KSD980963565 | N | DuPont | KS |
| 07 | PCB INC - MISSOURI | MOD063670350 | N | DuPont | MO |
| 07 | RED OAK CITY LANDFILL | IAD980632509 | D | DOW | IA |

| | | | | | |
|----|---|--------------|---|------------|----|
| 08 | COLORADO SCHOOL OF MINES RI/CREEKSIDE | COD000823401 | N | DOW | CO |
| 08 | HANSEN CONTAINERS | COD081469801 | N | DOW | CO |
| 08 | MOLINE STREET PCBS | COD030446637 | N | DOW | CO |
| 08 | PETROCHEM RECYCLING CORP./EKOTEK PLANT | UTD093119196 | D | DuPont | UT |
| 08 | RAMP INDUST INC | COD980718985 | N | DOW | CO |
| 08 | ROCKY FLATS INDUSTRIAL PARK (THORO - AERRCO | COD980807374 | S | DOW | CO |
| 08 | TREASURE MOUNTAIN MIDDLE SCHOOL | UTN000802495 | N | DuPont | UT |
| 08 | TWINS INN | CO0001101476 | N | DOW | CO |
| 08 | WOODBURY CHEMICAL CO. | COD980667075 | D | DOW | CO |
| 09 | GENERAL DSPL CO | CAD980735856 | N | DOW/DuPont | CA |
| 09 | RIO TINTO COPPER MINE | NV3141190030 | N | DuPont | NV |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

AUG 16 2017

SUBJECT: Request for a Limited Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli *KSE*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Erik Baptist, Senior Deputy General Counsel in the Office of General Counsel at the United States Environmental Protection Agency (EPA). Prior to his appointment as a non-career SES employee, Mr. Baptist was Senior Counsel at the American Petroleum Institute (API). In that capacity, he provided legal services and, until March 31, 2016, was also listed as a federally registered lobbyist for the API,¹ focusing on the Renewable Fuel Standards program (RFS).² Mr. Baptist began service at EPA on June 18, 2017 and received initial ethics training on June 23, 2017.

EPA requests this limited waiver to allow him to advise the Administrator and other senior officials on the annual renewable fuel standards and, in general, the RFS program. His expertise and comprehensive understanding of the challenges and nuances of the RFS program are needed to counsel and advise the Administrator and senior leadership in this critical area.

¹ As an attorney within the API's Office of General Counsel, Mr. Baptist was not an employee of the API's Federal Relations department, where the API's in-house lobbyists reside.

² The RFS program is a national policy that requires a certain volume of renewable fuel to replace or reduce the quantity of petroleum-based transporting fuel, heating oil or jet fuel. It was created under the Energy Policy Act of 2005 (Pub. L. 109-58), which amended the Clean Air Act (CAA), 42 U.S.C. § 7401 *et seq.* The Energy Independence and Security Act of 2007 (Pub. L. 110-140) further amended the CAA by expanding the RFS program.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, “Ethics Commitments by Executive Branch Appointees.” All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

Mr. Baptist signed the ethics pledge on June 28, 2017. Because he had been a federally registered lobbyist for the API within the preceding two years, he requires a waiver to work on the Renewable Fuels Standards program in his current EPA position. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge.

MR. BAPTIST’S UNIQUE EXPERTISE

A graduate of Vanderbilt University and the George Washington University Law School, Mr. Baptist has worked for the international law firm McDermott Will & Emery LLP and served as an attorney for the Division of Investigations in the Office of Enforcement for the Federal Energy Regulatory Commission (FERC). While at FERC, he led a market-wide investigation of energy companies relating to the reliability of the electric grid and also investigated an energy company for multiple violations of federal energy laws and regulations. He personally worked on the investigation of the Amaranth hedge fund and trial of its head trader, who was found to have manipulated the price of natural gas through futures trading.

While at the API, Mr. Baptist provided direct litigation support and legal advocacy on critical issues facing the oil and gas industry, including matters related to fuel specifications and market development of natural gas. In his role as Senior Counsel, he helped to develop consensus with affected constituencies to build broad coalitions in support of legislation or regulatory reform. As an expert in his field, Mr. Baptist has taught continuing legal education courses on the topics of fuel regulations before the American Law Institute Continuing Legal Education (ALI CLE) and the RFS program before the District of Columbia Bar Association.

The EPA Administrator needs to be able to utilize Mr. Baptist’s subject-matter expertise in the Renewable Fuel Standards program, and the perspective that he gained working with the API and its member organizations. Because the EPA does not yet have a confirmed General Counsel, Mr. Baptist is currently the most senior political appointee in the Office of General Counsel, thus serving a critical role as a primary legal advisor to the Administrator. In his position, he must be able to provide legal counsel to the EPA’s top policy-makers and provide

his unique expertise and vital input into Agency rules and regulations. His invaluable knowledge and experience will assist the Administrator and the Agency with respect to an important program in the Office of Air and Radiation, which also currently lacks a political leader.

REQUEST FOR A LIMITED WAIVER

In deciding to request this limited waiver, the EPA has carefully considered a number of factors:

- At the time Mr. Baptist joined the EPA, he was not a federally registered lobbyist. The API formally removed him as a lobbyist on its lobbying disclosure form filed in the first quarter of 2016;
- Mr. Baptist triggered the relatively low threshold to register as a federal lobbyist because as an attorney within the API's Office of General Counsel he provided legal oversight of the API's numerous advocacy activities on the Renewable Fuel Standards program. Mr. Baptist was not an employee of the API's lobbying department;
- The Clean Air Act requires the EPA to issue new renewable fuel percentage standards each year, and Mr. Baptist worked on lobbying activities related to these renewable fuel percentage annual standards. Despite the fact that this regulatory standard is changed annually, the EPA is advised by the Office of Government Ethics that it nevertheless technically falls within the scope of a "specific issue area" as set forth at Section 1, paragraph 7 and requires a waiver;
- Unless and until this limited waiver is granted, Mr. Baptist has recused himself from working on the renewable fuel standards and the RFS program. Granting this limited waiver to allow him to utilize his extensive knowledge and experience in the RFS program will not alter his existing recusal obligations under Section 1, paragraph 6 regarding the API as his former employer; and
- Although Mr. Baptist is listed on the API lobbying disclosure forms for a variety of issues, the EPA seeks this limited waiver only for the Renewable Fuel Standards program.

CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Erik Baptist to effectively carry out his duties as Senior Deputy General Counsel and advise the EPA Administrator on the Renewable Fuel Standards program. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations. In particular, as noted above, Mr.

Baptist will remain restricted by the Executive Order, Section 1, paragraph 6, from participating in any particular matter involving specific parties that is directly and substantially related to his former employer, the API.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

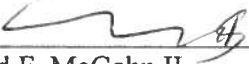
DATE: August 25, 2017

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Erik Baptist
Senior Deputy General Counsel
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Erik Baptist to allow him to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to the Renewable Fuels Standards program and regulations. I have determined that it is in the public interest to grant this limited waiver because of Mr. Baptist's expertise in this program and its policy and implementation. The facts that Mr. Baptist had not been a registered lobbyist for fourteen months prior to his appointment and signing the Ethics Pledge and that the activities that triggered his obligation to register as a lobbyist were limited, coupled with his deep understanding of the RFS program and the regulated industry, make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its renewable fuel programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Baptist so that he can ably advise the EPA Administrator. Accordingly, I authorize Erik Baptist to participate personally and substantially in matters regarding the Renewable Fuel Standards program. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.


Donald F. McGahn II
Counsel to the President

Dated: 25 August 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

SEP 29 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Limited Waiver Issued under Executive Order 13770 for Erik Baptist

FROM: Kevin S. Minoli *KSM*
Acting General Counsel
Designated Agency Ethics Official

TO: E. Scott Pruitt
Administrator

As you know, Executive Order 13770 (January 28, 2017) requires that every full-time political appointee sign an Ethics Pledge that sets forth additional ethics requirements. Waivers to the Pledge may be issued by the President or his designee. Any waivers issued pursuant to this Executive Order 13770 must be provided to the head of the Agency in which the person serves or has been appointed to serve. See the Office of Government Ethics Program Advisory entitled "Posting of Waivers Issued under Executive Order 13770," PA-17-05 (September 21, 2017).

Attached for your reference is a copy of EPA's request for a limited waiver of Section 1, Paragraph 7 of Executive Order 13770 for Erik Baptist, Senior Deputy General Counsel, and a copy of the limited waiver signed by the Counsel to the President on August 25, 2017. This limited waiver allows Erik Baptist to participate personally and substantially in matters regarding the Renewable Fuels Standard program.

Please feel free to contact me at (202) 564-8040 if you have any questions.

Attachments

cc: Ryan Jackson, Chief of Staff
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

AUG 16 2017

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Executive Order 13770

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Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

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MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

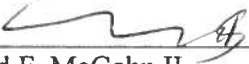
DATE: August 25, 2017

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Erik Baptist
Senior Deputy General Counsel
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Erik Baptist to allow him to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to the Renewable Fuels Standards program and regulations. I have determined that it is in the public interest to grant this limited waiver because of Mr. Baptist's expertise in this program and its policy and implementation. The facts that Mr. Baptist had not been a registered lobbyist for fourteen months prior to his appointment and signing the Ethics Pledge and that the activities that triggered his obligation to register as a lobbyist were limited, coupled with his deep understanding of the RFS program and the regulated industry, make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its renewable fuel programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Baptist so that he can ably advise the EPA Administrator. Accordingly, I authorize Erik Baptist to participate personally and substantially in matters regarding the Renewable Fuel Standards program. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.



Donald F. McGahn II
Counsel to the President

Dated: 25 August 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin S. Minoli *K-SM*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Dennis "Lee" Forsgren, Deputy Assistant Administrator (DAA), Office of Water (OW), at the United States Environmental Protection Agency (EPA), to allow him to participate in EPA's efforts to respond to the impacts of Hurricane Irma. Prior to his appointment at EPA, Mr. Forsgren represented the Miccosukee Tribe of Indians on three different periods of time since 2000, including from 2016 until his appointment. The Miccosukee Tribe is a federally-recognized Indian Tribe whose reservation lands are in Broward and Miami-Dade Counties in Florida. He was listed as a federally registered lobbyist for his representation of the Tribe during the period of time that ended with his appointment at EPA, triggering certain recusal obligations for matters involving the Tribe under Section 1, Paragraph 7 of Executive Order 13770.

EPA requests this limited waiver to allow Mr. Forsgren participate fully and without limitation in EPA's response to the range of environmental and human health impacts related to Hurricane Irma. Specifically, when done as part of his participation in EPA's Hurricane Irma response effort, the waiver would enable Mr. Forsgren to engage with the Miccosukee Tribe and its representatives, advise the Administrator and other senior officials on matters related to the Tribe and its Reservation, and participate in decision-making that is related to the Tribe and its Reservation. A significant focus of the agency's response effort is providing services and support to state, local, and tribal governments, and the Miccosukee Tribe is and will be a government the EPA needs to be able to engage with freely and without restriction for this purpose. Because of Mr. Forsgren's understanding of and relationships with the Tribal Government and his position

as the senior appointee in the agency's Office of Water, Mr. Forsgren is uniquely positioned to help EPA achieve its mission in this emergency situation.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, "Ethics Commitments by Executive Branch Appointees." All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

Mr. Forsgren signed the ethics pledge and has been acting consistent with its requirements since his appointment. Because he had been a federally registered lobbyist for Miccosukee Tribe within the preceding two years, he requires a waiver to work on the part of EPA's response to Hurricane Irma that will inevitably be specific to the Tribe. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge.

MR. FORSGREN'S UNIQUE EXPERTISE AS IT RELATES TO EPA'S RESPONSE TO HURRICANE IRMA

Mr. Forsgren represented the Miccosukee Tribe from 2000-2003, 2005-2012, and most recently 2016 until his appointment to EPA in June 2017. In that role, he represented the Tribe on Everglades restoration, Army Corps projects that impact the Tribe in the Everglades, and Water quality issues impacting the Everglades. Through that representation he developed an understanding of the Tribe and its Reservation that is unmatched at EPA. He also developed a personal relationship with many Tribal leaders that would make him uniquely effective at communicating with them during an emergency situation, if necessary. Finally, he also gained a thorough understanding of how the Tribe and its Reservation are impacted by the water levels in its lands and surrounding areas such as the Everglades.

Because of its location within the southern reaches of Florida, the Miccosukee Reservation will almost certainly be impacted in a significant and adverse way by the wind, rain, and subsequent flooding attributable to Hurricane Irma. With wide-spread life-threatening impacts predicated across nearly all of the State of Florida, Administrator Pruitt must be able to utilize all of his senior officials in EPA's response to this emergency. That is especially true when one of those senior officials has a level of expertise to bring to the response that addresses an agency need and is not possessed by others. Mr. Forsgren possesses that unique expertise with regard to the Miccosukee Tribe, and his ability to engage with them regarding the response effort

will advance the agency's efforts to provide support and assistance to impacted governments in the most effective and timely manner possible.

REQUEST FOR A LIMITED WAIVER

The EPA is requesting a waiver from Mr. Forsgren's recusal obligations under Section 1, paragraph 7 of the Executive Order that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. The waiver would not extend to any other issue that Mr. Forsgren is currently recused from participating in under the Executive Order. In addition, while we are requesting a waiver without an end date in recognition of the amount of time the agency anticipates it will be engaged in response efforts, the waiver's limited substantive scope ultimately will also serve as a limitation on the duration of the waiver as well.

CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Lee Forsgren to effectively carry out his duties as Deputy Assistant Administrator and advise the EPA Administrator and other senior officials on matters related to the agency's response to Hurricane Irma. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

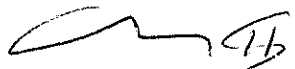
FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Dennis "Lee" Forsgren
Deputy Assistant Administrator
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 for Mr. Dennis "Lee" Forsgren that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. I have determined that it is in the public interest to grant this limited waiver because of serious threat to life and the environment posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, and Mr. Forsgren's expertise and experience working with the Miccosukee Tribe. He is an ideal person to engage with the Tribe and assist the Administrator and his senior leadership team to make EPA's response to Hurricane Irma more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Forsgren so that he can ably advise the EPA Administrator. Accordingly, I authorize Dennis "Lee" Forsgren to participate personally and substantially in matters that arise as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 October 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Limited Waiver Issued under Executive Order 13770 for Dennis "Lee" Forsgren

FROM: Kevin S. Minoli *KSE*
Acting General Counsel
Designated Agency Ethics Official

TO: E. Scott Pruitt
Administrator

As you know, Executive Order 13770 (January 28, 2017) requires that every full-time political appointee sign an Ethics Pledge that sets forth additional ethics requirements. Waivers to the Pledge may be issued by the President or his designee. Any waivers issued pursuant to this Executive Order 13770 must be provided to the head of the Agency in which the person serves or has been appointed to serve. *See* the Office of Government Ethics Program Advisory entitled "Posting of Waivers Issued under Executive Order 13770," PA-17-05 (September 21, 2017).

Attached for your reference is a copy of EPA's emergency request for a limited waiver of Section 1, Paragraph 7 of Executive Order 13770 for Dennis "Lee" Forsgren, Deputy Assistant Administrator, Office of Water, and a copy of the limited waiver signed by the Counsel to the President on October 2, 2017. This limited waiver allowed Lee Forsgren to participate personally and substantially in matters that arose as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. Mr. Forsgren previously served as a federally registered lobbyist on behalf of the Tribe. However, this limited waiver was never utilized and EPA now considers the terms of the waiver null and void.

Please feel free to contact me at (202) 564-8040 if you have any questions.

Attachments

cc: Ryan Jackson, Chief of Staff
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli *K-SM*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Dennis "Lee" Forsgren, Deputy Assistant Administrator (DAA), Office of Water (OW), at the United States Environmental Protection Agency (EPA), to allow him to participate in EPA's efforts to respond to the impacts of Hurricane Irma. Prior to his appointment at EPA, Mr. Forsgren represented the Miccosukee Tribe of Indians on three different periods of time since 2000, including from 2016 until his appointment. The Miccosukee Tribe is a federally-recognized Indian Tribe whose reservation lands are in Broward and Miami-Dade Counties in Florida. He was listed as a federally registered lobbyist for his representation of the Tribe during the period of time that ended with his appointment at EPA, triggering certain recusal obligations for matters involving the Tribe under Section 1, Paragraph 7 of Executive Order 13770.

EPA requests this limited waiver to allow Mr. Forsgren participate fully and without limitation in EPA's response to the range of environmental and human health impacts related to Hurricane Irma. Specifically, when done as part of his participation in EPA's Hurricane Irma response effort, the waiver would enable Mr. Forsgren to engage with the Miccosukee Tribe and its representatives, advise the Administrator and other senior officials on matters related to the Tribe and its Reservation, and participate in decision-making that is related to the Tribe and its Reservation. A significant focus of the agency's response effort is providing services and support to state, local, and tribal governments, and the Miccosukee Tribe is and will be a government the EPA needs to be able to engage with freely and without restriction for this purpose. Because of Mr. Forsgren's understanding of and relationships with the Tribal Government and his position

as the senior appointee in the agency's Office of Water, Mr. Forsgren is uniquely positioned to help EPA achieve its mission in this emergency situation.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, "Ethics Commitments by Executive Branch Appointees." All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

Mr. Forsgren signed the ethics pledge and has been acting consistent with its requirements since his appointment. Because he had been a federally registered lobbyist for Miccosukee Tribe within the preceding two years, he requires a waiver to work on the part of EPA's response to Hurricane Irma that will inevitably be specific to the Tribe. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge.

MR. FORSGREN'S UNIQUE EXPERTISE AS IT RELATES TO EPA'S RESPONSE TO HURRICANE IRMA

Mr. Forsgren represented the Miccosukee Tribe from 2000-2003, 2005-2012, and most recently 2016 until his appointment to EPA in June 2017. In that role, he represented the Tribe on Everglades restoration, Army Corps projects that impact the Tribe in the Everglades, and Water quality issues impacting the Everglades. Through that representation he developed an understanding of the Tribe and its Reservation that is unmatched at EPA. He also developed a personal relationship with many Tribal leaders that would make him uniquely effective at communicating with them during an emergency situation, if necessary. Finally, he also gained a thorough understanding of how the Tribe and its Reservation are impacted by the water levels in its lands and surrounding areas such as the Everglades.

Because of its location within the southern reaches of Florida, the Miccosukee Reservation will almost certainly be impacted in a significant and adverse way by the wind, rain, and subsequent flooding attributable to Hurricane Irma. With wide-spread life-threatening impacts predicated across nearly all of the State of Florida, Administrator Pruitt must be able to utilize all of his senior officials in EPA's response to this emergency. That is especially true when one of those senior officials has a level of expertise to bring to the response that addresses an agency need and is not possessed by others. Mr. Forsgren possesses that unique expertise with regard to the Miccosukee Tribe, and his ability to engage with them regarding the response effort

will advance the agency's efforts to provide support and assistance to impacted governments in the most effective and timely manner possible.

REQUEST FOR A LIMITED WAIVER

The EPA is requesting a waiver from Mr. Forsgren's recusal obligations under Section 1, paragraph 7 of the Executive Order that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. The waiver would not extend to any other issue that Mr. Forsgren is currently recused from participating in under the Executive Order. In addition, while we are requesting a waiver without an end date in recognition of the amount of time the agency anticipates it will be engaged in response efforts, the waiver's limited substantive scope ultimately will also serve as a limitation on the duration of the waiver as well.

CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Lee Forsgren to effectively carry out his duties as Deputy Assistant Administrator and advise the EPA Administrator and other senior officials on matters related to the agency's response to Hurricane Irma. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY


FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Dennis "Lee" Forsgren
Deputy Assistant Administrator
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 for Mr. Dennis "Lee" Forsgren that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. I have determined that it is in the public interest to grant this limited waiver because of serious threat to life and the environment posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, and Mr. Forsgren's expertise and experience working with the Miccosukee Tribe. He is an ideal person to engage with the Tribe and assist the Administrator and his senior leadership team to make EPA's response to Hurricane Irma more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Forsgren so that he can ably advise the EPA Administrator. Accordingly, I authorize Dennis "Lee" Forsgren to participate personally and substantially in matters that arise as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 October 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

NOV 15 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Status of Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin Minoli *KMinoli*
Designated Agency Ethics Official and
Acting General Counsel

TO: Ethics File for Dennis "Lee" Forsgren

On June 18, 2017, Mr. Dennis "Lee" Forsgren was appointed to a non-career SES position at EPA. As such, he is subject to Executive Order 13770, including Section 1, Paragraph 7 that applies to former registered lobbyists. During the past two years, Mr. Forsgren served as a federally registered lobbyist on behalf of the Miccosukee Tribe of Indians of Florida. Therefore, he is prohibited from participating in any particular matter on which he lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless he obtains a waiver pursuant to Section 3 of the Executive Order.

In September 2017, Hurricane Irma was bearing down on the state of Florida and was anticipated to have a devastating effect upon the Miccosukee Reservation and surrounding areas. In an abundance of caution given the imminent hurricane and the anticipated potential devastation, the EPA sought an emergency and limited duration waiver from Paragraph 7 to allow Mr. Forsgren to interact with the Miccosukee Tribe, if necessary. However, this waiver -- that EPA sought on September 9, 2017 and was signed on October 2, 2017 -- was ultimately not necessary because the hurricane veered away from the Miccosukee Reservation. Consequently, the Tribe was not as severely impacted as initially anticipated and did not reach out to Mr. Forsgren at all. Therefore, this limited waiver was never utilized.

Because no matters arose as part of EPA's response to Hurricane Irma that involved Mr. Forsgren's participation with the Miccosukee Tribe or Reservation, EPA now considers the terms of the limited waiver null and void. Mr. Forsgren remains recused from interacting with the Miccosukee Tribe as memorialized in his attached Recusal Statement dated October 20, 2017. The signed waiver is also attached as a reference.

Attachments



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

NOV 15 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Status of Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Ethics File for Dennis "Lee" Forsgren

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Emergency Request for a Limited Waiver from Section 1, Paragraph 7 of Executive Order 13770

FROM: Kevin S. Minoli *K-SM*
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a limited waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Dennis "Lee" Forsgren, Deputy Assistant Administrator (DAA), Office of Water (OW), at the United States Environmental Protection Agency (EPA), to allow him to participate in EPA's efforts to respond to the impacts of Hurricane Irma. Prior to his appointment at EPA, Mr. Forsgren represented the Miccosukee Tribe of Indians on three different periods of time since 2000, including from 2016 until his appointment. The Miccosukee Tribe is a federally-recognized Indian Tribe whose reservation lands are in Broward and Miami-Dade Counties in Florida. He was listed as a federally registered lobbyist for his representation of the Tribe during the period of time that ended with his appointment at EPA, triggering certain recusal obligations for matters involving the Tribe under Section 1, Paragraph 7 of Executive Order 13770.

EPA requests this limited waiver to allow Mr. Forsgren participate fully and without limitation in EPA's response to the range of environmental and human health impacts related to Hurricane Irma. Specifically, when done as part of his participation in EPA's Hurricane Irma response effort, the waiver would enable Mr. Forsgren to engage with the Miccosukee Tribe and its representatives, advise the Administrator and other senior officials on matters related to the Tribe and its Reservation, and participate in decision-making that is related to the Tribe and its Reservation. A significant focus of the agency's response effort is providing services and support to state, local, and tribal governments, and the Miccosukee Tribe is and will be a government the EPA needs to be able to engage with freely and without restriction for this purpose. Because of Mr. Forsgren's understanding of and relationships with the Tribal Government and his position

as the senior appointee in the agency's Office of Water, Mr. Forsgren is uniquely positioned to help EPA achieve its mission in this emergency situation.

BACKGROUND

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REQUEST FOR A LIMITED WAIVER

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CONCLUSION

For the reasons set forth above, EPA respectfully requests a limited waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Lee Forsgren to effectively carry out his duties as Deputy Assistant Administrator and advise the EPA Administrator and other senior officials on matters related to the agency's response to Hurricane Irma. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations, as well as his own attorney bar obligations.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

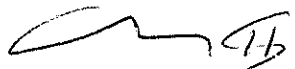
FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Limited Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Dennis "Lee" Forsgren
Deputy Assistant Administrator
United States Environmental Protection Agency

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 for Mr. Dennis "Lee" Forsgren that are related to the Miccosukee Tribe and that arise as part of his participation in EPA's response to Hurricane Irma. I have determined that it is in the public interest to grant this limited waiver because of serious threat to life and the environment posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, and Mr. Forsgren's expertise and experience working with the Miccosukee Tribe. He is an ideal person to engage with the Tribe and assist the Administrator and his senior leadership team to make EPA's response to Hurricane Irma more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a limited waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Forsgren so that he can ably advise the EPA Administrator. Accordingly, I authorize Dennis "Lee" Forsgren to participate personally and substantially in matters that arise as part of EPA's response to Hurricane Irma and that regard the Miccosukee Tribe or the Miccosukee Indian Reservation. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 October 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OCT 20 2017

OFFICE OF WATER

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Dennis Lee Forsgren, Jr.
Deputy Assistant Administrator

TO: Michael H. Shapiro
Acting Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. Although OGC/Ethics advises that conflicts are unlikely to arise, I understand that I am recused from participating personally and substantially in any particular matter that affects **Anthem Health** as a specific party because of my current level of financial ownership.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **HBW Resources**, or any former client to whom I provided legal or lobbying services during the past two years is a party or represents a party. I understand that my recusal lasts until June 18, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist during the past two years, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless I obtain a waiver pursuant to Section 3 of the Executive Order. I understand that this recusal lasts for two years from the date that I joined federal service.

Within the previous two years, I personally lobbied on behalf of the Miccosukee Tribe of Indians of Florida on water issues. In an abundance of caution to ensure that I meet my obligations under the Trump Ethics Pledge, I had decided to recuse myself from all **water quality issues related to South Florida** until June 18, 2019. However, due to the potential threat posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, on September 9, 2017, the EPA requested a limited waiver of the provisions of Paragraph 7. On October 2, 2017, the Counsel to the President granted this limited waiver which authorized me to participate personally and substantially in matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation. But since the Hurricane did not impact the Miccosukee Reservation and surrounding areas, this limited waiver was ultimately not necessary and I understand that I’m still recused from all water quality issues related to South Florida.

| RECUSAL LIST | |
|-------------------------------|--|
| In effect until June 18, 2019 | |
| FORMER EMPLOYER: | HBW Resources |
| FORMER LOBBYING: | Water Quality Issues Related to South Florida, except to the extent authorized under a limited waiver for matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation |

| | |
|-----------------|---|
| FORMER CLIENTS: | BWX Technologies, Inc. (BWXT) Plaintiff's Group – Deepwater Horizon spill litigation Virginia Hospital and Healthcare Association Transas USA Inc. |
|-----------------|---|

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude any Native American tribe,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”² But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client that is a Native American tribe. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which the Miccosukee Tribe of Indians of Florida is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities or lobbying issue area listed above, I will instruct Louise Kitamura, OW/OAA Assistant Deputy Ethics Official, to assist in screening EPA matters directed to my attention that involve my former employer or my former clients as a specific party or the particular matter/specific issue area on which I previously lobbied. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities or lobbying issue

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include any... Native American tribe.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

area on my recusal list should be directed to Louise Kitamura without my knowledge or involvement until after my recusal period ends.

If Louis Kitamura determines that a particular matter will directly involve any of the entities listed on my “specific party” recusal list or water quality issues in South Florida that are not already addressed by the limited waiver, then s/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that s/he is unsure whether an issue is a particular matter from which I am recused, then s/he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Request for a Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Jeffrey M. Sands, who will be the Senior Agricultural Advisor to the Administrator of the United States Environmental Protection Agency (EPA). In this position, he will be expected to advocate for a broad range of agricultural interests within EPA so it is vital that the incumbent is unfettered in his ability to ensure that agricultural interests are fully considered as the Administrator formulates his environmental policies. At present, Mr. Sands is serving as Manager of Syngenta's Federal Government and Industry Relations group and as Director of SyngentaPAC, a political action committee. As a federally registered lobbyist for Syngenta since 2015, Mr. Sands has been focusing on a wide range of agricultural issues including pesticides, food labeling, genetically modified organisms, biofuels and biotechnology and renewable fuels. EPA seeks to appoint Mr. Sands into a non-career SES position and, as such, will ask that he sign the Trump Ethics Pledge.

EPA requests this waiver to allow Mr. Sands to utilize the full range of his extensive knowledge and expertise in agriculture and related issues so that he can effectively and thoroughly advise the Administrator and other senior officials. His comprehensive understanding of the challenges and nuances of wide-ranging agricultural issues are critically needed to counsel and advise the Administrator and senior leadership in this vital area that affects all Americans. EPA seeks this waiver to permit Mr. Sands to work personally and substantially on all agriculture issues, including those on which he previously lobbied.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, “Ethics Commitments by Executive Branch Appointees.” All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

If appointed, Mr. Sands will sign this pledge. As a federally registered lobbyist for Syngenta within the preceding two years, he requires a waiver to work on agricultural issues for which he may previously have lobbied. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge. Please note, however, that EPA is not requesting a waiver of Section 1, paragraph 6.

MR. SANDS’ UNIQUE EXPERTISE

Mr. Sands began his higher education at Abraham Baldwin Agricultural College where he obtained an associate of science degree in environmental horticulture. He is a graduate of Valdosta State University in Valdosta, Georgia, where he obtained both a bachelor’s degree in organizational communication and a masters in public administration. He served as Agricultural Assistant to Congressman Tom Marino and, in this capacity, assisted in developing agricultural related legislation, including the Farm Bill in 2011 and 2012. Following his congressional service, Mr. Sands was named the Director of Public Policy for the Agricultural Retailers Association, which represented more than 10,000 individuals throughout the agriculture retail industry. In this position, he worked assiduously to build and develop personal relationships among Members of Congress, their staff and agency officials to raise awareness of agricultural interests.

Since 2015, Mr. Sands has served as the Manager of Federal Government and Industry Relations at Syngenta, a multi-billion dollar agribusiness company. This position has allowed Mr. Sands to complement his congressional and trade association experience with the unique private sector perspective. Taken together, he has a breadth of knowledge and experience in all facets of agricultural concerns. His demonstrated expertise in an impressive range of agricultural issues through his years of experience will greatly benefit EPA and the Administrator as Mr. Sands works to develop consensus with affected constituencies to build broad coalitions in support of legislation or regulatory reform.

The EPA Administrator needs to be able to utilize Mr. Sands' subject-matter expertise in all aspects of agricultural issues as he formulates policies at the EPA. Because the EPA does not yet have a Deputy Administrator, General Counsel, or any other Presidentially Appointed Senate confirmed political appointees, the Administrator needs to be able to rely confidently on his agricultural advisor. For his part, Mr. Sands must be free to provide his advice to the EPA's top policy-makers and share the unique perspective and expertise he has obtained through the diverse range of positions he has held with distinction throughout his career. His invaluable knowledge and experience will assist the Administrator and the Agency with respect to agricultural issues, which affect all of EPA's environmental programs.

REQUEST FOR A WAIVER

EPA does not seek this waiver lightly. The Administrator still lacks the senior members of his political team and must be able to rely upon his policy advisors. He has identified Mr. Sands as a valuable addition to his team, but needs for him to be able to work on the full range of agricultural issues to the maximum extent possible. For the reasons set forth above, EPA respectfully requests a waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Jeff Sands to effectively carry out duties as the Senior Advisor for Agriculture and advise the EPA Administrator accordingly. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations. In particular, Mr. Sands will remain restricted by the Executive Order, Section 1, paragraph 6, from participating in any particular matter involving specific parties that is directly and substantially related to his former employer, Syngenta.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

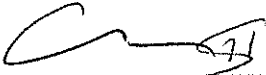
FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Jeffrey M. Sands to be appointed as Senior Advisor for Agriculture to the EPA Administrator

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Jeff Sands to allow him, upon his appointment, to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to agricultural issues. I have determined that it is in the public interest to grant this waiver because of Mr. Sands' extensive expertise in this area and in various entities, programs and policies. His deep understanding of agricultural issues forged through his previous service with Congress, a trade association and a company make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its agriculture programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Sands in the event of his appointment so that he can ably advise the EPA Administrator. Accordingly, I authorize Jeff Sands to be able to participate personally and substantially in matters regarding agricultural issues, including those on which he previously lobbied. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 OCTOBER 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Waiver Issued under Executive Order 13770 for Jeffrey M. Sands

FROM: Kevin S. Minoli *K S M*
Acting General Counsel
Designated Agency Ethics Official

TO: E. Scott Pruitt
Administrator

As you know, Executive Order 13770 (January 28, 2017) requires that every full-time political appointee sign an Ethics Pledge that sets forth additional ethics requirements. Waivers to the Pledge may be issued by the President or his designee. Any waivers issued pursuant to this Executive Order 13770 must be provided to the head of the Agency in which the person serves or has been appointed to serve. *See* the Office of Government Ethics Program Advisory entitled "Posting of Waivers Issued under Executive Order 13770," PA-17-05 (September 21, 2017).

Attached for your reference is a copy of EPA's request for a waiver of Section 1, Paragraph 7 of Executive Order 13770 for Jeffrey M. Sands, Senior Agricultural Advisor to the Administrator, and a copy of the waiver signed by the Counsel to the President on October 2, 2017. This waiver allows Jeff Sands to participate personally and substantially in matters regarding agricultural issues, including those on which he previously lobbied.

Please feel free to contact me at (202) 564-8040 if you have any questions.

Attachments

cc: Ryan Jackson, Chief of Staff
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Request for a Waiver from Section 1, Paragraph 7 of
Executive Order 13770

FROM: Kevin S. Minoli
Acting General Counsel and Designated Agency Ethics Official

THROUGH: Ryan Jackson
Chief of Staff

TO: Donald F. McGahn II
Counsel to the President
The White House

This memorandum requests a waiver from Section 1, paragraph 7 of Executive Order 13770 (January 28, 2017) for Jeffrey M. Sands, who will be the Senior Agricultural Advisor to the Administrator of the United States Environmental Protection Agency (EPA). In this position, he will be expected to advocate for a broad range of agricultural interests within EPA so it is vital that the incumbent is unfettered in his ability to ensure that agricultural interests are fully considered as the Administrator formulates his environmental policies. At present, Mr. Sands is serving as Manager of Syngenta's Federal Government and Industry Relations group and as Director of SyngentaPAC, a political action committee. As a federally registered lobbyist for Syngenta since 2015, Mr. Sands has been focusing on a wide range of agricultural issues including pesticides, food labeling, genetically modified organisms, biofuels and biotechnology and renewable fuels. EPA seeks to appoint Mr. Sands into a non-career SES position and, as such, will ask that he sign the Trump Ethics Pledge.

EPA requests this waiver to allow Mr. Sands to utilize the full range of his extensive knowledge and expertise in agriculture and related issues so that he can effectively and thoroughly advise the Administrator and other senior officials. His comprehensive understanding of the challenges and nuances of wide-ranging agricultural issues are critically needed to counsel and advise the Administrator and senior leadership in this vital area that affects all Americans. EPA seeks this waiver to permit Mr. Sands to work personally and substantially on all agriculture issues, including those on which he previously lobbied.

BACKGROUND

On January 28, 2017, President Trump signed Executive Order 13770, “Ethics Commitments by Executive Branch Appointees.” All individuals appointed to political positions on or after January 20, 2017 are required to sign the ethics pledge, which sets forth the lobbying restriction at Section 1, paragraph 7:

If I was a registered lobbyist within the 2 years before the date of my appointment, in addition to abiding by the limitations of paragraph 6, I will not for a period of 2 years after the date of my appointment participate in any particular matter on which I lobbied within the 2 years before the date of my appointment or participate in the specific issue area in which that particular matter falls.

If appointed, Mr. Sands will sign this pledge. As a federally registered lobbyist for Syngenta within the preceding two years, he requires a waiver to work on agricultural issues for which he may previously have lobbied. Section 3 of Executive Order 13770 allows the President or his designee to grant a waiver of any restriction contained in the Ethics Pledge. Please note, however, that EPA is not requesting a waiver of Section 1, paragraph 6.

MR. SANDS’ UNIQUE EXPERTISE

Mr. Sands began his higher education at Abraham Baldwin Agricultural College where he obtained an associate of science degree in environmental horticulture. He is a graduate of Valdosta State University in Valdosta, Georgia, where he obtained both a bachelor’s degree in organizational communication and a masters in public administration. He served as Agricultural Assistant to Congressman Tom Marino and, in this capacity, assisted in developing agricultural related legislation, including the Farm Bill in 2011 and 2012. Following his congressional service, Mr. Sands was named the Director of Public Policy for the Agricultural Retailers Association, which represented more than 10,000 individuals throughout the agriculture retail industry. In this position, he worked assiduously to build and develop personal relationships among Members of Congress, their staff and agency officials to raise awareness of agricultural interests.

Since 2015, Mr. Sands has served as the Manager of Federal Government and Industry Relations at Syngenta, a multi-billion dollar agribusiness company. This position has allowed Mr. Sands to complement his congressional and trade association experience with the unique private sector perspective. Taken together, he has a breadth of knowledge and experience in all facets of agricultural concerns. His demonstrated expertise in an impressive range of agricultural issues through his years of experience will greatly benefit EPA and the Administrator as Mr. Sands works to develop consensus with affected constituencies to build broad coalitions in support of legislation or regulatory reform.

The EPA Administrator needs to be able to utilize Mr. Sands' subject-matter expertise in all aspects of agricultural issues as he formulates policies at the EPA. Because the EPA does not yet have a Deputy Administrator, General Counsel, or any other Presidentially Appointed Senate confirmed political appointees, the Administrator needs to be able to rely confidently on his agricultural advisor. For his part, Mr. Sands must be free to provide his advice to the EPA's top policy-makers and share the unique perspective and expertise he has obtained through the diverse range of positions he has held with distinction throughout his career. His invaluable knowledge and experience will assist the Administrator and the Agency with respect to agricultural issues, which affect all of EPA's environmental programs.

REQUEST FOR A WAIVER

EPA does not seek this waiver lightly. The Administrator still lacks the senior members of his political team and must be able to rely upon his policy advisors. He has identified Mr. Sands as a valuable addition to his team, but needs for him to be able to work on the full range of agricultural issues to the maximum extent possible. For the reasons set forth above, EPA respectfully requests a waiver of the provisions of Section 1, paragraph 7 of the Executive Order to enable Jeff Sands to effectively carry out duties as the Senior Advisor for Agriculture and advise the EPA Administrator accordingly. He will otherwise fully comply with the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations. In particular, Mr. Sands will remain restricted by the Executive Order, Section 1, paragraph 6, from participating in any particular matter involving specific parties that is directly and substantially related to his former employer, Syngenta.

Please feel free to contact the EPA Chief of Staff, Ryan Jackson, at (202) 564-4700 or Jackson.Ryan@epa.gov or me at (202) 564-8040 or Minoli.Kevin@epa.gov if you have any questions.

MEMORANDUM

TO: KEVIN S. MINOLI
ACTING GENERAL COUNSEL AND
DESIGNATED AGENCY ETHICS OFFICIAL
UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

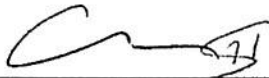
FROM: DONALD F. MCGAHN II
COUNSEL TO THE PRESIDENT
THE WHITE HOUSE

SUBJECT: Waiver of Section 1, Paragraph 7 of Executive Order 13770

Official: Jeffrey M. Sands to be appointed as Senior Advisor for Agriculture to the EPA Administrator

After reviewing your limited waiver request memorandum, I hereby waive the requirements of Section 1, paragraph 7 of Executive Order 13770 to Mr. Jeff Sands to allow him, upon his appointment, to advise the Administrator of the United States Environmental Protection Agency and other senior Agency officials with respect to agricultural issues. I have determined that it is in the public interest to grant this waiver because of Mr. Sands' extensive expertise in this area and in various entities, programs and policies. His deep understanding of agricultural issues forged through his previous service with Congress, a trade association and a company make him an ideal person to assist the Administrator and his senior leadership team to make EPA and its agriculture programs more efficient and effective.

In light of the importance of the aforementioned efforts to the Trump Administration and to the United States Environmental Protection Agency, a waiver of the provisions of paragraph 7 of the Ethics Pledge (contained in Section 1 of Executive Order 13770) is justified for Mr. Sands in the event of his appointment so that he can ably advise the EPA Administrator. Accordingly, I authorize Jeff Sands to be able to participate personally and substantially in matters regarding agricultural issues, including those on which he previously lobbied. I understand that he will otherwise fully comply with the remainder of the requirements imposed by the President's Ethics Pledge and with all applicable federal ethics laws and regulations.



Donald F. McGahn II
Counsel to the President

Dated: 2 OCTOBER 2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 28 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Texas

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Anne Idsal
Regional Administrator
Region 6

As the Regional Administrator for the United States Environmental Protection Agency (EPA) Region 6, you seek permission to participate in specific party matters involving the State of Texas. Within the last year, prior to being selected for this position, you served as Chief Clerk and Deputy Land Commissioner for the Texas General Land Office (TX GLO).

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Texas employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Texas.

I understand that you are not vested in the defined benefit plan with the State of Texas. As such, you do not have a financial conflict of interest pursuant to 18 U.S.C. § 208. However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of Texas pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the TX GLO, absent

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

an impartiality determination from me, you cannot participate in any specific party matter in which the State of Texas is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. Your area of responsibility includes Texas, as well as Arkansas, Louisiana, New Mexico, Oklahoma, and 66 federally-recognized tribes. In your role as Regional Administrator, you are expected to communicate freely with the states in your region, including Texas, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of Texas with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the TX GLO. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since March 2015, you have served as the Chief Clerk for the TX GLO, which is a Texas state agency responsible for managing state lands and the Texas coast, among other things. As Chief Clerk you oversaw all budget and expenditure matters and initiated the TX GLO's reorganization efforts to optimize business functions and provide cost savings for taxpayers. You served in this role for over two years, but I note that you previously served as General Counsel for TX GLO and also worked for the state environmental agency, the Texas Commission of Environmental Quality (TCEQ). Sensitivities regarding your impartiality will necessarily revolve around those issues in which you participated personally and substantially for the State of Texas in all of your previous roles. But, for purposes of the federal

impartiality standards, we are focused only on your “covered relationship” with your previous employer from the last year, the TX GLO. I also note that states share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Nature and importance of the employee’s role – Texas constitutes a significant portion of your portfolio as the leader of your region since your area of responsibility covers Arkansas, Louisiana, New Mexico, Oklahoma, Texas, and 66 federally-recognized tribes. In your role as Regional Administrator, you are expected to communicate freely with states, including Texas.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the TX GLO that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency’s interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Texas, but not on the very same specific party matters on which you worked on personally and substantially while employed by the TX GLO. This “cooling off” period with the State of Texas will last for one year from the date you left the TX GLO. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency’s interest in your participation outweighs any impartiality concern and authorizes you to participate.

Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters if necessary. To that end, I understand that you will not participate in any particular matter involving specific parties that are still pending with EPA that you worked on personally and substantially while at TCEQ. You are cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides for and you first obtain informed consent and notify OGC/Ethics.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Sam Coleman, Deputy Regional Administrator, Region 6
Jim Payne, Regional Counsel, Region 6
Ben Harrison, Deputy Regional Counsel, Region 6
Jan Gerro, Regional Ethics Counsel, Region 6
Terry Sykes, Regional Ethics Counsel, Region 6
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JAN - 3 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Cathy Stepp
Regional Administrator
Region 5

As the Regional Administrator for the United States Environmental Protection Agency (EPA) Region 5, you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as Secretary of the Wisconsin Department of Natural Resources.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Wisconsin employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Wisconsin.

I understand that you have a defined benefit plan with the State of Wisconsin. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Regional Administrator will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Natural Resources, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. Your area of responsibility includes Wisconsin, as well as Indiana, Illinois, Michigan, Minnesota and Ohio. In your role as Regional Administrator, you are expected to communicate freely with the states in your region, including Wisconsin, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Natural Resources. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2011, you have served as the Secretary for the Wisconsin Department of Natural Resources, which is the third largest agency in Wisconsin. In

this significant leadership role, you led the state's environmental agency responsible for state enforcement and protection of wildlife, fisheries, state parks, trail, forests and environmental permitting. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Department of Natural Resources. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Regional Administrator will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – Wisconsin constitutes a significant portion of your portfolio as the leader of your region since your area of responsibility covers Indiana, Illinois, Michigan, Minnesota, Ohio and Wisconsin. In your role as Regional Administrator, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Natural Resources that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Natural Resources. This "cooling off" period with the State of Wisconsin will last for one year from the date you left the Department of Natural Resources for those specific party matters that you did not participate in personally and substantially. You have voluntarily agreed not participate in any specific party matter involving Wisconsin in which you had previously participated. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from those matters in which you had

previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Wisconsin with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Wisconsin. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 19 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Specific Party Matters Involving the State of Alaska

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Chris Hladick
Regional Administrator
Region 10

As the Regional Administrator for the United States Environmental Protection Agency (EPA) Region 10, you seek permission to participate in specific party matters involving the State of Alaska. Within the last year, prior to being selected for this position, you served as Commissioner of the Department of Commerce, Community and Economic Development for the State of Alaska.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Alaska employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Alaska.

I understand that you have a defined benefit plan with the State of Alaska. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Regional Administrator will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

duties.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of Alaska pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the time you resign from the State of Alaska, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Alaska is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of Region 10 and part of the Agency's political team. Your area of responsibility includes Alaska, as well as Washington, Oregon, Idaho, Alaska, and 271 federally-recognized tribes. In your role as Regional Administrator, you are expected to communicate freely with the states in your region, including Alaska. You will therefore be expected to participate in discussions and meetings related to particular matters that affect Alaska. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of Alaska. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Your prior employment was with the Department of Commerce, Community and Economic Development, which is separate from the state environmental agency, the Department of Environmental Conservation. I note that, in your

official capacity, you also served in fiduciary roles on two state boards.² States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with states on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Regional Administrator will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As the leader of Region 10, Alaska is a substantial area of responsibility for you since the Region covers Washington, Oregon, Idaho, Alaska, and 271 federally-recognized tribes in the Pacific Northwest and Alaska. In your role as Regional Administrator, you are expected to communicate freely with states, including Alaska.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you may be asked to participate, including ones that merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation in nationally significant issues will be of importance to the Administrator.

While we have issued you this determination to interact with the State of Alaska, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Alaska. Nothing in this impartiality determination should preclude you from choosing to recuse yourself, although you are advised to confer with your Regional Counsel should such a circumstance arise.

This authorization will remain in effect for the remainder of your cooling off period. After one year from the date of your resignation from the State of Alaska, you will no longer have a covered relationship with Alaska under the impartiality standards and will no longer require this determination.

² Alaska Marine Pilots Board and the Alaska Mariculture Task Force. Any other board service was either non-fiduciary or delegated to a subordinate. EPA believes it unlikely that you participated personally and substantially in those matters and that there is little likelihood of any nexus with your EPA duties.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Michelle Pirzadeh, Deputy Regional Administrator, Region 10
Allyn Stern, Regional Counsel, Region 10
Socorro Rodriguez, Regional Ethics Counsel, Region 10
Garth Wright, Regional Ethics Counsel, Region 10
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

NOV 15 2017

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving Bucks County, Pennsylvania

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Acting General Counsel

TO: Cosmo Servidio
Regional Administrator
Region III

You were recently appointed as the Regional Administrator for the United States Environmental Protection Agency (EPA) Region III and seek permission to participate in specific party matters involving Bucks County, Pennsylvania. Within the last year, prior to being selected for this position, you served as director of environmental affairs for the Bucks County Water & Sewer Authority (BCWSA), created by Bucks County under the Pennsylvania Municipality Authorities Act, 53 Pa. C. S. § 5601 *et. seq.*

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, local government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your Bucks County employment. But since federal ethics rules do not contain a similar exclusion for local governments, those rules do apply to your employment with BCWSA, created by Bucks County.

Thus, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with BCWSA and, by extension, Bucks County, Pennsylvania pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the time you resign from the BCWSA, absent an impartiality determination from me, you

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

cannot participate in any specific party matter in which BCWSA or Bucks County is a party or represents a party if that matter is likely to have a direct and predictable effect upon the BCWSA or County or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You may be asked to participate in discussions and meetings related to particular matters that affect BCWSA or Bucks County, Pennsylvania. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve BCWSA or Bucks County with the following limitation: You must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the BCWSA. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – For the past year, you have served as the director of environmental affairs for the BCWSA, which is one of the largest water and sewer authorities in the Commonwealth of Pennsylvania. In this significant leadership role, you oversaw operations and facility planning to help identify needed improvements to maintain essential water and wastewater services. You also provided oversight to ensure utilities comply with all state and federal requirements. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the BCWSA, especially those related to BCWSA's compliance with state and federal requirements. Additionally, your employment during the previous year was with a local municipal authority, rather than with the state environmental agency. But States and local governments share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its

regions, works closely and directly with states and local governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is no possibility that any of EPA’s actions will affect your own financial interests or those interests imputed to you under the financial and impartiality rules. *See* 18 U.S.C. § 208 and 5 C.F.R. § 2635.502.

Nature and importance of the employee’s role – As the leader of Region III, you oversee environmental protection efforts in Pennsylvania, Delaware, Maryland, Virginia, West Virginia, and the District of Columbia. In your role as Regional Administrator, you are expected to communicate freely with these states and their local governmental entities.

Sensitivity of the matter – There may be specific party matters in which you did not participate personally and substantially for BCWSA or Bucks County that will rise to your level of attention. These matters may merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency’s interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve BCWSA or Bucks County, but not on the very same specific party matters on which you worked while employed by the BCWSA. This “cooling off” period with BCWSA and Bucks County will last for one year from the date you left BCWSA. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you or your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from these matters unless OGC/Ethics determines that the Agency’s interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with BCWSA or Bucks County on new or future specific party matters, except for any of the same specific party matters on which you participated in personally and substantially while with the BCWSA, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves BCWSA or Bucks County. Nothing in this impartiality determination should preclude you from choosing to recuse yourself altogether. For example, due to your previous employment with the Pennsylvania Department of Environmental Protection (PADEP) and your PADEP-related work on an EPA specific party matter involving the BCWSA, you have voluntarily agreed not to work on this specific party matter during your tenure at EPA.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Cecil A. Rodrigues, Deputy Regional Administrator, Region III
Mary Coe, Regional Counsel, Region III
Deane Bartlett, Regional Ethics Counsel, Region III
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

JAN 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli *KSO*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: David Ross
Assistant Administrator
Office of Water

As the Assistant Administrator for the United States Environmental Protection Agency's (EPA) Office of Water, you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as Wisconsin Assistant Attorney General and Director of the Environmental Protection Unit for the Wisconsin Department of Justice.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Wisconsin employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Wisconsin.

I understand that you have both defined benefit and defined compensation plans with the State of Wisconsin. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Assistant Administrator for Water will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, “Impartiality in Performing Official Duty.” Upon assuming the position of Assistant Administrator, you will have a “covered relationship” with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Justice, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee’s participation outweighs concern over the questioning of the “integrity of the agency’s programs and operations.” 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee’s role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee’s duties that would reduce or eliminate the likelihood that a reasonable person would question the employee’s impartiality.

In reviewing these factors, I have concluded that the interest of the United States Government in your participation outweighs any concerns about your impartiality and I am authorizing you to participate as Assistant Administrator for Water in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Justice. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since May 2016, you have served as the Wisconsin Assistant Attorney General and Director of the Environmental Protection Unit for the Wisconsin Department of Justice. In this role, you were responsible for managing the environmental litigation unit which represents the Wisconsin Department of Natural Resources and the State of Wisconsin in federal and state court, defending agency decisions, prosecuting environmental enforcement cases, and providing legal and policy advice on environmental and natural resource

issues. You served in this role for over one year, but I note that you previously worked in the Wyoming Attorney General's Office as a Senior Assistant Attorney General and a member of the Water and Natural Resources Division. In Wyoming, you were responsible for representing the Water Quality Division of the Wyoming Department of Environmental Quality in all environmental and natural resources legal matters. Sensitivities regarding your impartiality will necessarily revolve around those issues in which you participated personally and substantially in all of your previous roles. But, for purposes of the federal impartiality standards, we are focused only on your "covered relationship" with your previous employer from the last year, the Wisconsin Department of Justice. I also note that states share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Assistant Administrator will not affect any pay-outs you may receive from your retirement plans.

Nature and importance of the employee's role – As the Assistant Administrator for Water, you are responsible for advising the Administrator in matters pertaining to the implementation of various water-related statutes to ensure safe drinking water, the restoration and maintenance of oceans, watersheds and their aquatic ecosystems to protect human health, support economic and recreational activities, and provide healthy habitat for fish, plants and wildlife. OW frequently works with state and local governments to provide guidance, specify scientific methods and data collection requirements, perform oversight and facilitate communications. In the role of Assistant Administrator, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Justice that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Assistant Administrator for Water in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Justice. This "cooling off" period with the State of Wisconsin will last for one year from the date you left the Wisconsin Department of Justice. If the Agency determines that

we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters if necessary. To that end, I understand that you will not participate in any particular matter involving specific parties that are still pending with EPA that you worked on personally and substantially while at the Wyoming Attorney General's Office. You are cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides for and you first obtain informed consent and notify OGC/Ethics.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Dennis Lee Forsgren, Jr., Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving Holland & Hart LLP

FROM: Kevin S. Minoli *LSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Doug Benevento
Regional Administrator
Region 8

This memorandum addresses your ethics obligations with respect to Holland & Hart LLP (Holland & Hart), a law firm that practices environmental law and where your spouse is employed as an attorney. Because your spouse is not an equity sharing principal and does not receive any bonus based on the profitability of the firm, you do not have a financial conflict of interest with her employer, Holland & Hart. What remains is whether you may participate in particular matters in which your spouse's employer is a party or represents a party. As explained in more detail below, I am granting you a limited impartiality determination.

Pursuant to 5 C.F.R. § 2635.502(b)(1)(iii), you have a "covered relationship" with Holland & Hart given your spouse's employment. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Absent an impartiality determination, you cannot participate in any specific party matter in which Holland & Hart is a party or represents a party if that matter is likely to have a direct and predictable effect upon the firm or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve Holland & Hart with the following limitation, however unlikely: you must recuse yourself from participation in EPA specific party matters if your spouse participates in the same specific party matters. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Your spouse is employed part time as of counsel at Holland & Hart. Her areas of practice do not include environmental matters or involve the EPA. Thus, the intersection between your spouse's work and that of the Agency is extremely remote.

Effect of the matter upon your financial interest – Your spouse has no equity interest in the firm's revenues since she is not an equity sharing partner at the firm. Also, she does not receive any bonus from the firm. Due to this compensation arrangement with the firm, I conclude that there is no possibility that any of EPA's actions involving Holland & Hart will affect your own financial interests or those imputed to you under the financial and impartiality rules. *See* 18 U.S.C. § 208 and 5 C.F.R. § 2635.502.

Nature and importance of the employee's role – As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You may be asked to participate in discussions and meetings related to particular matters that involve Holland & Hart.

Sensitivity of the matter – I understand that in Region 8 there are already a number of specific party matters where Holland & Hart provides legal representation. These matters will likely rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation and input as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in specific party matters that involve Holland & Hart, but not the same specific party matters which your spouse participates in while employed at the firm. If the Agency determines that we have a compelling reason for your participation as an EPA official on any specific party matter that your spouse participates in personally and substantially, then you or your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from these matters unless EPA ethics officials determine that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with Holland & Hart on specific party matters, except for any specific party matter in which your spouse participates, please note that you may elect to voluntarily make adjustments to your duties and not participate in a particular matter that involves Holland & Hart. Nothing in this impartiality determination should preclude you from choosing to recuse yourself altogether from specific party matters in which Holland & Hart represents a party, but doing so is not necessary under the federal ethics rules.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Deb Thomas, Deputy Regional Administrator, Region 8
Kenneth Schefski, Regional Counsel, Region 8
Paul Logan, Deputy Regional Counsel, Region 8
Elyana Sutin-McCeney, Deputy Regional Counsel, Region 8
Michael Gleason, Regional Ethics Counsel, Region 8
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JAN 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Pennsylvania

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Jenifer Fields
Chief of Staff
Region 3

As the Chief of Staff for the United States Environmental Protection Agency (EPA) Region 3, you seek permission to participate in specific party matters involving the State of Pennsylvania. Within the last year, prior to being selected for this position, you served as the Regional Manager of the Clean Water Program for the Pennsylvania Department of Environmental Protection (DEP).

I understand that you have a defined benefit plan with the State of Pennsylvania. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Chief of Staff will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge,"

impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Chief of Staff you will have a "covered relationship" with the State of Pennsylvania pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from DEP, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Pennsylvania is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As the Chief of Staff, you serve as a key advisor to the Regional Administrator in all aspects under his purview. As part of the Region's leadership team, your area of responsibility will include Pennsylvania, as well as Delaware, Maryland, Virginia, West Virginia, and the District of Columbia. In your role as Chief of Staff, you are expected to communicate freely with the states in your region, including Pennsylvania, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Chief of Staff in particular matters that involve the State of Pennsylvania with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with DEP. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2006, you have served as Regional Manager for the Clean Water Program at DEP. In this leadership role, you were responsible for a staff of 40

people, handling all aspects of municipal storm water, sewage and industrial wastewater planning, permitting, compliance and enforcement. You assisted in administering the Southeast Region of Pennsylvania's NPDES program through developing, reviewing and defending NPDES and construction permits for DEP. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for DEP. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as Chief of Staff will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As Chief of Staff for Region 3, Pennsylvania constitutes a significant portion of your portfolio since your area of responsibility covers Delaware, Maryland, Pennsylvania, Virginia, West Virginia, and the District of Columbia. In your role as Chief of Staff, you are expected to communicate freely with states, including Pennsylvania.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for DEP that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Chief of Staff in such matters will be of importance to the Regional Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Pennsylvania, but not on the very same specific party matters on which you worked personally and substantially while employed by DEP. With respect to those particular matters involving Pennsylvania as a specific party and in which you previously participated personally and substantially, you have voluntarily agreed, pursuant to our advice, not to participate at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Pennsylvania with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Pennsylvania. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Cosmo Servidio, Regional Administrator, Region 3
Cecil A. Rodrigues, Deputy Regional Administrator, Region 3
Mary Coe, Regional Counsel, Region 3
Deane Bartlett, Regional Ethics Counsel, Region 3
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

JAN 10 2018

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Florida

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Matthew Leopold
General Counsel

As the General Counsel for the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Florida. Within the last year, prior to being selected for this position, you provided legal services to the Florida Department of Environmental Protection (FL DEP). You ceased providing legal services to the FL DEP on June 30, 2017.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, Executive Order 13770 defines "former employer" to exclude state or local government entities,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of "former client."² Therefore, the Ethics Pledge does not apply to your former client. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your former client.

I understand that you have a defined contribution plan with the State of Florida. As such,

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, "[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE's prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders," and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that "based on discussions with the White House Counsel's office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer."

you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as General Counsel will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of General Counsel, you will have a "covered relationship" with the State of Florida pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you last provided legal services to the FL DEP, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Florida is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a). Absent this impartiality determination, your "cooling off" period with the State of Florida will last until June 30, 2018, which is one year from the date you last provided services to them.

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As General Counsel, you are the chief legal advisor to the Agency and Administrator, and part of the Agency's political team. In your role as General Counsel, you are expected to communicate freely with states and you will be asked to participate in discussions and meetings related to particular matters that affect the State of Florida. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as General Counsel in particular matters that

involve the State of Florida with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while providing legal services to the FL DEP or while employed by Florida. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Within the last year, you have provided legal services to the FL DEP, which is the Florida state agency responsible for environmental management and stewardship. I note that you previously served as General Counsel for the FL DEP until you left the agency in March 2015. As a private sector attorney, you provided services to the FL DEP in a case in which the United States is not a party, and those services ended on June 30, 2017. Sensitivities regarding your impartiality will necessarily revolve around those issues in which you participated personally and substantially for the FL DEP in all of your previous roles. But, for purposes of the federal impartiality standards, we are focused only on your “covered relationship” with your former client from the last year. I also note that states share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Nature and importance of the employee’s role – In your role as General Counsel, you are the chief legal advisor to the Agency. Among other things, OGC lawyers provide legal counsel to EPA policy-makers, shape national legislation affecting the environment, and provide legal support for the issuance of permits, the approval of state environmental programs, and the initiation and litigation of enforcement actions. As General Counsel, you are expected to communicate freely with states, including Florida.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the FL DEP that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as General Counsel in such matters will be of importance to the Administrator, and therefore, in the Agency’s interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Florida, but not on the very same specific party matters on which you worked on personally and substantially while providing legal services to the FL DEP or while employed with the State of Florida. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue

to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters if necessary. To that end, I understand that you will not participate in any particular matter involving specific parties that are still pending with EPA that you worked on personally and substantially while providing legal services to the FL DEP or employed by Florida. You are cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides for and you first obtain informed consent and notify OGC/Ethics.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786

cc: Ryan Jackson, Chief of Staff
Erik Baptist, Senior Deputy General Counsel
David Fotouhi, Deputy General Counsel
Justin Schwab, Deputy General Counsel
Marcella Burke, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

JAN 11 2018

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Litigation Related to the TSCA Risk Evaluation Rule, TSCA Prioritization Rule, and TSCA Inventory Notification (Active-Inactive) Rule

FROM: Kevin S. Minoli *KSO*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Nancy Beck, Ph.D., DABT
Deputy Assistant Administrator
Office of Chemical Safety and Pollution Prevention

Effective April 30, 2017, you joined the United States Environmental Protection Agency (EPA) in an Administratively Determined (AD) position as the Deputy Assistant Administrator for the Office of Chemical Safety and Pollution Prevention (OCSPP). Prior to your selection, you served as the Senior Director of Regulatory Science Policy at the American Chemistry Council (ACC).

Upon joining EPA, you appropriately consulted with the Office of General Counsel's ethics office (OGC/Ethics) regarding your ethics obligations and have adhered to our advice. As an AD appointee, you understand that you are subject to the federal conflict of interest statutes and the Standards of Ethics Conduct for Employees of the Executive Branch, but you are not required to sign President Trump's ethics pledge set forth at Executive Order 13770.¹ You were advised by OGC/Ethics that you have a "covered relationship" with your former employer pursuant to the federal impartiality standards, and you cannot participate in any specific party matter involving ACC absent approval from OGC/Ethics. This recusal period is in effect until April 21, 2018.

¹ This type of appointment falls outside the definition of "appointment" set forth at Executive Order 13770 at Section 2(b). See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (March 20, 2017) and "Executive Order 13770," LA-17-02 (February 6, 2017), which apply to the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (March 16, 2009); and "Signing the Ethics Pledge," DO-09-005 (February 10, 2009).

OGC/Ethics has advised you consistently that you may participate in particular matters of general applicability, including rulemakings, even if the interests of your former employer may be affected by the rule. On June 8, 2017, I issued an impartiality determination authorizing you to attend meetings at which ACC is present or represented, provided that the subject matter of the meeting is a matter of general applicability, other interested non-federal parties are present, and other EPA officials are also in attendance. Consistent with this advice, as Deputy Assistant Administrator for OCSPP you have worked on a wide range of matters as authorized by the federal ethics rules and OGC/Ethics, including the development and promulgation of final agency actions and regulations under TSCA.

Some of the final agency actions and regulations you helped EPA issue were challenged in court. Initially, consistent with the ethics requirements and advice from OGC/Ethics, you assisted in the Agency's defense and litigation efforts in these cases. When the agency was notified that your former employer, ACC had intervened in these lawsuits, you ceased your involvement and sought further ethics advice. We indicated that unless you received an impartiality determination from me, the federal impartiality standards would prohibit you from continuing your work on these specific party matters.

You now request a determination as to whether you may, as part of your official duties, participate personally and substantially in the following litigation where you participated in the promulgation of the final rule while at EPA the ACC has either intervened or filed a motion to intervene:

| Case Name | Citation | TSCA Rule |
|---|---|---|
| Alliance of Nurses for Healthy Environments, <i>et al.</i> v. EPA; Environmental Defense Fund v. EPA | No. 17-1926 (4th Cir.) No. 17-2464 | Risk Evaluation Rule |
| Alliance of Nurses for Healthy Environments, <i>et al.</i> v. EPA | No. 17-1927 (4th Cir.) | Prioritization Rule |
| Safer Chemicals Healthy Families, <i>et al.</i> v. EPA | No. 17-72259 (9th Cir.) | Risk Evaluation Rule |
| Safer Chemicals Healthy Families, <i>et al.</i> v. EPA; Environmental Defense Fund v. EPA | No. 17-72260 (9th Cir.) No. 17-72501 | Prioritization Rule |
| Environmental Defense Fund v. EPA | No. 17-1201 (D.C. Cir.) | Inventory Notification (Active-Inactive) Rule |

You do not have any financial conflict of interest with your former employer, so the applicable ethics rules are set forth in the Standards of Ethical Conduct for Executive Branch employees, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Pursuant to 5 C.F.R. § 2635.502(b)(1)(iv), you have a "covered relationship" with ACC

as your former employer. As explained above, for one year from the time you resigned from ACC, absent an impartiality determination from me, you cannot participate in any specific party matter in which ACC is a party or represents a party if that matter is likely to have a direct and predictable effect upon ACC or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

In reviewing these factors, I have decided to allow you to participate fully in these specific party matters. In making this determination, I have taken the following factors into consideration:

- The nature of your relationship with ACC as your former employer but with whom you do not have any financial conflict of interest, as described more fully above, and that the resolution of the litigation is not expected to have an effect on the financial interest of ACC.
- As the Deputy Assistant Administrator for OCSPP, you are responsible for advising the Administrator in matters pertaining to chemical safety, pollution prevention, pesticides and toxic substances, including the development and implementation of rulemakings under federal statutes. Although your type of appointment is not a political one, you currently serve in the only non-career position in OCSPP. As such, you must be able to effectively carry out your role in advising senior agency officials, including the Administrator.
- In your capacity as the Deputy Assistant Administrator for OCSPP, you worked on the TSCA Risk Evaluation Rule, TSCA Prioritization Rule, and TSCA Inventory Notification (Active-Inactive) Rule. As part of your official EPA duties, you were authorized to participate in developing these rules. Your expertise, skill, and experience (including your experience working on these regulations while at the EPA) are needed to

enhance the Agency's litigation efforts and to ensure that you are effectively advising the Administrator, the General Counsel, and career staff.

- All of these specific party matters originated after you left ACC.
- While you still participate in an ACC defined contribution plan, neither you nor your former employer continues to make contributions. Pursuant to federal ethics regulations, this type of employee benefit plan does not present any financial conflict of interest. *See* 5 C.F.R. § 2640.201(c).

After considering the relevant facts of the situation consistent with the factors identified in the federal ethics regulations, I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality and I am authorizing you to participate as Deputy Assistant Administrator in the litigation identified above. This determination will remain in effect for the remainder of your cooling off period, which expires later this year. After April 21, 2018, you will no longer have a covered relationship with ACC under the impartiality standards and will no longer require this determination.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Louise P. Wise, Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JUN - 8 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Participation in Specific Party Matters Involving Your Former Employer, the American Chemistry Council

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Nancy Beck, Ph.D., DABT
Deputy Assistant Administrator
Office of Chemical Safety and Pollution Prevention

Effective April 30, 2017, you joined the United States Environmental Protection Agency (EPA) in an Administratively Determined (AD) position as the Deputy Assistant Administrator for the Office of Chemical Safety and Pollution Prevention (OCSPP). In this position, you are responsible for advising the Acting Assistant Administrator in matters pertaining to chemical safety, pollution prevention, pesticides and toxic substances, including implementation of rulemaking under applicable federal statutes. Previous to your selection, you served as the Senior Director of Regulatory Science Policy at the American Chemistry Council (ACC), which represents companies that are directly regulated by EPA. You seek permission to participate in specific party matters involving your former employer.

In providing my advice, I have taken into consideration the fact that, as an AD appointment, you are not required to sign the Trump ethics pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13,770 at Section 2(b).¹ You do not have any financial conflict of interest with your former employer, so the ethics rules to be applied to you are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Pursuant to 5 C.F.R. § 2635.502(b)(1)(iv), you have a "covered relationship" with ACC as your former employer. For one year from the time you resigned from ACC, absent an impartiality determination from me, you cannot participate in any specific party matter in which ACC is a party or represents a party if that matter is likely to have a direct and predictable financial effect upon the ACC or if the circumstances would cause a reasonable

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/27) and Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/09); and "Signing the Ethics Pledge," DO-09-005 (2/10/09).

person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

It is important to note that the ethical restriction applies only to particular matters involving specific parties, not to particular matters of general applicability. Generally speaking, a “specific party” matter is a “proceeding affecting the legal rights of parties, or an isolatable transaction or related set of transactions between identified parties.” *See* 5 C.F.R. § 2640.102(l). Rulemaking is not usually a “specific party” matter but rather a matter of general applicability, which involves “deliberation, decision, or action that is focused upon the interests of specific persons, or a discrete and identifiable class of persons.” *See* 5 C.F.R. § 2640.103(a)(1). Therefore, under the ethics regulations, you may participate in rulemaking, even if that rulemaking may affect the members of your former employer. While you can ethically work on rulemaking in general, you have been advised -- and understand -- that you cannot participate in any meetings, discussions or decisions that relate to any individual ACC comment nor attend any meeting at which ACC is present.

As provided by the ethics regulations, however, federal ethics officials can nonetheless permit employees to participate in matters that might raise impartiality concerns when the interest of the federal government in that employee’s participation outweighs concern over the questioning of the “integrity of the agency’s programs and operations.” *See* 5 C.F.R. § 2635.502(d). The factors that we can take into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee’s role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee’s duties that would reduce or eliminate the likelihood that a reasonable person would question the employee’s impartiality.

In reviewing these factors, I have decided to allow you to participate fully in matters of general applicability, including rulemaking, including consideration of any comments that were made by ACC. In making this determination, I have taken the following factors into consideration:

- While at ACC, you served as the Senior Director of Regulatory Science Policy and worked extensively on risk assessment, science policy and rulemaking issues;
- As ACC’s leading expert for ensuring sound implementation of risk assessment practices in the Frank R. Lautenberg Chemical Safety for the 21st Century Act, you have valuable expertise to share as the Agency considers how to implement this new statute;
- You have extensive prior expertise with the regulated industry’s perspective and are already familiar with (and may well have authored) ACC comments now under consideration. Because your prior knowledge is inherently part of your expertise, it is impractical to excise that knowledge from how you carry out your Agency duties;

- While you still participate in an ACC defined contribution plan, neither you nor your former employer continues to make contributions. Pursuant to federal ethics regulations, this type of employee benefit plan does not present any financial conflict of interest. *See* 5 C.F.R. § 2640.201(c);
- Your unique expertise, knowledge and prior experience will ensure that the Agency is able to consider all perspectives, including that of the regulated industry's major trade association;
- Although your type of appointment at EPA is not a political one, you currently serve in the only non-career position in the Office of Chemical Safety and Pollution Prevention. As such, you have a unique role in advising political staff, including the Administrator, and need to be able to be able to consider as many perspectives as you can; and
- Participation in rulemaking matters is integral to your position, so the Agency has a strong and compelling interest in ensuring that you are able to advise the Administrator, the Acting Assistant Administrator and career staff to the maximum extent possible.

Under the federal ethics regulations, you are permitted to participate in matters of general applicability (such as rulemaking) even if individual members of your former employer will be affected by that particular matter. Until now, you have recused yourself from participating personally and substantially in those comments to rulemaking that were offered by ACC. This impartiality determination confirms that you are permitted to participate in any discussions or consideration of comments submitted by ACC to rulemaking or other matters of general applicability. You may also attend meetings at which ACC is present or represented, but only if the following conditions are met: (a) the subject matter of the discussion is a particular matter of general applicability, (b) other interested non-federal entities are present besides only ACC, and (c) you are not the only Agency official at the meeting. This authorization will remain in effect for the remainder of your cooling off period. After April 21, 2018, you will no longer have a covered relationship with ACC under the impartiality standards and will no longer require this determination. I am attaching a recusal statement for you to sign and issue to your staff.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

Attachment

cc: Wendy Cleland-Hamnett, Acting Assistant Administrator
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OCT 24 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Specific Party Matters Involving the State of New York, Including the New York State Assembly

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Acting General Counsel

TO: Peter D. Lopez
Regional Administrator
Region II

On October 10, 2017, I issued an impartiality determination authorizing you to participate in particular matters that affect the State of New York. This determination allowed you to interact with the State of New York, except for the New York State Assembly as an entity. This authorization is attached and hereby incorporated by reference.

You recently asked the Office of General Counsel/Ethics (OGC/Ethics) whether this determination limits you from interacting with members of the New York State Assembly as the elected representatives of their districts or from interacting with chairpersons of New York State Assembly Committees. Based on your October 10, 2017 conversation with OGC/Ethics and upon further consideration of your need to freely interact with the Assembly's elected representatives and legislative committees, I am rescinding the limitation related to the New York State Assembly. Therefore, you are now authorized to interact with the State of New York, including the New York State Assembly, its elected members, and Committee chairpersons.

All other portions of the October 10, 2017 impartiality determination are still in effect for the remainder of your cooling off period. If you have any questions regarding this determination, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Catherine McCabe, Deputy Regional Administrator, Region II
Eric Schaaf, Regional Counsel, Region II
Mitchell Cohen, Regional Ethics Counsel, Region II
Eduardo J. Gonzalez, Regional Ethics Counsel, Region II
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OCT 10 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Specific Party Matters
Involving the State of New York

FROM: Kevin S. Minoli
Designated Agency Ethics Official and
Acting General Counsel

K SQ.

TO: Peter D. Lopez
Regional Administrator - Designee
Region II

In anticipation of being appointed as the Regional Administrator for the United States Environmental Protection Agency (EPA) Region II, you sought permission to participate in specific party matters involving the State of New York. Within the last year, prior to being appointed to this position, you served as an elected member of the New York State Assembly.

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of New York employment.

Federal ethics rules do not contain a similar exclusion for state governments, and those rules do apply to your employment with the State of New York. In particular, I understand that you have a defined benefit plan with the State of New York. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Regional Administrator will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties. Should you encounter a situation that could raise such a concern at any point in your EPA tenure.

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

please contact our office for additional guidance.

However, what remains is an impartiality concern. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Regional Administrator, you will have a "covered relationship" with the State of New York pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the time you resigned from the New York State Assembly, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of New York is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You will be asked to participate in discussions and meetings related to particular matters that affect New York. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve the State of New York, but not for any interactions involving the New York State Assembly as an entity. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2007, you served as an elected member of the New York State Assembly (the lower house of the New York State Legislature) representing a seven county region. Although you were a member on the New York Assembly Committee on Environmental Conservation and subsequently involved with state environmental issues, your prior employment was as an elected official, rather than with the state environmental agency. States share responsibility with EPA in protecting human health and the environment. With

respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with states on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Regional Administrator will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As the leader of Region II, New York is a substantial area of responsibility for you since the Region covers two states, one commonwealth and one territory. In your role as Regional Administrator, you are expected to communicate freely with states, including New York.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you may be asked to participate, including ones that merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation in nationally significant issues and critical issues facing Region II will be of importance to the Administrator.

While we have issued you this determination to interact with the State of New York, except for the New York State Assembly, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves New York. Nothing in this impartiality determination should preclude you from choosing to recuse yourself, although you are advised to confer with your Regional Counsel should such a circumstance arise. You should also consult with ethics officials if you have any questions about potential matters involving the New York State Assembly as an entity.

This authorization will remain in effect for the remainder of your cooling off period. After one year from the date of your resignation from the New York State Assembly, you will no longer have a covered relationship with the State of New York under the impartiality standards and will no longer require this determination.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Catherine McCabe, Deputy Regional Administrator, Region II
Eric Schaaf, Regional Counsel, Region II
Mitchell Cohen, Regional Ethics Counsel, Region II
Eduardo J. Gonzalez, Regional Ethics Counsel, Region II
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JUN - 7 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli 
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Anna J. Wildeman
Deputy Assistant Administrator
Office of Water

As the Deputy Assistant Administrator for Water of the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as Director of the Environmental Protection Unit of the Wisconsin Department of Justice.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Deputy Assistant Administrator, you will have a "covered relationship" with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Justice, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge," DO-090-005 (2/10/09).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As the Deputy Assistant Administrator, you serve as a key advisor to the Assistant Administrator in certain key aspects under his purview. As part of the Office of Water's senior leadership team, your area of responsibility includes major rulemakings, research strategies and program initiatives. You will be expected to communicate freely with the states, including Wisconsin, and may be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Deputy Assistant Administrator in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Justice. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2016, you have served as an Assistant Attorney General and the Director of the Environment Protection Unit of the Wisconsin Department of Justice. In this role, you prosecuted violations of Wisconsin's environmental and natural resources laws, defended administrative decisions and rules issued by the Wisconsin Department of Natural Resources, and managed the Environment Protection Unit. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Wisconsin Department of Justice. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I understand that you have a defined contribution plan with the State of Wisconsin but are not vested in its defined benefit plan. You

do not, therefore, have a financial conflict of interest pursuant to 18 U.S.C. § 208.

Nature and importance of the employee's role – As Deputy Assistant Administrator, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there may be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Justice that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Deputy Assistant Administrator in such matters will be of importance to the Assistant Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Justice. You do not anticipate that any particular matter involving Wisconsin as a specific party in which you previously participated personally and substantially will arise at EPA, but if it should, then we have advised and you have agreed not to participate in it at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you or your Assistant Administrator may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis and consistent with your applicable bar rules. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated.

While I have issued you this determination to interact with the State of Wisconsin with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Wisconsin. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with OGC/Ethics should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Dennis Lee Forsgren, Jr., Deputy Assistant Administrator
Benita Best-Wong, Acting Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

MAY 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Texas

FROM: Kevin S. Minoli *KSQ*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Emily Lindley
Chief of Staff
Region 6

As the Chief of Staff for Region 6 of the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Texas. Within the last year, prior to being selected for this position, you served as Special Assistant to the Deputy Executive Director of Texas Commission on Environmental Quality.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Upon assuming the position of Chief of Staff, you will have a "covered relationship" with the State of Texas pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Texas Commission on Environmental Quality, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Texas is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge," DO-090-005 (2/10/09).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

As the Chief of Staff, you serve as a key advisor to the Regional Administrator in all aspects under her purview. As part of the Region's leadership team, your area of responsibility includes Texas as well as Arkansas, Louisiana, New Mexico, and Oklahoma. In your role as Chief of Staff, you are expected to communicate freely with the states in your region, including Texas, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Chief of Staff in particular matters that involve the State of Texas with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Texas Commission on Environmental Quality. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Since 2008, you have served in various roles at the Texas Commission on Environmental Quality. Since June 2014, you have served as special assistant to the Deputy Executive Secretary. In this role, you helped oversee and develop their legislative appropriations request and annual operating budget. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Texas Commission on Environmental Quality. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I understand that you have a defined contribution plan with the State of Texas but not a defined benefit plan. You do not, therefore, have a financial conflict of interest pursuant to 18 U.S.C. § 208.

Nature and importance of the employee's role – As Chief of Staff for Region 6, Texas constitutes a significant portion of your portfolio since your area of responsibility covers Arkansas, Louisiana, New Mexico, Oklahoma and Texas. In your role as Chief of Staff, you are expected to communicate freely with states, including Texas.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Texas Commission on Environmental Quality that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Chief of Staff in such matters will be of importance to the Regional Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Texas, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Texas Commission on Environmental Quality. With respect to those particular matters involving Texas as a specific party and in which you previously participated personally and substantially, you have voluntarily agreed, pursuant to our advice, not to participate at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Texas with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Texas. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Anne Idsal, Regional Administrator, Region 6
David Gray, Acting Deputy Regional Administrator, Region 6
James Payne, Regional Counsel, Region 6
Ben Harrison, Deputy Regional Counsel, Region 6
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

MAY 11 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Texas

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Erin E. Chancellor
~~Counsel to the Administrator~~ *Senior Counsel
to the Assistant Administrator
for Land and Emergency Management*

As Senior Counsel to the Assistant Administrator for Land and Emergency Management in the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Texas. Within the last year, prior to being selected for this position, you served as Special Counsel to the Chairman for the Texas Commission on Environmental Quality (TCEQ).

Under President Trump's Ethics Pledge, political appointees are prohibited from participating in specific party matters in which their former employer or former client is a party. However, state government is excluded under the definition of "former employer."¹ Therefore, the Ethics Pledge does not apply to your State of Texas employment. But since federal ethics rules do not contain a similar exclusion for state government, those rules do apply to your employment with the State of Texas.

What remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." In your EPA position, you have a "covered relationship" with the State of Texas pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from TCEQ, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Texas is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

In reviewing these factors, I have concluded that the interest of the United States Government in your participation outweighs any concerns about your impartiality and I am authorizing you to participate as Senior Counsel to the Assistant Administrator in particular matters that involve the State of Texas with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with TCEQ. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – You started working at TCEQ in July 2014 as a Staff Attorney and became Special Counsel to the Chairman in December 2015. In this role as Special Counsel, you were the primary legal and policy advisor to the Chairman of TCEQ. You provided counsel on state and federal litigation involving TCEQ, aided in the development of agency policy, and advised on agency agenda items. You also served as a liaison between the Chairman, EPA Region 6, and the Environmental Council of the States, as well as the Governor's office, various state and federal elected officials, industry, TCEQ staff, environmental NGOs, and the International Boundary and Water Commission. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for TCEQ. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I understand that you are not vested in the defined benefit plan with the State of Texas. As such, you do not have a financial conflict of interest pursuant to 18 U.S.C. § 208.

Nature and importance of the employee's role – As Senior Counsel to the Assistant Administrator, you serve as a key advisor to that person in all aspects under his purview. In this role, you are also expected to communicate freely with states, including Texas.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for TCEQ that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Senior Counsel to the Assistant Administrator in such matters will be of importance to him and therefore to the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Texas, but not on the very same specific party matters on which you worked on personally and substantially while employed by TCEQ. With respect to those particular matters involving Texas as a specific party and in which you previously participated personally and substantially, you have agreed not to participate at all for the duration of your EPA tenure. If the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you may ask OGC/Ethics to reconsider the factors on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself while OGC/Ethics considers whether the Agency's interest in your participation outweighs any impartiality concern. You are also cognizant of your attorney bar rules that prohibit you from participating in any matter that is the same as or substantially related to the same specific party matter that you previously participated in personally and substantially, unless your bar provides informed consent and you notify OGC/Ethics.

While I have issued you this determination to interact with the State of Texas with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Texas. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786.

cc: Barry Breen, Acting Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

MAR - 1 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving the State of Wisconsin

FROM: Kevin S. Minoli *KSE*
Designated Agency Ethics Official and
Principal Deputy General Counsel

TO: Kurt Thiede
Chief of Staff
Region 5

As the Chief of Staff for Region 5 of the United States Environmental Protection Agency (EPA), you seek permission to participate in specific party matters involving the State of Wisconsin. Within the last year, prior to being selected for this position, you served as the Deputy Secretary of the Wisconsin Department of Natural Resources.

I understand that you have a defined benefit plan with the State of Wisconsin. As such, you have a financial conflict of interest pursuant to 18 U.S.C. § 208. Under this criminal statute, you cannot participate personally and substantially in any particular matter that will affect the State's ability or willingness to honor its contractual obligations with respect to your state retirement interests. In the Agency's experience, it is unlikely that you as the Chief of Staff for Region 5 will be in any position to affect the State's ability or willingness to pay these benefits to its retirees. I do not expect, then, that 18 U.S.C. § 208 will prohibit you from carrying out your official EPA duties.

As an Administratively Determined (AD) appointment, you are not required to sign President Trump's Ethics Pledge because this type of appointment falls outside the definition of "appointee" set forth at Executive Order 13770 at Section 2(b).¹ However, what remains is an impartiality concern under the federal ethics rules set forth in the Standards of Ethical Conduct

¹ See Office of Government Ethics advisories entitled "Guidance on Executive Order 13770," LA-17-03 (3/20/17) and "Executive Order 13770," LA-17-02 (2/6/17), which apply the following OGE advisories from the last administration in full: "Who Must Sign the Ethics Pledge?" DO-09-010 (3/16/10); and "Signing the Ethics Pledge," DO-090-005 (2/10/09).

for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, “Impartiality in Performing Official Duty.” Upon assuming the position of Chief of Staff, you will have a “covered relationship” with the State of Wisconsin pursuant to 5 C.F.R. § 2635.502(b)(1)(iv). For one year from the date you resigned from the Wisconsin Department of Natural Resources, absent an impartiality determination from me, you cannot participate in any specific party matter in which the State of Wisconsin is a party or represents a party if that matter is likely to have a direct and predictable effect upon the State or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. See 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee’s participation outweighs concern over the questioning of the “integrity of the agency’s programs and operations.” 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee’s role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee’s duties that would reduce or eliminate the likelihood that a reasonable person would question the employee’s impartiality.

As the Chief of Staff, you serve as a key advisor to the Regional Administrator in all aspects under her purview. As part of the Region’s leadership team, your area of responsibility includes Wisconsin, as well as Indiana, Illinois, Michigan, Minnesota and Ohio. In your role as Chief of Staff, you are expected to communicate freely with the states in your region, including Wisconsin, and you will be asked to participate in discussions and meetings related to particular matters that affect the State. Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Chief of Staff in particular matters that involve the State of Wisconsin with the following limitation: you must recuse yourself from participation in EPA specific party matters if you participated personally and substantially in the same specific party matters while employed with the Wisconsin Department of Natural Resources. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – For the past nineteen years, you have worked at the Wisconsin Department of Natural Resources in various positions. Since March 2015, you have served as the agency’s Deputy Secretary. In this leadership role, you helped oversee the state’s environmental agency responsible for state enforcement and protection of wildlife, fisheries,

state parks, trail, forests and environmental permitting. Sensitivities regarding your impartiality will necessarily revolve around the issues in which you participated personally and substantially for the Department of Natural Resources. States share responsibility with EPA in protecting human health and the environment. With respect to many of our statutes, EPA has directly delegated states with regulatory and enforcement authority. In fact, EPA, through its regions, works closely and directly with state governmental entities on a continuing and frequent basis.

Effect of the matter upon your financial interest – I have concluded that there is only a remote possibility that any of EPA's actions will affect the State's ability or willingness to honor its contractual obligations to pay benefits to its retirees. Your actions as the Chief of Staff will not affect any pay-outs you may receive from your retirement plan.

Nature and importance of the employee's role – As Chief of Staff for Region 5, Wisconsin constitutes a significant portion of your portfolio since your area of responsibility covers Indiana, Illinois, Michigan, Minnesota, Ohio and Wisconsin. In your role as Chief of Staff, you are expected to communicate freely with states, including Wisconsin.

Sensitivity of the matter – We anticipate that there will be specific party matters in which you did not participate personally and substantially for the Wisconsin Department of Natural Resources that will rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation as Chief of Staff in such matters will be of importance to the Regional Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in new or future specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which you worked on personally and substantially while employed by the Wisconsin Department of Natural Resources. With respect to those particular matters involving Wisconsin as a specific party and in which you previously participated personally and substantially, you have voluntarily agreed, pursuant to our advice, not to participate at all for the duration of your EPA tenure. If, however the Agency determines that we have a compelling reason for your participation as an EPA official on any of those same specific party matters that you participated in personally and substantially, then you, your Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis. Unless and until you receive written authorization, you must continue to recuse yourself from those matters in which you had previously participated unless OGC/Ethics first determines that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with the State of Wisconsin with the limitation described above, please note that you may wish to make adjustments to your duties to not participate in a particular matter that involves Wisconsin. Nothing in this impartiality determination precludes you from making additional adjustments to your duties, such as voluntarily recusing from other matters, although you are advised to confer with your Regional Counsel should such a circumstance arise.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or your regional ethics team.

cc: Cathy Stepp, Regional Administrator, Region 5
Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Erik Baptist *Erik Baptist (10/12/17)*
Senior Deputy General Counsel

TO: Kevin S. Minoli
Acting General Counsel
Designated Agency Ethics Official

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I confirm that I am recused from participating personally and substantially in any particular matter that that would have a direct and predictable effect on the **George Washington University Law School Alumni Association** (given my fiduciary position) or with **Alphabet Inc. (Google)**, and **US Bancorp**. Although OGC/Ethics advises that conflicts are unlikely to arise, I understand that I am prohibited from participating personally and substantially in any particular matter that affects these entities as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on the **internet**

technology or the banking sectors.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, the **American Petroleum Institute (API)**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal from particular matters involving API as a specific party lasts until June 18, 2019, which is two years from the date that I joined federal service. Attached is a list of cases involving the API that I will not participate in during this two-year period.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist during the past two years, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless I obtain a waiver pursuant to Section 3 of the Executive Order. I understand that this recusal lasts for two years from the date that I joined federal service. Within the previous two years, I lobbied Congress on the Renewable Fuel Standards program, including the renewable fuel percentage standards issued pursuant to Section 211(o) of the Clean Air Act, 42 U.S.C. § 7545(o). I was advised that I must recuse from this particular matter of general applicability and specific issue area, and I did so until the Counsel to the President granted me a limited waiver of the provisions of Paragraph 7 on August 25, 2017. I understand that I am now authorized to participate personally and substantially in matters regarding the Renewable Fuel Standards program.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Attached is a list of cases I am recused from given my participation while at the API.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above or matters identified in the Attachments, I will instruct David Fotouhi, Deputy General Counsel, and Rich Albores, Associate Deputy General Counsel, to assist in screening EPA

matters directed to my attention that involve those entities or sectors. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the matters on my recusal lists should be directed to Messrs. Fotouhi and Albores without my knowledge or involvement until after my recusal period ends.

If Mr. Fotouhi or Mr. Albores determines that a particular matter will directly involve the API, the George Washington University Law School Alumni Association, Alphabet Inc. (Google), or US Bancorp, then he will refer it for action or assignment to another, without my knowledge or involvement. If he identifies a particular matter of general applicability that is focused on the interests of any of the individual sectors on my recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

Attachments

cc: Elise Packard, Acting Principal Deputy General Counsel
David Fotouhi, Deputy General Counsel
Justin Schwab, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
OGC Associate General Counsels and Directors
Justina Fugh, Senior Counsel for Ethics
Regional Counsels

Erik Baptist
 RECUSAL LIST – EXECUTIVE ORDER 13,770
 In effect until June 18, 2019

FORMER EMPLOYER: American Petroleum Institute

| CASE NAME: | CITATION: |
|---|-------------------------|
| Coffeyville Resources Refining & Marketing, LLC, <i>et al.</i> v. EPA | No. 17-1044 (D.C. Cir.) |
| State of New Jersey v. EPA | No. 08-1065 (D.C. Cir.) |
| American Petroleum Institute, <i>et al.</i> v. EPA | No. 11-1309 (D.C. Cir.) |
| Sierra Club, <i>et al.</i> v. EPA | No. 13-1262 (D.C. Cir.) |
| Powder River Basin Resource Council, <i>et al.</i> v. EPA ¹ | No. 14-9530 (10th Cir.) |
| American Petroleum Institute v. EPA | No. 15-1197 (D.C. Cir.) |
| Murray Energy Corporation v. EPA | No. 15-1385 (D.C. Cir.) |
| Walter Coke, Inc., <i>et al.</i> v. EPA | No. 15-1166 (D.C. Cir.) |
| National Resource Defense Council, <i>et al.</i> v. EPA | No. 16-1413 (D.C. Cir.) |
| National Environmental Development Association's Clean Air Project v. EPA | No. 16-1344 (D.C. Cir.) |
| American Chemistry Council, <i>et al.</i> v. EPA | No. 17-1085 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 09-1038 (D.C. Cir.) |
| American Chemistry Council, <i>et al.</i> v. EPA | No. 17-1064 (D.C. Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-3751 (6th Cir.) |
| Sierra Club, <i>et al.</i> v. EPA | No. 03-1435 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 08-1124 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 08-1277 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 12-1405 (D.C. Cir.) |
| American Petroleum Institute v. EPA | No. 13-1108 (D.C. Cir.) |
| American Fuel & Petrochemical, <i>et al.</i> v. EPA | No. 16-1033 (D.C. Cir.) |
| Air Alliance Houston, <i>et al.</i> v. EPA | No. 17-1155 (D.C. Cir.) |

¹ API filed an amicus brief on behalf of EPA's decision to approve Wyoming's State Implementation Plan. Although API was not a party to the litigation itself, I will not participate in this case.

| Erik Baptist RECUSAL LIST – ATTORNEY BAR OBLIGATIONS | |
|---|-------------------------|
| CASE NAME: | CITATION: |
| Coffeyville Resources Refining & Marketing, LLC, <i>et al.</i> v. EPA | No. 17-1044 (D.C. Cir.) |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

June 9, 2017

OFFICE OF CHEMICAL SAFETY
AND POLLUTION PREVENTION

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Nancy B. Beck, Ph.D., DABT
Deputy Assistant Administrator

A handwritten signature in blue ink, reading "Nancy Beck", is positioned to the right of the "FROM:" line.

TO: Wendy Cleland-Hamnett
Acting Assistant Administrator

Because I am in an Administratively Determined position, I have been advised by the Office of General Counsel/Ethics (OGC/Ethics) that I am not subject to Executive Order 13770 and therefore not required to sign the Trump ethics pledge. But as an executive branch employee, I have always understood that I am subject to the conflict of interest statutes codified at Title 18 of the United States Code and the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635. Pursuant to the federal impartiality standards, I have understood that I have a "covered relationship" with my former employer, the American Chemistry Council (ACC), and have recused myself from participating personally and substantially in any particular matter involving specific parties in which ACC is a party or represents a party. I was advised by OGC/Ethics that my recusal period commenced the day that I left ACC and would remain in effect for one year unless I was authorized by the Office of General Counsel/Ethics (OGC/Ethics) to participate pursuant to 5 C.F.R. 2635.502(d).

I have sought and obtained confirmation from OGC/Ethics that I can participate in particular matters of general applicability, such as rulemaking, even if my former employer has an interest, and that I can participate personally and substantially in any discussions or consideration of comments that ACC submitted with regard to rulemaking or other matters of general applicability. *See* attached. I am also now authorized to attend meetings at which ACC is present or represented, provided that the subject matter of the meeting is a matter of general applicability, if other interested non-federal parties are present, and other EPA personnel attend. For the remainder of my cooling off period, until April 21, 2018, however, I understand that I cannot otherwise participate in any specific party matter involving ACC unless I first seek approval from OGC/Ethics.

I am issuing this recusal statement to ensure that our staff assist me by directing any ACC specific party matter to you instead of me, without my knowledge or involvement, until after April 21, 2018. In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests or in my personal or business relationships.

cc: OCSPP senior staff

Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 8

1595 Wynkoop Street
Denver, CO 80202-1129
Phone 800-227-8917
www.epa.gov/region8

DEC 15 2017

Ref: 8RA

MEMORANDUM

SUBJECT: My Ethics Obligations - Recusal and Screening Arrangement

FROM: Douglas H. Benevento
Regional Administrator

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and Region 8's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in particular matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse or any minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order and the Trump Ethics Pledge, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **Xcel Energy**, is a party or represents a party, or that is directed at Xcel as a specifically identifiable party (e.g. sulfur dioxide National Ambient Air Quality Standard designations directed at Xcel owned power plants). I understand that my recusal from particular matters involving Xcel Energy as a specific party lasts until October 15, 2019, which is two years from the date that I

joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication with **Xcel Energy** relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term "open to all interested parties" means five or more parties.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics impartiality rules at 5 C.F.R. § 2635.502, I understand that I have a “covered relationship” with my wife’s employer, Holland & Hart LLP. However, on December 11, 2017, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in particular matters in which Holland & Hart is a party or represents a party provided that my spouse is not herself involved. I understand that I must recuse myself from participation in EPA specific party matters if my wife works on those matters for Holland & Hart. (See attached Impartiality Determination).

SCREENING ARRANGEMENT

In order to help ensure that I do not participate in matters subject to my recusal obligations, I have taken or will take the following steps:

1. I will provide the Deputy Regional Administrator with a copy of this memorandum so that she may fully understand the purpose and scope of my recusal obligations and this screening arrangement. In order to help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Deputy Regional Administrator to seek the assistance of the Region 8 ethics team and/or OGC/Ethics if she is ever uncertain whether or not I may participate in a matter.
2. I will provide a copy of this memorandum to my principal subordinates, including the Region 8 Senior Leadership Team. I will also instruct my principal subordinates that all inquiries and comments involving matters that may be covered by my recusal obligations should be directed to the Deputy Regional Administrator for her review without my knowledge or involvement.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region 8 ethics team, I will revise and update my recusal memorandum whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, the Chief of Staff, OGC/Ethics, ORC, the Deputy Regional Administrator, and to my principal subordinates.

Attachment

cc: Ryan Jackson, Chief of Staff
Debra H. Thomas, Deputy Regional Administrator, Region 8
Kevin Minoli, Acting General Counsel
Suzanne J. Bohan, Assistant Regional Administrator, ECEJ, Region 8
Richard D. Buhl, Assistant Regional Administrator, TMS, Region 8
Carl Daly, Deputy Assistant Regional Administrator, OPRA, Region 8
Bert Garcia, Deputy Assistant Regional Administrator, OWP, Region 8
Martin Hestmark, Assistant Regional Administrator, OPRA, Region 8
Patrice Kortuem, Deputy Assistant Regional Administrator, TMS, Region 8
Paul Logan, Deputy Regional Counsel, Region 8
Andrew Mutter, Director, OCPI, Region 8
Darcy O'Connor, Assistant Regional Administrator, OWP, Region 8
Kim Opekar, Deputy Assistant Regional Administration, ECEJ, Region 8
Kenneth C. Schefski, Regional Counsel, Region 8
Betsy Smidinger, Assistant Regional Administrator, EPR, Region 8
Sandy Stavnes, Deputy Assistant Regional Administrator, EPR, Region 8
Elyana Sutin, Deputy Regional Counsel, Region 8
Patrick Davis, Senior Advisor for Public Engagement, Region 8
Laura Flynn Jenkins, Chief of Staff, Region 8
Justina Fugh, Senior Counsel for Ethics
Michael Gleason, Regional Ethics Counsel, Region 8
Diane Moon, Staff Assistant, Region 8



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

DEC 11 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Impartiality Determination to Participate in Certain Matters Involving Holland & Hart LLP

FROM: Kevin S. Minoli *KSM*
Designated Agency Ethics Official and
Acting General Counsel

TO: Doug Benevento
Regional Administrator
Region 8

This memorandum addresses your ethics obligations with respect to Holland & Hart LLP (Holland & Hart), a law firm that practices environmental law and where your spouse is employed as an attorney. Because your spouse is not an equity sharing principal and does not receive any bonus based on the profitability of the firm, you do not have a financial conflict of interest with her employer, Holland & Hart. What remains is whether you may participate in particular matters in which your spouse's employer is a party or represents a party. As explained in more detail below, I am granting you a limited impartiality determination.

Pursuant to 5 C.F.R. § 2635.502(b)(1)(iii), you have a "covered relationship" with Holland & Hart given your spouse's employment. The applicable ethics rules are set forth in the Standards of Ethical Conduct for Employees of the Executive Branch, 5 C.F.R. Part 2635, specifically Subpart E, "Impartiality in Performing Official Duty." Absent an impartiality determination, you cannot participate in any specific party matter in which Holland & Hart is a party or represents a party if that matter is likely to have a direct and predictable effect upon the firm or if the circumstances would cause a reasonable person with knowledge of the relevant facts to question your impartiality. *See* 5 C.F.R. § 2635.502(a).

Federal ethics regulations permit federal employees to participate in matters that might raise impartiality concerns when the interest of the federal government in the employee's participation outweighs concern over the questioning of the "integrity of the agency's programs and operations." 5 C.F.R. § 2635.502(d). The factors that the Agency takes into consideration are:

- (1) the nature of the relationship involved;
- (2) the effect that resolution of the matter will have upon the financial interest of the person affected in the relationship;
- (3) the nature and importance of the employee's role in the matter, including the extent to which the employee is called upon to exercise discretion in the matter;
- (4) the sensitivity of the matter;
- (5) the difficulty of reassigning the matter to another employee; and
- (6) adjustments that may be made in the employee's duties that would reduce or eliminate the likelihood that a reasonable person would question the employee's impartiality.

Because I conclude that the interest of the United States Government in your participation outweighs any concerns about your impartiality, I am authorizing you to participate as Regional Administrator in particular matters that involve Holland & Hart with the following limitation, however unlikely: you must recuse yourself from participation in EPA specific party matters if your spouse participates in the same specific party matters. In making this determination, I have taken the following factors into consideration:

Nature of the relationship involved – Your spouse is employed part time as of counsel at Holland & Hart. Her areas of practice do not include environmental matters or involve the EPA. Thus, the intersection between your spouse's work and that of the Agency is extremely remote.

Effect of the matter upon your financial interest – Your spouse has no equity interest in the firm's revenues since she is not an equity sharing partner at the firm. Also, she does not receive any bonus from the firm. Due to this compensation arrangement with the firm, I conclude that there is no possibility that any of EPA's actions involving Holland & Hart will affect your own financial interests or those imputed to you under the financial and impartiality rules. See 18 U.S.C. § 208 and 5 C.F.R. § 2635.502.

Nature and importance of the employee's role – As Regional Administrator, you are the leader of your Region and part of the Agency's political team. You may be asked to participate in discussions and meetings related to particular matters that involve Holland & Hart.

Sensitivity of the matter – I understand that in Region 8 there are already a number of specific party matters where Holland & Hart provides legal representation. These matters will likely rise to your level of attention, merit your participation and raise nationally significant issues.

Difficulty of reassigning the matter to another employee – Your participation and input as Regional Administrator in such matters will be of importance to the Administrator, and therefore, in the Agency's interests. In these situations, it may not be appropriate to reassign the matter to another employee.

Under this limited authorization, you are authorized to participate in specific party matters that involve Holland & Hart, but not the same specific party matters which your spouse participates in while employed at the firm. If the Agency determines that we have a compelling reason for your participation as an EPA official on any specific party matter that your spouse participates in personally and substantially, then you or your Deputy Regional Administrator or Regional Counsel may ask OGC/Ethics to reconsider the factors and information listed above on a case-by-case basis along with additional relevant details before determining whether to authorize your participation. You must continue to recuse yourself from these matters unless EPA ethics officials determine that the Agency's interest in your participation outweighs any impartiality concern and authorizes you to participate.

While I have issued you this determination to interact with Holland & Hart on specific party matters, except for any specific party matter in which your spouse participates, please note that you may elect to voluntarily make adjustments to your duties and not participate in a particular matter that involves Holland & Hart. Nothing in this impartiality determination should preclude you from choosing to recuse yourself altogether from specific party matters in which Holland & Hart represents a party, but doing so is not necessary under the federal ethics rules.

If you have any questions regarding this determination, or if a situation arises in which you need advice or clarification, please contact Justina Fugh at fugh.justina@epa.gov or (202) 564-1786, or any member of your regional ethics team.

cc: Deb Thomas, Deputy Regional Administrator, Region 8
Kenneth Schefski, Regional Counsel, Region 8
Paul Logan, Deputy Regional Counsel, Region 8
Elyana Sutin-McCeney, Deputy Regional Counsel, Region 8
Michael Gleason, Regional Ethics Counsel, Region 8
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

NOV 01 2017

OFFICE OF
PUBLIC ENGAGEMENT
AND ENVIRONMENTAL EDUCATION

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Tate Bennett *Tate Bennett*
Associate Administrator

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and have been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am

prohibited from participating in any particular matter involving specific parties in which my former employer, **National Rural Electric Cooperative Association (NRECA)**, is a party or represents a party. I understand that my recusal lasts until March 19, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist within the two years prior to joining federal service, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls. I am advised by OGC/Ethics that the Executive Order defines “participate” to mean “participate personally and substantially”¹ and that the term “specific issue area” means a “particular matter of general applicability.”²

I have been informed by OGC/Ethics that my obligations under Paragraph 6 arise from the specific issues areas in which I lobbied. My primary responsibilities within my lobbying portfolio were with respect to appropriations funding for the following USDA programs: The Rural Energy Savings Program, The Rural Utility Service Electric Loan Program, the Guaranteed Underwriter Program, the Rural Economic Development Loan & Grant Program and title 6205 of H.R. 2642: The Agricultural Act of 2014.

In an abundance of caution, I have consulted the Designated Agency Ethics Official about the fact that while my primary responsibilities in the appropriations issues were for USDA funding, on occasion, policy riders related to EPA were involved. I was advised that my current role in the Office of Public Engagement and Environmental Education (OPEEE) does not require personal and substantial participation in appropriations or policy issues generally, so I may continue to carry out my assigned duties.

Additionally, within the previous two years, my Lobbying Disclosure Act reports indicate that I also engaged in lobbying activities on a variety of other authorizing issues that may appear to arise at EPA. Actually, I personally lobbied on these specific EPA-related authorizing issues only in my final months with my employer when I assumed additional responsibilities outside of appropriations issues (specifically for the Clean Power Plan, Ozone National Ambient Air Quality Standards and coal combustion residual issues). Upon joining EPA, I consulted with

¹ See Exec. Order 13770, Section 2(t), which states that “participate” means to participate personally and substantially.

² See Office of Government Ethics Legal Advisory LA-17-03 (March 20, 2017).

OGC/Ethics and was advised that my former duties in the Office of Congressional and Intergovernmental Relations (OCIR) did not involve direct participation in the strategy, development or implementation in any issue areas. OGC/Ethics therefore concluded that I would not be participating “personally and substantially”³ in the same specific issue areas that I had previously lobbied. Given my new duties in OPEEE, OGC/Ethics has confirmed that I am still unlikely to be participating “personally and substantially” in the same specific issue areas that I had previously lobbied.

In both my previous and current positions at EPA, I have not and will not participate personally or substantially in the merits of any Clean Power Plan, Ozone National Ambient Air Quality Standards or coal combustion residuals discussions. I have been advised by OGC/Ethics that I can be involved in logistical matters such as setting up meetings at which parties discuss any issues, including those in which I had previously lobbied. I can also distribute information including content originating from other EPA offices including the Office of Public Affairs. Should an unexpected situation arise in which I am asked to participate in a more substantive role, I will first consult with OGC/Ethics for advice before taking any action.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to NRECA, I will instruct Stephen Gordon, Deputy Director for Public Engagement, to assist in screening EPA matters directed to my attention that involve my former employer as a specific party. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the NRECA should be directed to Mr. Gordon without my knowledge or involvement until after my recusal period ends.

If Mr. Gordon determines that a particular matter will directly involve NRECA, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my

³ To participate “personally” means to participate directly, either individually or in combination with other persons, or through the direct and active supervision of any person an employee supervises. 5 C.F.R. § 2641.201(i)(2). To participate “substantially” means that the employee’s involvement is of significance to the matter, and includes decision-making, review or recommendation as to an action being taken, signing or approving a final document, and/or participating in a final decision briefing. “Participation in peripheral aspects of a matter or in aspects not directly involving the substantive merits of a matter (such as reviewing budgetary procedures or scheduling meetings) is not substantial.” 5 C.F.R. § 2641.201(i)(3).

personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Nancy Grantham, Acting Associate Administrator for Public Affairs
George Hull, Acting Deputy Associate Administrator for Public Affairs
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Byron Brown *BBB 9/26/17*
Deputy Chief of Staff for Policy

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. Thus far, I have followed the advice provided by OGC/Ethics and have not participated personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. This memorandum formally notifies you of my continuing obligations to recuse myself from these matters.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any other person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse, minor children, or any general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I confirm that I am recused from participating personally and substantially in any particular matter that would have a direct and predictable effect on my spouse's current employer, **Hess Corporation**. I will not participate personally and substantially in any particular matter that affects Hess Corporation as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on **oil and gas exploration and production** ("upstream issues") or **oil and gas processing and transportation** ("midstream issues"). I have been advised by OGC/Ethics that my recusal does not extend to "matters" that are not yet focused on these oil and gas sectors as a discrete and identifiable class of persons. Therefore, I understand that I am not recused from participating in broad policy deliberations and actions pertaining to diverse interests, such as the risk management plan rulemaking. I understand that my recusal lasts until my spouse is no longer employed with Hess Corporation.

Because I am in an Administratively Determined position, I have been advised by OGC/Ethics that I am not considered an appointee for the purposes of Executive Order 13770 and therefore not required to sign the Trump Ethics Pledge. But as an executive branch employee, I understand that I am subject to the federal impartiality standards and have a "covered relationship" with my spouse's employer, pursuant to 5 C.F.R. § 502(b)(1)(iii).

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. I will provide a copy of this memorandum to Justina Fugh, Senior Counsel for Ethics.

cc: Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

SEP 15 2017

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Henry Darwin 
Assistant Deputy Administrator

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I am precluded from participating personally and substantially in any determination, request or recommendation that specifically relates to or affects the salary or benefits of my spouse, Veronica Darwin. Therefore, pursuant to 18 U.S.C. § 208 and 5 C.F.R. § 2635.502, I am recusing myself from participating in any award, promotion or any other personnel or administrative matter that

involves my spouse as a specific party.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer, the State of Arizona. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer do not apply to me. The definition of "former employer" in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer. For one year after my resignation from the State of Arizona, I will not participate personally and substantially in any particular matter involving specific parties in which the State of Arizona is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that my recusal lasts until July 4, 2018 and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. During my recusal period, any questions about my ability to participate in specific party matters involving the State of Arizona should be directed to OGC/Ethics without my participation or knowledge.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Justina Fugh, Senior Counsel for Ethics

¹ See Exec. Order 13,770, Section 2(j), which provides that "'former employer' does not include ... State government."



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
SOLID WASTE AND
EMERGENCY RESPONSE

NOW THE
OFFICE OF LAND AND
EMERGENCY MANAGEMENT

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Veronica Darwin
Senior Advisor *Veronica Darwin*
9/13/17

TO: Barry N. Breen
Acting Assistant Administrator
Office of Land and Emergency Management

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer, the State of Arizona. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions

contained in the Executive Order regarding former employer do not apply to me. The definition of "former employer" in the Executive Order excludes state government¹. Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer. For one year after my resignation from the State of Arizona, I will not participate personally and substantially in any particular matter involving specific parties in which the State of Arizona is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that my recusal lasts until June 30, 2018, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. During my recusal period, any questions about my ability to participate in specific party matters involving the State of Arizona should be directed to OGC/Ethics without my participation or knowledge.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Patrick Davis, Deputy Assistant Administrator for OLEM
Justina Fugh, Senior Counsel for Ethics

¹ See Exec. Order 13,770, Section 2(j), which provides that "'former employer' does not include ... State government."



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Nicholas Falvo
Special Assistant
Office of the Administrator

TO: Albert Kelly
Senior Advisor to the Administrator

OFFICE OF THE
ADMINISTRATOR

Handwritten signature and date:
2-7-18

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, **Akerman LLP** and **Career Education Colleges and Universities**, or any former client to whom I provided legal services during the past two years is a party or represents a party. My former clients include **the American Association of Cosmetology Schools, the Chabot Space and Science Center, the Consortium of Regional Climate Centers, and the Peralta Community College District**. I understand that my recusal lasts until May 7, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist within the two years prior to joining federal service, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls. I am advised by OGC/Ethics that the Executive Order defines “participate” to mean “participate personally and substantially”¹ and that the term “specific issue area” means a “particular matter of general applicability.”²

Within the previous two years, I lobbied on nuclear energy related to the Yucca Mountain project and higher education. While I may be listed as having lobbied on other issues, these are the only two issues on which I personally lobbied. I consulted with OGC/Ethics and advised them that my current duties in the Office of the Administrator do not involve my participation in the strategy, development or implementation in either of these specific issue areas. OGC/Ethics therefore concludes that, given my current duties, it is unlikely that I will be participating at a level deemed to be “personally and substantially”³ in these specific issue areas. However, if my EPA duties or involvement with these matters change, I will recuse myself to ensure that I meet the obligations of the Trump Ethics Pledge.

¹ See Exec. Order 13770, Section 2(t), which states that “participate” means to participate personally and substantially.

² See Office of Government Ethics Legal Advisory LA-17-03 (March 20, 2017).

³ To participate “personally” means to participate directly, either individually or in combination with other persons, or through the direct and active supervision of any person an employee supervises. 5 C.F.R. § 2641.201(i)(2). To participate “substantially” means that the employee’s involvement is of significance to the matter, and includes decision-making, review or recommendation as to an action being taken, signing or approving a final document, and/or participating in a final decision briefing. “Participation in peripheral aspects of a matter or in aspects not directly involving the substantive merits of a matter (such as reviewing budgetary procedures or scheduling meetings) is not substantial.” 5 C.F.R. § 2641.201(i)(3).

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OCT 20 2017

OFFICE OF WATER

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Dennis Lee Forsgren, Jr.
Deputy Assistant Administrator

TO: Michael H. Shapiro
Acting Assistant Administrator

D. Lee Forsgren

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. Although OGC/Ethics advises that conflicts are unlikely to arise, I understand that I am recused from participating personally and substantially in any particular matter that affects **Anthem Health** as a specific party because of my current level of financial ownership.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **HBW Resources**, or any former client to whom I provided legal or lobbying services during the past two years is a party or represents a party. I understand that my recusal lasts until June 18, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist during the past two years, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls, unless I obtain a waiver pursuant to Section 3 of the Executive Order. I understand that this recusal lasts for two years from the date that I joined federal service.

Within the previous two years, I personally lobbied on behalf of the Miccosukee Tribe of Indians of Florida on water issues. In an abundance of caution to ensure that I meet my obligations under the Trump Ethics Pledge, I had decided to recuse myself from all **water quality issues related to South Florida** until June 18, 2019. However, due to the potential threat posed by Hurricane Irma to the Miccosukee Reservation and surrounding areas, on September 9, 2017, the EPA requested a limited waiver of the provisions of Paragraph 7. On October 2, 2017, the Counsel to the President granted this limited waiver which authorized me to participate personally and substantially in matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation. But since the Hurricane did not impact the Miccosukee Reservation and surrounding areas, this limited waiver was ultimately not necessary and I understand that I’m still recused from all water quality issues related to South Florida.

| RECUSAL LIST In effect until June 18, 2019 | |
|---|--|
| FORMER EMPLOYER: | HBW Resources |
| FORMER LOBBYING: | Water Quality Issues Related to South Florida, except to the extent authorized under a limited waiver for matters that arise as part of EPA’s response to Hurricane Irma with respect to the Miccosukee Tribe or the Miccosukee Indian Reservation |

| | |
|-----------------|---|
| FORMER CLIENTS: | BWX Technologies, Inc. (BWXT) Plaintiff's Group – Deepwater Horizon spill litigation Virginia Hospital and Healthcare Association Transas USA Inc. |
|-----------------|---|

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude any Native American tribe,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”² But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client that is a Native American tribe. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which the Miccosukee Tribe of Indians of Florida is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities or lobbying issue area listed above, I will instruct Louise Kitamura, OW/OAA Assistant Deputy Ethics Official, to assist in screening EPA matters directed to my attention that involve my former employer or my former clients as a specific party or the particular matter/specific issue area on which I previously lobbied. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities or lobbying issue

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include any... Native American tribe.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

area on my recusal list should be directed to Louise Kitamura without my knowledge or involvement until after my recusal period ends.

If Louis Kitamura determines that a particular matter will directly involve any of the entities listed on my “specific party” recusal list or water quality issues in South Florida that are not already addressed by the limited waiver, then s/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that s/he is unsure whether an issue is a particular matter from which I am recused, then s/he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David Fotouhi
Deputy General Counsel

DD FKH 07/31/17

TO: Kevin S. Minoli
Acting General Counsel
Designated Agency Ethics Official

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, Gibson, Dunn & Crutcher LLP, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

| RECUSAL LIST | |
|--|--|
| In effect until March 26, 2019 | |
| FORMER EMPLOYER: Gibson, Dunn & Crutcher LLP | |
| FORMER CLIENTS: | |
| Association of American Railroads Carnival Corporation (Princess Cruises) CEMEX CSX Corporation Daimler AG Electric Boat Corporation General Electric Company International Paper Company | Lockheed Martin Corporation Ligado Networks (formerly LightSquared) Matson Navigation Maxus Energy Corporation Taylor Fresh Foods, Inc. Tesoro Corporation Trout Unlimited WestRock Company |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I have asked Justin Schwab, Deputy General Counsel, and Richard Albores, Associate Deputy General Counsel, to assist in screening EPA matters directed to my attention that involve

my former employer or my former clients as a specific party. All inquiries and comments involving the entities on my recusal list should be directed to Justin and Rich without my knowledge or involvement until after my recusal period ends. If Justin or Rich determine that a particular matter will directly involve any of the companies on my “specific party” recusal list, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with OGC/Ethics for a determination. I will distribute a copy of this memorandum within OGC with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to OGC.

cc: Elise Packard, Acting Principal Deputy General Counsel
Erik Baptist, Senior Deputy General Counsel
Justin Schwab, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
OGC Associate General Counsels and Directors
Justina Fugh, Senior Counsel for Ethics
Regional Counsels




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 4
ATLANTA FEDERAL CENTER
61 FORSYTH STREET
ATLANTA, GEORGIA 30303-8960

January 10, 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Onis "Trey" Glenn, III 
Regional Administrator
Region 4

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any significant financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, **Blue Ridge Consulting, Inc.** and **STRADA Professional Services, LLC**, or any former client to whom I provided services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

| RECUSAL LIST In effect until August 27, 2019 | |
|--|---|
| FORMER EMPLOYERS: Blue Ridge Consulting, Inc. STRADA Professional Services, LLC | |
| FORMER CLIENTS: Balch & Bingham, LLP Big Sky Environmental Black Mesa Energy Blue Ridge Partners, LLC Business Council of Alabama Conservatives with Courage Drummond Company | MAP Development, LLC Matrix, LLC Maynard, Cooper & Gale, PC Regional Environmental Solutions STRADA-AECOM Joint Venture Stream Restoration Services Windom-Galliher |

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state or local government entities,¹ and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”² But as an executive branch

¹ See Exec. Order 13770, Section 2(j), which provides that “‘former employer’ does not include ... State or local government.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client who is a state or local government. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which **the Birmingham Jefferson County Transit Authority (BJCTA)** or **the City of Birmingham, Alabama** is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to the city of Birmingham and BJCTA on March 8, 2018 and August 29, 2018 respectively. I will consult with OGC/Ethics should a situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving the Birmingham Jefferson County Transit Authority or the City of Birmingham.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Blake Ashbee, Region 4 Chief of Staff, to assist in screening EPA matters directed to my attention that involve these entities. All inquiries and comments involving the entities on my recusal list should be directed to Blake Ashbee without my knowledge or involvement until after my recusal period ends.

If Blake Ashbee determines that a particular matter will directly involve any of the entities listed on my “specific party” recusal list, then he/she will refer it for action or assignment to another, without my knowledge or involvement. In the event that he/she is unsure whether an issue is a particular matter from which I am recused, then he/she will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC, including OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
V. Anne Heard, Deputy Regional Administrator, Region 4
Blake Ashbee, Chief of Staff, Region 4
Suzanne Rubini, Acting Regional Counsel, Region 4
Leif Palmer, Acting Deputy Regional Counsel, Region 4
John Sheesly, Regional Ethics Counsel, Region 4
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Sarah Greenwalt *Sarah Greenwalt* 11/8/17
Senior Advisor for Water and Cross-Cutting Initiatives

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer and my former client, the State of Oklahoma. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer and former client do not apply to me. The definition of “former employer” in the Executive Order excludes state government,¹ and the Office of Government Ethics has determined that this same exclusion applies to the definition of “former client.”² Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers or former clients.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer and former client. For one year after my resignation from the Office of the Oklahoma Attorney General, I will not participate personally and substantially in any particular matter involving specific parties in which the State of Oklahoma is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that my recusal lasts until March 2, 2018, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

RECUSAL LIST

While at the EPA, I will not participate in any active cases in which Oklahoma is a party, petitioner or intervenor, which includes the following:

| Case Name | Citation |
|--|-------------------------|
| American Petroleum Institute, <i>et al.</i> v. EPA | No. 13-1108 (D.C. Cir.) |
| Clean Air Council <i>et al.</i> v. E. Pruitt | No. 17-1145 (D.C. Cir.) |

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include ... State government.”

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

| | |
|--|---|
| Florida <i>et al.</i> v. EPA | No. 15-1267 (D.C. Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-3751 (6 th Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-1385 (D.C. Cir.) (consolidated with 15-1392, 15-1490, 15-1491 & 15-1494) |
| Murray Energy Corp. v. EPA | No. 16-1127 (D.C. Cir.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA | No. 15-cv-00381 (10 th Cir.) |
| National Association of Manufacturers, <i>petitioner</i> , v. U.S. Department of Defense, <i>respondent</i> | No. 16-299 (S. Ct.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA appeal pending <i>sub nom.</i> State of Oklahoma <i>ex rel.</i> Hunter, No. 16-5039 (10 th Cir.) | No. 4:15-cv-381 (N.D. Okla.) |
| Public Service Company of Oklahoma, d.b.a. AEP v. EPA | No. 12-1023 (D.C. Cir.) |
| <i>In Re</i> Volkswagen “Clean Diesel” Marketing, Sales, Practices, And Products Liability Litigation (extends to criminal case too) | No. 2672 MDL CRB (JSC) (N.D. Cal.) Criminal case: E.D. Michigan |
| State of North Dakota v. EPA | No. 15-1381 (D.C. Cir.) (joined with No. 15-1399, then consolidated with No. 15-1381) |
| State of West Virginia, <i>et al.</i> v. EPA | No. 15-1363 (D.C. Cir.) |
| Walter Coke Inc. v. EPA | No. 15-1166 (D.C. Cir.) |
| Wildearth Guardians v. EPA | No. 13-cv-02748 (D.C. Colo.) |

I understand that this commitment is longer than is required by federal impartiality standards, but I am taking this action to avoid the appearance of any impropriety under federal ethics or professional responsibility obligations.

With respect to cases involving EPA in which Oklahoma joined other states in filing an amicus brief, I understand that Oklahoma was not a party to the litigation itself. The Designated Agency Ethics Official (DAEO) was informed that Oklahoma itself neither authored the amici briefs nor otherwise participated in the litigation in any way. Most of those cases are resolved, except for *Building Industry Association of the Bay Area, et al. v. Department of Commerce, et al.* (the U.S. Supreme Court denied *certiorari*); *Sierra Club et al., plaintiffs-appellees v. Regina McCarthy in her capacity as Administrator of the United States Environmental Protection Agency, defendants-appellees*; *State of Arizona et al., intervenor-plaintiff-appellants*, No. 15-15894 (9th Cir.), on appeal from N.D. Cal., No. 13-cv-03953-SI (this case is fully argued and briefed is awaiting decision only); and *Wyoming v. EPA*, Nos. 14-9512 and 14-9514 (10th Cir.) (the standard of review argument advanced in the amicus brief that Oklahoma joined was uncontested on review).

Thus far, I have not participated in any of the cases listed in this recusal statement and will continue to recuse for now. In the event that I wish to participate, I will seek an ethics determination from the DAEO, who will apply the federal impartiality standard set forth at 5 C.F.R. § 2635.502. I understand that my professional responsibility obligations may impose consent requirements in order to participate. I will provide notification of such consent, if sought and obtained, to EPA’s ethics officials.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties.

cc: Kevin S. Minoli, Designated Agency Ethics Official
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 7
11201 RENNER BOULEVARD
LENEXA, KS 66219

FEB 05 2018

OFFICE OF
THE REGIONAL ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: James B. Gulliford

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that, apart from my spouse's employment, I do not currently have any financial conflicts of interest. I am recused from participating personally and substantially in any particular matter that would have a direct and predictable effect on my spouse's current employer, Liberty Public Schools. I will not participate personally and substantially in any particular matter that affects Liberty Public Schools as a specific party or as a member of an affected class.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, the **Soil and Water Conservation Society** and **Agriculture and Conservation Services, LLC**, is a party or

represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Karen Flournoy, Acting Deputy Regional Administrator, Region 7
David Cozad, Regional Counsel, Region 7
Karina Borromeo, Regional Ethics Counsel, Region 7
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
AIR AND RADIATION

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David S. Harlow
Senior Counsel

TO: William L. Wehrum
Assistant Administrator

DATE: December 28, 2017

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am

prohibited from participating in any particular matter involving specific parties in which my former employer, **Hunton & Williams LLP**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties. Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA.¹

| RECUSAL LIST | |
|---|---|
| In effect until October 1, 2019 | |
| FORMER EMPLOYER: Hunton & Williams LLP | |
| FORMER CLIENTS: ² Agrium Inc.; Nu-West Industries, Inc. Chevron Corporation DTE Energy Company LG&E and KU Energy, LLC | National Stone, Sand and Gravel Association Sunflower Electric Power Corporation, Inc. Utility Air Regulatory Group |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Attached is a list of cases I am recused from given my participation at Hunton & Williams LLP.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Josh Lewis, Chief of Staff, and Mandy Gunasekara, Principal Deputy

¹ For my former clients who are not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

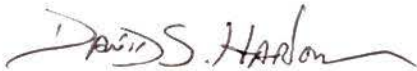
² One confidential client is not listed. This client has a written confidentiality agreement expressly prohibiting disclosure.

Assistant Administrator, to assist in screening EPA matters directed to my attention that involve these entities. All inquiries and comments involving the entities on my recusal list should be directed to Josh and Mandy without my knowledge or involvement until after my recusal period ends.

If Josh or Mandy determine that a particular matter will directly involve any of the entities listed on my "specific party" recusal list, then he/she will refer it for action or assignment to another, without my knowledge or involvement. In the event that he/she is unsure whether an issue is a particular matter from which I am recused, then he/she will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.



David S. Harlow
Senior Counsel

cc: Elizabeth Shaw, Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics

David S. Harlow
RECUSAL LIST – ATTORNEY BAR OBLIGATIONS

| CASE NAME: | CITATION: |
|-------------------------------------|---|
| Utility Air Regulatory Group v. EPA | No. 12-1166 (D.C. Cir.) (consolidated with No. 12-1100) |
| American Petroleum Institute v. EPA | No. 13-1063 (D.C. Cir.) (consolidated with No. 11-1309) |
| Utility Air Regulatory Group v. EPA | No. 15-1370 (D.C. Cir.) (consolidated with No. 15-1363) |
| LG&E and KU Energy v. EPA | No. 15-1418 (D.C. Cir.) |
| Utility Air Regulatory Group v. EPA | No. 17-1018 (D.C. Cir.) (consolidated with No. 17-1015) |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

FEB - 1 2018

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Matthew Z. Leopold
General Counsel

A handwritten signature in blue ink, appearing to read "M3f", is written over the name "Matthew Z. Leopold".

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **Carlton Fields Jorden Burt, P.A.**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties. Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA.¹

| RECUSAL LIST | |
|--|---|
| In effect until January 7, 2020 | |
| FORMER EMPLOYER: Carlton Fields Jorden Burt, P.A. | |
| FORMER CLIENTS: | |
| 25 Countryside West, LLC AEW Capital Management, LP AIG Environment Amherst Consulting Company, LLC Anderson Columbia Co., Inc. Arcadis US, Inc AT&T BASF Corporation Blackwater Resources, LLC Bluefield Ranch Mitigation Bank, LLC Burnett Oil Co., Inc. Collier Resources Company LLP Comanco Environmental Corporation East Bay Farms, LLC Edgar Minerals, Inc. Evergreen Communities, Inc. Ford Motor Company Foundation for Better Environmental Stewardship FPL Group, Inc. | The Geo Group, Inc. Girl Scouts of West Central Florida, Inc. Hendry Energy Services, LLC Howard Group Development Company Laurus Corp. Leisure Resorts, LLC Mosaic Fertilizer, LLC Neology, Inc. Norstar Development USA, L.P. PRH Investments, LLC The Republican National Committee Resource Environmental Solutions, LLC Sharfi, Benjamin K. Siemens Corporation Tucker, Leslie Hollis The Villages of Lake-Sumter, Inc. WCI Communities, LLC WRS, Inc |

After consulting with OGC/Ethics, I am advised that I do not need to recuse myself from matters involving NextEra Energy, the parent company of one of my former clients, because I

¹ For my former clients who are not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

did not personally provide legal services to this entity. However, in an abundance of caution, I am voluntarily recusing myself from matters involving **NextEra Energy** at this time.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state or local government entities,² and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”³ But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client who is a state or local government.

Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which **Okaloosa County, Florida** is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to Okaloosa County, Florida on December 31, 2018. I will consult with OGC/Ethics should a situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving Okaloosa County.

Pursuant to the federal impartiality standards, I also understand that I have a “covered relationship” with another former client, the Florida Department of Environmental Protection (FL DEP). However, on January 10, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Florida, but not on the very same specific party matters on which I worked on personally and substantially while providing legal services to the FL DEP or employed by the State of Florida.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Thus, I will not participate in the following two cases:

² See Exec. Order 13770, Section 2(j), which provides that “‘former employer’ does not include ... State or local government.”

³ See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

| CASE NAME: | CITATION: |
|--|---|
| United States, <i>et al.</i> v. South Florida Water District Management, <i>et al.</i> | No. 1.88-cv-1886 (S.D. Fla) |
| BASF Corporation v. State of Florida, Department of Environmental Protection | Case No. 17-003684RP; Rule No. 62-304.305, F.A.C. |

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Kevin Minoli, Principal Deputy General Counsel, to assist in screening EPA matters directed to my attention that involve those entities. All inquiries and comments involving the entities on my recusal list should be directed to Mr. Minoli without my knowledge or involvement until after my recusal period ends.

If Mr. Minoli determines that a particular matter will directly involve any of the entities or matters listed on my "specific party" recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
Kevin Minoli, Principal Deputy General Counsel
Erik Baptist, Senior Deputy General Counsel
David Fotouhi, Deputy General Counsel
Justin Schwab, Deputy General Counsel
Marcella Burke, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
Justina Fugh, Senior Counsel for Ethics
OGC Associate General Counsels and Directors
Regional Counsels



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
CONGRESSIONAL AND
INTERGOVERNMENTAL
RELATIONS

DEC 12 2017

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Troy Lyons
Associate Administrator

A handwritten signature in black ink, appearing to read "Troy Lyons", written over the printed name and title.

TO: Ryan Jackson
Chief of Staff

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employers, **Hess Corporation** and **BP America**, is a party or represents a party. I understand that my recusal lasts until April 2, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

Pursuant to Section 1, Paragraph 7 of the Executive Order, since I was a registered lobbyist within the two years prior to joining federal service, I understand that I am also prohibited from participating in any particular matter on which I lobbied within the previous two years and prohibited from participating in the specific issue area in which that particular matter falls. I am advised by OGC/Ethics that the Executive Order defines “participate” to mean “participate personally and substantially”¹ and that the term “specific issue area” means a “particular matter of general applicability.”²

Within the previous two years, I lobbied Congress on the Renewable Fuel Standards program, crude oil exports, offshore air emissions, and methane emissions. While my Lobbying Disclosure Act reports indicate that I also engaged in other lobbying activities, these are the only issues on which I personally lobbied that may arise at EPA. Upon joining EPA, I consulted with OGC/Ethics and was advised them that my current duties in the Office of Congressional and Intergovernmental Relations do not involve my participation in the strategy, development or implementation in any of these specific issue areas. OGC/Ethics therefore concludes that, given my duties, it is unlikely that I will be participating at a level deemed to be “personally and substantially”³ in these specific issue areas. However, if my EPA duties or involvement with these matters change to a more substantive role, I will consult with OGC/Ethics before taking any action.

SCREENING ARRANGEMENT

¹ See Exec. Order 13770, Section 2(t), which states that “participate” means to participate personally and substantially.

² See Office of Government Ethics Legal Advisory LA-17-03 (March 20, 2017).

³ To participate “personally” means to participate directly, either individually or in combination with other persons, or through the direct and active supervision of any person an employee supervises. 5 C.F.R. § 2641.201(i)(2). To participate “substantially” means that the employee’s involvement is of significance to the matter, and includes decision-making, review or recommendation as to an action being taken, signing or approving a final document, and/or participating in a final decision briefing. “Participation in peripheral aspects of a matter or in aspects not directly involving the substantive merits of a matter (such as reviewing budgetary procedures or scheduling meetings) is not substantial.” 5 C.F.R. § 2641.201(i)(3).

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Robin Richardson, Principle Deputy Associate Administrator for the Office of Congressional and Intergovernmental Relations, to assist in screening EPA matters directed to my attention that involve the Hess Corporation or BP America. All inquiries and comments involving the Hess Corporation or BP America should be directed to Robin Richardson without my knowledge or involvement until after my recusal period ends.

If Robin Richardson determines that a particular matter will directly involve the Hess Corporation or BP America, then s/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that s/he is unsure whether an issue is a particular matter from which I am recused, then s/he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

cc: Robin H. Richardson, Principal Deputy Associate Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

MAY - 4 2017

THE ADMINISTRATOR

MEMORANDUM

SUBJECT: My Ethics Obligations

FROM: E. Scott Pruitt
Administrator

TO: Acting Assistant Administrators
Acting General Counsel
Inspector General
Acting Regional Administrators

This memorandum provides you with written notification regarding my ethics obligations. I have conferred with the Office of General Counsel's Ethics Office (OGC/Ethics) and understand that I must recuse myself from matters in which I have a financial interest, or a personal or business relationship. I also understand that I have certain obligations to my state bar and also under the President's Ethics Pledge that I have signed. This recusal statement addresses all of my ethics obligations.

Obligations Under the President's Ethics Pledge

I understand that I have ethics obligations with respect to my former employer and my former client, the State of Oklahoma. The President's Ethics Pledge provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the pledge that regard former employer and former client do not apply to me. The Executive Order defines "former employer" to exclude state government,¹ and the Office of Government Ethics has determined that this same exclusion applies to the definition of "former client."² Therefore, OGC/Ethics has confirmed that I am not subject to the additional pledge restrictions regarding former employers or former clients.

Ethics Obligations Under the Impartiality Provisions

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer and former client. I also understand that I have a "covered relationship" with certain

¹ See Exec. Order 13,770, Section 2(j), which provides that "former employer" does not include ... State government."

² See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, "[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE's prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders," and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that "based on discussions with the White House Counsel's office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer."

organizations in which I was active during the past year. For one year after my resignation as Attorney General, and one year from my resignation from the entities specified below, I will not participate personally and substantially in any particular matter involving specific parties in which any of the following entities is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). This federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking.

| Name of Entity | Date when recusal from specific party matters ends under Federal Ethics Obligations |
|---------------------------------------|---|
| State of Oklahoma | February 18, 2018 |
| Southern Baptist Theological Seminary | February 18, 2018 |
| Windows Ministry Incorporated | February 18, 2018 |
| Rule of Law Defense Fund | December 9, 2017 |

Commitment to My Ethical Responsibilities

To demonstrate my profound commitment to carrying out my ethical responsibilities, while I am the Administrator of the United States Environmental Protection Agency, I will not participate in any active cases in which Oklahoma is a party, petitioner or intervenor, including the following:

| Case Name | Citation |
|--|---|
| American Petroleum Institute, et al. v. EPA | No. 13-1108 (D.C. Cir.) |
| Florida <i>et al.</i> v. EPA | No. 15-1267 (D.C. Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-3751 (6 th Cir.) |
| Murray Energy, <i>et al.</i> v. EPA | No. 15-1385 (D.C. Cir.) (consolidated with 15-1392, 15-1490, 15-1491 & 15-1494) |
| Murray Energy Corp. v. EPA | No. 16-1127 (D.C. Cir.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA | No. 15-cv-00381 (10 th Cir.) |
| National Association of Manufacturers, <i>petitioner</i> , v. U.S. Department of Defense, <i>respondent</i> ³ | No. 16-299 (S. Ct.) |
| Oklahoma <i>ex rel.</i> Pruitt v. EPA appeal pending <i>sub nom.</i> State of Oklahoma <i>ex rel.</i> Hunter, No. 16-5039 (10 th Cir.) | No. 4:15-cv-381 (N.D. Okla.) |
| <i>In Re</i> Volkswagen “Clean Diesel” Marketing, Sales, Practices, And Products Liability Litigation (extends to criminal case too) | No. 2672 MDL CRB (JSC) (N.D. Cal.) Criminal case: E.D. Michigan |
| State of North Dakota v. EPA | No. 15-1381 (D.C. Cir.) (joined with No. 15-1399, then consolidated with No. 15-1381) |
| State of West Virginia, <i>et al.</i> v. EPA | No. 15-1363 (D.C. Cir.) |
| Walter Coke Inc. v. EPA | No. 15-1166 (D.C. Cir.) |
| Wildearth Guardians v. EPA | No. 13-cv-02748 (D.C. Colo.) |

³ EPA Ethics updated this chart on 5-17-17 to include this case, which was inadvertently omitted. It had erroneously included with the amicus filings on page 3. EPA Ethics notes that the Administrator has not and will not participate in this case.

I understand that this commitment is longer than is required by the federal impartiality standards, but I am taking this action to avoid even the appearance of any impropriety under federal ethics or professional responsibility obligations.

With respect to cases involving EPA in which Oklahoma joined other states in filing an amicus brief, I understand that Oklahoma was not a party to the litigation itself. I have informed the Designated Agency Ethics Official (DAEO) that Oklahoma itself neither authored the amici briefs nor otherwise participated in the litigation in any way. Most of those cases are resolved, except for *Building Industry Association of the Bay Area, et al. v. Department of Commerce, et al.* (the U.S. Supreme Court denied *certiorari*); *Sierra Club et al., plaintiffs-appellees v. Regina McCarthy in her capacity as Administrator of the United States Environmental Protection Agency, defendants-appellees; State of Arizona et al., intervenor-plaintiff-appellants*, No. 15-15894 (9th Cir.), on appeal from N.D. Cal., No. 13-cv-03953-SI (this case is fully argued and briefed is awaiting decision only); and *Wyoming v. EPA*, Nos. 14-9512 and 14-9514 (10th Cir.) (the standard of review argument advanced in the amicus brief that Oklahoma joined was uncontested on review).

Thus far, I have not participated in any of the cases listed in this recusal statement officially at all and will continue to recuse for now. In the event that I wish to participate, I will seek an ethics determination from the DAEO, who will apply the federal impartiality standard set forth at 5 C.F.R. § 2635.502. I understand that my professional responsibility obligations may impose consent requirements in order to participate. I will provide notification of such consent, if sought and obtained, to EPA's ethics officials.

Screening Arrangement

In order to help ensure that I do not participate in matters relating to any of the entities listed above, I have taken or will take the following steps:

1. I am instructing Ryan Jackson, Chief of Staff to screen all EPA matters, including existing litigation, directed to my attention that involve outside entities or that require my participation, to determine if they involve any of the entities or organizations listed above.
2. Until such time as a Presidentially Appointed Senate confirmed appointee is confirmed and sworn into a position such as the Deputy Administrator, General Counsel or Assistant Administrator, I am designating the Chief of Staff to take appropriate action or refer it with the Agency for appropriate action or assignment, without my knowledge or involvement.
3. I will provide the Chief of Staff and Sarah Greenwalt, Senior Advisor to the Administrator, with a copy of this memorandum so that they may fully understand the purpose and scope of my

recusal obligations and this screening arrangement. In order to help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Chief of Staff and/or Ms. Greenwalt to seek the assistance of OGC/Ethics if they are ever uncertain whether or not I may participate in a matter.

4. I will provide a copy of this memorandum to my principal subordinates. I will also instruct my principal subordinates that all inquiries and comments involving any of the entities listed above should be directed to the Chief of Staff without my knowledge or involvement.
5. In consultation with OGC/Ethics, I will revise and update my ethics agreement and/or this memorandum whenever is warranted by changed circumstances, including changes in my financial interests, my personal or business relationships, or the nature of my official duties.
6. In the event of any changes to this screening arrangement, I will provide a copy of the revised screening arrangement memorandum to the Chief of Staff, OGC/Ethics, and any principal subordinates.

cc: Ryan Jackson, Chief of Staff
Sarah Greenwalt, Senior Advisor to the Administrator
Kevin S. Minoli, Designated Agency Ethics Official
Justina Fugh, Alternate Designated Agency Ethics Official



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

FEB 8 2018

OFFICE OF WATER

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David P. Ross
Assistant Administrator

A handwritten signature in blue ink, appearing to read "D. Ross", is placed next to the name "David P. Ross" in the "FROM:" field.

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I am disqualified from participating personally and substantially in any particular matter that would have a direct and predictable effect on certain financial interests imputed to me under 18 U.S.C. § 208. These recusals are in place for as long as my imputed interest owns stock in the following:

| |
|--|
| Particular Matters of General Applicability AND Particular Matters Involving Specific Parties |
| ADP |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employers, the Wisconsin Department of Justice and the Wyoming Attorney General's Office. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer do not apply to me. The definition of "former employer" in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to the federal impartiality standards, I understand that I have a "covered relationship" with any former employer I've served within the last year who is a state government. However, on January 11, 2018, the EPA's Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters on which I worked on personally and substantially while employed with the Wisconsin Department of Justice.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. Thus, I will not participate in the following matters:

¹ See Exec. Order 13770, Section 2(j), which provides that "'former employer' does not include ... State or local government."

| CASE NAME and/or SUBJECT MATTER: | CITATION and/or DESCRIPTION: |
|--|---|
| All litigation challenging the June 2015 Clean Water Rule, including: ² <ul style="list-style-type: none"> - National Association of Manufacturers, <i>petitioner</i>, v. U.S. Department of Defense, <i>et al. respondents</i> - Murray Energy Corporation v. EPA, <i>et al.</i> - State of Georgia, <i>et al.</i> v. E. Scott Pruitt, <i>et al.</i> - North Dakota, <i>et al.</i> v. EPA, <i>et al.</i> | No. 16-299 (S. Ct.) No. 15-3751 (6th Cir.) No. 15-14035 (11th Cir.) No. 3:15-cv-00059 (D.N.D.) |
| State of Wisconsin v. Madison-Kipp Corp. ³ | No. 12-CX-46 (Dane County) |
| Matters related to the Pebble Mine near Bristol Bay, Alaska | Includes any associated litigation, settlement agreement, and permitting ⁴ |

SCREENING ARRANGEMENT

In order to ensure that I do not participate in the matters listed above, I will instruct Lee Forsgren, Deputy Assistant Administrator, to assist in screening EPA matters directed to my attention. All inquiries and comments involving the matters on my recusal list should be directed to Mr. Forsgren without my knowledge or involvement until after my recusal period ends.

If Mr. Forsgren determines that any of the matters listed on my recusal list is involved, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

² I am advised by OGC/Ethics that my recusal is limited to these specific party matters challenging the Clean Water Rule issued in June 2015. I understand that I am not recused from working on other rulemakings or related matters, including the two-step rulemaking process intended to review and revise the definition of "waters of the United States" (Step 1 rule and Step 2 rule), and any subsequent litigation from such rulemakings.

³ Although not necessarily required, I am voluntarily recusing myself from any SDWA or TSCA (PCB) matters at the Madison-Kipp Corporation facility to avoid the appearance of any impropriety under federal ethics or professional responsibility obligations.

⁴ In an abundance of caution to avoid even the appearance of an ethical or professional responsibility concern, my recusal includes, but is not limited to, Pebble Ltd. P'tship v. EPA, *et al.*, No. 3:14-cv-00097-HRH (D. Alaska), and other associated lawsuits, as well as the subsequent May 11, 2017 Settlement Agreement addressing all of these matters.

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
D. Lee Forsgren, Jr., Deputy Assistant Administrator
Benita Best-Wong, Acting Principal Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

Washington, D.C. 20460

OFFICE OF
THE ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Justin Schwab
Senior Legal Advisor

TO: Don Benton
Senior White House Advisor

Charles Munoz
White House Liaison

Jn J L 02/10/2017

This memorandum provides you with written notification of my obligation to recuse myself from participation personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any other person whose interests are imputed to me unless I first obtain a written waiver, pursuant to § 208(b)(1), or qualify for a regulatory exemption, pursuant to § 208(b)(2). Because of the current level of my financial ownership, I am disqualified from participating personally and substantially in any particular matter that affects *Microsoft* as a specific party.

In addition, I understand I am obliged to recuse myself from certain matters in which I have a covered relationship under the federal impartiality regulations. I am prohibited from participating in any particular matter in which my former employer, *Baker and Hostetler*, is or represents a party. I understand that my recusal lasts for one year from the date that I left the firm, so this recusal will end on January 19, 2018.

I further understand that I have a covered relationship with *any client* for whom I provided legal services. I understand that there is no *de minimis* exception for the provision of such services and that the ethics rules address any "person" to whom such services were provided, which can include subsidiaries. I will personally monitor my recusal list and promptly recuse myself as appropriate. For federal ethics purposes, this recusal remains in effect for one year from the date that I last provide services to that client or unless I am first authorized by the Office of General Counsel/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). But I understand that I am also subject to my own bar obligations.

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, and/or when I change positions within EPA. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to the appropriate supervisor, OGC/Ethics, and any principal subordinates.

I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

cc: Justina Fugh, Senior Counsel for Ethics

Jan J. Bl 02/10/2017



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

AUG 7 - 2017

OFFICE OF
GENERAL COUNSEL

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Justin Schwab
Deputy General Counsel

TO: Kevin S. Minoli
Acting General Counsel
Designated Agency Ethics Official

A handwritten signature in black ink, appearing to read "Justin Schwab".

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum updates my earlier recusal by specifying my former clients, but I have been complying and will continue to comply with my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. Because of the current level of my financial ownership, I am disqualified from participating personally and substantially in any particular matter that affects **Microsoft** as a specific party.

OBLIGATIONS UNDER EXECUTIVE ORDER 13,770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, **Baker and Hostetler LLP**, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties. Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA.¹

| RECUSAL LIST | |
|--|---|
| In effect until January 23, 2019 | |
| FORMER EMPLOYER: Baker and Hostetler LLP | |
| <p>FORMER CLIENTS:</p> <p>AK Steel Corporation American Bureau of Shipping American Fuel & Petrochemical Manufacturers Arcelormittal Usa LLC Association of Battery Recyclers Big River Steel, LLC Broadwood Investment Holdings, LP Cape Wind Associates, LLC Caterpillar, Inc. Cato Institute Chevron U.S.A. Inc. Colgate-Palmolive Company Competitive Enterprise Institute C.R. Bard, Inc. Grupo Ferré Rangel JTEKT North America Corporation Mississippi Silicon, LLC</p> | <p>NYK Line North America, Inc. Oldcastle Materials, Inc. Pentair Residential Filtration, LLC Resolute Forest Products Inc. Salt River Pima-Maricopa Indian Community Sanders Lead Company, Inc. Shamrock Foods Company State Government Leadership Foundation Sunoco, Inc. The Aluminum Association, Inc. The Center for Consumer Freedom The National Center for Public Policy Research The Scotts Company, LLC The Southern Company Trafigura Trading LLC United States Beet Sugar Association Valero Renewable Fuels Company, LLC</p> |

¹ For my former clients that are not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state government,² and the Office of Government Ethics has determined that the same exclusion applies to the definition of “former client.”³ But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a one-year cooling off period with any former client who is a state government. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which the **State of Oklahoma** or the **Virginia House of Delegates** is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). For federal ethics purposes, I understand that my recusal remains in effect for one year from the date that I last provided services to that client, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to the State of Oklahoma on September 23, 2017, and with regard to the Virginia House of Delegates on August 31, 2017.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct David Fotouhi, Deputy General Counsel, and Richard Albores, Associate Deputy General Counsel, to assist in screening EPA matters directed to my attention that involve these entities. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities on my recusal list should be directed to Justin and Rich without my knowledge or involvement until after my recusal period ends.

If Mr. Fotouhi or Mr. Albores determine that a particular matter will directly involve any of the entities listed on my “specific party” recusal list, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with

² See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include ... State government.”

³ See Office of Government Ethics Legal Advisory 17-02 (February 6, 2017), which states that, “[w]ith respect to Executive Order 13770, ethics officials and employees may continue to rely on OGE’s prior guidance regarding Executive Order 13490 to the extent that such guidance addresses language common to both orders,” and Office of Government Ethics Legal Advisory DO-09-011 (March 26, 2009), which states that “based on discussions with the White House Counsel’s office, OGE has determined that the definition of former client is intended to exclude the same governmental entities as those excluded from the definition of former employer.”

OGC/Ethics for a determination.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC, including OGC/Ethics.

cc: Elise Packard, Acting Principal Deputy General Counsel
Erik Baptist, Senior Deputy General Counsel
David Fotouhi, Deputy General Counsel
Richard L. Albores, Associate Deputy General Counsel
OGC Associate General Counsels and Directors
Justina Fugh, Senior Counsel for Ethics
Regional Counsels




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION III
1650 Arch Street
Philadelphia, Pennsylvania 19103-2029

MEMORANDUM

FEB 1 2 2018

SUBJECT: Recusal Statement

FROM: Cosmo Servidio 
Regional Administrator

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics



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obligations with respect to my former employer, the Bucks County Water & Sewer Authority (BCWSA), and, by extension, Bucks County, Pennsylvania. The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employers do not apply to me. The definition of “former employer” in the Executive Order excludes local government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with my former employer. For one year after my resignation from BCWSA, I am prohibited from participating personally and substantially in any particular matter involving specific parties in which BCWSA or Bucks County is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). On November 15, 2017, EPA’s Designated Agency Ethics Official issued an impartiality determination authorizing me to participate in particular matters that involve BCWSA or Bucks County, Pennsylvania with the following limitation: I must recuse myself from participation in EPA specific party matters if I participated personally and substantially in the same specific party matters while employed with the BWSCA.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Ryan Jackson, Chief of Staff
Cecil A. Rodrigues, Deputy Regional Administrator, Region III
Mary Coe, Regional Counsel, Region III
Deane Bartlett, Regional Ethics Counsel, Region III
Justina Fugh, Senior Counsel for Ethics

¹ See Exec. Order 13,770, Section 2(j), which provides that “‘former employer’ does not include ... State government.”



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

JUL 3 7 2017

OFFICE OF
ENFORCEMENT AND
COMPLIANCE ASSURANCE

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Patrick Traylor
Deputy Assistant Administrator

TO: Lawrence Starfield
Acting Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner, or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my

former employer, Hogan Lovells US LLP, or any former client to whom I provided legal services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties.

| RECUSAL LIST | |
|--|---|
| In effect until June 5, 2019 | |
| FORMER EMPLOYER: Hogan Lovells US LLP | |
| FORMER CLIENTS: | |
| 3M Company AB Volvo American Petroleum Institute BHP Billiton Petroleum (Deepwater), Inc. Bio Springer North America Corporation Bloom Energy Corporation Broken Hill Proprietary (USA) Inc. Calumet Specialty Products Partners, L.P. Crescent Point Energy US Corp. Daimler Trucks North America LLC Delfin LNG LLC Denbury Resources, Inc. Detroit Diesel Company Edison Transmission, LLC Flint Hills Resources, LLC Forest River, Inc. Fujicopian Co. Ltd. Fulcrum Bioenergy, Inc. Glenfarne Group, LLC Gopher Resources LLC Gulf Pacific Power, LLC Harbert Power Fund V, LLC Howard Midstream Energy Partners, LLC INEOS USA LLC Koch Companies Public Sector, LLC Koch Minerals | Latticework Capital Management, Inc. Meggitt-USA, Inc. Nextera Energy Resources, LLC NRG Energy, Inc. One Rock Capital Partners, LLC PPG Industries, Inc. QTS Realty Trust, Inc. RPM Access, Inc. SABIC Innovative Plastics US LLC SGC Energia Co LLC Solarcity Corporation Southern California Edison Co. The AES Corporation The C. Reiss Coal Company Thompson Pump & Manufacturing Company Transocean Offshore Deepwater Drilling, Inc. UGI Energy Services and UGI Development Company United States Steel Corporation Venture Global LNG, Inc. Vibracoustic GMBH Vopak LNG Holding B.V. Vopak North America Inc. Xanterra Parks & Resorts, Inc. |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Larry Starfield to assist in screening EPA matters directed to my attention that involve my former employer or my former clients as a specific party. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving the entities on my recusal list should be directed to Mr. Starfield without my knowledge or involvement until after my recusal period ends.

If Mr. Starfield determines that a particular matter will directly involve any of the companies listed on my "specific party" recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination.

UPDATE AS NECESSARY

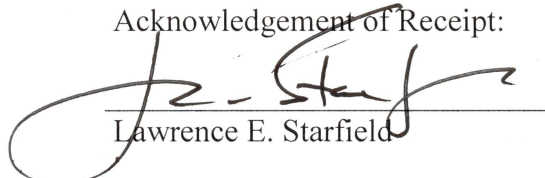
In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and any principal subordinates.

DISTRIBUTION

I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics. I will also instruct my principal subordinates that all inquiries and comments involving any of the entities listed above should be directed to Mr. Starfield or you without my knowledge or involvement.

cc: OECA Office Directors
Justina Fugh, Senior Counsel for Ethics

Acknowledgement of Receipt:


Lawrence E. Starfield



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
RESEARCH AND DEVELOPMENT

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Richard Yamada
Deputy Assistant Administrator

TO: Dr. Jennifer Orme-Zavaleta
Acting Assistant Administrator

[Handwritten signature] 11/22/17

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

Because of the current level of my financial ownership, I understand that I am disqualified from participating personally and substantially in any particular matter that will have a direct and predictable effect upon Berkshire Hathaway Inc. (BRK) and any of its subsidiaries as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on sectors in which BRK and its subsidiaries operate (e.g., pipelines,

renewable fuels, alternative fuels, natural gas, and electricity). I have consulted with OGC/Ethics and been advised that they do not anticipate that my work in ORD is likely to have a direct and predictable financial effect upon BRK. Should a situation arise in which BRK or any of its subsidiaries is a specific party in a particular matter, or if my work is focused on one of BRK's industrial sectors, then I will consult with OGC/Ethics prior to participation.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Chris Robbins, Deputy Ethics Official
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGIONAL ADMINISTRATOR
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590

MAR 05 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Kurt Thiede *KA7*
Chief of Staff

TO: Cathy Stepp
Regional Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and the Region's ethics team and been advised that I do not currently have any significant financial conflicts of interest. I will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am in an Administratively Determined position and have been advised by OGC/Ethics that I am not considered an appointee for purposes of Executive Order 13770 and, therefore, not required to sign the Trump Ethics Pledge. As an executive branch employee, I understand that I am subject to the federal impartiality standards and have a "covered relationship" with my

former employer, the Wisconsin Department of Natural Resources (WDNR), which is a state government. However, on March 1, 2018, EPA's Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters I worked on personally and substantially while employed with the WDNR. Attached is a list of matters I am recused from given my role at WDNR.

SCREENING ARRANGEMENT

To ensure that I do not participate in matters relating to any of the entities listed below, I will instruct the Acting Deputy Regional Administrator (DRA), Region 5, and Regional Administrator assistants (identified in the cc: list below) to assist in screening EPA matters directed to my attention that involve those entities. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting DRA to seek the assistance of the Region 5 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting DRA without my knowledge or involvement until after my recusal period ends.

If the Acting DRA determines, with input from Region 5 Ethics and/or OGC/Ethics as appropriate, that a particular matter will directly involve any of the entities on my "specific party" recusal list, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates, Justina Fugh, Senior Counsel for Ethics, and Ann Coyle, Regional Ethics Attorney.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region's ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at WDNR, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics
Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Felicia Williams, Executive Assistant to the Regional Administrator
Isidra Martinez, Staff Assistant
Marjorie Marshall, Secretary
Sheila Robinson, SEEP

| Kurt Thiede–Wisconsin Department of Natural Resources Permanent Recusal | |
|---|------------|
| Company/Matter | Statute(s) |
| Aquaculture issues | |
| Ashley Furniture | CWA |
| Back 40/Aquila Mine | CWA |
| Badger Ammunition | RCRA |
| Calumet Superior/Husky | Various |
| Fox River | Superfund |
| Foxconn | Various |
| Kinnard Farms | CWA NPDES |
| Kohler Golf Course | CWA |
| Legal Authority Review | CWA NPDES |
| Madison-Kipp Corp (Madison) | TSCA PCBs |
| Meteor Timber | CWA |
| Mid-America Steel Drum a/k/a Greif a/k/a CLCM (Milwaukee) | Various |
| NPDES withdrawal petition | CWA NPDES |
| Ozone Designations and SIPs <ul style="list-style-type: none"> • Sheboygan • Door County • Racine County • Waukesha County | CAA |
| Sturgeon Bay ordinary high water mark | |
| WDNR’s comments on Tribal “Treatment as State” Reinterpretation Rule <ul style="list-style-type: none"> • Forest County Potawatomi, Treatment as a State • Lac Courte Oreilles, Treatment as a State | CWA & CAA |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGIONAL ADMINISTRATOR
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590

MAR 15 2018

MEMORANDUM

SUBJECT: Recusal Statement, Revision #1

FROM: Kurt Thiede
Chief of Staff *KT*

TO: Cathy Stepp
Regional Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. *This revision adds five counties to the list of ozone designation and SIP matters from which I am recused.*

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and the Region's ethics team and been advised that I do not currently have any significant financial conflicts of interest. I will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am in an Administratively Determined position and have been advised by OGC/Ethics that I am not considered an appointee for purposes of Executive Order 13770 and, therefore, not required to sign the Trump Ethics Pledge. As an executive branch employee, I understand that I

am subject to the federal impartiality standards and have a “covered relationship” with my former employer, the Wisconsin Department of Natural Resources (WDNR), which is a state government. However, on March 1, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters I worked on personally and substantially while employed with the WDNR. Attached is a list of matters I am recused from given my role at WDNR.

SCREENING ARRANGEMENT

To ensure that I do not participate in matters relating to any of the entities listed below, I will instruct the Acting Deputy Regional Administrator (DRA), Region 5, and Regional Administrator assistants (identified in the cc: list below) to assist in screening EPA matters directed to my attention that involve those entities. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting DRA to seek the assistance of the Region 5 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting DRA without my knowledge or involvement until after my recusal period ends.

If the Acting DRA determines, with input from Region 5 Ethics and/or OGC/Ethics as appropriate, that a particular matter will directly involve any of the entities on my “specific party” recusal list, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates, Justina Fugh, Senior Counsel for Ethics, and Ann Coyle, Regional Ethics Attorney.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at WDNR, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics
Ed Chu, Acting Deputy Regional Administrator, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Felicia Williams, Executive Assistant to the Regional Administrator
Isidra Martinez, Staff Assistant
Marjorie Marshall, Secretary
Sheila Robinson, SEEP

| Kurt Thiede–Wisconsin Department of Natural Resources Permanent Recusal | |
|--|------------|
| Company/Matter | Statute(s) |
| Aquaculture issues | |
| Ashley Furniture | CWA |
| Back 40/Aquila Mine | CWA |
| Badger Ammunition | RCRA |
| Calumet Superior/Husky | Various |
| Fox River | Superfund |
| Foxconn | Various |
| Kinnard Farms | CWA NPDES |
| Kohler Golf Course | CWA |
| Legal Authority Review | CWA NPDES |
| Madison-Kipp Corp (Madison) | TSCA PCBs |
| Meteor Timber | CWA |
| Mid-America Steel Drum a/k/a Greif a/k/a CLCM (Milwaukee) | Various |
| NPDES withdrawal petition | CWA NPDES |
| Ozone Designations and SIPs <ul style="list-style-type: none"> • Door County • Kenosha County • Manitowoc County • Milwaukee County • Ozaukee County • Racine County • Sheboygan County • Washington County • Waukesha County | CAA |
| Sturgeon Bay ordinary high water mark | |
| WDNR's comments on Tribal "Treatment as State" Reinterpretation Rule <ul style="list-style-type: none"> • Forest County Potawatomi, Treatment as a State • Lac Courte Oreilles, Treatment as a State | CWA & CAA |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGIONAL ADMINISTRATOR
REGION 5
77 WEST JACKSON BOULEVARD
CHICAGO, IL 60604-3590

MAR 14 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Cathy Stepp
Regional Administrator

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I am recused from participating in any particular matter involving the following entities:

| ENTITY | BUSINESS |
|--|-------------------------------|
| Magnum Truck and Equipment, Sturtevant, WI | Used truck and trailer dealer |
| HMS Properties of Wheatland, LLC, Sturtevant, WI | Real estate holding company |
| PS Properties of Dover, LLC, Sturtevant, WI | Real estate holding company |
| P&C Investors, Sturtevant, WI | Real estate holding company |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to my former employer, the Wisconsin Department of Natural Resources (WDNR). The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that the additional restrictions contained in the Executive Order regarding former employer do not apply to me. The definition of “former employer” in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to the federal impartiality standards, I understand that I have a “covered relationship” with my former employer, which is a state government. However, on January 3, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Wisconsin, but not on the very same specific party matters I worked on personally and substantially while employed with the WDNR. Attached is a list of matters I am recused from given my role at WDNR. In addition, I am recusing myself for one year from my date of separation from WDNR from certain additional matters currently pending in Region 5, which are identified in the attached list. This one-year recusal ends on August 31, 2018.

SCREENING ARRANGEMENT

To ensure that I do not participate in matters relating to any of the entities listed above, I will instruct the Acting Deputy Regional Administrator (DRA), Region 5, and Regional Administrator assistants (identified in the cc: list below) to assist in screening EPA matters directed to my attention that involve those entities. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting DRA to seek the assistance of the Region 5 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting DRA without my knowledge or involvement until after my recusal period ends.

If the Acting DRA determines, with input from Region 5 Ethics and/or OGC/Ethics as appropriate, that a particular matter will directly involve any of the entities on my “specific party” recusal list, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics, and Ann Coyle, Regional Ethics Attorney.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region’s ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that

¹ See Exec. Order 13770, Section 2(j), which provides: “‘former employer’ does not include ... State or local government.”

I worked personally and substantially on a particular matter, not yet identified, while at WDNR, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: Justina Fugh, Senior Counsel for Ethics
Ed Chu, Acting Deputy Regional Administrator, Region 5
Kurt Thiede, Chief of Staff, Region 5
Leverett Nelson, Regional Counsel, Region 5
Ann Coyle, Regional Ethics Counsel, Region 5
Felicia Williams, Executive Assistant to the Regional Administrator
Isidra Martinez, Staff Assistant
Marjorie Marshall, Secretary
Sheila Robinson, SEEP

Cathy Stepp–Wisconsin Department of Natural Resources

| Permanent Recusal | |
|--|--|
| Company/Matter | Statute(s) |
| Ashley Furniture | CWA |
| Kohler Golf Course | CWA |
| Large dairy CAFOs general permit | CWA |
| Legal Authority Review | CWA NPDES |
| Madison-Kipp Corp (Madison) | TSCA PCBs |
| Meteor Timber | CWA |
| Mid-America Steel Drum a/k/a Greif a/k/a CLCM (Milwaukee) | Various |
| Milwaukee Metropolitan Sewerage District | CWA NPDES |
| NPDES withdrawal petition | CWA NPDES |
| Pagels family enterprises ² <ul style="list-style-type: none"> • Ponderosa Dairy (Kewaunee) • Dairy Dreams | All |
| Variance for phosphorus | CWA |
| WDNR's comments on Tribal "Treatment as State" Reinterpretation Rule <ul style="list-style-type: none"> • Forest County Potawatomi, Treatment as a State • Lac Courte Oreilles, Treatment as a State | CWA & CAA |
| Webers (Oregon, WI), health effects of exposure to biological waste holding tanks | Various |
| WE Energies | CWA NPDES Permit/Water Quality Standards (arsenic) |
| Wisconsin CAFO vegetative treatment areas | CWA NPDES |

² Any other businesses, including farms, in which the Pagels have a financial interest are covered by this recusal.

| One-Year Recusal (ending August 31, 2018) ³ | |
|--|-----------------|
| Company/Case | Statute(s) |
| CAFO enforcement matters <ul style="list-style-type: none"> • Brennan Farm (Lake Geneva) • Calamity Knoll Farm (Armenia) • Central Sands Dairy • Ebert Dairy Enterprises (Pierce) • El-Na Dairy LLC • Halls Calf Ranch (Montpelier) • Heims Hillcrest Dairy (Casco) • Kane Farm • Kinnard Farms (Lincoln) • Ledgeview Farms (De Pere) • Neighborhood Dairy (Kaukauna) • New Horizons • Sandway Farm • Tag Lane Dairy Farm • Wolf River Ranch (Shawano County) | CWA NPDES |
| Kretzschmar Dairy (Bad River Band) | CWA CAFO permit |
| Ozone Designations and SIPs <ul style="list-style-type: none"> • Sheboygan • Door County • Racine County • Waukesha County | CAA |
| State primacy petitions <ul style="list-style-type: none"> • Revised Total Coliform Rule • Lead & Copper Rule • Stage 2 Disinfection Byproducts Rule • Ground Water Rule | SDWA |
| Variance and exceptions to state drinking water regulations | SDWA |
| WE Energies (Rothschild) | CAA PSD permit |

³ Any recusal listed in this table will become permanent if I determine that I worked personally and substantially on the matter while I was at WDNR. I will update my recusal list accordingly.



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION III
1650 Arch Street
Philadelphia, Pennsylvania 19103-2029

MAR 19 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Jenifer Fields *Jenifer Fields* 3/19/18
Chief of Staff

TO: Cosmo Servidio
Regional Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and the Region's ethics team and I understand that I have an imputed financial conflict of interest with my spouse's company, Plymouth Fleet, LLC. Thus, I will not participate personally and substantially in any particular matter that affects **Plymouth Fleet, LLC** as a specific party or as a member of an affected class, including any particular matter of general applicability that is focused on the **fleet vehicle repair and maintenance sector**.



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EPA-17-0558-B-000458

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am in an Administratively Determined position and have been advised by OGC/Ethics that I am not considered an appointee for purposes of Executive Order 13770 and, therefore, not required to sign the Trump Ethics Pledge. However, as an executive branch employee, I understand that I am subject to the federal impartiality standards and have a “covered relationship” with my former employer, the Pennsylvania Department of Environmental Protection (PADEP). However, on January 11, 2018, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Pennsylvania, but not on the very same specific party matters I worked on personally and substantially while employed with PADEP. Attached is a list of matters I am recused from given my role at PADEP.

SCREENING ARRANGEMENT

To ensure that I do not participate in the matters listed below, I will instruct Cecil Rodrigues, Deputy Regional Administrator, Region 3 to assist in screening EPA matters directed to my attention that involve those matters. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Deputy Regional Administrator to seek the assistance of the Region 3 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the matters on my recusal list should be directed to the Deputy Regional Administrator without my knowledge or involvement.

If the Deputy Regional Administrator determines, with input from the Region 3 Ethics Team and/or OGC/Ethics as appropriate, that any of the matters listed on my recusal list is involved, he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region 3 Ethics Team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at PADEP, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, the Region 3 Ethics Team and my principal subordinates.

cc: Cecil A. Rodrigues, Deputy Regional Administrator, Region 3
Mary Coe, Regional Counsel, Region 3
Deane Bartlett, Regional Ethics Team, Region 3
Justina Fugh, Senior Counsel for Ethics

| |
|--|
| <p>Jenifer Fields Pennsylvania Department of Environmental Protection Permanent Recusal</p> |
| DELCORA CWA Consent Decree, Case No. 2:15-cv-04652RB (E.D. Pa.) |
| Bristol Township CWA Consent Decree, Case No. 10-5049 (E.D. Pa.) |
| Bucks County Water and Sewer Authority CWA enforcement matter |
| City of Philadelphia Water Department NPDES permits and enforcement matters |
| City of Philadelphia Municipal Separate Storm Sewer System permit |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460


APR 20 2018

OFFICE OF
SOLID WASTE AND
EMERGENCY RESPONSE

NOW THE
OFFICE OF LAND AND
EMERGENCY MANAGEMENT

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Steven Cook 
Deputy Assistant Administrator

TO: Barry N. Breen
Acting Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest. I will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **LyondellBasell Industries N.V.**, is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties who represent a diversity of interests.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters related to the entity above, I will instruct Becky Brooks or Nick Hilosky, Special Assistants, to assist in screening EPA matters directed to my attention that involve LyondellBasell Industries N.V. All inquiries and comments involving LyondellBasell Industries N.V. should be directed to Becky Brooks or Nick Hilosky without my knowledge or involvement until after my recusal period ends.

If Becky Brooks or Nick Hilosky determines that a particular matter will directly involve LyondellBasell Industries N.V., then she/he will refer it for action or assignment to another, without my knowledge or involvement. In the event that she/he is unsure whether an issue is a particular matter from which I am recused, then she/he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and to OGC/Ethics.

cc: Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

OFFICE OF
ADMINISTRATOR

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Andrew R. Wheeler
Deputy Administrator

Andrew R. Wheeler
5-24-18

TO: E. Scott Pruitt
Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **Faegre Baker Daniels LLP**, or any former client to whom I provided legal or

consultative services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means that the meeting should include a multiplicity of parties representing a diversity of viewpoints. If my former employer or a former client is present, then I understand that, generally speaking, at least four other parties should be present to ensure that a diversity of viewpoints is represented and not the same united perspective.

Because I was formerly a federally registered lobbyist, I understand that I am also subject to the provisions of Section 1, paragraph 7 of the Executive Order. For a period of two years, I will not participate in any particular matter on which I lobbied in the preceding two years, nor will I participate in the specific issue area in which that particular matter falls. This recusal encompasses issues that I lobbied on before EPA as well as before other federal agencies during the preceding two years including, for example, Section 202(c) of the Federal Power Act.

Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA,¹ as well as the specific issues areas from which I am recused:

| RECUSAL LIST | |
|---|---|
| In effect until April 28, 2020 | |
| FORMER EMPLOYER: Faegre Baker Daniels LLP | |
| FORMER CLIENTS: | |
| Murray Energy Sargento Food Inc. Underwriters Laboratories Energy Fuels Resources Inc. | Growth Energy International Paper Martin Farms Xcel Energy |
| FORMER LOBBYING ISSUES: | |
| Energy Star | |

¹ For any former client that is not listed, I understand that I am personally obliged not to participate in specific party matters for the duration of my ethics obligations.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a one-year cooling off period with any organization in which I was an active participant. For one year after my resignation from the National Energy Resources Organization (NERO), I am prohibited from participating personally and substantially in any particular matter involving specific parties in which NERO is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). That said, I acknowledge that NERO does not itself lobby or represents parties other than itself.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Michael Molina, Senior Advisor to the Deputy Administrator, to assist in screening EPA matters directed to my attention that involve those entities. All inquiries and comments involving the entities on my recusal list should be directed to Mr. Molina without my knowledge or involvement until after my recusal period ends.

If Mr. Molina determines that a particular matter will directly involve any of the entities or matters listed on my “specific party” recusal list, then he will refer it for action or assignment to another, without my knowledge or involvement. In the event that he is unsure whether an issue is a particular matter from which I am recused, then he will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Kevin Minoli, Designated Agency Ethics Official, and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
Michael Molina, Senior Advisor to the Deputy Administrator
Kevin Minoli, Designated Agency Ethics Official
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 8

1595 Wynkoop Street
Denver, CO 80202-1129
Phone 800-227-8917
www.epa.gov/region8

APR 24 2018

Ref: 8RC

MEMORANDUM

SUBJECT: My Ethics Obligations – Updated Recusal and Screening Arrangement

FROM: Douglas H. Benevento
Regional Administrator

TO: E. Scott Pruitt
Administrator

As indicated in my prior Recusal and Screening Arrangement signed on December 15, 2017, I agreed to update you if there were any changes to my financial interests or personal or business relationships.

This memorandum formally notifies you that my spouse has left Holland and Hart LLP and, as of April 2018, has formed her own firm, GB Law which is an LLC and she is the sole employee. Her client will be Maven Law Group, and she will work for that firm exclusively.

I have consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region 8 ethics team and been advised that I am no longer recused from particular matters involving Holland & Hart LLP, my spouse's former law firm. My ethics obligations with respect to my spouse's new law firm and her client are discussed below, as well as a reiteration of my existing and ongoing ethics obligations as described in my December 15, 2017 Recusal and Screening Arrangement.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse or any minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment. I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order and the Trump Ethics Pledge, I understand that I am prohibited from participating in any particular matter involving specific parties in which my former employer, **Xcel Energy**, is a party or represents a party, or that is directed at Xcel as a

specifically identifiable party (e.g., sulfur dioxide National Ambient Air Quality Standard designations directed at Xcel owned power plants). I understand that my recusal from particular matters involving Xcel Energy as a specific party lasts until October 15, 2019, which is two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term "particular matters involving specific parties" is broadened to include any meetings or other communication with **Xcel Energy** relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term "open to all interested parties" means five or more parties.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

Pursuant to federal ethics rules, I understand that I have a "covered relationship" with my wife's current employer, GB Law and with any of the clients to whom she provides services. Therefore, I will not participate personally and substantially in any particular matter in which GB Law, Maven Law Group or a client of my wife's that is known to me is a party or represents a party unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that this recusal remains in effect for the duration of my spouse's employment.

In consultation with OGC/Ethics, we have determined that neither GB Law nor Maven Law Group is likely to have any matters arising before EPA or Region 8. However, I will consult with OGC/Ethics should the unlikely situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving GB Law, Maven Law Group or any of my wife's clients that are known to me.

SCREENING ARRANGEMENT

In order to help ensure that I do not participate in matters subject to my recusal obligations, I have taken or will take the following steps:

1. I will provide the Deputy Regional Administrator with a copy of this memorandum so that she may fully understand the purpose and scope of my recusal obligations and this screening arrangement. To ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Deputy Regional Administrator to seek the assistance of the Region 8 ethics team and/or OGC/Ethics if she is ever uncertain whether or not I may participate in a matter.

2. I will provide a copy of this memorandum to my principal subordinates, including the Region 8 Senior Leadership Team. I will also instruct my principal subordinates that all inquiries and comments involving matters that may be covered by my recusal obligations should be directed to the Deputy Regional Administrator for her review without my knowledge or involvement.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region 8 ethics team, I will revise and update my recusal memorandum whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you, the Chief of Staff, OGC/Ethics, Office of Regional Counsel, the Deputy Regional Administrator, and to my principal subordinates.

cc: Ryan Jackson, Chief of Staff
Debra H. Thomas, Deputy Regional Administrator, Region 8
Suzanne J. Bohan, Assistant Regional Administrator, ECEJ, Region 8
Richard D. Buhl, Assistant Regional Administrator, TMS, Region 8
Martin Hestmark, Assistant Regional Administrator, OPRA, Region 8
Darcy O'Connor, Assistant Regional Administrator, OWP, Region 8
Betsy Smidinger, Assistant Regional Administrator, EPR, Region 8
Andrew Mutter, Director, OCPI, Region 8
Kenneth C. Schefski, Regional Counsel, Region 8
Elyana Sutin, Deputy Regional Counsel, Region 8
Patrick Davis, Senior Advisor for Public Engagement, Region 8
Justina Fugh, Senior Counsel for Ethics
Michael Gleason, Regional Ethics Counsel, Region 8
Diane Moon, Staff Assistant, Region 8



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION IX
75 Hawthorne Street
San Francisco, CA 94105

MEMORANDUM

SUBJECT: Recusal Statement
FROM: Michael B. Stoker *Michael B Stoker*
Regional Administrator
TO: E. Scott Pruitt
Administrator
DATE: June 4, 2018

I have consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that I do not currently have any financial conflicts of interest but will remain vigilant and notify OGC/Ethics immediately should my financial situation change.

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I am prohibited from participating in any particular matter involving specific parties involving my

sole proprietorship (**the Law Offices of Mike Stoker**), or any former client to whom I provided legal or consultative services during the past two years is a party or represents a party. I understand that my recusal lasts for two years from the date that I joined federal service.

I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means that the meeting should include a multiplicity of parties representing a diversity of viewpoints. If a former client is present, then I understand that, generally speaking, at least four other parties should be present to ensure that a diversity of viewpoints is represented and not the same united perspective.

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. I am therefore recusing myself from participation in all matters related to United States of America, et al. v. HVI Cat Canyon, Inc., f/k/a Greka Oil & Gas, Inc., U.S. District Court, Central District of California Case No. CV 11-50978FMO (SSx).

RECUSALS

Set forth below are my former clients identified in consultation with OGC/Ethics that have or may have environmental interests that could potentially arise with respect to my duties here at EPA, as well as the specific issues areas from which I am recused:

| RECUSAL LIST In effect until April 28, 2020 | |
|--|--|
| FORMER EMPLOYER: | the Law Firm of Mike Stoker (currently inactive) |
| FORMER CLIENTS: | United AG BioSci |
| PRIOR PARTICIPATION IN SPECIFIC PARTY OR RELATED MATTER: | <u>United States of America, et al. v. HVI Cat Canyon, Inc., f/k/a Greka Oil & Gas, Inc.</u> , U.S. District Court, Central District of California Case No. CV 11-50978FMO (SSx) |

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above, I will instruct Sylvia Quast, Regional Counsel for EPA Region IX, to assist in screening EPA matters directed to my attention that involve those entities. All inquiries and comments involving the entities on my recusal list should be directed to Sylvia Quast without my knowledge or involvement until after my recusal period ends.

If Sylvia Quast determines that a particular matter will directly involve any of the entities or matters listed on my "specific party" recusal list, then she will refer it for action or assignment to another, without my knowledge or involvement. In the event that she is unsure whether an issue is a particular matter from which I am recused, then she will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Debbie Jordan, Deputy Regional Administrator
Sylvia Quast, Regional Counsel
Steven Jawgiel, Regional Ethics Counsel
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Clinton Woods
Deputy Assistant Administrator



3/30/18

TO: William L. Wehrum
Assistant Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligations to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics and been advised that, apart from my spouse's employment, I do not currently have any financial conflicts of interest. I am recused from participating personally and substantially in any particular matter that would have a direct and predictable effect on my spouse's current employer, Fayette County Public Schools. I will not participate personally and substantially in any particular matter that affects Fayette County Public Schools as a specific party or as a member of an affected class.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

As an Administratively Determined (AD) appointment, I have been advised by OGC/Ethics that I am not subject to Executive Order 13770 and I am not required to sign President Trump's Ethics Pledge. But as an executive branch employee, I understand that I am subject to the federal impartiality standards and have a one-year cooling off period with my former employer. Therefore, I will not participate personally and substantially in any particular matter involving specific parties in which **The Council of State Governments** or its affiliate,



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

the **Association of Air Pollution Control Agencies**, is a party or represents a party, unless I am first authorized by OGC/Ethics to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that under the federal impartiality standards, I am also recused from participation in any specific party matter in which the **Keep Lexington Beautiful Commission** is a party or represents a party.

For federal ethics purposes, I understand that my recusal remains in effect for one year from the date I resigned, and this federal ethics limitation does not extend to particular matters of general applicability, such as rulemaking. My recusal will end with regard to The Council of State Governments and its affiliate, the Association of Air Pollution Control Agencies, on December 8, 2018, and November 2, 2018 for the Keep Lexington Beautiful Commission. I will consult with OGC/Ethics should a situation arise in which I seek an impartiality determination to authorize my participation in a specific party matter involving any of these entities.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to the entities listed above, I will instruct Josh Lewis, Chief of Staff, and Mandy Gunasekara, Principal Deputy Assistant Administrator, to assist in screening EPA matters directed to my attention that involve these entities. All inquiries and comments involving the entities on my recusal list should be directed to Josh or Mandy without my knowledge or involvement until after my recusal period ends.

If Josh or Mandy determine that a particular matter will directly involve any of the entities listed above, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my recusal or screening arrangement, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Elizabeth Shaw, Deputy Assistant Administrator
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
REGION 6
1445 ROSS AVENUE, SUITE 1200
DALLAS, TEXAS 75202 - 2733

Office of the Regional Administrator

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Anne L. Idsal
Regional Administrator

Anne L. Idsal 7/24/18

TO: Andrew Wheeler
Acting Administrator

I have previously consulted with the Office of General Counsel/Ethics (OGC/Ethics) and the Region's ethics team and been advised about my ethics obligations. This memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I have consulted with OGC/Ethics about my family's closely-held corporation, **Idsal Family Properties Management**. I will continue to have a financial interest in this entity but receive only passive income from it. I will not participate personally and substantially in any particular matter that will have a direct and predictable financial effect on the financial interest of Idsal Family Property Management, unless I first obtain a written waiver from the Office of General Counsel pursuant to Section 208(b)(1).

Unless I am authorized to participate by the Office of General Counsel (OGC), I am disqualified from participating in any particular matter involving specific parties in which the following entity is a party or represents a party:

This paper is printed with vegetable-oil-based inks and is 100-percent postconsumer recycled material, chlorine-free-processed and recyclable.

| NAME OF ENTITY | DATE WHEN RECUSAL ENDS |
|--------------------------|--|
| Valley Crossing Pipeline | Continues for as long as I have an interest in a pipeline easement with this entity. |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to any former employer during the preceding two years, as well as any organization in which I held a fiduciary duty. For purposes of this restriction, the entities that fall within the prohibition are the Texas General Land Office (TX GLO) and the Leukemia & Lymphoma Society.

The Executive Order provides more restrictions than the federal ethics rules, but I am advised by OGC/Ethics that these additional restrictions contained in the Executive Order regarding the TX GLO as my former employer do not apply to me. The definition of “former employer” in the Executive Order excludes state government.¹ Therefore, OGC/Ethics has confirmed that I am not subject to the additional Executive Order restrictions regarding former employers for the TX GLO.

I understand that I am prohibited from participating in any particular matter involving specific parties in which the **Leukemia & Lymphoma Society** is a party or represents a party. My recusal lasts for two years from the date that I joined federal service. I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means that the meeting should include a multiplicity of parties, representing a diversity of viewpoints. If my former employer is present, then I understand that, generally speaking, at least four other parties should be present to ensure that a diversity of viewpoints is represented and not the same united perspective.

OBLIGATIONS UNDER THE IMPARTIALITY PROVISIONS

I am advised by OGC/Ethics that Executive Order 13770 defines “former employer” to exclude state or local government entities. But as an executive branch employee, I understand that I am also subject to the federal impartiality standards and have a “covered relationship” with my former employer who is a state government. However, on December 28, 2017, EPA’s Designated Agency Ethics Official issued an impartiality determination that authorizes me to participate in specific party matters that involve the State of Texas, but not on the very same specific party matters I worked on personally and substantially while employed with the **TX GLO**.

ATTORNEY BAR OBLIGATIONS

Pursuant to my bar rules, I recognize that I am obligated to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially

¹ See Exec. Order 13770, Section 2(j), which provides that “ ‘former employer’ does not include...State or local government.”

related to the same specific party matter that I participated in personally and substantially, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics. I am therefore recusing myself from participation in all matters related to the **Texas Regional Haze 5 Year Program Report** and the **Texas SO2 Transport SIP**.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters listed above, I will instruct the Acting Deputy Regional Administrator, Region 6, to assist in screening EPA matters directed to my attention that involve those matters. To help ensure that I do not inadvertently participate in matters from which I am recused, I am directing the Acting Deputy Regional Administrator to seek the assistance of the Region 6 Ethics Team and/or OGC/Ethics if he is ever uncertain whether I may participate in a matter. All inquiries and comments involving the entities on my recusal list should be directed to the Acting Deputy Regional Administrator without my knowledge or involvement.

If the Acting Deputy Regional Administrator determines, with input from Region 6 Ethics and/or OGC/ethics as appropriate, that a particular matter will directly involve any of the matters described above, then he will refer it for action or assignment to another, without my knowledge or involvement. I will provide a copy of this memorandum to my principal subordinates and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics or the Region's ethics team, I will revise and update my recusal statement whenever warranted by changed circumstances, including a determination that I worked personally and substantially on a particular matter, not yet identified, while at TX GLO, changes in my financial interests, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes to my screening arrangement, I will provide a copy of the revised recusal statement to you, OGC/Ethics, and my principal subordinates.

cc: David Gray, Acting Deputy Regional Administrator, Region 6
Ben Harrison, Acting Regional Counsel, Region 6
Jan Gerro, Regional Ethics Counsel, Region 6
Terry Sykes, Regional Ethics Counsel, Region 6
Justina Fugh, Senior Counsel for Ethics




UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
Washington, D.C. 20460

JUL 24 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: Peter Wright 
Senior Advisor to the Administrator

TO: Andrew R. Wheeler
Acting Administrator

Upon consideration of my appointment, I consulted with the Office of General Counsel/Ethics (OGC/Ethics) and was advised about my ethics obligations. I have assiduously followed their advice since my appointment, and this memorandum formally notifies you of my continuing obligation to recuse myself from participating personally and substantially in certain matters in which I have a financial interest, or a personal or business relationship. I also understand that I have obligations pursuant to Executive Order 13770 and the Trump Ethics Pledge that I signed, as well as my own bar obligations.

FINANCIAL CONFLICTS OF INTEREST

As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me: any spouse or minor child of mine; any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

As stated in my ethics agreement, I agreed to forfeit all DowDuPont, Inc. (DowDuPont) stock options that are unvested at the time of my resignation from DowDuPont and to divest of my vested stock options and stock in DowDuPont within 90 days of my federal appointment. I am currently working with OGC/Ethics to fulfill this commitment. Until I sell the stock, I

understand that I am recused from participating personally and substantially in any particular matter, including sector-specific policies and regulations, that would have a direct and predictable effect on the following:

| NAME OF ENTITY | DATE WHEN RECUSAL ENDS |
|--------------------------|---|
| DowDupont, Inc. | Continues for as long as I own stock in the company. Upon divestiture, I understand that I am subject to additional restrictions pursuant to Executive Order 13770. |
| Chemical industry sector | Continues for as long as I own stock in this sector. |

OBLIGATIONS UNDER EXECUTIVE ORDER 13770

Pursuant to Section 1, Paragraph 6 of the Executive Order, I understand that I have ethics obligations with respect to any former employer during the preceding two years, as well as any organization in which I held a fiduciary duty. For purposes of this restriction, the entities that fall within the prohibition are DowDuPont, the National Association of Wabash Men, and the Lambda Chi Alpha Home Association of Wabash College.

I understand that I am prohibited from participating in any particular matter involving specific parties in which any of the entities listed below is a party or represents a party. My recusal lasts for two years from the date that I joined federal service. I have been advised by OGC/Ethics that, for the purposes of this pledge obligation, the term “particular matters involving specific parties” is broadened to include any meetings or other communication relating to the performance of my official duties, unless the communication applies to a particular matter of general applicability and participation in the meeting or other event is open to all interested parties. I am further advised that the term “open to all interested parties” means five or more parties who represent a diversity of interests rather than one shared perspective.¹

| NAME OF ENTITY | DATE WHEN RECUSAL ENDS |
|--|------------------------|
| DowDupont, Inc. | July 8, 2020 |
| National Association of Wabash Men, Board of Directors | July 8, 2020 |
| Lambda Chi Alpha Home Association of Wabash College | July 8, 2020 |

ATTORNEY BAR OBLIGATIONS

Pursuant to my obligations under my bar rules, I recognize that I am obliged to protect the confidences of my former clients. I also understand that I cannot participate in any matter that is the same as or substantially related to the same specific party matter that I participated in

¹ I understand that such meetings “do not have to be open to every corner, but should include a multiplicity of parties. For example, if an agency is holding a meeting with five or more stakeholders regarding a given policy or piece of legislation, [then I] could attend such a meeting even if one of the stakeholders is a former employer or former client.” See Office of Government Ethics (OGE) Advisory DO-09-011 (3/26/09), which applies to Exec. Order 13770 pursuant to OGE Legal Advisory LA-17-03 (3/20/17).

personally and substantially while in private practice, unless my bar provides for and I first obtain informed consent and notify OGC/Ethics.

SUPERFUND SITES

To avoid any concerns about my ethical obligations, I am affirming that I will not participate in matters related to any of the sites on the attached list generated by the Office of Site Remediation and Enforcement. This list includes all of the Superfund sites at which DowDuPont Inc. is a potentially responsible party. Consistent with my attorney bar rules, I will not participate in any matter that I previously worked on personally and substantially. For any of the sites on the attached list that I did not previously work on personally and substantially, I will not participate in matters for those sites until after July 8, 2020, consistent with my obligations under the federal ethics regulations and Executive Order 13770.

SCREENING ARRANGEMENT

In order to ensure that I do not participate in matters relating to any of the entities listed above or Superfund sites on the attached list, I will instruct Barry Breen and/or Steven Cook, Deputy Assistant Administrators, to assist in screening EPA matters directed to my attention that involve those entities or sites. All inquiries and comments involving the entities or Superfund sites on my recusal list should be directed to Mr. Breen or Mr. Cook without my knowledge or involvement until after my recusal period ends.

If Mr. Breen or Mr. Cook determine that a particular matter will directly involve any of the entities or matters listed on my "specific party" recusal list, then they will refer it for action or assignment to another, without my knowledge or involvement. In the event that they are unsure whether an issue is a particular matter from which I am recused, then they will consult with OGC/Ethics for a determination. I will provide a copy of this memorandum to my principal subordinates with a copy to Kevin Minoli, Designated Agency Ethics Official, and Justina Fugh, Senior Counsel for Ethics.

UPDATE AS NECESSARY

In consultation with OGC/Ethics, I will revise and update my recusal statement whenever warranted by changed circumstances, including changes in my financial interests such as the sale of the above-mentioned stock, changes in my personal or business relationships, or any changes to my EPA duties. In the event of any changes, I will provide a copy of the revised recusal statement to you and OGC/Ethics.

cc: Ryan Jackson, Chief of Staff
Barry Breen, Deputy Assistant Administrator
Steven Cook, Deputy Assistant Administrator
Kevin Minoli, Designated Agency Ethics Official
Justina Fugh, Senior Counsel for Ethics

William David Smith Lovell

(b) (6)

EXPERIENCE

United States Senator John Cornyn

Washington, DC

Legislative Correspondent

July 2015 - Present

- Act as deputy policy advisor on issues pertaining to energy, environment and interior
- Write vote recommendations, briefing papers, memos and other research materials
- Meet with constituents, trade associations, lobbyists and other Congressional staff members
- Provide timely responses to office correspondence, including phone calls, letters and emails

Staff Assistant

July 2014 – July 2015

- Planned and oversaw weekly constituent event involving room reservation, catering and photography
- Interacted with constituents on a regular basis in-person and over the phone
- Fulfilled requests for signed photos, pocket Constitutions, business cards and office supplies
- Coordinated office mail sorting and forwarding, as well as package shipment and tracking
- Tracked inventory of office supplies and assisted in ordering new supplies

House Committee on Foreign Affairs

Washington, DC

Intern

May 2014 – July 2014

- Assisted with the administration of a congressional committee office
- Researched energy, technology and economic policy for professional staff members
- Helped staff assistants in the planning of hearings and mark-ups for the Committee
- Attended hearings and briefings on behalf of the Committee for research purposes

ExxonMobil Corporation

Dallas, TX

Public and Government Affairs Intern

June 2013 - August 2013

- Conducted an inventory of various trade associations' digital and social media presences
- Developed social and digital media editorial calendar for corporate platforms
- Drafted website summary content on each business line and U.S. refinery
- Created a Twitter account for corporate citizenship and planned appropriate content

Hodges Capital Management

Dallas, TX

Research Intern

May 2012 - August 2012

- Researched publicly-traded companies with a focus on Texas industries, particularly energy
- Constructed Excel-based models with data aggregated from Bloomberg Terminal
- Streamlined data organization processes using Visual Basic
- Coordinated social media efforts to promote the firm and investment education

EDUCATION

University of Virginia

Charlottesville, VA

B.A., Economics and Foreign Affairs

August 2010 - May 2014

Activities: Cavalier Daily, College Republicans, Entrepreneurship Group at McIntire, Honor Committee, Islamic Finance Association, McIntire Investment Institute

Michael David Molina

(b) (6)

EXPERIENCE:

09/17 – present Knowesis, Inc.

Contracted to the Department of Defense, J-3, Office of the Director, Health Care Operations (HCO), Defense Health Agency. Program support to a Major General. Draft, edit, and process direct beneficiary and Congressional correspondence for the Director of HCO. Maintain a working knowledge of all current actions that fall under the jurisdiction of the HCO, ranging from veteran and active duty pharmaceutical program, Clinical Support, Medical Logistics and DoD Public Health vaccination requirements. Responsible for weekly briefs to HCO Chief of Staff.

07/11 - 08/17 Acentia Inc/Knowesis, Inc.

Contracted to the Department of Defense, Health Services, Health Readiness Policy and Oversight, Office of the Assistant Secretary of Defense (Health Affairs). Team lead of in an office whose primary responsibilities included tracking all incoming workflow and scheduling. Provided strategic task management and process support directly to the Deputy Assistant Secretary of Defense for Health Readiness Policy and Oversight (DASD(HRP&O)). Tasked packages number more than 250 documents annually for signature by the Under Secretary of Defense for Personnel and Readiness, the ASD (HA), and the DASD (HRP&O). Work closely with HRP&O leadership and a variety of subject matter experts, to create documentation for final signature and coordinated on their distribution. Work closely with outside organizations such as the Washington Headquarters Service Office of Security Review to facilitate the clearance of high level presentations for both government and private dissemination. Work closely with the Department of Veterans Affairs Liaison to produce and deliver Health Affairs related documentation for Veteran medical records.

08/05 - 01/09 U.S. Department of State

Office of the White House Liaison – Assistant Director (Top Secret/SCI)

Primary responsibilities included the handling of over forty Presidential and Secretarial boards, commissions and committees. Worked closely with Department Bureaus and the White House to identify and place qualified candidates to each position. Oversaw the clearance of close to four hundred annual international meetings and conferences providing occasional policy input on certain issues. Was the primary point of contact for the White House on matters concerning State Department Consular Affairs, which consisted of assisting American citizens both home and abroad with irregular circumstances pertaining to passport and visa acquisition. Acted as the White House Liaison's Top Secret Control Officer. Other responsibilities included monitoring the office budget, political appointee outreach and office intern coordination.

- 07/04 - 07/05 Office of the Secretary - U.S. Department of Agriculture
Special Assistant - Office of the Under Secretary for Rural Development (Secret)
 Primary responsibilities include serving as a liaison for the Office of the Secretary and the Rural Development Mission Area. Work closely with three Rural Development Mission Area's on developing program delivery under the Presidents Management Agenda. Work with the Under Secretary's office on two Presidential Initiatives; Minority Home Ownership and the Universal Access Broadband Program. Work with the Under Secretary's office on Secretarial correspondence matters.
- 01/04 - 07/04 Office of the Administrator – U.S. Environmental Protection Agency **Special Assistant/Personal Aide to the Administrator**
 Primary responsibilities included managing the Administrators time for all scheduled and unscheduled meetings. Traveling with the Administrator daily to all public events. Handling pre and post event business such as correspondence requests. Handling of all briefing material and speeches/talking points for the Administrators events. Acted as the primary staff conduit while out of the office; remaining in close contact with headquarters regarding scheduling changes, message delivery and positive/negative feedback. Managing any projects the Administrator request including work on Presidential Initiatives and Congressional Correspondence.
- 09/02 - 01/04 Office of the Secretary - U.S. Department of Agriculture
Deputy White House Liaison (Secret)
 Primary responsibilities included the interviewing and hiring of the USDA's political appointees. Overseeing all office correspondence. Participation in the production of the weekly Chief of Staff report. Serve as a member of the Secretary's Hispanic Advisory Council. Secondary point of contact for White House Personnel regarding White House and intra-departmental functions, while maintaining the role of general assistant described below.
- 01/02 - 08/02 Office of the Secretary- U.S. Department of Agriculture
Confidential Assistant
 Primary Assistant to the White House Liaison. Responsibilities included office scheduling, data base maintenance, political appointment correspondence, and office and personnel management.
- 06/00 - 12/00 Bachus For Congress Committee, Birmingham, AL
Assistant Campaign Director
 Primary responsibilities included the development and implementation of a fundraising plan both in Birmingham and Washington as well as a fund dispersal program to other Republican candidates.
- 01/00 - 05/00 Congressman David Dreier **Legislative Office Intern**
 In conjuncture with The American University Internship course, worked part time in Congressman Dreier's Legislative Office. Responsibilities included legislative research, helping with constituent services, personal document delivery between legislative office and Rules Committee office and general office maintenance.

03/98 - 01/99 The Chevron Companies, Washington, DC

Assistant Office Manager

Held the front desk position in the company's Federal Relations Office. Assisted Office Manager in everyday management of the office. Was responsible for all office product ordering and balance keeping. Maintained office staff's availability schedule. (Full-time, left position to return to school)

Education:

01/99 - 5/01 The American University, Washington, DC

School of Public Affairs

Bachelor of Science – Political Science

01/95 - 07/97 Mount San Antonio College, Walnut, CA

Clearance Information:

Secret (Top Secret Granted 08/2011 – 7/16, updated 8/16 to Secret because the office was removed from a SCIF), SCI Eligible

MIKE STOKER

(b) (6)

RELEVANT EXPERIENCE

- Attorney at Law-Emphasizing Agriculture, Labor, Land Use and Environmental Law – 1980 to Present
- Member Santa Barbara County Board of Supervisors – 1986 to 1994
- Majority Counsel to Congress – 1995
- Chairman, California Agricultural Labor Relations Board – 1995 to 2000
- California Deputy Secretary of State – 2000 to 2002
- Director of Governmental Affairs for UnitedAg (Second largest agricultural association in CA) – 2010 to present

Awards and Recognition (Partial List)

- 1991 Governing Magazine “Public Official of the Year in County Government.”
- 1991 “Public Official of the Year” from Coalition of Labor, Agriculture & Labor (COLAB)
- 1992 “Public Official of the Year” from CA Central Coast Seniors Commission
- 1993 “Citizen of the Year” from Northern Santa Barbara County United Way.
- 2008 “Tax Fighter of the Year” from Santa Barbara County Taxpayers Association.
- 2008 “Golden Eagle Award” from Los Padres Chapter of Boy Scouts of America. (I am an Eagle Scout.)
- 2014 “Light of Hope” Award from Court Appointed Special Advocates (CASA).
- 2015 “Volunteer of the Year” Award from Santa Barbara YMCA.

Community Organizations & Service (Partial List)

- Board of Directors Santa Barbara County American Heart Association – 1987 to 1992.
- Board of Directors Northern Santa Barbara County United Way – 1986 to 1991.
- Board of Directors Good Samaritan Homeless Shelter – 1986 to 1992.
- Board of Directors American Red Cross (Santa Barbara County Chapter) – 2000 to 2005.
- Board of Directors Santa Barbara County Taxpayers Association – 2000 to 2007. Served as President of the Board 2005 to 2007.
- Board of Directors Los Padres Chapter Boys Club of America – 2000 to 2010.
- Board of Directors Santa Barbara Trust for Historic Preservation – 2008 to 2014.
- Board of Directors Santa Barbara YMCA – 2010 to 2015.
- Board of Directors Court Appointed Special Advocates – 2005 to 2013.
- Use action verbs to start every bullet point.

Membership Organizations

- Lions Club
- Young America’s Foundation (President Club Member)
- The Federalist Society

EDUCATION

- Moorpark Junior College (1973 Junior College National Debate Champion) – 1972 to 1974.
- University of California at Berkeley (Bachelor of Arts Degree – Graduating Magna Cum Laude) – 1974 to 1976.
- Loyola Law School Los Angeles (Jurist Doctorate Degree – Scott Moot Court Honors) – 1976 to 1979.

AREAS OF EXPERTISE AND SPECIAL SKILLS

- In 1993 Governor Wilson and Speaker Willie Brown asked me to be one of two California County Supervisors to testify before the California Economic Summit where I provided testimony regarding what California should do streamline and cut waste in government.
- Served on the Agricultural Advisory Board to Superintendent of Public Instruction from 2000 to 2008 having been appointed by Democrat Mr. Jack O’Connell.
- Served as Chairman of the Santa Barbara County Air Pollution Control District from 1992 to 1994.
- Served as Chairman of the Santa Barbara County Association of Governments from 1991 to 1993.
- Served on the Southern California Hazardous Waste Management Board of Directors from 1990 to 1994.

Education

Bachelor of Science in Biology and Wildlife Management, May 1996

College of Natural Resources, University of Wisconsin - Stevens Point, Stevens Point, WI

Executive, Managerial and Policy Related Work History

Interim Secretary

Wisconsin Department of Natural Resources, Madison, WI

October 2017

- Appointed by Governor Scott Walker to serve as his interim Cabinet Secretary for the Wisconsin Department of Natural Resources
- In addition to my duties as Deputy Secretary (below), I served as the agency's point person for critical Foxconn legislation including legislative testimony, that helped Wisconsin secure the high-tech company which will bring significant jobs and economic growth to the state
- Ensure that the Governor's policies, practices and priorities for his cabinet agency are reflected in the Department of Natural Resources actions, policies and initiatives

Deputy Secretary

Wisconsin Department of Natural Resources, Madison, WI

March 2015 – Present

- Serve as chief operations officer for the Wisconsin Department of Natural Resources
- Oversee the development and administration of the agency's annual \$500 million budget
- Provide leadership and direction to the agency's 2,400 full time employees
- Serve on the Governor's Management Cabinet
- Promote Lean Government principles
- Designed and implemented an agency core work analysis and realignment to assure effective and efficient implementation of the agency's mission and core work
- Provided leadership to all conservation, environmental management, law enforcement, legal and administrative division leaders
- Guided agency legislative and policy direction
- Chair the Department's Leadership Team
- Represent the Secretary and Governor at statewide and national events
- Lead tribal negotiator
- Oversee hiring of all senior leadership team members

Land Division Administrator

Wisconsin Department of Natural Resources, Madison, WI

January 2011 – March 2015

- Provide leadership and direction for the State of Wisconsin's Wildlife Management, Natural Heritage Conservation, Facilities and Lands, and State Parks and Trails programs.
- Direct and guide the policies associated with the Knowles-Nelson Stewardship Program.
- Approve all DNR Land Acquisitions, Capital Development expenditures and projects.
- Provide oversight and leadership to the Land Leadership Team.
- Participate as an active member in the Department Leadership Team
- Provide Counsel to the Secretary on all matters under the jurisdiction of the Land Division, including property management, deer management, wolf management, endangered and threatened species, master planning, state parks, state trails, and real estate.
- Responsible for all operating budgets and personnel within the Land Division, including 489 full time employees, and total operations budget of \$36.8 million including supplies and salaries.

Kurt A. Thiede

(b) (6)

Natural Resources Program Supervisor – Customer Service Supervisor Southern Wisconsin

Wisconsin Department of Natural Resources, Fitchburg, WI

January 2010 – January 2011

- Provide leadership and direction to staff performing all functions of the Customer Service and Licensing Program, including licensing, vehicle registration, safety education, telephone and face to face service
- Monitor program budgets and allocate budgeted funds between 9 Service Centers
- Directly supervised 16 FTEs and 2 LTEs including Customer Service Rep – Seniors and Customer Service – Lead Workers in Milwaukee, Green Bay, Fitchburg and Oshkosh
- Coordinate the implementation of a new Customer Service Delivery model
- Monitor of the activities of teams to effect the achievement of program goals and objectives ensuing that work is accomplished efficiently and effectively
- Responsible for the daily operations of Customer Service staff at 9 DNR Service Centers across southern Wisconsin
- Mentor and coach my staff members, developed improvement goals and encouraged excellence and acknowledged outstanding performance

Natural Resources Liaison Specialist – Wisconsin Conservation Congress Liaison

Wisconsin Department of Natural Resources, Madison, WI

June 2006 – January 2010

- Facilitate the development and evaluation of Conservation Congress natural resource related positions and concerns by working closely with the Department of Natural Resources (DNR) Secretary's office, DNR Leadership Team, Natural Resources Board (NRB), legislators, and leadership within the Wisconsin Conservation Congress
- Keep abreast of natural resource related legislation and assure Congress leadership is informed of introduced bills and hearings
- Disseminate Congress positions through briefings and memos to the DNR Secretary, program leaders, staff specialists, and NRB
- Build trusted working relationships and networks with external partners
- Facilitate and coordinate the annual public Spring Hearing & Conservation Congress County Meetings held simultaneously in all 72 counties, including the review, development and implementation of increased efficiency standards
- Prepare and administer the Conservation Congress budget

Natural Resources Staff Specialist – Wildlife Regulation Policy Specialist

Wisconsin Department of Natural Resources, Madison, WI

November 2001 – June 2006

- Developed and edited all hunting regulations pamphlets annually published by the Wisconsin DNR
- Drafted and promulgated administrative rules relating to hunting seasons and wildlife management policies including fiscal analyses, state and federal regulatory analyses and economic impact analysis
- Assisted with the development of legislation and statutory proposals relating to wildlife management policies, hunting and trapping
- Created information pamphlets and guidance on wildlife related rules and policies for external and internal users
- Provided presentations and briefings to the State Legislature, NRB and DNR Administration on wildlife policy matters

Senior Biologist – Project Position - Deer 2000 Project Coordinator

Wisconsin Department of Natural Resources, Madison, WI

July 2000 – October 2001

Wildlife Biologist – Limited Term Employee - Assistant Migratory Game Bird Ecologist

Wisconsin Department of Natural Resources, Madison, WI

June 2000 – July 2000

Wildlife Biologist – Limited Term Employee - Deer 2000 Assistant Project Coordinator

Wisconsin Department of Natural Resources, Madison, WI

June 1999 – June 2000

Organizations and Honors

Chair, Investment Committee, Midwest Association of Fish and Wildlife Agencies (MAFWA), 2015 – present
Chair, Governor's Sporting Heritage Committee – 2015 – present
Vice-Chair, Fish & Wildlife Trust Funds Committee, Association of Fish and Wildlife Agencies (AFWA), 2016
Member, Audit Committee, MAFWA, 2016
Member, Conservation Fund, MAFWA, 2016
Member, Federal and Tribal Relations Committee, AFWA – 2015 - present
Alumnus of the Year Award – University of Wisconsin Stevens Point, 2016
Special Recognition Award for Exemplary Leadership – Wisconsin Conservation Congress - 2010
DNR Secretary PRIDE Award – Harmony in the Woods Team - 2008
Wildlife Conservationist of the Year – Wisconsin Wildlife Federation - 2007
Governor's Recognition for Exemplary State Civil Service - 2005
Special Recognition Award – Wildlife Regulation & Policy Development - Wisconsin Conservation Congress - 2003
High Academic Honors - University of Wisconsin - Stevens Point (UWSP), 1995
Xi Sigma Pi - College of Natural Resources Honor Society - UWSP, 1993 - 1996
Academic Distinction Honors - University of Wisconsin - Eau Claire (UWEC), 1990 / 1991
Phi Eta Sigma - Freshman Honor Society - UWEC, 1991

Training and Continuing Education

- DNR Supervisor Training – Selection Process and Diversity – October 2010
- DNR Supervisor Training – Constructive Confrontation & Effective Discipline – April 2010
- Authored, *Seventy-five years of conservation through democracy*, Wisconsin Natural Resources Magazine, April 2009
- Assisted in the development of the DNR Aspiring Supervisor Training Program, WDNR, Madison, WI (2008)
- Rules Coordinator Training – February 2008
- Department of Natural Resources Leadership Academy, WDNR, Madison, WI (7/2006 – 6/2007)
 - Communication, Developing Leaders, Change Management, Decision Making, History/Systems/Strategy, Planning & Feedback
- Participated in the review of proposals for the 3rd generation DNR Automated Licensing System (2006)
- Certificate in Public Participation from the International Association for Public Participation (Completed 2/2007)
 - Planning for Effective Public Participation 01/11/06
 - Tools and Techniques for Public Participation 03/01/06
 - Effective Public Participation -- Communications 02/06/07
- Perceptual Thinking Patterns, WDNR, Madison, WI (2005)
- Basic Principles of a Collaborative Workplace, WDNR, Madison, WI (2003)
- Perceptive Communications, WDNR, Madison, WI (2003)
- Attended the University of Wisconsin-Madison as a Master's of Science Degree candidate in the Department of Wildlife Ecology, Madison, WI, August – December 2001
 - Graduate Level Statistics; Applied Geographic Information Systems (GIS); Database Analysis of Wisconsin Wild Turkey Harvest Data
- Attended the University of Wisconsin - Eau Claire (1990-1992) – General Studies / Journalism
- Attended the University of Maine, Orono (1991) – National Study Abroad – General Studies / Journalism

ANNA J. WILDEMAN

(b) (6)

PROFESSIONAL EXPERIENCE

Wisconsin Department of Justice, Madison, Wisconsin

Director, Environmental Protection Unit; Assistant Attorney General, 2016-Present

- Responsible for managing the environmental litigation unit of the Wisconsin Department of Justice, which prosecutes violations of Wisconsin's environmental and natural resources laws and defends administrative decisions and rules issued by the Wisconsin Department of Natural Resources (DNR)
- Oversee all environmental litigation challenging agency actions and inactions; work with agency leadership to develop priorities and litigation strategy and implement court decisions that impact regulatory programs; coordinate multi-state litigation efforts
- Establish enforcement priorities for the unit; evaluate enforcement referrals from DNR; work with agency leadership to improve case development; promote uniformity and consistency in prosecutions
- Handle all human resource matters for the unit; promote skills development and training objectives for unit members
- Negotiate resolution of complex agency enforcement and defense matters
- Advise the Attorney General and state agencies on implementation of regulatory reform efforts

U.S. Chamber of Commerce, Washington, D.C.

Policy Counsel and Committee Executive, Environment & Agriculture, 2015-2016

- Work with member organizations to identify environmental, agricultural and food safety issues affecting U.S. businesses
- Review federal legislative bills, proposed administrative rules and agency guidance; evaluate same and develop policy positions and strategy for the U.S. Chamber of Commerce, its members and affiliated coalitions
- Develop substantive testimony for presentation to Congressional committee; provide staff and legal support during Congressional hearing; assist in drafting written response to questions for the record
- Work with federal legislators on U.S. Chamber priorities, including but not limited to regulatory reform, the "Waters of the U.S." definition, labeling of genetically engineered food products, and reform of the Toxic Substance Control Act
- Perform Staff Executive function for the U.S. Chamber Environment & Agriculture Committee: grow committee membership; plan and execute bi-annual meetings; keep members informed of U.S. Chamber activities and significant policy proposals; work with committee members on particular regulatory issues of concern
- Review and revise U.S. Chamber publications, e-publications, press releases and statements concerning the impact of regulatory burdens on communities and industries
- Draft public comments on federal agency regulatory proposals

Michael Best & Friedrich, LLP, Washington, D.C.; Madison, WI

Partner, 2007-2015

- Assist clients with regulatory compliance issues related to state and federal environmental laws, regulations and guidance
- Counsel clients regarding Clean Air Act, Clean Water Act and RCRA enforcement proceedings and issues of liability
- Project management, including permitting, strategic planning and management of outside consultants for development of greenfield and expansion projects, including renewable energy facilities, industrial sand mines and large agricultural facilities
- Provide administrative and civil litigation support, including development of expert witness testimony, successful defense of contested case matters and negotiation of complex multi-party CERCLA settlement
- Perform environmental due diligence for corporate transactions

ACTIVITIES

Member: Wisconsin Bar Association; Massachusetts Bar Association, D.C. Bar Association

Board of Directors: Women's Energy Network, D.C. Chapter (former); Seventh Generation Systems Integration, Inc. (former); Rural Exchange Delegation, Inc. (former Chair)

EDUCATION

Vermont Law School, Juris Doctor, cum laude, May 2007; General Practice Certificate May 2007

Activities: Equal Justice Foundation, Co-Chair; Women's Law Group, Public Relations; Alliance, Public Relations

Special Honors: Recipient of Equal Justice Fellowship for Public Interest Work, Summer 2006; Phenomenal Woman of the Year Award, 2006-2007; Dean's List, 2005-2007

Northeastern University, Boston, MA

Bachelor of Science, cum laude, Art/Design, June 2002



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN:

(b) (6)

CHARLOTTE MARIE BERTRAND

(b) (6)

Education

M.S. Environmental Science and Policy Johns Hopkins University

M.S. Safety Engineering Texas A&M University

B.S. Industrial Engineering Texas A&M University

Work Experience

Acting Division Director • Environmental Protection Agency • Administrator's Office • Office of Policy, Economics and Innovation • 1200 Pennsylvania Avenue, N.W. • Ariel Rios Building • MS 1804A • Washington D.C. 20460 February 2005 -- July 2005 Series GS0028 • 4 months at Grade 15/3, remaining time as Grade 14/6 40+ hours/week -- \$110,000
Supervisor -- Alexander Cristofaro 564-7253

Provided leadership and direction to the Regulatory Management Division (RMD). Responsible for oversight of all division activities, technical direction to staff, and budget and resource allocation decisions.

- Developed division workplan outlining year-end goals for RMD's management of regulatory databases; training and continuing education to managers and staff on EPA's Action Development Process; and Agency efforts related to the Regulatory Flexibility Act, as amended by the Small Business Regulatory Enforcement Flexibility Act.

- Served as Chair of the Agency's Regulatory Steering Committee, facilitated Agency interactions within OPEI and the Office of Management and Budget related to EPA program regulations.

Senior Policy Analyst • Environmental Protection Agency • Administrator's Office • Office of Policy, Economics and Innovation • 1200 Pennsylvania Avenue, N.W. • Ariel Rios Building • MS 1804A • Washington D.C. 20460 December 2002 -- present
Series GS0028 • Grade 14/6

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EPA-17-0558-C-000010



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN: (b) (6)

40+ hours/week -- \$103,000

Work with immediate office of the Administrator and EPA Program Offices to ensure that the Administrator's Office actively participates in priority regulation and policy development.

- Represent OPEI on multiple Agency workgroups and advise senior EPA leadership on regulatory options and impacts.
- Review significant Agency actions prior to Administrator signature focusing on issues related to the Clean Air Act (NESHAPs, Hazardous Air Pollutant program, Volatile Organic Compound Reactivity Policy), Clean Water Act, Beach Act, TSCA, FIFRA, and unique high-priority chemicals/compounds such as particulate matter, perchlorate, and asbestos.
- Responsibilities include the preparation of Congressional testimony, briefing materials, talking points, press releases, and communication materials.
- Represent Administrator's Office in Cross-Agency workgroups including EPA's Tribal Science Council and Asbestos Coordination Team. Facilitate and coordinate the EPA's Asbestos and Vermiculite Workgroup, chaired by OPEI's AA.
- Assist EPA decision-makers in explaining and negotiating policy matters with Congress, interest groups, and senior officials in other agencies.

Special Assistant • Environmental Protection Agency • Administrator's Office •
1200 Pennsylvania Avenue, N.W. • Ariel Rios Building • MS 1101A • Washington
D.C. 20460

November 2001 - December 2002

Series GS1301 • Grade 13/9

40++ hours per week: \$83,888

Supervisor: Claudia McMurray, Chief of Staff to the Deputy Administrator

Served as a liaison for the EPA Administrator and Deputy Administrator to the various EPA program offices. Areas of responsibility included the activities in the Office of Policy, Innovations, and Economics (OPEI), the Office of Enforcement and Compliance Assurance (OECA), the Office of Civil Rights (OCR), and the Office of Solid Waste and Emergency Response (OSWER).



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN: (b) (6)

- Reviewed regulatory actions prior to Administrator signature and provide further information to either the Administrator or Deputy Administrator on the rule;
- Provided briefing materials, analysis and advice to Administrator/Deputy Administrator in advance of meetings and other important events and timely follow-up after such events;
- Provided materials and assistance to speechwriters, communications staff, White House liaison staff, advance travel staff and others;
- Facilitated communication on high priority activities, regulations, and policies between the Office of the Administrator and OPEI, OECA, and OSWER.

Environmental Scientist • Environmental Protection Agency • Office of Solid Waste
• Economic Methods and Risk Analysis Division • 2800 Crystal Drive, Arlington,
Virginia 22202
March 1997 - November 2001
Series GS1301 • Grade 13/8
40 hours per week
Annual salary: \$75,857
Supervisor: Barnes Johnson 703-308-8881

Responsible for the design and implementation of multi-pathway risk assessments involving the application of multi-media fate and transport models to determine which industrial wastes should be regulated as Resource Conservation and Recovery Act (RCRA) hazardous waste. Work included the development of new techniques and design approaches for determining risks that may be generated from managing certain industrial wastes. Responsibilities also included the effective communication of hazards to public health and the environment to management, the regulated community, environmental groups, and other interested stakeholders such as the public and State regulators.

- Air Characteristics Study: Evaluated the human health impacts of air emissions from waste management units nationwide to determine the need for regulatory expansion of the RCRA hazardous waste toxicity characteristics.
- Industrial D Voluntary Guidance: Assisted in developing voluntary guidance in coordination with state agencies, industry, and environmental groups to recommend the best management practices for controlling air emissions from

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EPA-17-0558-C-000012



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN:

(b) (6)

industrial non-hazardous solid waste. Developed an air model to accompany the guidance that estimates the human health risks resulting from volatile organic emissions from waste units.

- Painting and Coatings Listings Determination: Generated multi-pathway, multi-media risk assessment that evaluated the potential risks from managing wastes generated by the paint and coatings industry. This work supported a regulatory determination on whether certain waste streams from the paint and coatings industry should be considered a listed hazardous waste under RCRA.
- Intra-Agency Efforts: Represented the Office of Solid Waste in work groups that evaluated the methods and policies for assessing risks to children.
- Responsible for contract management activities as a work assignment manager on numerous work assignments. Activities included developing project plans and schedules, overseeing contractor activities, and ensuring that all work performed on a work assignment was technically accurate and based on state of the art science.

Environmental Engineer • Oak Ridge National Laboratory • Health Sciences Research Division • Risk Analysis Section • P.O. Box 2008 • Oak Ridge, Tennessee 37831
May 1994 - March 1997

40 hours per week

Annual salary: \$ (b) (6) (includes COLA for Washington D.C. detail)

Supervisor: Curtis Travis (423) 539-9286

Washington DC, Detail to the Department of Energy 1995-1997

- Detailed to the Department of Energy, Office of Environmental Management, Office of Strategic Planning and Analysis to assist in complex-wide policy issues pertaining to risk assessment and management.
- Participated in the development of the 1996 Baseline Environmental Management Report which was a nationwide evaluation of the sites associated with the Department of Energy, the future land use predicted for these sites, and the life-cycle costs of remediating and closing the contaminated sites.
- Developed methodology for estimating the physical growth of contaminant groundwater plumes when the start date of remedial activities on a project are

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EPA-17-0558-C-000013



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN:

(b) (6)

delayed. The purpose was to predict the impact delaying remediation would have in the project cost and scope.

Oak Ridge, Tennessee 1994-1995

- Advised on risk management activities for seven sites, including Department of Energy, National Priority Listed facilities.
- Performed screening level risk assessment on potential volatile organic emissions from waste management units at Kelly Air Force Base in San Antonio, Texas. Assessment involved modeling the anticipated air concentrations at the exposure point, calculating the flow from three groundwater areas, and performing a risk characterization.
- Project manager for screening level risk assessment on selected Oak Ridge Reservation waste streams. Project involved the development of a methodology to analyze and prioritize the waste streams within the waste types stored at the Oak Ridge Reservation.

Environmental Engineer • Modern Technologies Corporation • San Antonio, Texas (no longer located in Texas)

August 1993 - April 1994

40 hours per week

salary: \$ (b) (6)

Supervisor: Karen Swails (phone number unknown)

- Provided technical project assistance and restoration oversight to the Air Force Center of Environmental Excellence including support for Remedial Investigations/Feasibility Studies, Preliminary Assessment/Site Inspections, Focus Feasibility Studies, and Remedial Actions. Oversaw remedial actions and field work activities at McGuire AFB on approximately \$6.5 million of ongoing projects.
- Evaluated and assisted in the development of Quality Assurance Plans, Construction Plans, Site Safety and Health Plans, and Site Specific Sampling and Analysis Plans for three restoration projects at McGuire Air Force Base. The restoration sites included the removal of PCB contaminated transformer pads, excavation of pesticide contamination in soils, and the removal of BTEX contaminated soils and removal of an underground storage tank. My evaluation of these plans was to ensure that the restoration plans were complete, accurate, and

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AMERICAN
OVERSIGHT

EPA-17-0558-C-000014



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN: (b) (6)

would ensure effective and safe removal of contaminated equipment, soils, and other contaminated material.

Publications

Pierson, T.K.; Bertrand, C.M. "National Risk Assessment Methodology to Support EPA's Air Characteristic Study", Proceedings of the Air and Waste Management Association's 93rd Annual Conference and Exhibition. Salt Lake City, 2000.

Bertrand, C.M., and Wagner, J.P., Evaluation of Toxic Emissions and Residues from the Controlled Combustion of Selected Foam Plastics, Polymer-Plastics Technology and Engineering, Volume 36, Number 1, January 1997.

Bertrand C.M., February 1995. The Center for Environmental Technology Innovative Technology Screening Process. ORNL/M-4087. Prepared for the Center for Environmental Technology, Oak Ridge National Laboratory, Oak Ridge, Tennessee.

Bertrand C.M., et al. February 1995. The Environmental Management Project Manager's Handbook for Improved Project Definition. Prepared for the Office of Environmental Management, U.S. Department of Energy, Washington D.C.

Invited Presentations

Bertrand, Charlotte, Johnson, Ann, et.al. December 2000. Case Study Examples of Applications in Exposure Assessment, Fate and Transport Modeling, and Sensitivity Analysis in the RCRA Hazardous Waste Listing Program, Society for Risk Assessment Annual Meeting, Washington, D.C.

Bertrand, Charlotte, Johnson, Ann. Risk Assessment Methodologies to Support the Hazardous Waste Listing Program. RCRA National Meeting, August 2000.

Pierson, T.K., Bertrand, C.M. June 2000. National Risk Assessment Methodology to Support EPA's Air Characteristic Study, Air and Waste Management Association's 93rd Annual Conference and Exhibition.

Bertrand, Charlotte; Pierson, Terry; Lutes, Anne, and Marimpietri, Tony, December 1999. National Risk Assessment Methodology to Support EPA's Air Characteristic Study, Society for Risk Assessment Annual Meeting, Atlanta, Georgia.

Bertrand, Charlotte, January 1999. Risk Assessment Applications in RCRA Programs:

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EPA-17-0558-C-000015



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN:

(b) (6)

The Air Characteristic Study, RCRA National Meeting, Washington D.C.

Bertrand, Charlotte, and Virginia Colten-Bradley, January 1999. Industrial D Air and Groundwater Modeling, RCRA National Meeting, Washington D.C.

Bertrand, Charlotte, March 1999. The Environmental Protection Agency's Guide for Industrial Waste Management, Louisiana Department of Environmental Quality Waste Management Conference, Lafayette, Louisiana.

Job Related Training

- Economics and the Environment, Kennedy School of Government, May 2002
- Groundwater Pollution and Hydrology Course, Princeton Groundwater, February 2001
- Safety, Health & Environmental Management Training for Field Activities, September, 1999
- Groundwater Modeling for Risk Assessments, February 1999
- Resource Conservation and Recovery Act Orientation Course, February 1999
- Application of Air Pollution Dispersion Models Training, November 1999
- Air Dispersion Modeling Workshop, September 1999
- Geosynthetics in Waste Containment Workshop, April 1999
- Resource Conservation and Recovery Act Orientation Course, February 1999
- Risk Assessment Methods and Management Training, Harvard Center For Risk Analysis, 1998
- Quantitative Analysis and Environmental Statistics, University of Maryland, Fall 1997
- Radiation Risk Assessment Training, U.S. Environmental Protection Agency, 1997
- Remedial Action and Assessment Software Training (multi-media risk assessment model), 1996
- Pathway Analysis and Risk Assessment for Environmental Compliance and Dose Reconstruction Training, November 1995
- 29 CFR 1910.120 8 hour HAZWOPER Refresher, April 1995
- DOE Project Manager's Project Planning Training Course, December 1994
- 29 CFR 1910.120 8 hour HAZWOPER Refresher, February 1994
- Remedial Action Cost Engineering and Requirements System (RACER/USAF), Cost Estimating Training, February 1994
- 29 CFR 1910.120 HAZWOPER Training, November 1992

Job Related Honors

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EPA-17-0558-C-000016



Environmental Protection Agency

Applicant Resume Detail

Vacancy Description: Environmental Protection Specialist, GS-028-15 (BJP)

Staging Area ID: SA-EPA-0001

Name: CHARLOTTE M BERTRAND

SSN:

(b) (6)

Office of Air and Radiation, Bronze Medal, 2005

Office of Solid Waste and Emergency Response Bronze Medal, 2004

Office of Solid Waste and Emergency Response Team Excellence Award, 2002

Office of Solid Waste Team Excellence Award, 2001

Office of Solid Waste Reinvention Accomplishment Award, 1999

Office of Solid Waste Science and Technical Achievement Award, 1999

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EPA-17-0558-C-000017

Barry N. Breen

SSN: (b) (6)

(b) (6)

OBJECTIVE

Position as Director, Federal Facilities Enforcement Office
Announcement No.: EPA-5-OECA-6033

WORK EXPERIENCE

Director, Federal Facilities Enforcement Office, Office of Enforcement and Compliance Assurance, U.S. EPA. (June 1994 to present).

401 M St. SW, Washington, DC 20460

Grade: GM 15. Annual Salary: \$93,166 (current salary) (1994 salary was \$90,252).

Supervisor: (b) (6) Permission to contact granted.

Number of people supervised: 25 permanent full-time civil servants, 8 others.

Duties and Accomplishments:

I manage the work of the work of the Federal Facilities Enforcement Office, which is multi-media, multi-disciplinary, and multi-tooled (compliance assistance, compliance assurance, and civil enforcement).

Most of my accomplishments are in conjunction with the accomplishments of the office as a whole. Examples include:

Resolution of key CERCLA enforcement disputes (e.g., Lake City Army Ammunition Plant; Watertown, Massachusetts, Army Materials Testing Laboratory).

Participation in renegotiation of CERCLA agreement for DOE Rocky Flats, Colorado, plant.

Participation in "summit" meeting for DOE Hanford, Washington, location, which identified \$1 billion in savings over 3 to 4 years without relaxing regulatory protection.

Bringing RCRA enforcement action at classified Air Force location. To the best of our knowledge, this is the first time this type of action has been brought at a classified location. Discussions for a memorandum of agreement setting regular procedures for future actions of this type have begun.

Barry N. Breen, (b) (6) Page 2
Director, Federal Facilities Enforcement Office
Announcement EPA-5-OECA-6033

Completion of the FY 93-94 Federal Facilities Multi-Media Enforcement and Compliance Initiative. This has served as a case study for multi-media inspections EPA-wide.

EPA lead for the ENVEST initiative, one of 25 regulatory reform initiatives announced by the President.

Army-EPA Memorandum of Agreement on Pollution Prevention. This agreement provides EPA expertise to the Army, and the Army agrees to make public its responses to EPA's recommendations.

Deputy Director, Office of Federal Facilities Enforcement, Office of Enforcement, U.S. EPA. (December 1992 to June 1994). Including Acting Director from October 1993 to June 1994.

401 M St., SW, Washington, DC 20460

Grade: GM 15. Annual Salary: \$83,502 (starting) to \$90,252 (finishing).

This is the predecessor to the current Federal Facilities Enforcement Office, reorganized in the June 1994 enforcement reorganization. The office had approximately 29 permanent full-time civil servants and 5 others.

Supervisors:

(b) (6) (b) (6)
(b) (6) Permission to contact granted.

Duties and Accomplishments:

I served as Deputy Director & Senior Counsel from December 1992 through September 1993, and on (b) (6) departure served as Acting Office Director through the enforcement reorganization in June 1994.

Most accomplishments were in conjunction with the office as a whole. Examples included:

Resolution of CERCLA enforcement disputes (e.g., Mather Air Force Base, George Air Force Base).

Promulgation of guidance implementing the enforcement provisions of the Federal Facility Compliance Act of 1992.

Support to the Assistant Administrator for testimony before Senate Governmental Affairs Committee and House Armed Services Committee.

Successful management of the office through the staffing changes of the enforcement reorganization.

Barry N. Breen, (b) (6) Page 3
Director, Federal Facilities Enforcement Office
Announcement EPA-5-OECA-6033

Adjunct Faculty Member, Washington College of Law, The American University.
(January 1990 to present).

4400 Massachusetts Ave. NW, Washington, DC 20016.

Current faculty rank is **Adjunct Professor**. Pay: (b) (6) for 2-credit semester (starting) to \$ (b) (6) for 3-credit semester (current).

Supervisors: (b) (6) (b) (6) Permission to contact granted.

Duties and Accomplishments:

I teach courses in environmental law, specifically: Environmental Law (the basic course), Toxic Torts, and International Application of U.S. Environmental Law. Class sizes have ranged from 22 students to 93 students.

Most classes have included a practical role-playing exercise which I designed.

I won the 1991 American University Award for Outstanding Adjunct Teaching. Two adjunct faculty members received this award in 1991, out of over 700 eligible university-wide.

Editor-in-Chief, Environmental Law Reporter, The Environmental Law Institute.
(August 1986 to December 1992). Concurrently, from January 1988 to December 1992,
Director of Publications, Environmental Law Institute.

1616 P St. NW, Washington, DC 20036.

Annual Salary: starting at \$ (b) (6) ending at \$ (b) (6). Number of people supervised: 12 full-time, 4 part-time.

Supervisor: (b) (6) (b) (6) Permission to contact granted.

Duties and accomplishments:

I became Editor-in-Chief of the Environmental Law Reporter in August 1986. In January 1988, I also became the overall Publications Director of the Environmental Law Institute.

I managed all aspects of the Environmental Law Reporter itself, and the Environmental Law Institute's Books and Treatises Program.

Our treatise, Sustainable Environmental Law, won the 1993 award for Best New Book (Legal) from the Association of American Publishers, Professional and Scholarly Publishing Division.

The Environmental Law Reporter finished 1991 (the last full year I was there) with 40% more subscribers than when I joined in 1986.

Barry N. Breen, (b) (6) Page 4
Director, Federal Facilities Enforcement Office
Announcement EPA-5-OECA-6033

Trial Attorney (General), Organized Crime and Racketeering Section, Criminal Division; U.S. Department of Justice. (August 1985 to September 1986).
Main Justice, Washington, DC 20530.
Grade: GS-13. Annual Salary: \$46,370.
Supervisor: (b) (6) Permission to contact granted.

Duties and Accomplishments:

I worked as a Trial Attorney in the Justice Department's Criminal Division. I prosecuted federal criminal cases in the Eastern District of Virginia and served as a lawyer at Justice Department Headquarters on issues including electronic surveillance, currency crimes, and the criminal laws aimed specifically at organized crime.

In a jury trial for a cocaine conspiracy in the Eastern District of Virginia, I won convictions on all six counts against two defendants.

Assistant to the General Counsel, Office of the General Counsel, Department of the Army. (August 1981 to September 1985).
The Pentagon, Washington, DC 20310.
Grade: Captain, U.S. Army. Annual Salary: \$26,589 (starting) to \$35,649 (finishing).
Supervisor: (b) (6) Permission to contact granted.

Duties and Accomplishments:

I received the U.S. Army Meritorious Service Medal for legal advice principally in environmental law, and as needed in real property, military construction, occupational safety and health, energy, housing, and transportation.

I managed efforts through the Office of Management and Budget to compel the DOE to clean up the Weldon Springs Chemical Plant in Missouri.

I coordinated Army legal efforts through the Justice Department in bringing suit against Shell Chemical Company to clean up Rocky Mountain Arsenal, Colorado.

I coordinated Army legal involvement in settling United States v. Olin, involving cleanup costs and toxic tort claims from DDT production at Redstone Arsenal, Alabama.

I advised the Secretary and Chief of Staff on environmental impact statement requirements in stationing two new Army divisions.

I managed environmental compliance during a classified action.

Barry N. Breen, (b) (6) Page 5
Director, Federal Facilities Enforcement Office
Announcement EPA-5-OECA-6033

Summer Law Clerk, Office of the General Counsel, Department of Defense. (May 1980 to August 1980).

The Pentagon, Washington, DC 20301.

Grade: GS-9. Annual Salary: \$17,035.

Supervisor: (b) (6) Permission to contact granted.

Summer Law Clerk, Office of the Chief Counsel, U.S. Army Corps of Engineers. (June 1979 to August 1979).

Pulaski Building, Washington, DC 20314.

Grade: GS-7. Annual Salary: \$13,014.

Supervisor: (b) (6) Permission to contact granted.

EDUCATION

Harvard Law School, J.D. cum laude, 1981.

Cambridge, Mass. 03128

Princeton University, A.B. cum laude. Major in the Woodrow Wilson School of Public and International Affairs, 1978.

Princeton, New Jersey 08540.

Laurel High School, Laurel, Maryland, graduated in 1974.

SPECIAL SKILLS, ACCOMPLISHMENTS, & AWARDS

Managing Editor of Sustainable Environmental Law, winner of the 1993 "Best New Book Award" (Legal Category) from the Association of American Publishers, Professional and Scholarly Publishing Division.

U.S. Army Meritorious Service Medal, 1985.

The American University Faculty Award for Outstanding Adjunct Teaching, 1991.

I was one of two adjuncts to receive this award in 1991, out of over 700 adjuncts at the University.

Elected to Membership in the American Law Institute, 1991.

National Environmental Moot Court. I have written the competition problems for this annual event for each year from 1990 through 1995.

Barry N. Breen, (b) (6) Page 6
Director, Federal Facilities Enforcement Office
Announcement EPA-5-OECA-6033

Editorial Advisory Boards:

Harvard Environmental Law Review.
Environmental Law Reporter.
Federal Facilities Enforcement Journal.

Board of Directors, Falls Church Episcopal Day School (volunteer, church-affiliated).

Bar Memberships:

District of Columbia.
United States District Court for the District of Columbia.
United States Court of Appeals for the District of Columbia Circuit.

PUBLICATIONS

Managing editor and chapter author of Sustainable Environmental Law and Environmental Law from Resources to Recovery (Both from West Publishing Co., 1993).

"Citizen Suits for Natural Resource Damages: Closing a Gap in Federal Environmental Law," 24 Wake Forest Law Review 851 (1989).

"Innovative Methods of Environmental Protection," in Law of Environmental Protection (Clark Boardman Callaghan Co., 1989 with annual updates).

"Your Government's Pollution," op-ed in The Christian Science Monitor, May 23, 1989, p. 18.

"The Travel Act (18 U.S.C. 1952): Prosecution of Interstate Acts in Aid of Racketeering," 24 American Criminal Law Review 125 (1986).

"Federal Supremacy and Sovereign Immunity Waivers in Federal Environmental Law," 15 Environmental Law Reporter 10326 (1985).

"CERCLA's Natural Resource Damage Provisions: What Do We Know So Far?," 14 Environmental Law Reporter 10304 (1984).

"Natural Resource Damage Recovery by Federal Agencies -- A Roadmap to Avoid Losing Causes of Action," 13 Environmental Law Reporter 10324 (1983).

"Getting the Federal Government to Comply," The Environmental Forum, March 1983.

"Superfund: The Army as Protector of the Environment," The Army Lawyer, May 1982.

"Restraining the Regulators: Legal Perspectives on a Regulatory Budget for Federal

Barry N. Breen, (b) (6) Page 7
Director, Federal Facilities Enforcement Office
Announcement EPA-5-OECA-6033

Agencies," 18 Harvard Journal on Legislation 1 (1981) (co-author).

"The Death of a Myth," op-ed column in the Washington Star, September 10, 1980,
reprinted at 126 Congressional Record 28712 (1980).

PERSONAL INFORMATION

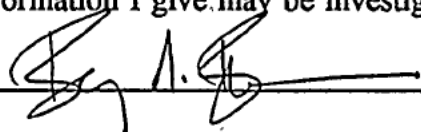
U.S. citizen

(b) (6)
Highest federal employment: GM 15, December 1992 to present.
Reinstatement Eligibility: Not applicable; current federal employee.

CERTIFICATION

I certify that, to the best of my knowledge and belief, all of the information on and attached to this resume is true, correct, complete, and made in good faith. I understand that false or fraudulent information on or attached to this resume may be grounds for not hiring me or for firing me after I begin work, and may be punishable by fine or imprisonment. I understand that any information I give may be investigated.

Signature: _____



Date Signed: _____

May 22, 1995

Edward H. Chu

Current Experience

8/13-Present: U.S. Environmental Protection Agency

Assistant Regional Administrator, EPA Region 10 (Pacific Northwest and Alaska Region). Serving as the Chief Operating Officer to manage resources for over 600 staff and an annual budget of about \$500 million. Provide oversight of resource management activities through all Region 10 EPA programs to ensure sound fiscal management and accountability. Support Region 10 program mission through managing lines of business that form the backbone of EPA's operations in the Pacific Northwest and Alaska: budget, finance and cost recovery, grants administration, information technology, information management, strategic planning, human capital management, work force development, Equal Employment Opportunity programs and the Office of Civil Rights. Serve as the Senior Resource Official (SRO), the Senior Human Capital Official, the Senior Information Official (SIO), and the senior official for all facility management issues such as health and safety, property, facility and personnel security, and fleet management. Responsible for the Region's compliance with Anti-Deficiency Act, Federal Information Security Management Act (FISMA), Federal Information Technology Acquisition Reform Act (FITARA) and the Federal Managers Financial Integrity Act (FMFIA).

6/09-Present: Lecturer, University of Pennsylvania

Teach two environmental policy courses for the Master of Environmental Studies program in the Department of Earth and Environmental Sciences – "Managing Environmental Risks in an Uncertain World" and "Closing the Loop on Climate Change."

Past Experience

5/11-8/13: U.S. Environmental Protection Agency

Assistant Regional Administrator, EPA Region 4 (Southeast Region). Served as the Chief Operating Officer to manage resources for over 1000 staff and an annual budget of about \$600 million. Supported Region 4 program mission through managing lines of business that form the backbone of Region 4 operations: budget, acquisitions, finance and cost recovery, grants administration, information technology, information management, planning, facilities and transportation, human capital management, work force development, and the Office of Civil Rights. Served as the Senior Resource Official (SRO), Senior Information Official, and the Director of the Office of Program Management.

10/10-5/11: U.S. Environmental Protection Agency

Acting Division Director, Indoor Environments Division. Led innovative voluntary programs that protect public health by promoting healthier environments inside all buildings (homes, schools, and offices). Managed 50 FTEs and an annual budget exceeding \$20 million dollars. Designed and launched an interagency initiative for a coordinated Federal action on radon.

6/09-12/10: White House Council on Environmental Quality

Deputy Associate Director for Green Jobs, Community Protection, and Climate Solutions. Responsible for Presidential initiatives that bring together government-wide programs to increase green economic development opportunities. Led an interagency task force to develop

and implement the *Recovery through Retrofit* Action Plan for the Vice President and the Middle Class Task Force that accelerated the pace of energy efficiency improvements in homes. Prepared the America's Green Recovery Report to promote green economic development under the American Recovery and Reinvestment Act of 2009. Developed an interagency approach to protect children and disadvantaged communities from chemicals, pesticides, and air toxics.

1995-2009: U.S. Environmental Protection Agency

1/09-6/09: Acting Assistant Regional Administrator, EPA Region 3. Responsible for budget formulation and execution, human resource management including equal employment opportunity and diversity programs, strategic planning, policy and regulatory development, contracting and procurement, information systems, facilities, telecommunications, grants and audit management, and Continuity of Operations (COOP) planning and implementation.

8/07-12/08: Director – Center for Program Analysis, Office of Solid Waste and Emergency Response. Established and directed a new office responsible for coordinating and advancing policy development and analysis across EPA's materials, waste, and land management programs. Initiated evaluation of Brownfields' revolving loan and cleanup grant programs. Developed climate change strategy supporting life-cycle management of materials, waste, and land. Conducted program evaluation, created performance measurement systems for Superfund, developed environmental indicators, conducted economic analyses, and managed environmental benefits analyses. Developed and implemented a strategy to increase cleanup and land reuse performance and efficiency through improved performance measures and rigorous program evaluations. Developed specific plans to evaluate how Federal Facilities can better meet their cleanup targets, and the effectiveness of emergency removal programs. Developed EPA's first comprehensive materials, waste, and land management strategy on climate change.

12/04-8/07: Director – Land Revitalization Office, Office of Solid Waste and Emergency Response. Directed an office that develops and manages policies and programs to return previously contaminated properties to productive use. Created cross-program, cross-statute performance measures. Partnered with States, private lenders, and communities. Established national Ready for Use policy that resulted in expedited redevelopment of over 45 sites totaling over 22,000 acres. Designed the first cross-program, cross-statute performance measures for increasing property reuse while maintaining long-term protections. Developed partnerships and worked closely with State cleanup programs and their national leaders (e.g., Environmental Council of States (ECOS) and Association of State and Territorial Solid Waste Management Officials (ASTSWMO)), Federal agencies (including the Federal Reserve Bank), private lenders, brownfields developers, and communities to foster land reuse.

12/97-12/04: Regulatory and Economic Analysis Team Leader, Office of Children's Health Protection. Assisted in establishing this new Office to ensure that EPA's regulatory programs addressed the protection of children from environmental health threats. Created a new economic research area for children's health valuation. Led collaborative initiatives with the Organization for Economic Cooperation and Development, the World Health Organization, the Pan-American Health Organization, North American Commission for Environmental Cooperation, and local communities. Led the development of the first international report on children's health indicators and the first research program on children's health valuation.

Awarded the EPA's Gold Medal for developing the first children's environment and health indicator report and EPA's Silver Medal for developing the Mercury Action Plan.

5/95-12/97: Radon Proficiency Program Privatization Team Leader, Office of Air and Radiation. Successfully privatized the Radon Proficiency Program (completed in 1998). The annual fee charged to participants has decreased over 60 percent, to about \$75 from about \$200 per year. Awarded the EPA's Bronze Medal for this effort.

3/94-5/95: Senior Rate Analyst, U.S. Postal Rate Commission. Conducted analyses of postal operations and costs and developed policy recommendations to the Commissioners on postal rates and classification issues.

9/87-3/94: Energy and Environment Consultant, ICF Incorporated. Managed multidisciplinary project teams on environmental and energy projects specializing in policy and economic analyses of air quality issues and market-based emissions trading programs. Analyzed the impacts of the Acid Rain cap and trade program. Provided litigation support to private clients on cost recovery cases relating to fuel transport, nuclear power, and environmental compliance.

5/86-9/87: Research Assistant, Michigan Department of Commerce. Analyzed economic impacts for the Michigan Electricity Options Study, a statewide electricity planning and analysis study.

Education

- M.P.P. – Gerald R. Ford School of Public Policy, University of Michigan, 1988
- Fellow – Rand Graduate Institute, 1985
- B.A. – International Relations, Michigan State University, 1984
- Fellow – Hubert H. Humphrey Institute of Public Policy, University of Minnesota, 1983

Mrs. Karen A. Flourney

(b) (6)

VACANCY INFORMATION

Vacancy Announcement: EPA-11-SES-R7-6515

Position Title: Director, Water, Wetlands and Pesticides Division

GENERAL INFORMATION

Social Security Number: (b) (6)

Other Names Used: Bauer (Maiden)

Highest Grade: GS-15

Veterans Preference: No

Contact Supervisor: Yes

United States Citizen: Yes

CAREER OBJECTIVE

My career objective is to serve in a Senior Executive Service position in the U. S. Environmental Protection Agency (EPA). I have had the opportunity to lead in staff, management, and senior leadership positions during my career at EPA Region 7. I lead change efforts and am seeking an opportunity to serve as the Director of the Water, Wetlands and Pesticides Division.

Details of my work experience are outlined on the following pages.


Karen A. Flourney

3-28-11
Date

WORK EXPERIENCE

| | | |
|--------------------------------------|-----------------------|--------------|
| U.S. Environmental Protection Agency | Dates Employed: | 1/11-Present |
| Region 7 | Series and Grade: | ES-340-00 |
| 901 N. 5 th Street | Hours Worked: | 40+ |
| Kansas City, KS 66101 | Employees Supervised: | 10/86/12 |

Acting Director, Water, Wetlands and Pesticides Division (WWPD)

Supervisors:

(b) (6)

Effective January 1, 2011 I was detailed to the position of Acting Director, Water, Wetlands and Pesticides Division due to retirement of the permanent director. I am responsible for planning, directing, organizing, and coordinating the work of the Division. I lead a diverse staff working on all aspects of the wastewater, drinking water, and pesticide programs as contained in statutes, regulations and policy/guidance documents plus the toxics programs-asbestos and lead based paint. I lead the day-to-day operations of the Division, make decisions on program activities and set expectations for meeting program goals.

I directly manage four Immediate Office staff and six Branch Chiefs who supervise eighty-six Federal employees, primarily engineers and scientists, and twelve grantees (Senior Environmental Employees). As a member of the Region 7 Senior Staff, I actively contribute to management of the Regional Office and I coordinate and collaborate with other Division Directors where our staff work together to achieve Water Division program goals. I have strong working relationships with the senior leadership of state environmental and agriculture agencies and a diverse group of non-governmental organizations representing wastewater, drinking water, environmental, and agricultural interests-participating in meetings, giving speeches, explaining Division actions/decisions, and promoting the Agency goals for water and toxics programs.

- I set the direction with the Division Management Team to ensure all program goals are met and work is completed in a timely and high quality manner. Using input from staff, I make decisions regarding program implementation. I brief the Regional Administrator and senior Headquarters managers on program issues with policy precedence or significant controversy and work collaboratively with them to resolve issues.
- I provide advice/support to managers regarding personnel issues. Directly support Equal Employment Opportunity (EEO) and diversity programs through participation in Special Emphasis Program workgroups and events, ensuring hiring decisions comply with these requirements, and encourage/approve staff participation in training, detail opportunities, and special assignments to enhance skills.
- I conduct all hands meetings to communicate information to staff, respond to questions and receive input on Division operations. In addition to being available to meet with staff as needed, I regularly hold "open doors" a set time communicated to all staff when they can drop by to discuss program or personnel topics.

- I represent the Region 7 Water Division programs at meetings with national program Division Directors and Headquarters senior managers, state environmental and agriculture agency directors, and diverse stakeholder interests. I serve as the senior official for the Division and make commitments/decisions for the Division at these meetings.

WORK EXPERIENCE

| | | |
|--------------------------------------|-----------------------|--------------|
| U.S. Environmental Protection Agency | Dates Employed: | 5/08-Present |
| Region 7 | Series and Grade: | GS-340-15 |
| 901 N. 5 th Street | Hours Worked: | 40+ |
| Kansas City, KS 66101 | Employees Supervised: | 10 |

Deputy Director, Water, Wetlands and Pesticides Division WWPD)

Supervisor:

(b) (6)

In May 2008 the Regional Administrator asked that I serve as the Deputy Director of the Water, Wetlands and Pesticides Division (WWPD) using my knowledge of Agency programs and relationships with agricultural interests to help achieve water program goals. I manage the Immediate Office staff and provide leadership and direction to six Branch Chiefs. In absence of Division Director I have full authority to make decisions and lead staff to ensure the work of the Division is completed in a timely, high quality manner. I represent the Division Director at regional, state, and national meetings, give speeches on his behalf, make commitments for WWPD, and conduct management team meetings in Director's absence.

- Given my knowledge of water programs and the agriculture/environmental programs connections, I am invited to give speeches at regional and national meetings. Due to my program knowledge, facilitation skills, and leadership position as Deputy Division Director, I am frequently requested by senior EPA or state agency officials to facilitate technical program meetings such as animal feeding operations inspection and enforcement in Iowa, water quality standards meetings with nationally precedent setting issues, program planning meetings with WWPD staff and state agencies, and resolution of other technical program and legal issues with Region 7, EPA Headquarters, state, and/or facility representatives. I have successfully facilitated these meetings resulting in resolution of outstanding issues, alternatives/options for further evaluation, and/or decisions to proceed on an agreed upon course of action.
- I lead the Division budget and resources activities including developing staffing level analyses and grants management (FY 2010 awards-\$38 million state grants and \$25 million state revolving loan fund grants) serving as approving official for all grants and financial transactions. I direct development and implementation of Division Federal Manager's Financial Integrity Act management control system plan for WWPD.

- I ensure WWPD staff assignments meet individual employee=s skill and career development needs while achieving division goals. I serve as mentor and coach to employees and Branch Chiefs on determining the Abest fit.@ I facilitate/approve WWPD employees moving to positions to meet cross-training, skill development, and priority work needs. The results are a satisfied, productive, and highly trained workforce prepared for staff and management positions throughout Region 7.
- I mentor Branch Chiefs and provide advice on performance management, recognition, and training. I oversee personnel matters in WWPD where consistency and precedent setting considerations are evaluated, and make recommendations to ensure fairness of actions across WWPD. I am the Division approving official on hiring, promotion, and recognition actions.
- At the October 2008 Water Division Directors Meeting I volunteered to serve on a new national workgroup on nutrient pollution, a significant national water pollution issue. I took a leadership role in this workgroup due to my knowledge of the ag sector and water program, and communications expertise-bringing to the workgroup a unique set of skills. Since the workgroup was composed of senior managers everyone took on specific assignments, I wrote one chapter of the report, drafted a communications strategy, and was one of four senior managers requested by the Headquarters Office Director to conduct a final review and edit and make decisions on final report content. Due to my knowledge of the issues and position in Region 7, I was asked by the Headquarters Office Director to give speeches on his behalf on national efforts to address nutrient pollution. Since the report was issued in September 2009, I have given at least six speeches at regional or national meetings. I hosted a meeting of the workgroup focused on next steps nationally on nutrient pollution and used my contacts to secure national and regional speakers from USDA and a national environmental group to present their perspectives on nutrient pollution. I used the working relationships I had with these groups to secure their willingness to meet.
- In July 2009 the Watershed Planning and Implementation Branch Chief (WPIB) went on a detail assignment which became permanent. I initiated a request to serve temporarily as the Acting Branch Chief. As Deputy Division Director, I saw several improvement opportunities and received feedback from staff confirming my observations. I mentored staff to improve fact sheets and comment letters coaching them on the importance of communicating to different audiences. I empowered staff to take the lead in identifying solutions to outstanding grant issues, implementing the solutions, and requesting my assistance if the issues were not resolved. The new Branch Chief in February 2010 found staff performance issues addressed, a number of grant issues resolved, and staff working together on a challenging grant approach in Missouri. I asked experienced staff to mentor newer staff to ensure all applicable program and grant requirements were addressed in this nationally precedent setting approach requested by the state agency.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7
901 N. 5th Street
Kansas City, KS 66101

Dates Employed: 2/08-5/08
Series and Grade: GS-340-15
Hours Worked: 40+
Employees Supervised: 10/60

Acting Deputy Director, Environmental Services Division (ENSV)

Supervisors:

(b) (6)

Due to my leadership ability and cross-program experience, the Regional Administrator asked me to serve a detail assignment as Deputy Director Environmental Services Division (ENSV) during transition from the retiring Director and Deputy to a new Director. The Division staff was dealing with numerous changes: a pending reorganization proposal, transitioning to a new Division Director, reassignment of the Laboratory Branch Chief, and acting managers at the Laboratory. My goal was to lead ENSV through these transitions. I managed ten staff directly including three Branch Chiefs who managed sixty Federal employees.

- I set goals to: finalize stalled reorganization proposal which would better align resources to meet customer needs, address outstanding personnel issues, and help transition the new Director. I opened lines of communication-listening to understand-with staff at the Laboratory where issues had been raised to the Union. Speaking for the Division, I met with Union representatives and Labor Relations staff and committed to actions to resolve issues. I took a leadership role-leading by example-in resolving the issues working directly with managers and staff mentoring them on their leadership roles and responsibilities. The outstanding issues were resolved and due to these collaborative efforts with the Union I received their support on the reorganization proposal.
- I led finalizing the stalled reorganization proposal with input from staff and the new Director. Using my previous experience with reorganizations, I worked quickly and collaboratively with Human Resources to get the proposal finalized. By the end of my detail the reorganization proposal was finalized and a new Laboratory Branch Chief was selected-two of the goals I set out to accomplish.
- Another reason I was asked to lead the transition in ENSV was that I had worked extensively with the new Director in their previous position at a state agency. The new Director's trust in my understanding of the Region and the work of ENSV resulted in him asking me to lead all aspects of the reorganization, staffing, budget, and non-Laboratory functions while he quickly learned about the Region and ENSV, focusing first on the Laboratory.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7
901 N. 5th Street
Kansas City, KS 66101

Dates Employed: 10/02 – 5/08
Series and Grade: GS-340-15
Hours Worked: 40+
Employees Supervised: 1

Associate Regional Administrator Agriculture & Environmental Priorities/Ag Advisor

Supervisors: [REDACTED]

In October 2002, at the request of Regional Administrator Jim Gulliford, I accepted reassignment to a newly created Senior Leadership position. I was asked to use my program knowledge and communication skills to shape the Region's relationship with agricultural stakeholders, since agriculture is the economic engine of the four states in EPA Region 7. At the time of my assignment, the Region did not have a good working relationship with agricultural stakeholders. It was imperative this working relationship be strengthened due to high priority environmental issues impacting agriculture. Since the Region did not have a presence with agricultural stakeholders, I led the effort to build from scratch how Region 7 would communicate about environmental programs and work collaboratively with them.

- I led development and implementation of the first ever Region 7 Agriculture Framework which set the vision for how Region 7 would communicate and work collaboratively with agricultural stakeholders.
- I built partnerships with a broad base of stakeholders-agricultural, environmental, and Federal/State/Local/Tribal organizations. Representing the Region at meetings, giving presentations explaining EPA programs, communicating information about new regulations and program decisions such as water quality standards, and actively listening and learning about the many challenges faced by the agriculture sector were key actions I took to begin to build credibility with these stakeholders. Results of successful partnership building efforts included: invitations to speak at meetings the Region has previously not been invited to (National Pork Producers State Executive Meeting, Kansas Commodity Classic), more questions from stakeholders about Agency decisions (Kansas Livestock Association inquiry about the coarse particle rule), and requests to help resolve issues (Missouri Farm Bureau requesting I facilitate discussion on using lead mining tailings as age lime).
- I successfully facilitated resolution of issues involving agricultural stakeholders using my facilitation, communication, and collaboration skills and technical program knowledge. I used these discussions to model for technical program staff how to interact with agricultural stakeholders with whom they had limited prior experience. I worked with an agricultural organization grantee and Region 7 technical program and grants staff to resolve issues which were preventing award of a Congressional earmark grant. I was

asked by the grantee and the Regional Administrator to facilitate resolution of these issues due to the working relationship I had developed with them and my knowledge of the grants and technical program requirements. The results of my facilitation were the grantee revised their work plan, developed other necessary grants management processes, and the grant was awarded. Because I had a working relationship with this organization, I was able to explain to them in a straightforward way what was needed and they were willing to meet the requirements to receive the grant.

- I was asked by the Farm Bureau to facilitate the issue of using lead mining tailings as ag lime. The challenge was to collaboratively explore ways to allow continued use of tailings as ag lime that would be protective of human health and not interfere with the Superfund lead mining tailings site cleanup. After extensive discussion by all parties of several options, it was determined that the use of tailing as ag lime could not continue. While several stakeholders were unhappy with the decision, they had been a part of the process and better understood the factors considered in the final decision; that public health protection took precedence over the use of tailings as ag lime.
- As a recognized leader in working with agricultural stakeholders, I was asked by the EPA's National Clean Diesel Campaign (NCDC) manager to provide advice and guidance about how to communicate with these stakeholders and also serve as Regional lead with the ag sector. Headquarters had delayed work on the ag sector due to questions about how to collaborate with them. I led a workgroup of Headquarters and Regional staff working to bring the ag sector into the NCDC program. Working with the Ag Counselor to the Administrator, we hosted a meeting of key national agricultural stakeholders to discuss the NCDC program and how the Agency could work with the ag sector to reduce diesel emissions from agricultural equipment. This meeting resulted in a better understanding of how the Agency program could benefit the ag sector and increased interest in the program.
- I facilitated the Flint Hills burning issue bringing together Federal and State agencies, livestock interests and university experts to make decisions about voluntary actions to minimize impacts of rangeland burning on air quality in the Kansas City Metro Area. This initial effort resulted in: a better understanding by participants of the issues, increased public education, additional research and pilot scale projects, and a commitment to continue to work together collaboratively to allow burning to continue while minimizing air quality impacts. My leadership role included mentoring Region 7 technical staff on how to communicate to ranchers, facilitating information exchange between the agencies and stakeholders, and committing for the Region our interest in maintaining the rangeland and livestock production in the Flint Hills along with minimizing air quality impacts. Over time my role has evolved from facilitating meetings and being the senior representative for the Region to providing mentoring and support to Region 7 staff who have gained credibility and working relationships with these agriculture stakeholders and now represent the Region. I continue to serve in a senior advisory role to staff and the Regional Administrator.

- I represented the Region at meetings, giving speeches, and delivering the message about Regional Priorities, including working collaboratively with the agriculture sector. Examples of speeches include: American Society of Civil Engineers World Environment and Water Resources Congress 2006 with over 700 attendees speaking on Agency and Regional water program priorities including impacts on agriculture and municipalities, Nebraska Governors Ag Conference, and Kansas Livestock Association Annual Meeting.
- I represented Region 7 on the national Cross-Media Ag Team and was the only regional member of the team asked by the Administrator's Ag Counselor to develop the agenda topic of partnerships with ag for the initial Agency Farm, Ranch and Rural Community Advisory Panel Meeting co-chaired by the EPA Administrator.
- Developed and led the process to identify priorities and recommend projects for funding with Regional geographic priority funds. I led teams of staff from multiple programs to develop the selection processes and review projects to make recommendations for grant awards. I wrote a grant solicitation that met the Agency competition policy and became the national model for projects using these funds. I used team input to make recommendations to the Regional Administrator on projects to fund. The result was full award of the available funds, averaging \$700,000 annually, to projects supporting Regional priorities. I led an effort to showcase successful grant projects to Regional staff. Successful Region 7 projects have been included in the Agency's Report to Congress on how these funds were utilized.
- In 2005 I was asked by the Headquarters Office of Air and Radiation to give a formal presentation for the Agency at each meeting on the Animal Feeding Operation (AFO) Air Agreement. This was the largest voluntary enforcement agreement ever negotiated between any industry and EPA. The air monitoring study is the largest emissions study ever undertaken by the livestock industry, and funded by participants to the agreement. I gave formal presentations for the Agency at these meetings in Region 7 and also in Minnesota, Region 5.

Acting Deputy Assistant Regional Administrator for Policy and Management

- On July 23, 2007 I began a detail assignment as Acting Deputy Assistant Regional Administrator for Policy and Management (DARA/PLMG) while the permanent ARA was out on extended sick leave. The detail ended February 2, 2008. My responsibilities included: serving as Acting Deputy Director with full responsibility to serve as Acting ARA in the absence of the Acting ARA; managing four staff including EEO staff; leading human resources and information technology staff supporting an Agency lawsuit and serving as point of contact for the Office of Regional Counsel on PLMG support of this case; providing direction to human resources staff on priority of personnel actions

and serving as point of contact with Regional Administrator and Deputy, and Division Directors on human resources matters.

- I supported the Regional Environmental Management System by recommending handouts for recruitment trips be placed on compact disks eliminating the need for paper documents and the purchase of \$400 of bags to hold the paper documents. Observed challenges with timely submittal of procurement requests from EEO Office. Recommended the PLMG Special Assistant, who had extensive budget experience, work with EEO Office staff to develop a guide on the funding and procurement of supplies/services including timelines that would result in timely receipt of needed supplies/services while meeting all funding and procurement requirements. I lead the initial scoping meeting for the guide prior to completion of my detail.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7
901 N. 5th Street
Kansas City, KS 66101

Dates Employed: 5/00 – 10/02
Series and Grade: GS-340-15
Hours Worked: 40+
Employees Supervised: Up to 25

Director, Office of External Programs

Supervisor:

(b) (6)

In May 2000 the Regional Administrator (RA) requested I transfer noncompetitively to the position of Director, Office of External Programs (OEP). Even though my background is engineering, I was asked to take this position because of my ability to analyze problems, develop recommendations, and implement needed changes. There were many issues to be addressed, including people, process, and organizational structure while continuing to complete the communications work of the Region. The challenge was to lead change in these areas in an office environment where there was conflict among the staff, some staff displeased that the Director position was not filled competitively, and pressure from the Regional Senior Leadership Team to turn the Office around quickly. While the need for change was evident, implementing change in an office where operations had remained essentially the same for many years required everyone, including myself as the new Director, to function outside our normal comfort zone.

- As Director, I managed up to 25 staff responsible for: communicating information about Regional programs to the media, elected officials (Federal/State/Local) and the public; using conventional and innovative approaches to conduct community involvement and public participation functions to inform the public of EPA activities; serving as point of contact for Congressional OfficesCconduct briefings and respond to inquiries; advising RA, Senior Leaders, and staff on communications and political issues; coordinating

cross-program activities such as community-based approaches; conducting and coordinating environmental education activities; managing environmental education grants program; and serving as the lead for internal communications within the Regional Office. The OEP Director and staff serve as the communications experts on all aspects of Region 7 communications.

- I was responsible for Office operations such as personnel management (hiring, recognition, performance management, time and attendance, and disciplinary actions); budget (grants, travel, training, award, and bankcard transactions); and resource management (staffing levels, equipment, and space).
- I served as the Senior Leader and chief advocate for OEP reinforcing the OEP vision within the Office and throughout Region 7 and ensuring OEP was represented in all aspects of the Region's activities.
- I actively participated on the Regional Senior Leadership Team consisting of the RA, Deputy RA, and all Division and Office Directors. In addition to the team setting the direction for the Region, I ensured information about actions/decisions was communicated to staff and the public. I used my technical program knowledge to ensure technical information was adequately and clearly communicated.
- Serving as the Senior Leader assigned to oversee/coordinate programmatic grant activity for the State of Kansas, I coordinated with State and Region 7 staff on grant activities and facilitated resolution of issues between the State and Region 7 staff. I worked directly with the Director, Division of the Environment at the Kansas Department of Health and Environment to successfully facilitate resolution of grants and program issues that required immediate or high-level attention.
- I was the senior accountable manager for the Region 7 Cross-Media Team (CMT) and community-based environmental protection program. Beginning in August 2000 I served as lead and provided direction for the CMT charged with ensuring the integration of voluntary and regulatory program activities across the Region.

WORK EXPERIENCE

Leadership Program
Council for Excellence in Government
Fellows Program
Washington, D.C.
September 2000 - September 2001

I was nominated by the Region 7 Deputy Regional Administrator (DRA) to participate in the Council for Excellence in Government Fellows Program. The Council, a nonprofit organization in Washington, D.C., works to improve the performance of government at all levels. The Fellows Program is an intensive year long leadership development opportunity that builds the capacity of mid-level Federal managers to lead organizations that get results. The Fellows Program is designed to provide participants with knowledge in all of the Senior Executive Service Executive Core Qualifications except Business Acumen.

I participated in the following:

- Benchmarked leaders including: the Internal Revenue Service Commissioner; President of Hampton University; International Space Station leaders from Canada, Europe and the U.S.; Superintendent of Gettysburg National Park; an author; and executives from SUN Microsystems.
- Transferred specific knowledge gained from each leader into actions in the Office of external Programs (OEP). For example, modified my communications approach when dealing with change, reinforced the vision of the office, and developed a set of attributes to consider when hiring employees.
- Developed a results project focused on the challenges of leading OEP. Results accomplished using knowledge gained during the Fellows Program year included: building effective internal partnerships resulting in an increased workload from technical program divisions; taking the lead for internal communications in the Regional Office; clearly defining and communicating work assignments; and completing a two-day facilitated workshop which served as a transition from the Aold@OEP to the Anew@ OEP.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7
901 N. 5th Street
Kansas City, KS 66101

Dates Employed: 1/95 – 5/00
Series and Grade: GS-340-15
Hours Worked: 40+
Employees Supervised: 10

Deputy Director, Air, RCRA, and Toxics Division

(b) (6)

I served as Deputy to the Director of the Air, RCRA, and Toxics Division (ARTD). ARTD had the largest staff and broadest program responsibility of any division in EPA Region 7. During my tenure in ARTD, there were as many as one hundred-twenty five Federal employees including seven Branch Chiefs and up to twenty contract employees. Technical program responsibilities were covered by four Federal statutes. In absence of Division Director I had full authority to make decisions and lead staff to ensure the work of the Division was completed in a timely, high quality manner. I represented the Division Director at regional, state, and national meetings, gave speeches on his behalf, made commitments for ARTD, and conducted management team meetings in Director's absence.

- I led an effort to bring two opposing national organizations to Region 7 for their first ever face-to-face meeting. This was the first time representatives from both organizations, who competed for the same hazardous waste business nationally, came together to meet with State and Federal environmental agency staff. I personally negotiated with the technical and legal leaders of both organizations to gain consensus on the need for a meeting, agenda, facilitated meeting approach, and meeting attendees. The result was a constructive meeting where permitting questions were answered for both parties. Open, frank discussion led to some agreements, some agreements to disagree, and additional information for the Federal and state environmental agencies to use in permitting actions.
- I facilitated resolution of stalemates on technically complex issues at hazardous waste sites undergoing investigation and cleanup. I received these assignments due to my experience in the hazardous waste and Superfund cleanup programs. At one facility the Region 7 technical staff and facility representatives reached a stalemate on several issues. The challenge was to resolve the issues and avoid the facility invoking formal dispute resolution, delaying the investigation and cleanup. I served as the ARTD decisionmaker in these meetings to ensure final decisions would be technically sound and protect human health and the environment. After several meetings I successfully facilitated agreement on all outstanding issues, thereby avoiding dispute resolution.

- I managed the grants, contracts, budget, administrative, and designated technical staff. Provided advice to Branch Chiefs on performance management, recognition, and training. Served in oversight role on personnel matters in ARTD where consistency and precedent setting considerations were evaluated, and recommendations provided to ensure fairness of actions across ARTD.
- To meet the objectives of the Region 7 diversity and Equal Employment Opportunity programs, I was responsible for ensuring ARTD staff assignments met the individual employee=s skill and development needs while achieving the division goals. I served as a mentor and coach to employees and Branch Chiefs to ensure the Abest fit.@ ARTD was recognized in the Region for effective resource utilization and resource analysis resulting in the best resource allocation to successfully achieve program goals. I approved/facilitated employees moving to different positions to meet cross-training, skill development, and priority work needs. The results were a more satisfied, productive, and highly trained workforce sought out for positions throughout Region 7.
- Leading the Division portion of the Regional reorganization effort in 1995 I provided direction to ARTD teams assigned to develop proposals for reorganization. Using my skills in building coalitions, I obtained union representation and involvement in the ARTD reorganization effort, resulting in union support of the reorganization proposal. I led the ARTD continuous learning/organizational improvement effort after the reorganization. I designed a feedback approach to get input from all ARTD staff on continuous learning needs and diversity principles within ARTD. Over 90% of the employees voluntarily attended the sessions and were supportive of the approach used to obtain feedback.
- The Regional Senior Leadership Team requested that another employee and I co-chair the Region's Diversity Action Plan (DAP) effort. One challenge was to take the Minority Action Plan, developed by a minority employee workgroup, and expand it into a broader DAP, while retaining the support of minority employees. Previous DAP type efforts had not been fully implemented, leaving staff skeptical that this latest effort would result in changes in the Region. Trust, fairness, and respect issues existed. Employee led teams were established to develop chapters of the plan. We facilitated six open, voluntary Listening Sessions that gave employees the opportunity to provide input. This was the first time such an approach was used in Region 7 to obtain employee input. The Region 7 DAP development process and content became a national model for other EPA organizations to follow.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7, Waste Management Division
726 Minnesota Ave.
Kansas City, KS 66101

Dates Employed: 5/94 – 1/95
Series and Grade: GS-819-14
Hours Worked: 40+
Employees Supervised: 10

Supervisory Environmental Engineer, Chief Federal Facility and Remedial Sites Section

(b) (6)

As Chief of the Federal Facility and Remedial Sites Section, I managed a staff of ten, primarily engineers and scientists, responsible for Superfund (SPFD) cleanup activities at Federal Facilities (FF), lead mining, and other enforcement sites.

- Given the significant human health and environmental issues to be addressed, I facilitated meetings between Region 7 SPFD, Regional Counsel, and Removal Program staff along with Federal health agency staff on implementation of a specific cleanup strategy for the Jasper County, Missouri, site. The outcome was timely decisions on the investigation and cleanup of lead contaminated soil at day care facilities to reduce the exposure of children to lead contamination.
- The Branch Chief designated me to represent the SPFD Branch in negotiation sessions for enforcement orders with private parties and interagency agreements (IAG) with Federal Facilities (FF). Negotiations with FFs presented many challenging issues: extent of FF involvement at the site, role of private parties at the site, and the extent of investigation and cleanup. Negotiations were completed and IAGs signed for all sites. I represented Region 7 on the Agency Federal Facilities Leadership Council consisting of regional and Headquarters= managers. The Council was charged with providing direction on national Superfund Federal Facility issues.
- Forecasting resource needs and managing the section budgets along with developing short- and long-term program commitments through the Superfund planning process were my responsibility to ensure program commitments were met. I built effective working relationships with state environmental agencies on SPFD cleanup activities resulting in timely award of grants and successful completion of program commitments.
- Served as Acting SPFD Branch Chief in the absence of the Branch Chief. Represented SPFD Branch at division staff meetings and conducted branch staff meetings. Provided input on technical, policy and administrative issues and facilitated meetings.
- In 1994 I co-chaired the Regional reorganization workgroup. The workgroup developed recommendations used by Senior Leaders to use in their decision making.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7, Waste Management Division
726 Minnesota Ave.
Kansas City, KS 66101

Dates Employed: 1/90 – 5/94
Series and Grade: GS-819-14
Hours Worked: 40+
Employees Supervised: 16

Supervisory Environmental Engineer, Chief Site Assessment and Federal Facilities Section (b) (6)

As Chief of the Site Assessment and Federal Facilities Section in the Superfund (SPFD) Program, I managed sixteen staff responsible for SPFD actions at Federal Facilities (FF) and enforcement sites, SPFD site assessment activities, Civil Investigations, Potentially Responsible Party Searches, and SPFD Cooperative Agreement actions.

- Implemented new site assessment regulations and guidance, such as regulations for scoring sites for placement on the National Priorities List. Successful transition to the new regulations and guidance was completed by EPA and the States without disruption to the quality or timeliness of site assessment documents and decisions.
- I led the oversight and coordination of cooperative agreement funding actions and state participation in the SPFD Program, completing activities as negotiated in the cooperative agreements and led program review teams evaluating adequacy of state programs.
- The Branch Chief designated me to represent the SPFD Branch in negotiation sessions for enforcement orders with private parties and interagency agreements (IAG) with Federal Facilities (FF). Negotiations with FFs presented many challenging issues: extent of FF involvement at the site, role of private parties at the site, and the extent of investigation and cleanup. Negotiations were completed and IAGs signed for all sites. I represented Region 7 on the Agency Federal Facilities Leadership Council consisting of regional and Headquarters= managers. The Council was charged with providing direction on national Superfund Federal Facility issues.
- Forecasting resource needs and managing the section budgets along with developing short- and long-term program commitments through the Superfund planning process were my responsibility to ensure program commitments were met. I built effective working relationships with state environmental agencies on SPFD cleanup activities resulting in timely award of grants and successful completion of joint program commitments.
- Served as Acting SPFD Branch Chief in the absence of the Branch Chief. Represented SPFD Branch at division staff meetings and conducted branch staff meetings. Provided input on technical, policy and administrative issues and facilitated meetings.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7, Waste Management Division
726 Minnesota Ave.
Kansas City, KS 66101

Dates Employed: 2/98 – 1/90
Series and Grade: GS-028-13
Hours Worked: 40+
Employees Supervised: 9

Supervisory Environmental Protection Specialist Chief Program Planning and Management Section

(b) (6)

As Chief of the Program Planning and Management Section in the Superfund (SPFD) Program, I managed a staff of nine responsible for SPFD planning, SPFD extramural (contract) budget, contracts, and SPFD records.

- I managed and developed a diverse administrative and technical staff. I built a new section with staff from other SPFD Branch Sections and hired a number of new staff. Successfully implemented the planning and budget processes which had previously been conducted on a part-time basis. The SPFD Branch was recognized by others within EPA as a leader in the planning and budget management areas.
- Before staff was hired, I served as Project Officer for the first SPFD contract awarded in a zone covering three EPA regional offices. Reviewed cost proposals for adequacy and participated in contract negotiations as the technical expert due to my technical program experience. I led project teams that developed operating procedures for contracts management. The zone contract was awarded in a timely manner and a number of the operating procedures developed by staff in the three Regional Offices were later adopted by other regions. This was a team effort between contracting and technical staff in three regions.
- I led the effort to develop the SPFD Records Center from a room full of unorganized boxes of records into a modern operation. Hired EPA staff, provided oversight of the contractor, coordinated funding, and determined needs of SPFD Branch staff relative to records management.
- Represented the SPFD Branch on Headquarters workgroups and at national meetings on SPFD administrative issues. Under my leadership the Region 7 Superfund administrative processes were frequently praised by Headquarters as national models.

WORK EXPERIENCE

Developmental Program
U.S. Office of Personnel Management
Women=s Executive Leadership Program
Washington, D.C.
March 1987 - March 1988

I was nominated by the Region 7 Senior Leadership Team to participate in the Women=s Executive Leadership Program (WEL). The WEL Program was a one-year developmental program designed for employees interested in seeking management positions.

I participated in the following:

- Utilized assessment tools to determine existing and needed management skills for a target supervisory position in order to develop an individual development plan (IDP). I developed an IDP which included three rotational assignments.
- Completed a rotation to the Closure and Financial Section in the Permits Branch, Office of Solid Waste in EPA Headquarters where I served as the Team Leader for the Section. Coordinated assignments, consulted on technical questions, elevated issues to management, and trained staff on program and regulatory requirements. Presented three issue papers I developed to management and completed a resource analysis for the Section.
- Completed a second rotation to the Superfund Branch in Region 7. I developed a Branch reorganization package and coordinated development of a management tracking system for Superfund program activities. The reorganization package was completed on schedule and briefings were held to explain the contents. Initial phases of the management tracking system were in place at the end of my rotation.
- Received hands on experience in my third rotation to the Region 7 Personnel Branch. I used the knowledge gained and contacts made throughout my career as a manager. I was the first non-human resources person to rotate to the Branch.
- Participated with a team of WEL members to develop a presentation and notebook on seeking a job. The team members were located in several states and Japan which presented the challenge of how to work together effectively to complete a project by long distance. The project was completed on time and every team member participated.
- Participated in training courses on Federal budget and personnel requirements.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7, Waste Management Division
726 Minnesota Ave.
Kansas City, KS 66101

Dates Employed: 8/81 – 2/88
Series and Grade: GS-819-9/11/12
Hours Worked: 40
Employees Supervised: 0

Environmental Engineer, Permits Section, Waste Management Division

(b) (6)

As an Environmental Engineer in the Permits Section, I was responsible for reviewing permit applications from hazardous waste management facilities for conformance with the hazardous waste regulations and wrote permits for signature by the Division Director.

- I wrote the first permit in Region 7-and third permit nationally-which incorporated new requirements contained in amendments to the hazardous waste statute. The challenge was to determine exactly what to include in the permit since the requirements were effective by law, but new regulations had not yet been developed. I was responsible for responding to the numerous public concerns about contamination at the facility and ensuring that EPA and the state fully communicated the permit actions to the public and addressed their concerns. Because of my experience with the new cleanup requirements at a controversial facility, I was asked to serve on three EPA Headquarters= workgroups. I participated on workgroups that developed national guidance and I helped train EPA and state staff on the new guidance.
- I developed the Region 7 Facility Management Plan process which was designed to plan EPA and state activities at all sites annually. I trained EPA and state staff on the use of the Facility Management Plans. This planning process is still in use in Region 7.
- Responded to inquiries from the regulated facilities, public, and state agency staff. Provided verbal and written interpretations of the hazardous waste permit regulations and guidance.
- Served as the Kansas Coordinator responsible for oversight of state permit actions and ensured that state program commitments were met. Conducted quality reviews during the annual program review to determine recommendations to be made to state staff regarding operation of the state hazardous waste permitting program.

WORK EXPERIENCE

U.S. Environmental Protection Agency
Region 7
Kansas City, MO

Dates Employed: 8/78 – 8/81
Series and Grade: GS-819-9/11/12
Hours Worked: 40
Employees Supervised: 0

Environmental Engineer, Construction Grants Branch

(b) (6)

As an Environmental Engineer in the Construction Grants Branch, I reviewed grant applications for construction grant funds and determined conformance with applicable laws, regulations, and guidance. I reviewed technical aspects of projects, including selection of wastewater treatment technologies.

- I managed approximately 100 projects in any phase of the three phase construction grants process. In May 1979 I received a Quality Within Grade Increase based upon my performance during the first six months I was employed at EPA Region 7.
- Responsible for a controversial wastewater treatment project in Missouri. I represented EPA at meetings with state and local elected officials, the public, environmental groups and state and local agencies. Developed a detailed scope of work for a technical evaluation of wastewater treatment alternatives and site options. Facilitated discussions with local officials and the opposition group in an effort to keep the project moving. I worked directly with the media and Congressional staff on project issues.

WORK EXPERIENCE

Bucher & Willis Consulting Engineers
Salina, KS

Dates Employed: 10/77 – 8/78
Hours Worked: 45 Weekly
Employees Supervised: 0

Project Engineer

(b) (6)

- I developed facility plans and specifications for EPA-funded wastewater treatment plants.
- Data gathering, report writing, analysis of site information, and analysis of treatment technology options. Interpreted state and Federal regulations. Conducted sewer system inspections and land surveys.

EDUCATION

High School:

Graduate of Louisburg High School, Louisburg, KS, May 1972

College:

Graduate Johnson County Community College, Overland Park, KS,

May 1974, Associate of Arts Degree (60 credit hours)

University of Missouri-Kansas City, Kansas City, MO,

August 1974 - December 1976 (70 hours)

Graduate of University of Missouri, Columbia, MO, August 1977

Bachelor of Science in Civil Engineering (22 hours)

SPECIAL SKILLS

- **Trained Facilitator.** As a trained facilitator, I frequently receive requests to facilitate meetings with EPA staff or with EPA staff and outside parties. I have facilitated meetings with people from all levels representing various public and private sector organizations. I facilitated a meeting between the U.S. Army Corps of Engineers and twenty representatives of private and public sector organizations on a Superfund site in New Jersey. The challenge in this case was that each party had different interests and involvement in the site. The goal of the meeting was to ensure all representatives had an opportunity to provide feedback on the project while negotiating the contents of a partnership agreement that all representatives were expected to sign. At the end of the two-day session, I had successfully led the group through a process that resulted in all representatives signing the partnership agreement they developed.
- **Certified Trainer-**I requested the opportunity to help train Region 7 staff and managers. In May 2010 I attended training to become certified to teach courses on leadership developed by Achieve Global. Region 7 had purchased training modules and needed certified trainers to deliver the material to staff. I attended the four day certification course and have taught classes on: Giving Recognition, Clarifying Performance Expectations, Problem solving, Correcting Performance Problems, Identification of Work Priorities, and Basic Facilitation. In addition I have been a guest presenter at the Stepping Up To Supervision course, and taught a session on mentoring at the Blacks In Government Conference in Kansas City. Feedback received from training I have delivered has been very positive.
- **Volunteer Activities-**I have served in leadership roles in a Cub Scout Troop and Girl Scout Troop. I have served on many committees at our children's schools and have given presentations at schools on environmental issues and environmental careers. I have organized student volunteer service projects, accompanied the students to the projects, served on organizing committees for Mothers Club activities, worked with students on Christmas In October, and volunteered for fundraising activities. I volunteer for a number of projects at our church helping those in need.

AWARDS

National Honor Awards: Gold Medal Animal Feeding Operation Air Compliance Agreement Team 2007; Gold Medal Region 7 Recruitment Team 1999; Silver Medal Region 7 Concentrated Animal Feeding Operations Team 2009; Agency Award for Excellence in Management (individual award) 2000; Outstanding Leadership in Total Quality Management and Innovation (individual award) 2006.

Region 7 Bronze Medals: Blue Skyways Team 2007; Region 7 Support Staff Position Management Team 2000.

Quality Step Increase: 2008; 2006; 1979

Special Act/Superior Accomplishment Awards:

I have received over 24 monetary awards throughout my career.

Performance Management Recognition System: 1988-1993 recognition for management performance.

TRAINING

I have taken numerous training courses to enhance my leadership, technical, and administrative skills. Examples of training courses attended include:

- Achieve Global Leadership Training Certification
- Federal Executive Institute Leadership for Democratic Society
- Council for Excellence in Government Fellows Program
- Strategic Planning - Vision to Action
- Effective Counseling and Feedback
- Mayor=s Summit on Race Relations in the Heartland
- Coaching Skills For Today's Leaders
- Emotional Intelligence
- Incident Command Overview and Advanced Incident Command Training
- Supervising In a Bargaining Unit Environment
- The Synergy Of Diversity
- Effective Supervisory Coaching For Managers
- OPM Management Development Seminar
- Alternative Dispute Resolution
- Principles of Core Mediation
- The Looking Glass Workshop
- High Performance Organizations and High Performance Organization Change Conference

Jennifer Orme-Zavaleta, PhD

(b) (6)

Home (b) (6)

Work (919) 541-2106;

Secret Clearance

email: (b) (6)

Country of Citizenship: United States

Summary of Executive Qualifications

- National and International recognition in chemical risk assessment
- Nationally and Internationally recognized expertise in drinking water and water quality research and regulation
- Practical understanding of Federal Regulatory procedures
- Senior level negotiation and collaboration expertise
- Excellent communication skills in all media
- Strong management and leadership skills

Career Summary

Director, National Exposure Research Laboratory

Office of Research and Development

US Environmental Protection Agency

3/2012 to present

I lead a National Research Laboratory supporting EPA's mission to protect human health and the environment, by promoting exposure science research to improve methods, measurements, models, and systems approaches to predict, characterize, reduce, and prevent exposures to harmful environmental stressors including chemicals, microorganisms, and physical agents. The Laboratory is comprised of three divisions in 4 geographic locations with more than 300 employees and an \$80M budget. I am responsible for developing research policies, plans, and priorities for the Laboratory and am accountable for the strategic integration of the Laboratory's goals within EPA's overall strategic plan.

As NERL Director, I revisioned the Laboratory's identity, strengthening and advancing innovations in exposure science, developing a strategic research and workforce plan that maximizes the workforce capability and capacity to support the Agency's mission;

As NERL Director, I lead research to support priority issues including air and water quality, nutrient pollution, hydraulic fracturing, mountain top mining, water quality criteria, recreational waters, drinking water methods development, water reuse, climate change, human and ecological risk, chemical screening and prioritization, community-based decision support tools, beneficial reuse of materials, the water, energy, and agriculture nexus and extreme environmental events such as the Gold King Mine release, Deep Water Horizon accident, Hurricane Sandy, and the Fukushima nuclear accident.

I Chair the CENRS Subcommittee on Toxics and Risk Working Group on Exposure Science for the 21st Century. This workgroup will coordinate exposure issues across more than 25 US federal agencies including CDC, NIOSH, USDA, USGS, and DoD.

I Co-Chair with Ministry of Natural Resources and Environment, Government of Viet Nam the Joint Advisory Committee on Agent Orange. This committee provides a bilateral forum for addressing legacy contamination of Agent Orange at 3 former US Air Bases: Phu Cat, Da Nang, and Bien Hoa. The focus of discussions is remediation technologies to reduce exposures.

I foster partnerships with US States through the Environmental Research Institute of the States, a workgroup under the Environmental Council of the States to promote awareness and application of EPA's research tools to facilitate implementation of EPA policies, and utilization of EPA research to support regional and local environmental protection decisions.

***Interim National Program Director
Safe and Sustainable Water Resources
Office of Research and Development
US Environmental Protection Agency
10/2010-3/2012***

I developed and led a holistic research program that maximizes responsiveness to the rapidly changing needs of the Agency's water program, Regional Offices, and other critical water resource partners and stakeholders.

This program integrated the previous Drinking Water and Water Quality research programs into a single Safe and Sustainable Water Resources (SSWR) research program that takes a systems approach to protecting public health and restoring watersheds and integrating water infrastructure. The goal of the SSWR research program is to seek safe, resilient and sustainable solutions to complex water challenges that range from changing climate to problems associated with infrastructure and overbuilt urban environments and sprawl, water quality impacts associated with more intensive energy use and extraction, and the obligation for natural and engineered aquatic systems to have the capacity and resiliency for water quality that supports growing water demands and uses; and

I Co-Chaired w USGS the CENRS Subcommittee on Water Availability and Quality comprised of membership from 27 other Federal Agencies to coordinate water quantity and quality issues across the Federal Government.

***Deputy Director
National Health and Environmental Effects Research Laboratory
Office of Research and Development
US Environmental Protection Agency
1/2011 to 3/2012****

* Note: have been detailed to Interim NPD position noted above.

***Acting Deputy Director
Office of Administrative and Research Support
Office of Research and Development
US Environmental Protection Agency
4/2010-10/2010***

I served as the Principal staff officer to the Office of Research & Development (ORD) Assistant Administrator and Deputy Assistant Administrator on administrative, financial, and budget matters related to human resources, budget execution, extramural management, facilities management, and travel management for ORD. OARS consists of 75 FTE in 3 divisions (Human Resources; Budget Execution; and Travel Management). Two Facility Program Managers and a fourth division will be established in the Fall, 2010 that will be responsible for extramural management, bringing the total FTEs to approximately 130. This organization provides the transactional administrative support for the entire ORD.

I led the development of a change management and performance management plan that includes performance metrics for OARS.

I restructured operations for the Budget Execution Division.

I established operational priorities and surveyed ORD program support as part of a long range plan for OARS programs.

***Acting Director
Environmental Public Health Division
National Health and Environmental Effects Research Laboratory
Office of Research and Development
US Environmental Protection Agency
2/2009-4/2010***

The Environmental Public Health Division is an organization of 80 FTE and a \$21M budget. The division conducts epidemiological, clinical, and animal toxicological research assessing the impact of environmental exposures on human health by developing and validating biological measures in all aspects of human health. The division operates a human exposure facility and an animal exposure facility. The division also has the responsibility for the Human Studies Facility, including a medical station and the Office of the Human Subjects Review Official.

I led the implementation of a reorganization bridging human studies with animal toxicology research conducted in 2 different geographic locations.

I convened a forum of experts to shape a new vision explicitly focusing on environmental public health for the newly created division.

I led the development of an operational model promoting the integration of research across the division, Laboratory, and agency and which focused division resources, including human capital, on the most relevant science and highest priority research needs of the division, Laboratory and Agency.

Director

Research Planning and Coordination Staff

National Health and Environmental Effects Research Laboratory

Office of Research and Development

US Environmental Protection Agency

8/2006-2/2009*:

*Note: I assumed this position in August, 2006 and have been on detail to other positions since February, 2009.

I led a senior scientific staff in the planning, programming and policy implementation of the scientific, technical, and administrative aspects of the National Health and Environmental Effects Research Laboratory (NHEERL), an organization of about 600 FTE and \$100M budget. NHEERL conducts major environmental and human health effects research programs in support of the Agency.

I initiated and led the development of a 5-year strategic research plan for the Laboratory. This included the development of criteria to prioritize NHEERL's research program that would guide investment and disinvestment decisions in a clear, consistent, and transparent manner.

I led the development of a new research implementation planning process in NHEERL. This process engaged senior laboratory managers in the approval of projects and ensured full utilization of the Lab's FTE in a given research area, including the allocation and tracking of resources at a project level.

Associate Director for Science

Western Ecology Division

National Health and Environmental Effects Research Laboratory

Office of Research and Development

US Environmental Protection Agency

5/2000-8/2006

I provided the senior scientific leadership in the implementation of the research programs of the Western Ecology Division, developing research policies, plans, and priorities for the Western

Ecology Division (WED) that are relevant and responsive to the Agency's needs. WED is an organization of approximately 75 FTE, an on-site contractor workforce of over 100 FTE and \$20M budget supporting research investigating: the impacts of nutrient loadings on estuarine and freshwater habitats; the effects of pesticides and gene flow on nontarget plant communities; habitats that support wildlife and native fish; and environmental monitoring and assessment approaches.

I led the development and implementation of a workforce strategy to transition from a predominantly contractor based technical workforce to a federal technical workforce, saving the organization nearly \$2M.

I oversaw the revision of the Master Plan for WED that provided an opportunity to upgrade the infrastructure of the facilities to meet the emerging research needs of the organization. I worked with the contract staff to assess office and laboratory space needs, identifying those laboratories that needed major renovations to accommodate new or emerging technologies, and conducted a survey of all staff and program needs. I negotiated an option with senior managers that would save EPA \$400K/year in leased space and \$90K/year in operating costs.

9/1996-5/2000:

Assistant Laboratory Director, National Health and Environmental Effects Research Laboratory, US Environmental Protection Agency, Research Triangle Park, NC

I served as the Assistant Laboratory Director for Multimedia Research which included research planning and management of ecological research, the Environmental Monitoring and Assessment Program (EMAP), global change research, mercury, human health risk assessment research, the Water Quality Program and Arsenic under the Drinking Water Research Program.

I determined the budget and resource planning and coordination of the above research programs among 9 divisions within NHEERL, as well as with other EPA laboratories, centers and program offices.

I initiated a new research program for NHEERL addressing harmful algal blooms.

I led the Multiyear Research Plan development for arsenic and human health research that defined the 5-year research program for all of ORD.

I led the development of NHEERL's aquatic stressors implementation plan which integrated water quality research across NHEERL's four ecology research divisions

I participated in the development of Multiyear Research Plans for global climate and EMAP.

*Toxicologist/ Supervisory Toxicologist
Office of Water
US Environmental Protection Agency
8/1985-10/1996*

Associate Director, Health and Ecological Criteria Division, Office of Science and Technology, Office of Water

I was responsible for developing Health Advisories and Maximum Contaminant Level Goals (MCLGs) for drinking water contaminants under the Safe Drinking Water Act (SDWA) and establishing criteria for the protection of aquatic life and human health under the Clean Water Act (CWA). Specific activities included developing risk assessment methodologies, policy guidance, implementation strategies, and criteria.

I led the development of Maximum Contaminant Level Goals for drinking water disinfectants and by-products, inorganic contaminants, radionuclides, pesticides and organic chemicals.

I represented EPA in a negotiated rulemaking, leading the assessment of the health risks of drinking water disinfectants and by-products with industry, environmental, and public groups.

I provided informational briefings on risk assessment and chemical specific health risks to members of Congress during the 1995-1996 reauthorization of the Safe Drinking Water Act.

I participated in the development of the "Green Book" which identified program directions for the attempted 1994 reauthorization of the Clean Water Act.

I managed the Drinking Water Health Advisory Program, and led the development of 125 Health Advisory guidance documents used by public health officials in the US and internationally for information on non-cancer health effects of specific drinking water contaminants during emergency spills or contaminations. The risk assessment methodology and advisories have been used by the World Health Organization for similar assessments.

I developed Guidance on Unreasonable Risks to Health for unregulated drinking water contaminants under Section 1445 of the Safe Drinking Water Act. This guidance is used by State Officials when monitoring unregulated contaminants in drinking water.

I developed health risk assessments for numerous drinking water contaminants.

I represented EPA at meetings with the International Agency for Research on Cancer, the World Health Organization, and in scientific meetings with numerous foreign governments, other US Federal, State and Local Agencies.

I developed the Risk Characterization section of EPA's Reproductive Risk Assessment Guidelines.

I developed an implementation strategy and briefed the Administrator and Congressional staff on

EPA's Cancer Risk Assessment Guidelines.

Description of positions held between 1981 to 1985 available upon request.

Education:

Ph.D., Oregon State University, Department of Fisheries and Wildlife, 2003. Corvallis, OR

Major: Wildlife Science; Minor: Public Health

MS: Miami University, Department of Zoology, 1983. Oxford, OH

Major: Zoology, research: population ecology, neurobehavioral and developmental toxicology

BA: Ohio Wesleyan University, 1980. Delaware, OH

Major: Zoology, Premedicine; Minor: Chemistry

Professional Affiliations:

American Water Works Association, Chair, Health Effects Research Committee 1995-1998;

Society of Toxicology; Continuing Education Committee 2003-2006 (Chair 2005-2006);

Risk Assessment Specialty Section, Vice President Elect 2005-2006, Vice President 2006-2007, President 2007-2008.

Communications Committee, 2010 to present

Society for Risk Analysis; Councilor for Triangle Chapter; 1997 to 1999

International Union for Conservation of Nature – Wildlife Health Specialists Group. 2006 to present

American Public Health Association, 2009 to 2012

American Society for Public Administrations, 2010 to 2012

International Society for Exposure Science, 2012 to present

Awards:

Sigma Xi student research award, 1980. Ohio Wesleyan University Chapter.

Summer Research Fellowship, Miami University. 1980 and 1981.

Graduate Teaching Fellowship, Miami University. 1980 and 1981.

US EPA Special Act and Merit Awards. Each year: 1984-2016

US EPA Unsung Hero, 1989.

US EPA, National Health and Environmental Effects Research Laboratory - Teamwork Award: Honorable Mention, 2003

US EPA Bronze Medal for Commendable Service 1988, 1992, 1992, 1994, 1997, 2003, 2008, 2009, 2012

US EPA Gold Medal for Exceptional Service, 1996

James and Mildred Oldfield/ER Jackman Foundation - Research Team Award: Loop Analysis Group, Oregon State University, 2002

Ten Years Public Safety Service Award, Underwriter's Laboratories, 2005

USEPA, National Health and Environmental Effects Research Laboratory Goal 2 High Performing Organization Team Award, Authorship Guidance, 2009

Professional Publications available on request.

James McDonald

(b) (6)

Clearance: Top Secret

LEADERSHIP PROFILE

More than a decade of supervisory, management and leadership experience across a broad range of organizations. Accomplished in all facets of governing – managing human resources, financial and technology resources, analyzing and developing policy and regulations, forging coalitions to advance a mission. Exceptional communications skills, leadership skills, and skills in coaching, training and developing staff. Broad understanding of and experience with all government processes including regulatory, policy, legal, financial and administrative. *Core competencies mastered include:*

- **Interpersonal skills**
- **Political Savvy**
- **Customer Service**
- **Integrity and Honesty**
- **Accountability**
- **Human Capital Management**
- **Strategic Planning**
- **Influencing/Negotiating**

EMPLOYMENT HISTORY

U.S. Environmental Protection Agency, Washington, DC

Acting Director, Office of Planning, Resources, and Outreach
Office of Environmental Information
Supervisor: Renee Wynn (202) 564-6665
(Supervisor may be contacted)

May 2011 to Present

Responsibilities: Appointed as the Acting Director for Planning, Resources, and Outreach. Responsible for ensuring effective resource and policy management across EPA's Office of Environmental Information (OEI) with an annual budget of approximately \$162 million (payroll, contracts, and grants) and 415 FTE. Supervisor of record, with management responsibility over three staff offices: (Budget/Finance, Human Resources and Policy, and Communications – a total of 35 employees). Provide executive policy and management for OEI in the following areas: 1) cross-cutting information policy, legislative review, tracking, and GAO/IG audit coordination; 2) budget, acquisition and assistance agreement, strategic and program planning development, financial management, program evaluation; 3) human resources, organizational development, and workforce management and development; 4) information technology, including IT policy and security; and 5) facilities and Continuity of Operations (COOP) planning and implementation. Oversee the development, assessment, and improvement of management control systems to safeguard programs and achieve mission results. Provides advice and counsel to senior management regarding changes in organizational methods, procedures and policies affecting administrative processes such as information management, records management, facilities, and health and safety.

Key Accomplishments:

- Serve as the principal advisor to the Assistant Administrator and Deputy Assistant Administrator on national policy involving program management, strategic planning, and resource management issues.

- Led the development and implementation of OEI's FY 2013 President's Budget, and the FY 2012 Operating Plan. Ensured that OEI met OCFO, OMB, and White House deadlines for budget development.
- Led the development and implementation of OEI's President Management Agenda that include: workforce development, succession planning, and human capital planning.
- Led and implemented programs based on legislative and Agency's priorities. Performed comprehensive analysis of national policy issues concerning legislation, program implementation, and strategic planning.
- Led the development and execution of OEI's 2011 Federal Managers' Financial Integrity Assurance process (FMFIA).
- Led the development and implementation of OEI's Quality Assurance Program. This includes conducting OEI Programmatic Reviews, and addressing FMFIA management challenges and weaknesses.
- Led the implementation of OEI's National Program Guidance. Responsible for ensuring the guidance reflected OEI's budget and strategic goals.
- Led the development of OEI's Acquisition Plan which reflects planned acquisitions in FY 2013-15.
- Leading implementation of reorganization: staffing issues, recruiting subordinate managers, space consolidation, and communication. This includes addressing position management, employee performance, and employee retention activities.
- Improved OEI-wide human resources operations, including recruitment and hiring, resulting in faster processing time and improved service from EPA's central HR office. Developed strategic hiring plans using workforce information.
- Led OEI's affirmative employment initiatives through management of OEI's Special Emphasis Program Managers and Diversity Program Managers, including targeting recruitment to address OEI's diversity and inclusion activities.
- Oversee and ensure compliance with Federal and EPA regulations, policies and guidance in executing contracts, grants and interagency agreements (IAs).
- Led the development and implementation of OEI's Annual Government Performance Results (GPRA) performance goals and performance measures which is included in the Agency's GPRA report.
- Led improvements in acquisition management (contract and grants) including increasing attention to socio-economic contracting goals.
- Initiated successful quarterly management reviews that improved budget efficiency, HR accountability, contracts administration and grants management, and increased management communication on all management and administrative matters.
- Led increased awareness of Minority Academic Institutions program which has resulted in increase support to Historically Black Colleges and Universities, as well as Minority Servicing Institutions.
- Led OEI's Environmental Justice program, including incorporating EJ concerns in our rulemaking process in the regulatory program.
- Oversee and manage OEI's IT Security program and activities. This includes: information architecture and infrastructure planning initiatives.
- Oversee and manage OEI's Capital Planning and Investment Control process to ensure compliance with information systems portfolio dashboard.
- Led the development and implementation of OEI's Cross-Cutting Fundamental Strategy Action Plan for FY 2012.

U.S. Environmental Protection Agency, Washington, DC

Chief of Staff

September 2010 to May 2011

Office of Environmental Information

Responsibilities: Served as Chief Staff and provided executive-level support to the Assistant Administrator (political appointee) for Environmental Information/Chief Information Officer (CIO). Advised the CIO on issues of policy and management, and assisted the CIO in the implementation of the CIO's organizational and business priorities. Served as the liaison to and on behalf of the CIO, and reflected the CIO's philosophies in external

communications with the regulated community, industry, Congress, and leading governmental organizations. Lead Agency-wide discussions regarding long-term efficiency in the area of acquisition of services involving Agency information and technology strategic plans. Recommend policy and options for IT investment oversight, defining approaches and processes to meet CIO objectives and OMB requirements.

Key Accomplishments:

- Improved accountability and oversight of IT Investments across OEI and the Agency.
- Completed initial round of IT Investment Reviews across the Agency.
- Enhanced management and coordination among IT system development efforts.
- Improved integration of Agency IT/IM administrative portfolio.

U.S. Environmental Protection Agency, Washington, DC

Director, Office of Administrative Law Judges
Office of the Administrator

December 2003 to September 2010

Responsibilities: Managed and provided daily supervisory leadership and direction to staff in the areas of strategic planning, human resources, and information technology. Provided executive leadership in the following areas: 1) policy and program management; 2) travel, contracts, grants, assistance agreements; 3) budget formulation and execution; 4) diversity/EEO management; 5) facilities and space management; and 6) records management. Represented the Agency at high level meetings and conferences with other federal agencies, administrative tribunals, and foreign governments. Principal Advisor to the Chief Administrative Law Judge.

Key Accomplishments:

- Annually manage \$7M personnel, compensation, and benefits (PC&B) and office operating budget for OALJ.
- Successfully led the office in strategic planning and implementation efforts to improve products and services, resources management and human capital investment; developing its Fiscal Years 2005-2010 mission, vision, goals, and work ethics statements; and creating a comprehensive strategic action plan.
- Led OALJ in establishing FY2009's, and revamping FY2010's Annual Government Performance Results Act (GPRA) performance goals and performance measures to be included in the Agency's Annual Performance Goals and Performance Measures Report.
- Led the development and implementation of a training-related online library/database.
- Led the development and implementation of an electronic filing system for administrative litigation cases.
- Led the development and implementation of a web-based administrative enforcement docket.
- Developed citizen-based administrative litigation guide.
- Co-facilitated environmental litigation training course with US AID Jordan Judicial Institute Amman, Jordan.
- Co-facilitated environmental litigation training at the Environmental and Resources Law Institute (ERLI) of Zhongnan University of Finance and Political Science in Beijing, China.

U.S. Environmental Protection Agency, Washington, DC

Special Assistant
Senior Congressional Liaison
Office of Congressional and Intergovernmental Relations (OCIR)
Office of the Administrator

September 2003 to December 2003
January 2002 to March 2003

Responsibilities: Develop and communicated Administration policy positions to Congress, including writing formal Statements of Administration's position as well as preparing briefings for members and staff. Monitored and analyzed legislation. Prepared senior Agency officials to testify at Congressional hearings which includes writing and editing congressional testimony. Specific issue areas of responsibility included: appropriations and budget, enforcement and compliance, toxics and pesticides, children's health, contracts and grants management.

Key Accomplishments:

- One of the primary staff leading the Agency-wide preparations for the Administrator of the Environmental Protection Agency to testify at the Congressional hearings on the President's \$7 billion budget request.
- Monitored the federal budgetary process and analyzed legislation which included the development of the budget resolutions, the 13 appropriations bills, supplemental and rescission bills, and omnibus spending bills.
- Responsible for tracking the Agency's appropriations bill throughout the legislative process as well as responding to inquiries from members of Congress on Agency spending and grant awards once the bill becomes law.
- Led Agency-wide preparations for confirmation hearings for three Presidential Appointee's.
- Led Agency-wide preparations for congressional hearings on EPA's media programs.
- Conducted training on EPA's programs for congressional staff.
- Coordinated with other Agencies such as Office of Management and Budget and Council on Environmental Quality regarding legislative provisions that are included in the annual funding bill.
- Primary staff leading Congressional hearing preparations for Agency senior leadership.

U.S. Environmental Protection Agency

Special Assistant

Environmental Protection Specialist

Office of Enforcement and Compliance Assurance (OECA)

March 2003 to September 2003

July 1993 to December 1997

Responsibilities: Served as special assistant to the Assistant Administrator (political appointee). Managed strategic planning initiatives, program evaluation, human resources, information management, customer service initiatives, and communication and outreach efforts. Provided coordination and oversight for special projects, and administrative activities including budget, personnel actions, records management and correspondence. Represented the Assistant Administrator and OECA on work groups, committees and task forces dealing with enforcement and compliance matters. Directed preparation for Assistant Administrator testimony at Congressional hearings, including briefing book preparation and background material development. Reviewed and approved communications plans for Agency publications and press announcements. Prepared speeches and background materials summarizing key programmatic messages and policy issues in preparation for events.

Key Accomplishments:

- Provided advice and guidance on administrative policy, planning, coordination; drafted and edited speeches, correspondence and articles.
- Performed planning, policy analyses, program evaluation, and strategy development for enforcement and compliance programs.
- Developed rulemaking and policy development under the Toxic Substances Control Act, the Emergency Planning and Community Right-To-Know Act, and the Small Business Regulatory Enforcement Fairness Act.
- Lead Case Development Officer - assisted with issuing and monitoring eight enforcement actions with approximately \$11.2 million in proposed penalties.
- Served as technical expert and case development liaison for Toxic Substances Control Act (TSCA) Lead Disclosure Rule and the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA).
- Developed national program guidance documents for the Regions and conducted case development reviews.
- Served as Team Leader in the development and implementation of EPA's Enforcement Lead Disclosure Rule.
- Led and developed training materials for the Emergency Planning and Community Right-to-Know Basic Inspector Training Program
- Provided oversight to regional/state case development programs for consistency with national enforcement program policies.

U.S. Environmental Protection Agency, Washington, DC

Special Assistant to the Deputy Administrator
Office of the Administrator

October 1997 to March 1998

Responsibilities: Provided policy and administrative analysis, advice, coordination, communication and liaison support to the Administrator and Deputy Administrator on cross-media issues (enforcement and compliance assurance, environmental regulatory policy, information and public access issues, administration and resources issues, and Federal Advisory Committee Act (FACA) management). Established strong relationships with political leadership, management and staff across the Agency, within the Executive Branch and with external partners and stakeholders. Conducted environmental policy analysis and coordinated policy development and implementation on behalf of the Administrator and Deputy Administrator within my areas of responsibility. Vested with extensive communications responsibility, often involving delicate political matters. Prepared briefing documents and speeches for the Administrator and Deputy Administrator. Served as the Agency's coordinator for issues pertaining to the Presidential Management Council.

United State House of Representatives, Washington, DC

Chief of Staff/Legislative Counsel
Office of Congresswoman Sheila Jackson Lee

May 2001 to January 2002

Responsibilities: Served as the chief policy advisor, providing technical and expert advice on transportation, telecommunications, energy, and environmental legislative issues. Implemented all policy objectives, strategies and operating plans. Managed all human resources related functions, including recruiting and hiring, compensation management, disciplinary decisions and policy formulation. Managed and supervised the efforts of all staff, and provided technical and administrative direction to the staff to accomplish the long-term legislative plans. Managed and implemented the administration of office goals, policies and procedures. Led and developed fiscal year operating budget including extramural funds. Represented the Member at Congressional meetings. Managed legislative agenda and prepared floor statements and amendments to legislation affecting the Congressional district.

EDUCATION

- **Masters of Arts in Human Resources Management**
Webster University, Bolling AFB, Washington, DC
December, 2009
- **Juris Doctor**
University of Florida Levin College of Law, Gainesville, FL
May, 2001
- **Bachelor of Science, Paralegal Studies**
University of Maryland, University College, College Park, MD
May, 1997
- **Masters of Public Administration**
University of Missouri-Columbia, Columbia, MO
May, 1993
- **Bachelor of Arts, Political Science**
Alcorn State University, Lorman, MS
May, 1991

PROFESSIONAL TRAINING AND EXECUTIVE DEVELOPMENT

2012 Federal CIO Boot Camp, National Defense University
June, 2012

Principles of Federal Appropriations Law, The Brookings Institute
February, 2011

Labor Relations for Managers and Supervisors, Management Concepts
May, 2010

Advance Legislative Practice, The Capitol.Net
August, 2009

Federal Executive Institute, Office of Personnel Management
Leadership for a Democratic Society, 2008

Certificate of Management and Leadership, Management Concepts
Managing and Leading Change, May, 2008

Western Management Development Center, Office of Personnel Management
Strategic Management of Human Capital, 2005

Eastern Management Development Center, Office of Personnel Management
Supervisory Leadership Seminar, 2002

HONORS, AWARDS AND SPECIAL ACCOMPLISHMENTS

- Received Outstanding Performance Ratings Annually
- EPA Bronze Medal for Commendable Service
- EPA Sustained Superior Performance Award
- Finalist, Presidential Management Intern Program
- EPA Unsung Hero Award
- President, Alcorn State University National Alumni Association

JAMES O. PAYNE, JR.

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Profile

Senior Executive Service / Former US DOJ Senior Counsel

- **Senior Executive** – Management Council member and Deputies Committee co-chair for agency ranked #1 in Best Places to Work. Manage law office ranked #1 in customer service. Led team that promulgated 16 rulemakings in 18 months to accomplish most significant patent reform in 50 years. Senior Managers in Government program, John F. Kennedy School of Government, Harvard University. Served as President of three non-profit boards, including for public health non-profit with \$11 million budget and 900 local affiliates.
- **Litigator** – Successfully resolved 200 trial and appellate cases as lead counsel. Authored e-discovery guidelines sent by US Department of Justice (DOJ) to 65 General Counsel. Authored positions approved by DOJ Solicitor General and Office of Legal Counsel. Authored 18-State *amicus* brief adopted by US Supreme Court in seminal federalism case.
- **Legislative Counsel** – Authored six federal statutes, two state statutes and interstate compact. Gave expert testimony at three Congressional and three state legislature hearings. Authored DOJ views letters and testimonies.
- **Coalition Builder** – At White House request, chaired four interagency legal teams including for response to Gulf Deepwater Horizon oil and Japan Fukushima nuclear crises. Chaired interagency e-discovery workgroup that trained 800 attorneys. Chaired state/federal workgroup that authored joint enforcement guidelines. Chaired three interstate workgroups including one litigating US Supreme Court federalism cases. Hosted five foreign delegations.
- **Awards and Recognition** – Nine DOJ outstanding attorney awards, including Attorney General award (highest level). Marvin Award from National Association of Attorneys General (highest level for state litigator). Alumni Hall of Fame, Centerville Schools, Ohio. Frequent author, speaker and conference chair.

Professional Experience

US Patent and Trademark Office
Office of General Counsel

Deputy General Counsel for General Law

Alexandria, VA

2011 – present

- Serve on Management Council and co-chair Deputies Committee managing 12,000-employee agency ranked #1 in Best Place to Work. Senior Executive Service member.
- Manage 29-employee law office advising and representing on fiscal, procurement, labor, employment, FOIA, rulemaking and legislation with #1 ranking in customer service.
- Led team that promulgated 16 rulemakings in 18 months to accomplish most significant patent reform in 50 years. Team won US Department of Commerce's Gold Award (highest level).

- Led team that negotiated collective bargaining agreement with labor union before Federal Service Impasses Panel. Team won agency's Bronze Award.
- Led team advising on authorities to operate during federal shutdown and through seven agency head changes, and writing employment policies. Team won agency's Bronze Award.

US Department of Justice**Washington, D.C.****Environment and Natural Resources Division***Senior Counsel for ADR***2005 – 2011***Counsel for State and Local Affairs***2000 – 2011***Trial Attorney***1999 – 2000**

- At White House request, led four interagency legal teams – supporting responses to Gulf Deepwater Horizon oil and Japan Fukushima nuclear crises, exercising response to mock nuclear power plant incident, and developing Environmental Justice Memorandum signed by 17 Secretaries and agency heads to protect low-income and minority communities.
- Successfully resolved eight Superfund cases. Negotiated 21-party consent decree for \$21 million cleanup of Batavia Site. Negotiated Indian land settlement that DOJ Strategic Plan called a “model for resolving future disputes among tribes, states, and local governments.”
- Authored e-discovery guidelines sent to 65 agency General Counsel. Chaired e-discovery workgroups that trained 1000 attorneys and information technology and records employees.
- Led initiative that met goal of having a joint environmental case or project with all 50 states, and chaired seven state/federal environmental conferences.
- Authored laws on e-government and nuclear waste, and proposed bills on Freedom of Information Act (FOIA) and Indian gaming. Authored DOJ views letters.

Ohio Attorney General's Office**Columbus, OH****Environmental Enforcement Section***Senior Projects Attorney***1995 – 1999***Assistant Attorney General***1986 – 1999**

- Successfully resolved 190 cases as lead counsel under broad range of environmental laws: 45 enforcement, 113 defense and 18 appellate cases in state court, and 14 cases in federal court.
- Authored 18-State *amicus* brief on federalism adopted by US Supreme Court in seminal New York v. US, 505 U.S. 144 (1992). Chaired national group of state litigators who successfully litigated series of federalism cases at the Court.
- Authored two federal statutes, two state statutes and interstate compact on nuclear energy and waste. Gave expert testimony to three Congressional and three Ohio legislature committees. Chaired national group of state litigators who brought nuclear waste cases against US. Authored nuclear waste resolution adopted by National Association of Attorneys General. Member, US Secretary of Energy's Advisory Committee on Nuclear Safety.

Ohio Environmental Protection Agency**Columbus, OH***Attorney***1985 – 1986***Legal Intern***1983 – 1984**

- Lead air pollution counsel. Created administrative enforcement program. Resolved 24 cases.

US Congress
Office of Technology Assessment
Research Assistant

Washington, D.C.

1983

- Calculated long-term radioactivity of nuclear waste and edited report on managing the waste.

US Army Corps of Engineers
Cold Regions Research and Engineering Laboratory
Engineering Research Assistant

Hanover, NH

1979 – 1981

- Field-tested road designs and authored publications on road design.

Bar Memberships

- US Supreme Court, US Sixth and Ninth Circuit Courts of Appeals, US Southern and Northern Districts of Ohio
- US Patent and Trademark Office (Registered Patent Attorney)
- State of Ohio

Education

The Ohio State University College of Law – J.D.

1984

- Co-Founder, *Ohio State Journal on Dispute Resolution* (American Bar Association journal)
- President, Environmental Law Association - chaired acid rain debate with national speakers

Dartmouth College – A.B., Engineering Sciences

1981

- Co-Founder, *Campus* magazine (circulation 12,000)
- Representative, Student Government

List of Selected Cases, Congressional Testimonies, Publications and Speeches available.

JAMES O. PAYNE, JR.

Selected Cases, Publications, Congressional Testimony, Conferences Chaired and Faculty Presentations

CASES

Lead counsel successfully defending Quiet Title Action concerning boundary of Northern Cheyenne Indian Reservation. **Fidelity Exploration and Production Co. v. U.S.**, 506 F.3d 1182 (9th Cir 2007)

Lead negotiator for settling challenge by state and local governments to Interior decision accepting land into trust for Fallon Paiute Shoshone Tribe. DOJ's Strategic Plan called the settlement "a model for resolving future disputes among tribes, states, and local governments." **Nevada v. U.S.**, U.S. Ninth Circuit Court of Appeals, No. 02-17234 (2003)

Successfully briefed and argued for trifurcation of liability, costs and contribution in Stoller Chemical Superfund case. **U.S. v. American Cyanamid**, U.S. Middle District of Georgia, Case No. 1:02-CV-109-1 (2003)

Lead counsel for Superfund case seeking recovery of \$24 million in costs at Montgomery Township/Rocky Hill Sites. Supervised four lawyers and three paralegals in writing and arguing motions, conducting discovery and obtaining dismissal of a counterclaim. Case later settled. **U.S. v. Princeton Gamma Tech**, U.S. District of New Jersey, Case No. 3:91-CV-00809 (1999-2000)

Lead negotiator of consent decrees to remediate a hazardous/radioactive waste site and settle a Chapter 11 bankruptcy. Led unprecedented coordination of negotiations with seven state and federal agencies having environmental and nuclear jurisdictions. Docketed 1400 hours in a 14-month period. The decree is a model for cleanups in Ohio. **Ohio v. Shieldalloy Metallurgical Co.**, Guernsey County Court of Common Pleas (Ohio), Case No. 95CV000242 (1995-1997)

Lead counsel successfully defending Ohio in six challenges against permitting decisions for nationally publicized WTI hazardous waste incinerator in East Liverpool, Ohio. Cases included two federal court trials with precedent-setting issues of risk assessment. See **Greenpeace, Inc. v. Waste Technology Industries**, U.S. Northern District of Ohio, 1993 WL 134861

Lead counsel enforcing against sewage discharges from industrial park into neighborhood stream. Argued contempt motions resulting in 115 days of jail and appointment of a receiver. Obtained dismissal of habeas corpus and \$100 million tort suits. Successfully argued 11 appeals including an Ohio Supreme Court case (won 7-0). **Ohio v. Gibbs**, 60 Ohio St. 3d 69 (1991)

Lead counsel negotiator of settlement of Atomic Energy Act preemption claim concerning regulation of radioactive wastewater in Cleveland sewers. **Advanced Metals v. Ohio State Emergency Response Commission**, U.S. Northern District of Ohio

PUBLICATIONS

Partners in Pursuit of Polluters: Joint State/Federal Civil Environmental Enforcement, American Bar Association, Section on Energy and Environment (2004)

Joint State/Federal Civil Environmental Enforcement Guidelines, US Department of Justice, National Association of Attorneys General (2003)

E-Filing: Coming Soon to a Federal Court Near You, American Bar Association, Section on Energy and Environment (2002)

Perspective on Low-Level Radioactive Waste, Environmental Compliance and Litigation Strategy, vol. 13, no. 8 (1998)

Radioactive Materials and Waste: A Guide for States and a Proposal for Legislation, National Association of Attorneys General Monograph Series (1995), with Chesser

Full-Depth and Granular Base Course Design for Frost Areas, Transportation Engineering Journal, American Society of Civil Engineers, vol. 108, no. 1 (1982), with Eaton

CONGRESSIONAL TESTIMONY

Federal Law Controlling Radioactive Water Pollution at Municipal Sewage Treatment Plants, U.S. Senate Committee on Government Affairs, June 21, 1994

The Federal Nuclear Facilities Licensing and Regulation Act, U.S. House Subcommittee on Energy and Mineral Resources, March 1, 1994

Radiation Protection Act of 1991, U.S. House Subcommittee on Energy and the Environment, September 12, 1991

CONFERENCES CHAIRED

Federal/State Collaboration in Environmental and Natural Resource Litigation, mini-conference with senior managers of Environment and Natural Resource Division (DOJ/ENRD) and National Association of Attorneys General, US Department of Justice, Great Hall (2009)

A State/Federal Conference: Wetlands Protection and Enforcement, US Department of Justice's National Advocacy Center, Columbia, SC (2002) (160 state and federal officials attended). Held jointly with National Governors Association, National Association of Attorneys General, National Conference of State Legislatures, Association of State Wetlands Managers, US Environmental Protection Agency and US Army Corps of Engineers. Planned subsequent regional conferences.

State/Federal Collaboration in Civil and Criminal Environmental Enforcement Litigation, Washington, DC (2002) (80 state and federal senior litigation managers attended)

Environmental and Natural Resources Law Survey, US Department of Justice's National Advocacy Center, Washington, DC (2001) (120 federal officials attended)

Defending Takings Cases, Intergovernmental Takings Forum, various locations (annually 2001-2005) (50 state and federal officials attended each)

Low-Level Radioactive Waste Regulation, U.S. Department of Energy, Salt Lake City, UT (1998) (250

federal officials attended)

FACULTY PRESENTATIONS

Electronic Discovery (since 2006): Co-developed first course for US Department of Justice's National Advocacy Center (NAC) and taught at it and subsequent offerings of the course. Taught modules at NAC civil pretrial courses. Taught at BNA and DC bar association courses. Co-designed and co-developed a 3-hour training video for agencies, and taught in the video. Prepared remarks given in the video by Judge Grimm and the Associate Attorney General. Co-led with the Associate's Office a project for each US Attorney Office to host a showing of the video with regional agency staff.

Emergency Response and Law Enforcement, National Response Team Co-Chairs Conference, Charleston SC (leading conference for federal emergency responders) (2009)

Mediation in Environmental and Natural Resource Litigation with the Federal Government, National Environmental Conflict Resolution Conference, Tucson, AR (leading conference for private and government mediators) (2007)

Settlement Exchanges and FOIA, Freedom of Information Act Training, US Environmental Protection Agency, Office of General Counsel, Washington DC (2006)

State/Federal Collaboration in Environmental and Natural Resource Litigation, Conference of Western Attorneys General (summer meetings at various locations in 2001-2005)

Federal Oversight of Private Environmental Citizen Suits, Thirty Year Anniversary of Citizen Suits under Environmental Laws, Widener University School of Law, Wilmington, DE (2003)

Takings Causation, Fifth Amendment Takings and Eminent Domain Course, Justice Department's National Advocacy Center, Columbia, SC (2003)

Federal Lead Paint Enforcement, Metro East Lead Collaborative, Environmental Justice Project, East St. Louis, IL (2003)

E-Filing: Coming Soon to a Federal Court Near You, Electronic Filing Course, Justice Department's National Advocacy Center, Columbia, SC (annually 2001-2003)

Crossroads of Nuclear and Environmental Law, Environmental Law Conference, Ohio State Bar Association, Mohican State Park, OH (1996)

Emergency Response Law, Ohio Prosecuting Attorneys Association, Columbus, OH (1996)

Low-Level Radioactive Waste Policy Amendments Act after New York v. US, Radioactive Exchange (industry conference), Jacksonville, FL (1993)

Low-Level Radioactive Waste Regulation, Retreat for Congressional Staff, Natural Resources Defense Council, Graves Mountain, Syria, VA (1992)

John L. Showman III

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Social Security Number: (b) (6)
Country of Citizenship: United States of America
Federal Employee: Career Status
Highest Federal Grade: GS-0343-15/10 – Present

WORK EXPERIENCE:

US Environmental Protection Agency
1200 Pennsylvania Avenue, NW (3102A)
OARM/Office of Policy and Resources Management
Washington, DC 20460

Acting Director, Office of Policy and Resources Management 7/2010 – Present

The Office of Policy and Resources Management acts as the staff office of the Assistant Administrator for the Office of Administration and Resources Management (OARM) and provides office-wide leadership, policy direction, guidance, and technical assistance to the six OARM offices regarding budget, human capital planning and management, information technology, communications, and management integrity. OARM has a budget totaling over \$640 million and over 1,200 employees and includes the functional responsibilities and management of contracts, grants/interagency agreements, human resources, facilities, security, and employee health and safety programs.

As Acting Director, I coordinate the internal and external activities of the Office and monitor and assess the actions involved in organizing OARM programs to achieve the policy objectives set forth by the Assistant Administrator. I am responsible for ensuring effective resource and policy management across OARM. I direct the development and implementation of strategic approaches for major programs, as well as improvements to the productivity and efficiency of OARM operations. I provide executive policy and management for OARM in budget and strategic development and financial management; and human resources and organizational development. As OARM's *Acting Senior Information Official*, I oversee all Information Technology activities and resources for OARM, including IT policy and security. I represent OARM on various senior level councils, boards, and committees (Working Capital Fund Board; Quality Investment Council, Quality Technology Subcommittee, Administrative Systems Customer Advisory Group).

Key Accomplishments include:

- Leadership role for Agency and OARM in updating EPA's Contingency Plan and the overall planning for a potential shutdown of government operations;
- Developing and implementing the Administrator's "One EPA. One Great Place to Work" campaign;
- Managing the development of OARM's first Annual Guidance Document;
- Leading cross-agency group focused on "Strengthening EPA's Workforce and Capabilities";
- Leading reorganization effort to establish staff office focused on diversity, outreach and

collaboration efforts for the Agency

(Supervisors' Name: [REDACTED])

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Deputy Director, Office of Policy and Resources Management

10/2002 - 7/2010

As the Deputy Director and Associate Senior Resource Official (SRO), functions as a full alter ego to the Director and is responsible for providing assistance in all phases of the work of the organization. As Associate Senior Resource Official, exercises direction over the development and execution of OARM's budget. Direct the conceptualization, development and implementation of strategic approaches for human capital management, information technology, and major cross-cutting Agency initiatives for OARM. Leads the development of routine and special analyses, critical reviews, evaluations of major resource and cross-cutting programmatic issues to ensure OARM is operating in the most efficient manner. Direct special projects, task forces and ad hoc committees working on Agency-wide programs; coordinate within OARM, EPA, and other federal agencies regarding E-Government and President's Management Agenda initiatives. Exercise supervisory personnel management responsibilities over staff which includes recruitment, hiring; making work assignments and determining responsibilities and priorities, evaluating employee performance, recommending appropriate incentives, and initiating corrective actions. (Note: Served as Acting Director 3/2004 – 7/2005; and 5/2006 – 12/2006)

(Supervisor's Name: [REDACTED])

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Key Accomplishments include:

- Led Agency-wide review and consolidation of all human resources transactional functions in three shared service centers resulting in overall cost savings and operational efficiencies;
- Established exceptional partnership relationship with regional and headquarters offices;
- Designed and led OARM participation in expanded management integrity process incorporating requirements of OMB Circular A-123;
- Managing agency efforts associated with the President's Management Reform Agenda.

US Environmental Protection Agency
1200 Pennsylvania Avenue, NW (3201A)
OARM, Office of Administration
Washington, DC 20460

Acting Director, Resources Management Staff

11/2001 - 10/2002

Supervised and directed an organization responsible for managing the Agency's complex Support and Buildings and Facilities Accounts with an annual budget of \$300 million, which included \$218 million for rent and direct leases (FY 2002). Provided all budget, administrative, contract management, management integrity, and information technology services to the office and its three divisions, and ran OA's quality assurance program, with a staff of eleven. Developed and provided advice and analyses for the Director on appropriate broad policy direction for OA, interrelationships among program policies, and the overall effectiveness of resource, procurement, audit and FMFIA policies. Responsible for operating the Postage Activity Business Office and represented the Office at Working Capital Fund Board meetings. Provide leadership and direction for OA's activities in human resources management, including workforce planning, oversight and evaluation of personnel. Managed the development of analyses and reviews to ensure that OA's use of information resources conformed to Agency standards and requirements.

(Supervisors' Name: [REDACTED])

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US Environmental Protection Agency
1200 Pennsylvania Avenue, NW (3901R)
OARM, Office of Grants and Debarment
Washington, DC 20460

Program Management Director/Special Assistant

06/1998 - 11/2001

Provide advice and assistance to the Office Director on budget development and resource related questions and issues. Managed the oversight of planning, budgeting, resource management, personnel issues, and all other administrative functions for the Office. Served as principal staff advisor to the Director in matters relating to operation and evaluation of functions. Conducted in-depth assessments and made recommendations on alternative approaches to resolve problems. Prepared briefing documents and materials for the Director in making presentations to high level Agency management. Represented the Agency on an interagency/educational institutional workgroup to streamline and standardize administrative management of federal research assistance. Chaired and participated in meetings, conferences, ad hoc task forces/workgroups on issues relating to grants management and administration, budget and planning, (Supervisors' Name: (b) (6))

US Environmental Protection Agency
1200 Pennsylvania Avenue, NW (3903R)
OARM, Grants Administration Division
Washington, DC 20460

Program Analyst/Special Assistant

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Served as Special Assistant to the Director, Grants Administration Division; collaborating with the Director in developing Division plans and objectives relating to continuing improvement and operational effectiveness of grants administration. Analyzed and formulated Division's budget justification, performance measures and operating plans. Served as liaison with program offices, Office of Inspector General, and the Las Vegas Financial Management Center to discuss and resolve operational problems and

issues related to grants management. Coordinated monthly meetings of the Grants Customer Relations Council and served as the Audit Follow-up Coordinator for Office. (Supervisor's Name: (b) (6))

US Environmental Protection Agency
1200 Pennsylvania Avenue, NW (3903R)
OARM, Grants Administration Division/Grants Operations Branch
Washington, DC 20460

Associate Award Official/Grants Specialist

01/1984 - 11/1996

From January 1984 - November 1996, served in various positions in the Grants Operations Branches. Major duties performed include: Serving as an **Associate Award Official** for assistance management awards. Reviewed grant documents to ensure legal, administrative and financial requirements were met and had final authority to obligate Federal funds on behalf of the Agency. Developed national guidelines for new assistance programs and established administrative assistance requirements for EPA program offices and recipient organizations. As part of an Agency team, helped establish the National Environmental Education Grant Program and the National Service for the Environment (AMERiCorp) Grant Program. Served as an **Acting Supervisory Grants Specialist**, supervising a staff of eight (8); directing the overall day-to-day operations of the Section and assigning work based on priorities. Conducted special studies to determine effectiveness and timeliness of specific segments of assistance programs. Studies covered such areas as assessing effectiveness of the grants process; streamlining operations procedures; and analysis of staffing patterns and needs.

(Supervisors' Name: (b) (6))

EDUCATION:

Virginia Tech

Finance

Bachelor of Science / Major:

Blacksburg, VA 24061

Graduated: 1983

SELECTED JOB-RELATED TRAINING COURSES:

Office of Personnel Management (OPM)

"Building a High Performance Organization"

"Government Performance Results"

"Management Assessment Program"

"Organizational Transformation in the Public Sector"

EPA

Civil Rights Training for Managers

Telework Training for Managers

Internal Controls and Management Integrity

Personally Identifiable Information

No FEAR Act Training Module

Grants Manager's Training

Annual Ethics Training

EPA Acquisition System (EAS) Getting Started

Other

FEMA's Continuity of Operations (COOP) Awareness Course

"Performance Measures: Financial and Program Evaluation" – Management Concepts Inc.

AWARDS/HONORS:

A sample of my awards and honors include:

EPA Silver Medal - *Development of National Environmental Education Grant Program*

EPA Bronze Medals - *OARM Infrastructure Review, Relocating Employees to Ronald Reagan Building, Developing National Service for the Environment Program, Grants Management Improvements Workgroup*

Quality Step Increases for Superior Performance

"Q" Performance Award (Quality Accomplishment Recognition Award) ---- FY08 - FY10

"S" Performance Award (Superior Accomplishment Recognition Award) --- FY03 - FY07, FY11

REFERENCES:

(b) (6)



Harvey Simon

EPA Office of Environmental Information
Ariel Rios Building MC 2843T, 1200 Pennsylvania Avenue, NW, Washington, DC 20460

(b) (6)

WORK EXPERIENCE

07/16/2012 (Start Date) Acting Geospatial Information Officer (120 Day Detail)
Office of Environmental Information
U.S. Environmental protection agency
Washington, DC
Salary: \$144,385 (GS-15/6) Hours/Week: 40

Duties:

- Collaborates with senior managers and experts EPA and government-wide, actively advocating and managing cross-organization program and business process improvements which can be enabled and enhanced with geographic data and analysis.
- Advises the EPA CIO and the Quality Information Council concerning future directions and strategic decisions regarding the use of geospatial information in EPA business processes and is responsible for the conception, development and implementation of policies, procedures, guidelines and standards for sound geospatial business and investment practices to meet the mission needs of EPA.
- Responsible for the development and implementation of the EPA Geospatial Platform, including identifying data, systems, and functional requirements for the technical infrastructure consistent with the Agency's Enterprise Architecture.
- Serves as primary Agency representative to other federal geospatial efforts,
- Coordinates the Agency's budget and investment strategy for geospatial spending, including directing the development of a Capital Planning and Investment Control (CPIC) on geospatial systems.
- Coordinates acquisition of geographic and remote sensing data for Agency-wide use, as well as development of policy and standards for geographic data. Coordinates new GIS applications development to ensure consistent use of appropriate geographic data.
- Provides input to Agency wide contracts for software, data and services regarding need and functionality of GIS.
- Promotes superior stewardship of Agency geospatial resources by monitoring system security and quality assurance plans for geospatial systems, data and applications.
- Collaborates with senior management, program, regional and research staff to assure that geospatial information systems effectively provide necessary information and analysis products to meet their needs.
- Provides Agency managers and staff with information and assessment of current and cutting edge developments in geospatial information systems in the private sector or from other federal sources.
- Works within the context of the Agency Quality System; coordinates quality assurance of geospatial data and analysis.

- Serves as the lead on coordinating and assigning day-to-day geospatial activities and work assignments to other geospatial office staff

06/2011 – 07/2012

Chief, Information Services Branch
Information Access Division
Office of Information Analysis and Access
Office of Environmental Information
U.S. Environmental Protection Agency
Washington, DC
Salary: \$144,385 (GS-15/6) Hours/Week: 40

Duties and Accomplishments:

- Leads a branch of senior technical staff responsible for a broad portfolio of agency-wide services that implement critical technologies, national information systems, and tools to support access to environmental information for internal and external customers. Responsible for:
 - ♦ Supporting the EPA Geospatial Information Officer (GIO) in implementing the EPA Geospatial Program, including participation in the EPA GIS Workgroup Steering Committee, coordination with other OEI branches involved in implementing the program. Primary support for the GIO in coordinating geospatial support for emergency response, including interagency coordination, and representing OEI on the Office of Emergency Management's IT Forum and Data Team, and support for the GIS Workgroup's Emergency Response Subgroup.
 - ♦ Operational management and planning for the EPA GeoPlatform initiative. EPA GeoPlatform efforts include project management, technical direction and contract management, architecture planning and technology implementation, information security planning, and coordination of GeoPlatform user needs assessment, geoservices development, communications and training, business line pilots. Business line pilots are used to develop GeoPlatform requirements and test and refine its capability to support EPA business processes. Current pilots include Oil and Gas Enforcement Targeting, EJ Screen, and Community Grants. Management of the GeoPlatform effort requires coordination across OEI, program offices, Regions and labs, and providing briefings and updates to EPA leadership.
 - ♦ Managing geospatial web applications that provide user friendly nation-wide access to environmental information and analysis for the public and internal customers, including MyEnvironment EnviroMapper, EJView, NEPAassist, and GeoGrants.
 - ♦ Management of the Envirofacts Information Warehouse, which provides a single point of access to many of EPA major information systems including TRI, CERCLIS, RCRAInfo, SDWIS, AFS, PCS, FRS and others. Envirofacts also supports a large number of other EPA applications

including geospatial tools and mobile apps, by providing these front end applications with EPA program system data and reports.

- ♦ Development of web resources to support sharing of application code among internal and external developers. The initial iteration of these web resources will focus on the application programming interface (API) for Envirofacts that makes Envirofacts data services easily accessible and customizable by both EPA staff and the public. The API enables easy access to Envirofacts data in support of activities such as data mashups, application query interfaces, and mobile apps, as well as others.
- ♦ Technical support for the One EPA Workplace effort, including design and usability testing of templates, and working with EPA programs and content managers to migrate content into the new template.
- ♦ Management of the EPA mobile website (m.epa.gov), which is designed to render appropriately on smart phones that provides news, videos, links to EPA mobile apps, tips, and other mobile content. Also supports Program Offices in development of mobile application projects, including UV Index, AIRNow, and Indoor AirPLUS.
- ♦ Management of EPA's Web 2.0 infrastructure for Wikis and Blogs and support for the Office of Web Communications in managing EPA's public blogs.
- ♦ Piloting the use of Google Analytics to provide metrics on the use EPA Intranet and public web resources.
- ♦ Managing the Office of Information Access and Analysis information security program
- ♦ Management and change control for contract vehicles and Working Capital Fund services for Envirofacts and Geospatial services.

08/2010 – 12/2010

Acting Branch Chief (120 Day Detail)
 Planning & Evaluation Branch
 Office of Policy And Management
 US Environmental Protection Agency, Region 2
 New York, NY
 Salary: \$149,615 (GS-15/6) Hours/Week: 40

Duties and Accomplishments:

- Regional coordination of the full range of agency planning activities including EPA strategic plan development, annual national program guidance development, annual commitment setting process, quarterly accomplishment reporting via the Annual Commitment System and other agency-wide reporting processes.
- Carrying out a wide range of innovative programs related to sustainable development, green building design, green job initiatives, sustainable schools, climate change and others.
- Coordination of the Geographic Information Systems (GIS) program

- Regional coordination of the Integrity Act and audit liaison programs
- Management of the Region's controlled correspondence and web inquiry functions
- Regional coordination of the regulatory review program

05/1992 – 06/2011

Environmental Scientist
Planning & Evaluation Branch
Office of Policy and Management
US Environmental Protection Agency, Region 2
New York, NY
Salary: \$ 138,092 (GS-14/9) Hours/Week: 40

Duties and Accomplishments:

- Region 2 GIS Coordinator (1992 to 2011): Lead a team of environmental scientists and programmers involved in providing a comprehensive GIS to support environmental protection in New York, New Jersey, Puerto Rico and the United States Virgin Islands. Highlights include:
 - ♦ Coordination and oversight of the development and implementation of GIS tools and GIS training programs to support regional analysis and decision making;
 - ♦ Continuous evaluation of new technologies and tools for strategic planning on upgrades to Regional GIS infrastructure;
 - ♦ Design and management of Intranet, Extranet and public web sites to inform regional staff and external partners about GIS resources and tools. Intranet sites included a web-based GIS support request system that handled the workflow for all user requests for a wide variety of GIS tasks. Management of Region 2 content in EPA's Environmental Dataset Gateway (EDG). Designed and managed Lotus Quickr extranet sites for managing GIS operations in support of the Katrina response for the Headquarters Emergency Operations Center and the Incident Command Post in New Orleans. Managed EPA GIS Workgroup Extranet site.
 - ♦ Responsible for working with federal, state and local agencies, academia and the private sector to develop and share critical GIS data layers to support environmental protection. Active participation in a variety of state, interstate and federal GIS coordination efforts. These efforts have included:
 - Development of interagency and agreements and grants to fill critical environmental data gaps. Examples included agreements with USGS that lead to comprehensive coverage of digital aerial photography for Region 2, with the US Fish and Wildlife Service to complete digital wetland maps for the Region, development of high resolution stream and elevation data in New York State and New Jersey.
 - Negotiation of data sharing agreements, including formal agreements for exchange of spatial data with New Jersey Department of Environmental Protection (one of the first such agreements in the country), the New York

State GIS Data Cooperative, and the New York City Department of Information Technology and Telecommunications.

- Participant in New York State GIS Data Cooperative;
- ♦ EPA GIS Workgroup Activities: Chair of EPA GIS Work Group from 1997-2000, responsible for coordinating national meetings for EPA managers and technical staff involved in GIS data and application development, and development of GIS policies and technology plans. As Chair, developed the first three submittals to the Office of Management and Budget on EPA geospatial investments (this responsibility was transferred to OEI when it became operational). While Chair, advised EPA planners on the organization of OEI and established long term working relationships with the new office on geospatial issues. Served as member of GIS Work Group Steering Committee and as Chair of Emergency Response Subgroup.
- ♦ Coordination with Regional Emergency Operations Center on incorporation of information technology into emergency response preparedness, particularly in the areas of management and analysis of monitoring data and GIS to support emergency responses.
 - Trained as Situation Unit Leader, a critical EPA Incident Command System (ICS) management role, at the 2007 EPA ICS Academy. Was a trainer for the Situation Unit Leader course at the 2009 EPA ICS Academy.
 - As chair of GIS Emergency Response Subgroup, conducted regular conference calls to facilitate coordination of EPA efforts for the Deepwater Horizon Spill, the Enbridge Spill and other large incidents.
 - Participant and planner at several large emergency response drills from 2003-2010 and at the Office of Emergency Managements annual data workshops.
 - Was part of a national team that coordinated EPA GIS support activities for the responses to hurricanes Katrina and Rita. Served as GIS Manager for the New Orleans Incident Command Post during the Katrina/Rita response and managed a staff of 10-12 EPA and contract GIS analysts.
 - Was responsible for coordinating with OEI, Region 2 staff and partner agencies to develop a multi-agency database for World Trade Center monitoring data, publication of monitoring data and analysis to the agency web site, and preparation and distribution of GIS maps and monitoring summaries to participating agencies.
- ♦ Participant in OEI working groups for information architecture planning, agency-wide geospatial planning and policy development, EPA geospatial web content coordination and metadata creation, locational data improvement, and geospatial data standard development, enterprise data purchases, enterprise software license negotiation. Served on selection panel for EPA's first Geospatial Information Officer at request of EPA Chief Information Officer.
- ♦ In 2008, completed part-time detail to OEI to assist the Geospatial Information Officer in organizing OEI's national GIS efforts.

- Participant in Regional Climate Change Workgroup, planning GIS support for Regional climate change activities.
- Risk Assessment, Risk Training and Program Oversight (1985-1998):
 - ♦ Member of team that produced the 1998 EPA Region 2 State of the Environment Report.
 - ♦ Project Manager for ecological component of EPA Region 2 Comparative Risk Project;
 - ♦ Risk Assessment Training: Facilitator and lecturer for Risk and Decision Making and Risk Communication Workshops. Trained staff and managers from EPA, state agencies and non-profit groups in risk assessment methods, techniques and policy issues;
 - ♦ Member of EPA's Risk Assessment Forum (RAF) and RAF Ecological Oversight Group. Participated in EPA Workgroup to develop ecological risk assessment guidelines;
 - ♦ Assisted Branch Chief in coordinating Regional oversight of water and air pollution control programs delegated to New York, New Jersey, Puerto Rico and the Virgin Islands

04/1984 – 04/1985 Environmental Scientist
 National Park Service, Gateway National Recreation Area
 New York, NY
 Salary: \$17,000/Year (GS-7) Hours/Week: 40

Duties and Accomplishments:

- Managed water quality monitoring program for Gateway National Recreation (Gateway NRA) Area beaches and Jamaica Bay. Managed Gateway NRA laboratory and marine water quality monitoring program. The monitoring program included bacteriological water quality testing and phytoplankton ecology studies in Jamaica Bay. Reviewed environmental impact statements and proposals, including US Army Corps of Engineers Dredging projects and the proposed Navy Homeport in Staten Island. Participated in interagency meetings, including the Steering Committee for the Army Corps Dredged Materials Management Program, the Jamaica Bay Task Force, and the Kennedy Airport Bird Strike Control Program.

09/1980 - 05/1983 Research Assistant
 Marine Sciences Research Center
 Stony Brook, NY
 Salary: \$6,000/Year Hours/Week: 20

Duties and Accomplishments:

- Conducted plankton and hydrographic field studies for a site selection study for mariculture rafts in Long Island Sound. Organized and statistically analyzed catch data using mathematical modeling for a management study of a tropical spiny lobster fishery. Benthic and fish sampling cruises for the Coal Waste Artificial Reef Project. Support diver for two projects at HydroLab in the U.S. Virgin Islands.

EDUCATION

State University of New York (SUNY) at Stony Brook Stony Brook, NY

Master's Degree 08/1983

GPA: 3.12 of a maximum 4

Credits Earned: 63 Semester hours

Major: Marine Environmental Sciences

Relevant Coursework, Licenses and Certifications:

SUNY Stony Brook Honors and Awards:

Steinberg-Squires Award Nominee, SUNY-Stony Brook 1983

Tuition Waiver and Graduate Assistantship, SUNY-Stony Brook 1980-83

Sigma-Xi Grant-in-Aid Award 1981, 1982

Stony Brook Research Foundation Grant for Research 1981

SUNY Albany Albany, NY United States

Bachelor's Degree 05/1980

GPA: 3.45 of a maximum 4

Credits Earned: 121 Semester hours

Major: Biology Honors: Magna Cum Laude

Relevant Coursework, Licenses and Certifications:

SUNY Albany Honors and Awards:

Tri-Beta Biological Honor Society Membership, SUNY Albany 1979-80

New York State Regents Scholarship 1976-80

OTHER QUALIFICATIONS

EPA AWARDS AND HONORS

- Superior Accomplishment Recognition Awards, 2001, 2002, 2003, 2004, 2006, 2007, 2009, 2010
- Gold Medal, 2009: Team Award for National NEPAAssist Application Deployment
- EPA CIO Innovation Team Award: Puget Sound Information Challenge, 2008; and Geodata Gateway Expansion, 2010
- Special Act Award from Office of Emergency Management for Hurricane Katrina GIS Support, 2006
- EPA Hurricane Disaster Team Certificate of Appreciation, 2005
- Mason Hewitt Award for Excellence, 2004: Peer-selected team EPA GIS Work Group award for NEPAAssist application
- Bronze Medal, 2004: Team Award for NEPAAssist Application

- Bronze Medal, 2003: OEI Team Award for “Outstanding Collaboration on EPA’s Geospatial Blueprint which outlines the enterprise approach for acquiring, managing and deploying geospatial data and tools.”
- Mason Hewitt Award for Excellence, 2002: Peer-selected team EPA GIS Work Group award for World Trade Center Disaster GIS Support
- Bronze Medal, 2002: Team Award for World Trade Center GIS Support
- Peer Award, 2002: Coordination of World Trade Center Outdoor Air Monitoring Data Management
- Sustained Superior Performance Awards, 1990-1992, 1994, 1998-2000
- Special Act Awards: 1987, 1988
- Quality Step Increase, 1993, 1997
- Distinguished Achievement Award, EPA Silver Medal (for GIS Coordination), 1999
- Bronze Medal (Group Award for Environmental Justice GIS Application Development) 1999
- Bronze Medal, (Group Award - for GIS development) 1993
- EPA Institute Certificate of Appreciation 1990
- Bronze Medal, USEPA (Group Award for Risk Assessment) 1988

ADDITIONAL TRAINING AND SKILLS

Extensive experience in giving presentations on policy and technical issues to a variety of audiences, organizing meetings, and leading work groups. Preparation of technical documents, including:

Integrating Environmental Monitoring Data into GIS for the World Trade Center Emergency Response, Harvey Simon and Mark Gallo, United States Environmental Protection Agency (EPA) Region 2, Proceedings of 2002 Environmental Systems Research Institute International User Conference, San Diego California
<http://gis.esri.com/library/userconf/proc02/abstracts/a0258.html>
(Similar presentations also made for ESRI Federal User Conference, EPA GIS Work Group, EPA Office of Research and Development World Trade Center Lessons Learned Conference, the EPA-sponsored Open Forum 2003 on Metadata Registries, and the SUNY Albany GIS Speaker Series)
EPA Region 2 State of the Environment 1998; Environmental Protection Agency, Region 2 Office of Policy and Management; 1998.; 44 pp; EPA902-R-98-003

Geographic Data Needs For the Site Discovery and Oil Spill Response Programs (1993) 9pp

GIS Conceptual Database Design Study: User Needs Assessment Working Paper; Environmental Protection Agency, Region 2 Office of Policy and Management; 1994.; ~200 pp; EPA 902-R-94-001a

GIS Conceptual Database Design Study: Conceptual Database Design Report; Environmental Protection Agency, Region 2 Office of Policy and Management; 1994.; ~200 pp; EPA 902-R-94-001b

GIS Conceptual Database Design Study: Data Inventory/Evaluation Working Paper; Environmental Protection Agency, Region 2 Office of Policy and Management; 1994.; ~700 pp; EPA 902-R-94-001c
Geographic Data Needs For the Site Discovery and Oil Spill Response Programs (1993) 9pp.

Data sharing agreement between EPA and the New Jersey Department of Environmental Protection and Energy (1992) 12 pp.

Comparing Environmental Risks in EPA Region II USEPA 1991
(A public information pamphlet)

Region II Risk Ranking Project: Ecological Rankings and Problem Analyses USEPA 1990 221 pp. (Editor)

Region II Ranking of Environmental Problems: Background Document. USEPA 1989 23 pp.

The Performance-Based Approach in the Virgin Islands: Results of an On-Site Review. USEPA 1986 11 pp.

1984 Water Quality Report. National Park Service Technical Report. Gateway National Recreation Area. 1984 31pp.

Long Term Trend Analysis of Water Quality at Gateway NRA Bathing Beaches. National Park Service, Gateway National Recreation Area 1984 12 pp.

Revised Operations Manual for Bacteriological Analysis of Beach Water. National Park Service Technical Manual Gateway National Recreation Area. 1984 10 pp.

Training and Certifications:

EPA: Contract Officer Representative Training/Certification, Grants Project Officer Training/Certification, Interagency Agreement Project Officer Training/Certification, FEMA Incident Command System Training, EPA Situation Unit Leader Training, Leadership Skills for Non-Supervisors, Facilitator Training.

IT/Software Experience

Geographic Information Systems, Remote Sensing, Web/Internet Technologies and other Information Technology and Computer-related Software and Skills:
Introduction to Remote Sensing (Hunter College), various courses in generations of ESRI GIS software; Non-GIS Software/IT Training: XML, Oracle, JavaScript, and Visual

Basic. Working knowledge of variety of desktop and server operating systems (Windows XP, Windows Server 2003 and 2008, Windows 7; Unix; Linux; and OS X (Apple) and a wide variety of software packages and tools, including: ArcGIS, ArcView 3.x, ArcIMS, ERDAS, InDesign, Acrobat, Microsoft Office Suite (Word, Excel, PowerPoint, Access, FrontPage), Oracle, MySQL, Lotus Notes, Lotus Quickr, Dreamweaver, PhotoShop, Paint Shop Pro, Visual Basic and others.

NIGEL SIMON

(b) (6)

Current Federal Status: Career, Permanent and Full-Time

Professional Experience

January 2012 – Present. (GS-0340-15) Acting Director, Office of Program Management. United States Environmental Protection Agency (EPA) — Office of Solid Waste and Emergency Response (OSWER) 1200 Pennsylvania Avenue, N.W. Washington, DC 20460

Responsibilities:

The Office of Program Management is responsible for OSWER-wide program administration in the following areas: long-term strategic planning crossing program and media lines; information management; specialized task forces; policy development and related review functions; science team; regulatory management; budget; contracts management; and administrative/program management policy and guidance.

Accomplishments:

Manages subordinate staffs, each headed by a staff director: Acquisition and Resources Management Staff, Policy Analysis and Regulatory Management Staff, and Information and Data Quality Staff and provides day-to-day guidance and direction to subordinate directors and overall management context for program operations.

Serves as co-chair of the Superfund Senior Regional Management and Acquisition Council (SRMAC) and develops recommendations for an updated approach to the Contracts 2010 Superfund strategy.

Monitors and approves OSWER's overall hiring, promotions, and other personnel actions as part of the FY12 Operating Plan and the Agency initiated hiring pause.

Serves as OSWER's Senior Information Official – responsibilities includes overseeing OSWER's information systems portfolio, submitting requested information to the Office of Environmental Information in a timely manner, and actively participating in Agency information management issues through the Quality and Information Council, Information Investment Subcommittee, Quality Technology Subcommittee, Exchange Network Subcommittee and ad hoc workgroups and teams as needed.

Leads the development of OSWER's submission to the Agency's annual budget formulation and planning process by working with the Agency's Financial Office and OSWER's program offices to develop and submit high quality materials, as directed in guidance, that reflect OSWER's priorities as identified in the Agency's Strategic Plan and the Administrator's priorities.

Serves as the lead Occupant Emergency Coordinator for EPA West/Connecting Wing

Nigel Simon

Co-chairs the Superfund Advisory Council for Superfund Contracting.

Manages the OSWER regulatory development process and cross-program regulatory policy. Directs the development of OSWER goals, objectives, guidelines and priorities for mission accomplishment to assure that OSWER policies and programs are properly carried out.

Serves as the lead Senior Official for OSWER's American Recovery and Reinvestment Act (ARRA) funded programs, responsibilities include but not limited to providing technical assistance to the Agency's Senior Official for ARRA, ensuring compliance with the ARRA's transparency, acquisition and accountability provisions, ensuring timely reporting, representing OSWER and the Agency at executive level meetings on Recovery Act matters.

Oversees OSWER's implementation of the Agency's Action Development Process (ADP) by managing the process, alerting the AA and DAA's on any issues associated with a rule or significant guidance, and coordinating with EPA's Office of Policy and the Office of Management and Budget.

Leads the OSWER Senior Operations Council Meetings.

Manages the OSWER science team's work, and coordinate OSWER international activity.

Represents OSWER on EPA's Working Capital Fund Board.

Oversees the OSWER \$20M IT Investment portfolio.

Directs OSWER's mission by providing sound policy advice, technical assistance, oversight, and coordination (with Program Offices, Regions, and States) for all aspects of OSWER's information portfolio and related resources management, including but not limited to development, operation and maintenance of IT systems and components.

Represents OSWER at executive level meetings and conferences including Congressional representatives and their staff, the Office of Management and Budget other Federal agencies, Tribal, state and local government officials.

January 2011 – December 2011. (GS-0343-15) Capitol Hill Fellow, Government Affairs Institute at Georgetown University. Congresswoman Donna M Christensen, U.S. Virgin Islands – House Energy and Commerce Committee. U.S. House of Representatives. 1510 Longworth House Office Building Washington, DC 20515.

Developed effective working relationships and mutual trust by working with both parties to prepare talking points for the Department of Energy loan guarantee to Solyndra Inc. hearings.

Created talking points for the Energy and Commerce Subcommittee on oversight and investigations hearing on regulatory reform.

Reviewed and researched information on EPA's final emission standards under the Clean Air Act for

Nigel Simon

new marine diesel engines.

Created draft amendments on HR 1938 which would circumvent the administration's permitting process on the Keystone XL pipeline decision.

Demonstrated a strong ability to identify, analyze and create talking points for H.R. 910 opposing the Upton-Inhofe Energy Tax Prevention Act.

Learned new techniques and approaches to problem solving by preparing talking points for the FCC hearing bill to promote nationwide deployment of an interoperable public safety broadband network, and to make available additional spectrum for wireless broadband services.

Drafted lionfish resolution to address current invasion in the U.S. territory.

Coordinated the EPA roundtable conference for St. Croix, U.S. Virgin Islands.

Attended the National Ocean Council Briefing.

Created talking points and the draft bill to reauthorize and amend the Marine Debris Research, Prevention, and Reduction Act.

July 26 – August 12, 2010. U.S. EPA Response Support Corps Volunteer - Deployment to Region 4 – Harrison County, Mississippi Deepwater Horizon Oil Spill Cleanup.

Played a key role in determining if we were assigned to oversee beach cleanup or going out on water operations in the Gulf with the Vessels of Opportunity (VOO) to patrol the Gulf waters for oil recovery.

Oversaw beach oil cleanup crews on the barrier islands, search for oil slicks and fish kills, and observe VOO boom removal.

Complete a daily unit log regarding procedures, observations, incidents, and operations to ensure the proper storage and retrieval of future records.

Observed and coordinated with emergency personnel from the U.S. Coast Guard, the Mississippi Department of Environmental Quality, and the U.S. Fish and Wildlife Service to report a large "fish kill" at the western end of one of the barrier islands.

Collected and bagged wildlife samples for case analysis and to determine the cause of death.

Supported and advised the Coast Guard efforts to clean the reclaimed oil and waste from the shoreline.

Worked in concert with the U.S. Coast Guard to closely monitor the effects of dispersants in the subsurface environment by collecting samples for further evaluation and analysis.

July 2005 to August 2010. (GS-0343-15) Director, Information and Management Division – Supervisory Program Analyst. U.S. Environmental Protection Agency (EPA) — Office of the Administrator, Office of Congressional Affairs and Intergovernmental Relations (OCIR). 1200 Pennsylvania Avenue, NW Washington, DC 20460.

Responsibilities:

Provided daily supervisory leadership and direction for staff in the areas of strategic planning, human resources, information technology, travel, contracts, assistance agreements, budget formulation and execution, project and program management, diversity/EEO management, facilities and equipment management, information technology and computer management, records management, Legislative Library, Grants, FOIA, and controlled Congressional correspondence and served as principal advisor to the Associate Administrator and Principal Deputy Associate Administrator for OCIR.

Accomplishments:

Managed the \$7M personnel, compensation, and benefits (PC& B) and office operating budget for OCIR.

Provided effective leadership and served as the lead for the OCIR Federal Activities Inventory Reform (FAIR), Continuity of Operations (COOP), and the President's Management Agenda (PMA) submissions.

Responded to Congressional inquiries and OMB Audit on EPA Libraries.

Served as the lead on planning the OCIR Management Retreat to define the organizational mission, strategic plan, program priorities, and organizational development for the office.

Implemented a more effective electronic information system to approve and better track time and attendance.

Managed a workgroup consisting of management and union employees with the responsibility of a physical relocation and team consolidation process.

Analyzed and drafted an organization IT report on risks and vulnerabilities on current trends in hardware, managed desktop solutions, and remote access possibilities.

Planned and implemented the first "OCIR Celebrates Bring Your Child to Work and Earth Day."

Created and managed an electronic storage system for Congressional transcripts.

Co-facilitated environmental IT capacity building training with the United Nation Environmental Programme (UNEP) in Gaboronne, Botswana.

Presented "The Tolerant Manager" to EPA Regions 10, 7, and Research Triangle Park, NC.

Evaluated: **Outstanding** “....careful attention to resources....outstanding leadership....built a self reliant staff....recognizes his staff for their accomplishments....consistently works on employee morale initiatives”.

November 2004 to July 2005. (GS-2210-14) Acting Information Technology Team Leader. U.S. Environmental Protection Agency (EPA) — Office of Enforcement and Compliance Assurance (OECA), Office of Administration and Resource Management Staff (ARMS) 1200 Pennsylvania Avenue, NW Washington, DC 20460

Responsibilities:

Served as a technical expert and senior advisor on information technology decisions and systems.

Supervised installation of network hardware, software and systems execution.

Directed the work of contractor's LAN administration staff, including the preparation of new designs for networks and related systems and sub-systems.

Accomplishments:

Prepared the Government Information Security Reform Act and quarterly updates.

Developed annual and long-term plans and budgets for IT initiatives.

Recruited staff to serve as Special Emphasis Program Managers (SEPM).

Led development of a full diversity program, including tracking and reporting accomplishments.

Co-facilitated environmental IT capacity building training with the United Nations Environmental Programme (UNEP) in Yaounde, Cameroon.

Evaluated: **Outstanding** “....multi-talented....tremendous leadership....very much a strategic thinker....strong customer service ethic....knowledge and expertise in a variety of functional areas....has the ability to work with a number of different personalities....a mentor to many....tremendous leadership and initiative....knowledge and expertise in a variety of functional areas....he is called upon by many for assistance....”

February 2003 to November 2004. (GS-028-14) Workforce Deployment Manager and Special Assistant, Deputy Office Director. U.S. Environmental Protection Agency (EPA) — Office of Enforcement and Compliance Assurance (OECA), Office of Administration and

Nigel Simon

Resource Management Staff (ARMS). 1200 Pennsylvania Avenue, NW Washington, DC 20460.

Responsibilities:

Served as Lead Project Manager for OECA Workforce Deployment Review responsible for the planning, implementation, and oversight of training and development programs that are technically proficient and reflective of OECA's mission, vision and core values. Managed and monitored an Interagency Agreement with the USDA IT Project Management program.

Accomplishments:

Directed the OECA Workforce Deployment Review.

Chaired the OECA Human Resources Council, for strategic planning of workforce diversity initiatives and other human resources management initiatives.

Implemented the OECA's Diversity Action Plan and diversity components of OECA's Human Resources Strategy: Special Detail and Rotational Policy, 360° Management Evaluation Pilot.

Designed and created an electronic survey tool to collect current and future human resource skills based on national and regional enforcement and compliance priorities.

Evaluated: **Outstanding** “....excellent....led development of a full diversity program....”

October 2000 to January 2003. (GS-028-11/12/13) Information Security Officer/Diversity Action Team Leader. U.S. Environmental Protection Agency (EPA) — Office of International and Tribal Affairs, Office of Management and International Services (formerly the Office of International Activities, Office of Management Operations) 1200 Pennsylvania Avenue, NW Washington, DC 20460.

Responsibilities:

Chaired the OIA Diversity Action Team serving as the Diversity Action Team Leader.

Served in broad leadership role, communicating team consensus and recommendations to Deputy Assistant Administrator on actions affecting individual and team awards/recognitions.

Led the OIA Information Resources Management Program.

Ensured that OIA was in compliance with EPA's information security and IT requirements.

Accomplishments:

Revised the OIA Security Plan.

Nigel Simon

Developed annual and long-term plans and budgets for OIA IT Initiatives.

Assisted in development of policy review and clarification of IT issues.

Prepared the Government Information Security Reform Act (GISRA) Submission and submitted quarterly updates.

Recruited staff to serve as Special Emphasis Program Managers (SEPM).

Evaluated: “...linked diversity to EPA’s international objectives....persuaded management to allocate a budget of over \$60,000 for diversity activities....he recruited and trained 8 Special Emphasis Program Managers and Alternates.”

EPA Leadership and Professional Development Program:

May 2008 – September 2008. Served on a 120-days detail assignment to US EPA, New York City, Region 2 Division of Environmental Planning and Protection.

Developed a multidiscipline, comprehensive Sustainable Water Infrastructure strategy for Region 2.

Promoted public outreach and communication on Sustainable Water Infrastructure.

Executive Development:

January 2012. Certificate in Legislative Studies - The Government Affairs Institute at Georgetown University. Washington, DC.

October – November 2007. OPM’s Federal Executive Institute, Leadership for a Democratic Society - Charlottesville, Virginia. Served as Chair of the Executive Forum Committee.

Education and Advanced Training:

Masters of Science Degree in Environmental Management candidate - University of Maryland University College: Fall 2010 – present.

Master of Public Administration (MPA) — University of the Virgin Islands — May 1996.

BA in Political Science and Spanish — Central College, Iowa — May 1991.

EPA Purchase Card Approval Official Training, July 2005.

OPM Supervisory Leadership Seminar, February 2004.

OPM Strategic Management of Human Capital, November 2003.

USDA Grad School Federal Financial Management Certificate Program, September 2001.

Honors/Awards:

Recipient of three EPA Bronze Medals — November 2006, June 2005, May 2004.

Suzanne E. Olive EEO Non-Management Award — March 2002.

Nigel Simon

OIA Team Leader of The Year — September 2001.

EPA Unsung Hero Award — May 2001.

Volunteer Work Experience:

**April 2001 – February 2009. Vice Chair, Board of Directors. Us Helping Us - People Into Living, Inc. HIV/AIDS Service and Prevention Nonprofit Organization
3636 Georgia Avenue NW Washington, DC 20010.**

Responsibilities and Accomplishments:

Provided legal and fiduciary leadership oversight for \$3M nonprofit organization.

Maintained, updated and monitored organization strategic plan.

Conducted analyses of finances when making policy and resource decisions.

Recipient - National Red Ribbon Leadership Award. United Nations - NY, December 2005.

Military Service:

March 1993 – February 2000. Military Police Specialist
Maryland Army National Guard Military Police Company
U.S. Virgin Islands Army National Guard 661st Military Police Company

Responsibilities and Accomplishments:

Conducted patrols, investigations, and operating checkpoints in Fort Clayton, Panama.
Provided hurricane disaster relief and security patrols in the US Virgin Islands.

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | |
|---|--|--|--------------------------|--|--|--|-----------------------------|------------------------------------|---|------------------------------------|--|---------------------|----------------|
| 1. Name (Last, First, Middle) BERTRAND, CHARLOTTE M. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/08/2017 | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 02-04-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | |
| 7. FROM: Position Title and Number DIRECTOR, FEDERAL FACILITIES RESTORAT KA000000 0001036 | | | | | 15. TO: Position Title and Number PRIN DEP ASST ADMIN FOR OCSPP M0000000 0001036 | | | | | | | | |
| 8. Pay Plan ES | | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 173325 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 173325 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 173325 | | 12D. Other Pay 0 | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT FED FACILITIES RESTORATION&REUSE OFC WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization OFFICE OF CHEMICAL SAFETY & POLL PREV ASST ADMR FOR CHEM SAFETY&PLTN PREV WASHINGTON,DC | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | |
| POSITION DATA | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) SELECTED FROM INTERNAL COMPETITIVE DETAIL , DATED 09-20-17. DETAIL NTE 1-YEAR | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171826642 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/02/2017 | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|--|-----------------------------|------------------------------------|---|--|---------------------|----------------|
| 1. Name (Last, First, Middle) BREEN, BARRY N. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/06/2018 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 07-03-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number PRINCIPAL DEP ASST ADMIN/LAND AND EME K0000000 0000449 | | | | | 15. TO: Position Title and Number ASSISTANT ADMIN FOR OLEM K0000000 EX03400 | | | | | | | |
| 8. Pay Plan ES | 9. Occ. Code 0000 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 189600 | 13. Pay Basis PA | 16. Pay Plan EX | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 189600 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 189600 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | 20C. Adj. Basic Pay | 20D. Other Pay |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180857825 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/06/2018 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|--|-----------------------------|---|-------------------------------|---|--|--|------------------------------------|--|--|---|----------------------------|----------------|
| 1. Name (Last, First, Middle) CHU, EDWARD H. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/31/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 04-28-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR W0000000 0000288 | | | | | 15. TO: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR U0000000 0000288 | | | | | | | |
| 8. Pay Plan ES | 9. Occ. Code 0000 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 180534 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0000 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 180534 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 180534 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | 20C. Adj. Basic Pay | 20D. Other Pay |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 7 LENEXA,KANSAS | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 5 CHICAGO,ILLINOIS | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 20-3080-091 | | | | 39. Duty Station (City - County - State or Overseas Location) LENEXA,JOHNSON,KANSAS | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. (b) (6) | | 42. | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180007393 / ELECTRONICALLY SIGNED BY: | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 01/03/2018 | | VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|--|--|-----------------------------|------------------------------------|---|------------------------|--|---------------------|--|----------------|--|
| 1. Name (Last, First, Middle) FLOURNOY, KAREN A. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 12/31/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 04-29-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DIR, WATER, WETLANDS & PEST DIV WG000000 0016209 | | | | | 15. TO: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR W0000000 ES00288 | | | | | | | | | | |
| 8. Pay Plan ES | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 166921 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | | | | |
| 12A. Basic Pay 166921 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 166921 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 7 WATER, WETLANDS & PESTICIDES DIV LENEXA, KANSAS | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 7 LENEXA, KANSAS | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan 1 CSRS | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | | | | |
| 38. Duty Station Code 20-3080-091 | | | | 39. Duty Station (City - County - State or Overseas Location) LENEXA, JOHNSON, KANSAS | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180558660 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 12/31/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|--|--|-------------------------------|--|------------------------|-----------------------------|--|---------------------------------|--|--|--------------------|--|----------------------------------|------------------------------------|--|--|---|--|------------------------|--|---------------------|--|
| 1. Name (Last, First, Middle) GRAY, DAVID W. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 01/02/2018 | | | | | | | | | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | | | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 05-01-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | | | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | | | | | | | | |
| 7. FROM: Position Title and Number SUPV PUBLIC AFFAIRS SPECIALIST V0B00000 0014084 | | | | | 15. TO: Position Title and Number ACTING DEPUTY REGIONAL ADMINISTRATOR V0000000 0014084 | | | | | | | | | | | | | | | | | | |
| 8. Pay Plan GS | | 9. Occ. Code 1035 | | 10. Grade or Level 15 | | 11. Step or Rate 10 | | 12. Total Salary 161900 | | 13. Pay Basis PA | | 16. Pay Plan GS | | 17. Occ. Code 0340 | | 18. Grade or Level 15 | | 19. Step or Rate | | 20. Total Salary/Award | | 21. Pay Basis PA | |
| 12A. Basic Pay 134776 | | 12B. Locality Adj. 27124 | | 12C. Adj. Basic Pay 161900 | | 12D. Other Pay 0 | | 20A. Basic Pay | | | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay | | | | | | | |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 6 OFFICE OF EXTERNAL AFFAIRS DALLAS, TEXAS | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 6 DALLAS, TEXAS | | | | | | | | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None 3 - 10-Point/Disability 5 - 10-Point/Other (b) (6) - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | | | | | 24. Tenure 1 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | | | |
| 27. FEGLI (b) (6) | | | | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | | | | | | |
| K FERS & FICA | | | | | | | | | | 31. Service Comp. Date (Leave) (b) (6) | | | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | | | | | | | | |
| 34. Position Occupied 1 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | | | | 36. Appropriation Code | | | | | 37. Bargaining Unit Status 8888 | | | | | | | | |
| 38. Duty Station Code 48-1730-113 | | | | | 39. Duty Station (City - County - State or Overseas Location) DALLAS, DALLAS, TEXAS | | | | | | | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | | | | | | | | | | | | |
| 45. Remarks THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | | | | | 50. Signature/Authentication and Title of Approving Official 180007417 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3248 | | | 49. Approval Date 01/03/2018 | | | | | | | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|--|-----------------------------|--|---------------------------------|---|---------------------|----------------|
| 1. Name (Last, First, Middle) MCDONALD, JAMES F. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 10/01/2017 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 01-28-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number ASST REGIONAL ADMINISTRATOR V0A00000 0014781 | | | | | 15. TO: Position Title and Number ACTING DEPUTY REGIONAL ADMINISTRATOR V0000000 00162 | | | | | | | |
| 8. Pay Plan ES | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 168899 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 168899 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 168899 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | 20C. Adj. Basic Pay | 20D. Other Pay |
| 14. Name and Location of Position's Organization REGION 6 MANAGEMENT DIVISION DALLAS,TEXAS | | | | | | 22. Name and Location of Position's Organization REGION 6 DALLAS,TEXAS | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 4 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 48-1730-113 | | | | 39. Duty Station (City - County - State or Overseas Location) DALLAS,DALLAS,TEXAS | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 171910696 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 10/16/2017 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | |
|---|--|--|--------------------------|---|--|--|-----------------------------|------------------------------------|---------------------------------|---|------------------------|---------------------|----------------|
| 1. Name (Last, First, Middle) MUGDAN, WALTER E | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 01/21/2018 | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 05-20-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | |
| 7. FROM: Position Title and Number DIR/EMERGENCY & REMEDIAL RESPONSE DIV RE000000 0058584 | | | | | 15. TO: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR R0000000 ES00549 | | | | | | | | |
| 8. Pay Plan ES | | 9. Occ. Code 0801 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 189600 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 189600 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 189600 | | 12D. Other Pay 0 | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 2 EMERGENCY & REMEDIAL RESPONSE DIV NEW YORK, NEW YORK | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 2 NEW YORK, NEW YORK | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan 1 CSRS | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | | | |
| 34. Position Occupied 4 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 36-4170-061 | | | | 39. Duty Station (City - County - State or Overseas Location) NEW YORK, NEW YORK, NEW YORK | | | | | | | | | |
| 40. Agency Data FUNC CLS 99 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180775385 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 01/21/2018 | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | |
|---|--|--|--------------------------|---|--|--|---|--|---------------------------------|---|-------------------------------|--|--|----------------|--|
| 1. Name (Last, First, Middle) ORME-ZAVALITA, JENNIFER | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 11/06/2017 | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 03-05-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | |
| 7. FROM: Position Title and Number DEPUTY ASSISTANT ADMINISTRATOR FOR RESEARCH AND DEVELOPMENT (SCIENCE) N0000000 0000820 | | | | | 15. TO: Position Title and Number ASSISTANT ADMINISTRATOR N0000000 0000820 | | | | | | | | | | |
| 8. Pay Plan ES | | 9. Occ. Code 0401 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 186075 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0401 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | | | |
| 12A. Basic Pay 186075 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 186075 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay | |
| 14. Name and Location of Position's Organization ASST ADMR FOR RESEARCH & DEVELOPMENT WASHINGTON,DC | | | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR RESEARCH & DEVELOPMENT WASHINGTON,DC | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | | |
| 30. Retirement Plan 1 CSRS | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | |
| 34. Position Occupied 4 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | |
| 38. Duty Station Code 37-1360-063 | | | | 39. Duty Station (City - County - State or Overseas Location) DURHAM,DURHAM,NORTH CAROLINA | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 92 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 18 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 172044077 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/07/2017 | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | |
|---|--|--|--------------------------|--|--|--|-----------------------------|---|---------------------------------|---|------------------------|---------------------|----------------|
| 1. Name (Last, First, Middle) PAYNE JR, JAMES O | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 05/20/2018 | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 09-16-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | |
| 7. FROM: Position Title and Number REGIONAL COUNSEL, REGION 6 VF000000 0000379 | | | | | 15. TO: Position Title and Number DEPUTY REGIONAL ADMINISTRATOR U0000000 ES01129 | | | | | | | | |
| 8. Pay Plan ES | | 9. Occ. Code 0905 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 189600 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 189600 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 189600 | | 12D. Other Pay 0 | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 6 OFFICE OF REGIONAL COUNSEL DALLAS,TEXAS | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY REGION 5 CHICAGO,ILLINOIS | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | | | |
| 34. Position Occupied 4 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 48-1730-113 | | | | 39. Duty Station (City - County - State or Overseas Location) DALLAS,DALLAS,TEXAS | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 15 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY CRITICAL-SENSITIVE | | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 SELECTED FROM INTERNAL SOLICITATION, DATED 04-27-18. | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180974439 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 05/20/2018 | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | | | | | |
|---|--|--|--------------------------|--|--|----------------------------------|-----------------------------|--|---------------------------------|---|-----------------------|--------------------------|------------------|------------------------|--|---------------------|
| 1. Name (Last, First, Middle) SHOWMAN III, JOHN L. | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 11/18/2017 | | | | | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 03-17-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | | | | | |
| 7. FROM: Position Title and Number DIRECTOR, OFFICE OF POLICY AND RSRCES H0A00000 0030341 | | | | | 15. TO: Position Title and Number DEPUTY ASSISTANT ADMINISTRATOR H0000000 0030341 | | | | | | | | | | | |
| 8. Pay Plan ES | | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 180047 | | 13. Pay Basis PA | | 16. Pay Plan ES | | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | | 21. Pay Basis PA |
| 12A. Basic Pay 180047 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 180047 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | | 20C. Adj. Basic Pay | | 20D. Other Pay | | |
| 14. Name and Location of Position's Organization ASST ADMR FOR ADMIN & RESOURCES MGMT OFC OF RESOURCES, OPERATIONS & MGMT WASHINGTON,DC | | | | | 22. Name and Location of Position's Organization ASST ADMR FOR ADMIN & RESOURCES MGMT WASHINGTON,DC | | | | | | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) - None 3 - 10-Point/Disability 5 - 10-Point/Other - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | | | | | |
| 27. FEGLI (b) (6) | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | | 29. Pay Rate Determinant 0 | | | | | | |
| 30. Retirement Plan 1 CSRS | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | | | | | |
| POSITION DATA | | | | | | | | | | | | | | | | |
| 34. Position Occupied 4 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | | | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 13 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) | | | | | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | 50. Signature/Authentication and Title of Approving Official 172199988 / ELECTRONICALLY SIGNED BY: VICKIE H. TELLIS ACTG DIR, EXEC RESOURCES DIV, OHR | | | | | | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 11/22/2017 | | | | | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|---|--|-----------------------------|--|---|--|---------------------|----------------|
| 1. Name (Last, First, Middle) SIMON, HARVEY | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/04/2018 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 07-01-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number INFORMATION OFFICER (GEOSPATIAL TECH) G0000000 0073930 | | | | | 15. TO: Position Title and Number DEPUTY ASSISTANT ADMINISTRATOR G0000000 ES03400 | | | | | | | |
| 8. Pay Plan SL | 9. Occ. Code 2210 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 166850 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 166850 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 166850 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | 20C. Adj. Basic Pay | 20D. Other Pay |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF ENVIRONMENTAL INFORMATION WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY OFFICE OF ENVIRONMENTAL INFORMATION WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 1 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | 29. Pay Rate Determinant 0 | | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | 33. Part-Time Hours Per Biweekly Pay Period | | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 1 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | 37. Bargaining Unit Status 8888 | | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 8 | | 44. POSITION SENSITIVITY NONSENSITIVE/LOW RI | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) THIS POSITION IS DESIGNATED FOR DRUG TESTING 5 NATIONAL SECURITY 5CFR 732.102 | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180530982 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/04/2018 | | | | | | | | |

NOTIFICATION OF PERSONNEL ACTION

| | | | | | | | | | | | | |
|---|----------------------|--|------------------------|--|--|--|-----------------------------|------------------------------------|---------------------------------|---|---------------------|----------------|
| 1. Name (Last, First, Middle) SIMON, NIGEL | | | | | 2. Social Security Number (b) (6) | | 3. Date of Birth (b) (6) | | 4. Effective Date 03/06/2018 | | | |
| FIRST ACTION | | | | | SECOND ACTION | | | | | | | |
| 5-A. Code 930 | | 5-B. Nature of Action DETAIL NTE 07-03-18 | | | 6-A. Code | | 6-B. Nature of Action | | | | | |
| 5-C. Code | | 5-D. Legal Authority | | | 6-C. Code | | 6-D. Legal Authority | | | | | |
| 5-E. Code | | 5-F. Legal Authority | | | 6-E. Code | | 6-F. Legal Authority | | | | | |
| 7. FROM: Position Title and Number DIR/PROG MGMT K0C00000 0012749 | | | | | 15. TO: Position Title and Number PRINCIP DEPUTY ASSIST ADMIN FOR LEM K0000000 ES00449 | | | | | | | |
| 8. Pay Plan ES | 9. Occ. Code 0340 | 10. Grade or Level 00 | 11. Step or Rate 00 | 12. Total Salary 168829 | 13. Pay Basis PA | 16. Pay Plan ES | 17. Occ. Code 0340 | 18. Grade or Level 00 | 19. Step or Rate | 20. Total Salary/Award | 21. Pay Basis PA | |
| 12A. Basic Pay 168829 | | 12B. Locality Adj. 0 | | 12C. Adj. Basic Pay 168829 | | 12D. Other Pay 0 | | 20A. Basic Pay | | 20B. Locality Adj. | 20C. Adj. Basic Pay | 20D. Other Pay |
| 14. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT OFFICE OF PROGRAM MANAGEMENT WASHINGTON,DC | | | | | | 22. Name and Location of Position's Organization ENVIRONMENTAL PROTECTION AGENCY ASST ADMR OFC OF LAND & EMER MGMT WASHINGTON,DC | | | | | | |
| EMPLOYEE DATA | | | | | | | | | | | | |
| 23. Veterans Preference (b) (6) 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30% | | | | | | 24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite | | 25. Agency Use | | 26. Veterans Preference for RIF (b) (6) | | |
| 27. FEGLI (b) (6) | | | | | | 28. Annuitant Indicator 9 NOT APPLICABLE | | | | 29. Pay Rate Determinant 0 | | |
| 30. Retirement Plan K FERS & FICA | | | | 31. Service Comp. Date (Leave) (b) (6) | | 32. Work Schedule F FULL-TIME | | | | 33. Part-Time Hours Per Biweekly Pay Period | | |
| POSITION DATA | | | | | | | | | | | | |
| 34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved | | | | 35. FLSA Category E E - Exempt N - Nonexempt | | 36. Appropriation Code | | | | 37. Bargaining Unit Status 8888 | | |
| 38. Duty Station Code 11-0010-001 | | | | 39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA | | | | | | | | |
| 40. Agency Data FUNC CLS 00 | | 41. VET STAT (b) (6) | | 42. EDUC LVL 17 | | 43. SUPV STAT 2 | | 44. POSITION SENSITIVITY HIGH RISK | | | | |
| 45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) | | | | | | | | | | | | |
| 46. Employing Department or Agency EP - ENVIRONMENTAL PROTECTIO | | | | | | 50. Signature/Authentication and Title of Approving Official 180853126 / ELECTRONICALLY SIGNED BY: JEREMY A. TAYLOR HUMAN RESOURCES OFFICER | | | | | | |
| 47. Agency Code EP00 | | 48. Personnel Office ID 3216 | | 49. Approval Date 03/06/2018 | | | | | | | | |



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

SEP 10 2014

OFFICE OF
SOLID WASTE AND
EMERGENCY RESPONSE

MEMORANDUM

SUBJECT: Recusal

FROM: Barry N. Breen
Principal Deputy Assistant Administrator

TO: Mathy Stanislaus
Assistant Administrator

Nitin Natarajan
Deputy Assistant Administrator

OSWER Office Directors

Recently, my spouse's cousin, who is an attorney, has received a new assignment with the Legal Group of ARCADIS U.S., Inc. The new assignment is to serve as the ARCADIS U.S. Environment Division's Legal Counsel.

Pursuant to 5 C.F.R. §2635.502, I cannot participate in any particular matter involving specific parties that would cause a reasonable person with knowledge of the relevant facts to question my ability to carry out impartially my official duties with respect to my cousin, who is employed as Legal Counsel for ARCADIS, a design and consultancy firm that works in the environmental field. I am recusing myself from participating personally and substantially in any specific party matter in which my cousin is representing ARCADIS or in which the ARCADIS Legal team is itself involved to avoid even the appearance of a loss of impartiality. I have consulted with agency ethics officials and understand that I need not recuse from those matters in which ARCADIS is simply providing engineering support; instead, my ethics concern hinges on the participation of my cousin and, as a prudential matter, her legal office. Any discussion, briefing, communication or meeting related to these matters should be directed to the Assistant Administrator and/or the applicable OSWER Office Director, as appropriate, without my participation.

cc: Justina Fugh
Johnsie Webster



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

APR 7 2003

OFFICE OF
SOLID WASTE AND EMERGENCY
RESPONSE

To: OSWER Office and Staff Directors
Staff Members, Immediate Office of the Assistant Administrator, OSWER

From: Barry Breen
Principal Deputy Assistant Administrator

Subject: Recusal from Spring Valley Site in Northwest Washington, D.C.

This memorandum is to renew my recusal from taking official action with respect to any matter concerning the Spring Valley Site in Northwest Washington, D.C. I originally recused myself from this matter in 1993 when contamination at this site first came to light.

I am an adjunct professor on a part-time schedule at the American University law school. According to press reports, American University is a party to some of the discussions, or proceedings regarding responsibility for the contamination.

If any substantial discussions pertaining to the Spring Valley site arise, that would otherwise call for my participation, please refer all matters relating to the Spring Valley Site to other appropriate officials without my knowledge.

cc: Marianne Horinko, OSWER
Tom Dunne, OSWER
Gayle Rice, OSWER
Laurie May, OSWER
Tom Voltaggio, Region III
Abe Ferdas, Region III
Phyllis Harris, OECA
Steve Shimberg, OECA
Susan Bromm, OECA
Ken Wernick, OGC



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 6

1445 ROSS AVENUE, SUITE 1200

DALLAS, TEXAS 75202 - 2733

April 21, 2017

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David Gray 
Acting Deputy Regional Administrator

TO: Samuel Coleman
Acting Regional Administrator

As the Acting Deputy Regional Administrator, I want to ensure that I take the appropriate steps to avoid any actual or perceived conflict of interest. As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any other person whose interests are imputed to me. My imputed interests include my spouse, any minor children, or any general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I understand that this prohibition restricts me from participating in any particular matter that involves Home Depot as a specific party unless I first obtain a written waiver from the Office of General Counsel pursuant to § 208(b)(1), or qualify for a regulatory exemption, pursuant to § 208(b)(2). Any specific party matters involving Home Depot should be directed to you without my participation or knowledge.

I further understand that I am precluded from participating personally and substantially in any determination, request or recommendation that specially relates to or affects the salary or benefits of my spouse, [provide name]. Therefore, pursuant to 18 U.S.C. § 208 and 5 C.F.R. § 2635.502, I am recusing myself from participating in any award, promotion, or any other personnel or administrative matter that involves my spouse as a specific party. Any personnel or administrative matters involving my spouse should be directed to James McDonald, Assistant Regional Administrator, without my participation or knowledge.

cc: Jim Payne, Regional Counsel
Ben Harrison, Deputy Regional Counsel
Justina Fugh, Senior Counsel for Ethics



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

REGION 6


1445 ROSS AVENUE, SUITE 1200
DALLAS, TEXAS 75202 - 2733

Office of the Regional Administrator

APR 24 2018

MEMORANDUM

SUBJECT: Recusal Statement

FROM: David Gray 
Acting Deputy Regional Administrator

TO: Ann L. Idsal
Regional Administrator

As the Acting Deputy Regional Administrator, I want to ensure that I take the appropriate steps to avoid any actual or perceived conflict of interest. As required by 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter that has a direct and predictable effect on my financial interests or those of any other person whose interests are imputed to me. My imputed interests include my spouse, any minor children, or any general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

I further understand that I am precluded from participating personally and substantially in any determination, request or recommendation that specially relates to or affects the salary or benefits of my spouse, Rob Lawrence. Therefore, pursuant to 18 U.S.C. § 208 and 5 C.F.R. § 2635.502, I am recusing myself from participating in any award, promotion, or any other personnel or administrative matter that involves my spouse as a specific party. Any personnel or administrative matters involving my spouse should be directed to James McDonald, Assistant Regional Administrator, without my participation or knowledge.

cc: Jim Payne, Regional Counsel
Ben Harrison, Deputy Regional
Counsel
Justina Fugh, Senior Counsel
for Ethics

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UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
WASHINGTON, D.C. 20460

OFFICE OF
SOLID WASTE AND
EMERGENCY RESPONSE

NOW THE
OFFICE OF LAND AND
EMERGENCY MANAGEMENT

MEMORANDUM

SUBJECT: Recusal from ICF

FROM: Barry N. Breen
Principal Deputy Assistant Administrator

1/12/17

TO: Mathy Stanislaus
Assistant Administrator

Nitin Natarajan
Deputy Assistant Administrator

OLEM Office Directors

Recently, I learned that a relative of mine works at ICF. As a result, and to avoid conflict of interest or loss of impartiality, or the appearance of it, I am recusing myself from participation (personally and substantially) in any EPA matter which involves this entity.

Any discussion, briefing, communication or meeting related to matters associated with ICF should be directed to an alternate manager, as appropriate, without my participation.

cc: Justina Fugh
Susan Smith
Kecia Thornton